

# Isabelle/HOLCF — Higher-Order Logic of Computable Functions

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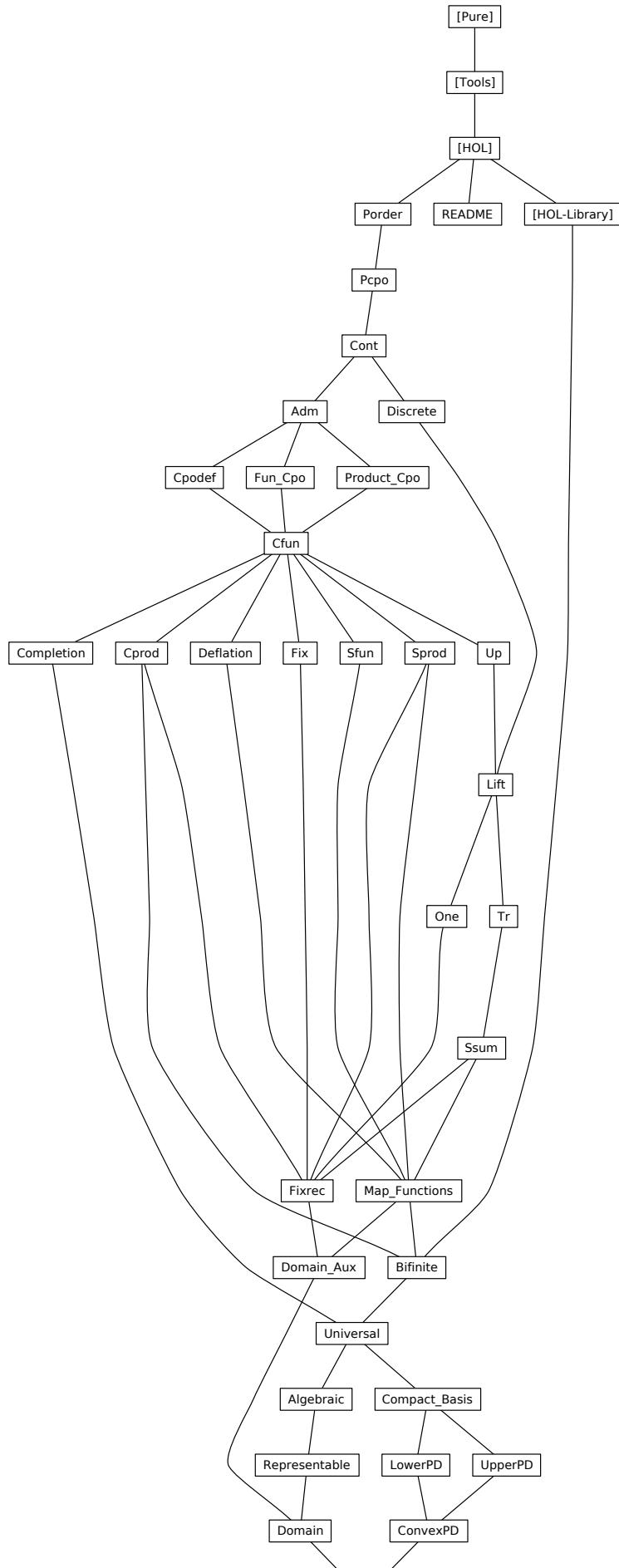
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## 1 Partial orders

```

theory Porder
  imports Main
begin

declare [[typedef-overloaded]]

1.1 Type class for partial orders

class below =
  fixes below :: 'a ⇒ 'a ⇒ bool
begin

  notation (ASCII)
  below (infix << 50)

  notation
  below (infix ≤ 50)

  abbreviation not-below :: 'a ⇒ 'a ⇒ bool (infix ⊏ 50)
  where not-below x y ≡ ¬ below x y

  notation (ASCII)
  not-below (infix ~<< 50)

  lemma below-eq-trans: a ≤ b ⇒ b = c ⇒ a ≤ c
  by (rule subst)

  lemma eq-below-trans: a = b ⇒ b ≤ c ⇒ a ≤ c
  by (rule ssubst)

end

class po = below +
  assumes below-refl [iff]: x ≤ x
  assumes below-trans: x ≤ y ⇒ y ≤ z ⇒ x ≤ z
  assumes below-antisym: x ≤ y ⇒ y ≤ x ⇒ x = y
begin

  lemma eq-imp-below: x = y ⇒ x ≤ y
  by simp

  lemma box-below: a ≤ b ⇒ c ≤ a ⇒ b ≤ d ⇒ c ≤ d
  by (rule below-trans [OF below-trans])

  lemma po-eq-conv: x = y ←→ x ≤ y ∧ y ≤ x
  by (fast intro!: below-antisym)

  lemma rev-below-trans: y ≤ z ⇒ x ≤ y ⇒ x ≤ z

```

```

by (rule below-trans)

lemma not-below2not-eq:  $x \not\sqsubseteq y \implies x \neq y$ 
  by auto

end

lemmas HOLCF-trans-rules [trans] =
  below-trans
  below-antisym
  below-eq-trans
  eq-below-trans

```

```

context po
begin

```

## 1.2 Upper bounds

```

definition is-ub :: 'a set  $\Rightarrow$  'a  $\Rightarrow$  bool (infix  $<|$  55)
  where  $S <| x \longleftrightarrow (\forall y \in S. y \sqsubseteq x)$ 

```

```

lemma is-ubI:  $(\bigwedge x. x \in S \implies x \sqsubseteq u) \implies S <| u$ 
  by (simp add: is-ub-def)

```

```

lemma is-ubD:  $\llbracket S <| u; x \in S \rrbracket \implies x \sqsubseteq u$ 
  by (simp add: is-ub-def)

```

```

lemma ub-imageI:  $(\bigwedge x. x \in S \implies f x \sqsubseteq u) \implies (\lambda x. f x) ` S <| u$ 
  unfolding is-ub-def by fast

```

```

lemma ub-imageD:  $\llbracket f ` S <| u; x \in S \rrbracket \implies f x \sqsubseteq u$ 
  unfolding is-ub-def by fast

```

```

lemma ub-rangeI:  $(\bigwedge i. S i \sqsubseteq x) \implies \text{range } S <| x$ 
  unfolding is-ub-def by fast

```

```

lemma ub-rangeD:  $\text{range } S <| x \implies S i \sqsubseteq x$ 
  unfolding is-ub-def by fast

```

```

lemma is-ub-empty [simp]:  $\{\} <| u$ 
  unfolding is-ub-def by fast

```

```

lemma is-ub-insert [simp]:  $(\text{insert } x A) <| y = (x \sqsubseteq y \wedge A <| y)$ 
  unfolding is-ub-def by fast

```

```

lemma is-ub-upward:  $\llbracket S <| x; x \sqsubseteq y \rrbracket \implies S <| y$ 
  unfolding is-ub-def by (fast intro: below-trans)

```

### 1.3 Least upper bounds

```

definition is-lub :: 'a set  $\Rightarrow$  'a  $\Rightarrow$  bool (infix  $<<|$  55)
  where  $S <<| x \longleftrightarrow S <| x \wedge (\forall u. S <| u \longrightarrow x \sqsubseteq u)$ 

definition lub :: 'a set  $\Rightarrow$  'a
  where lub  $S = (\text{THE } x. S <<| x)$ 

end

syntax (ASCII)
  -BLub :: [pttrn, 'a set, 'b]  $\Rightarrow$  'b ((3LUB -:./ -) [0,0, 10] 10)

syntax
  -BLub :: [pttrn, 'a set, 'b]  $\Rightarrow$  'b ((3 $\sqcup$ -ε-/ -) [0,0, 10] 10)

translations
  LUB  $x:A. t \rightleftharpoons \text{CONST} \text{lub} ((\lambda x. t) ` A)$ 

context po
begin

abbreviation Lub (binder  $\sqcup$  10)
  where  $\sqcup n. t n \equiv \text{lub} (\text{range } t)$ 

notation (ASCII)
  Lub (binder LUB 10)

access to some definition as inference rule

lemma is-lubD1:  $S <<| x \implies S <| x$ 
  unfolding is-lub-def by fast

lemma is-lubD2:  $\llbracket S <<| x; S <| u \rrbracket \implies x \sqsubseteq u$ 
  unfolding is-lub-def by fast

lemma is-lubI:  $\llbracket S <| x; \bigwedge u. S <| u \implies x \sqsubseteq u \rrbracket \implies S <<| x$ 
  unfolding is-lub-def by fast

lemma is-lub-below-iff:  $S <<| x \implies x \sqsubseteq u \longleftrightarrow S <| u$ 
  unfolding is-lub-def is-ub-def by (metis below-trans)

lubs are unique

lemma is-lub-unique:  $S <<| x \implies S <<| y \implies x = y$ 
  unfolding is-lub-def is-ub-def by (blast intro: below-antisym)

technical lemmas about lub and ( $<<|$ )
lemma is-lub-lub:  $M <<| x \implies M <<| \text{lub } M$ 
  unfolding lub-def by (rule theI [OF - is-lub-unique])

```

```

lemma lub-eqI:  $M <<| l \implies \text{lub } M = l$ 
  by (rule is-lub-unique [OF is-lub-lub])

lemma is-lub-singleton [simp]:  $\{x\} <<| x$ 
  by (simp add: is-lub-def)

lemma lub-singleton [simp]:  $\text{lub } \{x\} = x$ 
  by (rule is-lub-singleton [THEN lub-eqI])

lemma is-lub-bin:  $x \sqsubseteq y \implies \{x, y\} <<| y$ 
  by (simp add: is-lub-def)

lemma lub-bin:  $x \sqsubseteq y \implies \text{lub } \{x, y\} = y$ 
  by (rule is-lub-bin [THEN lub-eqI])

lemma is-lub-maximal:  $S <| x \implies x \in S \implies S <<| x$ 
  by (erule is-lubI, erule (1) is-ubD)

lemma lub-maximal:  $S <| x \implies x \in S \implies \text{lub } S = x$ 
  by (rule is-lub-maximal [THEN lub-eqI])

```

## 1.4 Countable chains

**definition** chain ::  $(\text{nat} \Rightarrow 'a) \Rightarrow \text{bool}$   
**where** — Here we use countable chains and I prefer to code them as functions!  
 $\text{chain } Y = (\forall i. Y i \sqsubseteq Y (\text{Suc } i))$

**lemma** chainI:  $(\bigwedge i. Y i \sqsubseteq Y (\text{Suc } i)) \implies \text{chain } Y$   
**unfolding** chain-def **by** fast

**lemma** chainE:  $\text{chain } Y \implies Y i \sqsubseteq Y (\text{Suc } i)$   
**unfolding** chain-def **by** fast

chains are monotone functions

**lemma** chain-mono-less:  $\text{chain } Y \implies i < j \implies Y i \sqsubseteq Y j$   
**by** (erule less-Suc-induct, erule chainE, erule below-trans)

**lemma** chain-mono:  $\text{chain } Y \implies i \leq j \implies Y i \sqsubseteq Y j$   
**by** (cases  $i = j$ ) (simp-all add: chain-mono-less)

**lemma** chain-shift:  $\text{chain } Y \implies \text{chain } (\lambda i. Y (i + j))$   
**by** (rule chainI, simp, erule chainE)

technical lemmas about (least) upper bounds of chains

**lemma** is-lub-rangeD1:  $\text{range } S <<| x \implies S i \sqsubseteq x$   
**by** (rule is-lubD1 [THEN ub-rangeD])

**lemma** is-ub-range-shift:  $\text{chain } S \implies \text{range } (\lambda i. S (i + j)) <| x = \text{range } S <| x$   
**apply** (rule iffI)

```

apply (rule ub-rangeI)
apply (rule-tac y=S (i + j) in below-trans)
apply (erule chain-mono)
apply (rule le-add1)
apply (erule ub-rangeD)
apply (rule ub-rangeI)
apply (erule ub-rangeD)
done

lemma is-lub-range-shift: chain S ==> range (<<| λi. S (i + j)) <<| x = range S <<|
x
by (simp add: is-lub-def is-ub-range-shift)

the lub of a constant chain is the constant

lemma chain-const [simp]: chain (<<| c)
by (simp add: chainI)

lemma is-lub-const: range (<<| c)
by (blast dest: ub-rangeD intro: is-lubI ub-rangeI)

lemma lub-const [simp]: (<<| i. c) = c
by (rule is-lub-const [THEN lub-eqI])

```

## 1.5 Finite chains

```

definition max-in-chain :: nat ⇒ (nat ⇒ 'a) ⇒ bool
where — finite chains, needed for monotony of continuous functions
max-in-chain i C ↔ ( ∀ j. i ≤ j → C i = C j )

```

```

definition finite-chain :: (nat ⇒ 'a) ⇒ bool
where finite-chain C = (chain C ∧ ( ∃ i. max-in-chain i C ))

```

results about finite chains

```

lemma max-in-chainI: ( ∀ j. i ≤ j ⇒ Y i = Y j ) ⇒ max-in-chain i Y
unfolding max-in-chain-def by fast

```

```

lemma max-in-chainD: max-in-chain i Y ⇒ i ≤ j ⇒ Y i = Y j
unfolding max-in-chain-def by fast

```

```

lemma finite-chainI: chain C ⇒ max-in-chain i C ⇒ finite-chain C
unfolding finite-chain-def by fast

```

```

lemma finite-chainE: [[finite-chain C; ∀ i. [chain C; max-in-chain i C]] ⇒ R]
⇒ R
unfolding finite-chain-def by fast

```

```

lemma lub-finch1: chain C ⇒ max-in-chain i C ⇒ range C <<| C i
apply (rule is-lubI)
apply (rule ub-rangeI, rename-tac j)

```

```

apply (rule-tac x=i and y=j in linorder-le-cases)
  apply (drule (1) max-in-chainD, simp)
  apply (erule (1) chain-mono)
  apply (erule ub-rangeD)
done

lemma lub-finch2: finite-chain C ==> range C <<| C (LEAST i. max-in-chain i
C)
  apply (erule finite-chainE)
  apply (erule LeastI2 [where Q=λi. range C <<| C i])
  apply (erule (1) lub-finch1)
done

lemma finch-imp-finite-range: finite-chain Y ==> finite (range Y)
  apply (erule finite-chainE)
  apply (rule-tac B=Y ‘{..i} in finite-subset)
  apply (rule subsetI)
  apply (erule rangeE, rename-tac j)
  apply (rule-tac x=i and y=j in linorder-le-cases)
    apply (subgoal-tac Y j = Y i, simp)
    apply (simp add: max-in-chain-def)
  apply simp
  apply simp
done

lemma finite-range-has-max:
  fixes f :: nat ⇒ 'a
  and r :: 'a ⇒ 'a ⇒ bool
  assumes mono: ∀i j. i ≤ j ==> r (f i) (f j)
  assumes finite-range: finite (range f)
  shows ∃k. ∀i. r (f i) (f k)
proof (intro exI allI)
  fix i :: nat
  let ?j = LEAST k. f k = f i
  let ?k = Max ((λx. LEAST k. f k = x) ‘ range f)
  have ?j ≤ ?k
  proof (rule Max-ge)
    show finite ((λx. LEAST k. f k = x) ‘ range f)
      using finite-range by (rule finite-imageI)
    show ?j ∈ (λx. LEAST k. f k = x) ‘ range f
      by (intro imageI rangeI)
  qed
  hence r (f ?j) (f ?k)
    by (rule mono)
  also have f ?j = f i
    by (rule LeastI, rule refl)
  finally show r (f i) (f ?k) .
qed

```

```

lemma finite-range-imp-finch: chain Y  $\implies$  finite (range Y)  $\implies$  finite-chain Y
  apply (subgoal-tac  $\exists k. \forall i. Y_i \sqsubseteq Y_k$ )
  apply (erule exE)
  apply (rule finite-chainI, assumption)
  apply (rule max-in-chainI)
  apply (rule below-antisym)
  apply (erule (1) chain-mono)
  apply (erule spec)
  apply (rule finite-range-has-max)
  apply (erule (1) chain-mono)
  apply assumption
  done

lemma bin-chain:  $x \sqsubseteq y \implies \text{chain } (\lambda i. \text{if } i=0 \text{ then } x \text{ else } y)$ 
  by (rule chainI) simp

lemma bin-chainmax:  $x \sqsubseteq y \implies \text{max-in-chain } (\text{Suc } 0) (\lambda i. \text{if } i=0 \text{ then } x \text{ else } y)$ 
  by (simp add: max-in-chain-def)

lemma is-lub-bin-chain:  $x \sqsubseteq y \implies \text{range } (\lambda i::\text{nat}. \text{if } i=0 \text{ then } x \text{ else } y) <<| y$ 
  apply (frule bin-chain)
  apply (drule bin-chainmax)
  apply (drule (1) lub-finch1)
  apply simp
  done

the maximal element in a chain is its lub

lemma lub-chain-maxelem:  $Y_i = c \implies \forall i. Y_i \sqsubseteq c \implies \text{lub } (\text{range } Y) = c$ 
  by (blast dest: ub-rangeD intro: lub-eqI is-lubI ub-rangeI)

end

end

```

## 2 Classes cpo and pcpo

```

theory Pcpo
  imports Porder
begin

```

### 2.1 Complete partial orders

The class cpo of chain complete partial orders

```

class cpo = po +
  assumes cpo: chain S  $\implies \exists x. \text{range } S <<| x$ 
begin

```

in cpo's everything equal to THE lub has lub properties for every chain

**lemma** *cpo-lubI*: *chain S*  $\implies$  *range S*  $<<| (\bigsqcup i. S i)  
**by** (*fast dest: cpo elim: is-lub-lub*)$

**lemma** *thelubE*:  $\llbracket \text{chain } S; (\bigsqcup i. S i) = l \rrbracket \implies \text{range } S <<| l$   
**by** (*blast dest: cpo intro: is-lub-lub*)

Properties of the lub

**lemma** *is-ub-thelub*: *chain S*  $\implies S x \sqsubseteq (\bigsqcup i. S i)  
**by** (*blast dest: cpo intro: is-lub-lub [THEN is-lub-rangeD1]*)$

**lemma** *is-lub-thelub*:  $\llbracket \text{chain } S; \text{range } S <| x \rrbracket \implies (\bigsqcup i. S i) \sqsubseteq x$   
**by** (*blast dest: cpo intro: is-lub-lub [THEN is-lubD2]*)

**lemma** *lub-below-iff*: *chain S*  $\implies (\bigsqcup i. S i) \sqsubseteq x \longleftrightarrow (\forall i. S i \sqsubseteq x)$   
**by** (*simp add: is-lub-below-iff [OF cpo-lubI] is-ub-def*)

**lemma** *lub-below*:  $\llbracket \text{chain } S; \bigwedge i. S i \sqsubseteq x \rrbracket \implies (\bigsqcup i. S i) \sqsubseteq x$   
**by** (*simp add: lub-below-iff*)

**lemma** *below-lub*:  $\llbracket \text{chain } S; x \sqsubseteq S i \rrbracket \implies x \sqsubseteq (\bigsqcup i. S i)$   
**by** (*erule below-trans, erule is-ub-thelub*)

**lemma** *lub-range-mono*:  $\llbracket \text{range } X \subseteq \text{range } Y; \text{chain } Y; \text{chain } X \rrbracket \implies (\bigsqcup i. X i) \sqsubseteq (\bigsqcup i. Y i)$   
**apply** (*erule lub-below*)  
**apply** (*subgoal-tac*  $\exists j. X i = Y j$ )  
**apply** *clar simp*  
**apply** (*erule is-ub-thelub*)  
**apply** *auto*  
**done**

**lemma** *lub-range-shift*: *chain Y*  $\implies (\bigsqcup i. Y (i + j)) = (\bigsqcup i. Y i)$   
**apply** (*rule below-antisym*)  
**apply** (*rule lub-range-mono*)  
**apply** *fast*  
**apply** *assumption*  
**apply** (*erule chain-shift*)  
**apply** (*rule lub-below*)  
**apply** *assumption*  
**apply** (*rule-tac i=i in below-lub*)  
**apply** (*erule chain-shift*)  
**apply** (*erule chain-mono*)  
**apply** (*rule le-add1*)  
**done**

**lemma** *maxinch-is-thelub*: *chain Y*  $\implies \text{max-in-chain } i Y = ((\bigsqcup i. Y i) = Y i)$   
**apply** (*rule iffI*)  
**apply** (*fast intro!: lub-eqI lub-finCh1*)  
**apply** (*unfold max-in-chain-def*)

```

apply (safe intro!: below-antisym)
apply (fast elim!: chain-mono)
apply (drule sym)
apply (force elim!: is-ub-thelub)
done

```

the  $\sqsubseteq$  relation between two chains is preserved by their lubs

```

lemma lub-mono:  $\llbracket \text{chain } X; \text{chain } Y; \bigwedge i. X_i \sqsubseteq Y_i \rrbracket \implies (\bigcup i. X_i) \sqsubseteq (\bigcup i. Y_i)$ 
by (fast elim: lub-below below-lub)

```

the  $=$  relation between two chains is preserved by their lubs

```

lemma lub-eq:  $(\bigwedge i. X_i = Y_i) \implies (\bigcup i. X_i) = (\bigcup i. Y_i)$ 
by simp

```

**lemma** *ch2ch-lub*:

```

assumes 1:  $\bigwedge j. \text{chain } (\lambda i. Y_{i j})$ 
assumes 2:  $\bigwedge i. \text{chain } (\lambda j. Y_{i j})$ 
shows  $\text{chain } (\lambda i. \bigcup j. Y_{i j})$ 
apply (rule chainI)
apply (rule lub-mono [OF 2 2])
apply (rule chainE [OF 1])
done

```

**lemma** *diag-lub*:

```

assumes 1:  $\bigwedge j. \text{chain } (\lambda i. Y_{i j})$ 
assumes 2:  $\bigwedge i. \text{chain } (\lambda j. Y_{i j})$ 
shows  $(\bigcup i. \bigcup j. Y_{i j}) = (\bigcup i. Y_{i i})$ 
proof (rule below-antisym)
have 3:  $\text{chain } (\lambda i. Y_{i i})$ 
apply (rule chainI)
apply (rule below-trans)
apply (rule chainE [OF 1])
apply (rule chainE [OF 2])
done
have 4:  $\text{chain } (\lambda i. \bigcup j. Y_{i j})$ 
by (rule ch2ch-lub [OF 1 2])
show  $(\bigcup i. \bigcup j. Y_{i j}) \sqsubseteq (\bigcup i. Y_{i i})$ 
apply (rule lub-below [OF 4])
apply (rule lub-below [OF 2])
apply (rule below-lub [OF 3])
apply (rule below-trans)
apply (rule chain-mono [OF 1 max.cobounded1])
apply (rule chain-mono [OF 2 max.cobounded2])
done
show  $(\bigcup i. Y_{i i}) \sqsubseteq (\bigcup i. \bigcup j. Y_{i j})$ 
apply (rule lub-mono [OF 3 4])
apply (rule is-ub-thelub [OF 2])
done
qed

```

```

lemma ex-lub:
  assumes 1:  $\bigwedge j. \text{chain } (\lambda i. Y i j)$ 
  assumes 2:  $\bigwedge i. \text{chain } (\lambda j. Y i j)$ 
  shows  $(\bigsqcup i. \bigsqcup j. Y i j) = (\bigsqcup j. \bigsqcup i. Y i j)$ 
  by (simp add: diag-lub 1 2)

end

```

## 2.2 Pointed cpos

The class pcpo of pointed cpos

```

class pcpo = cpo +
  assumes least:  $\exists x. \forall y. x \sqsubseteq y$ 
begin

  definition bottom :: 'a ( $\perp$ )
    where bottom = (THE x.  $\forall y. x \sqsubseteq y$ )

```

```

  lemma minimal [iff]:  $\perp \sqsubseteq x$ 
    unfolding bottom-def
    apply (rule the1I2)
    apply (rule ex-exI)
    apply (rule least)
    apply (blast intro: below-antisym)
    apply simp
    done

```

**end**

Old "UU" syntax:

```

syntax UU :: logic
translations UU -> CONST bottom

```

Simproc to rewrite  $\perp = x$  to  $x = \perp$ .

```

setup <Reorient-Proc.add (fn Const- <bottom -> => true | _ -> false)>
simproc-setup reorient-bottom ( $\perp = x$ ) = <K Reorient-Proc.proc>

```

useful lemmas about  $\perp$

```

lemma below-bottom-iff [simp]:  $x \sqsubseteq \perp \longleftrightarrow x = \perp$ 
  by (simp add: po-eq-conv)

```

```

lemma eq-bottom-iff:  $x = \perp \longleftrightarrow x \sqsubseteq \perp$ 
  by simp

```

```

lemma bottomI:  $x \sqsubseteq \perp \implies x = \perp$ 
  by (subst eq-bottom-iff)

```

```
lemma lub-eq-bottom-iff: chain Y  $\implies$  ( $\bigsqcup i. Y i = \perp$ )  $\longleftrightarrow$  ( $\forall i. Y i = \perp$ )
  by (simp only: eq-bottom-iff lub-below-iff)
```

### 2.3 Chain-finite and flat cpos

further useful classes for HOLCF domains

```
class chfin = po +
  assumes chfin: chain Y  $\implies$   $\exists n. max\text{-}in\text{-}chain n Y$ 
begin

  subclass cpo
    apply standard
    apply (frule chfin)
    apply (blast intro: lub-fin)
    done

  lemma chfin2finch: chain Y  $\implies$  finite-chain Y
    by (simp add: chfin finite-chain-def)

  end

  class flat = pcpo +
    assumes ax-flat:  $x \sqsubseteq y \implies x = \perp \vee x = y$ 
begin

  subclass chfin
    proof
      fix Y
      assume *: chain Y
      show  $\exists n. max\text{-}in\text{-}chain n Y$ 
        apply (unfold max-in-chain-def)
        apply (cases  $\forall i. Y i = \perp$ )
        apply simp
        apply simp
        apply (erule exE)
        apply (rule-tac  $x=i$  in exI)
        apply clarify
        using * apply (blast dest: chain-mono ax-flat)
        done
    qed

  lemma flat-below-iff:  $x \sqsubseteq y \longleftrightarrow x = \perp \vee x = y$ 
    by (safe dest!: ax-flat)

  lemma flat-eq:  $a \neq \perp \implies a \sqsubseteq b = (a = b)$ 
    by (safe dest!: ax-flat)

  end
```

## 2.4 Discrete cpos

```
class discrete-cpo = below +
  assumes discrete-cpo [simp]:  $x \sqsubseteq y \longleftrightarrow x = y$ 
begin

subclass po
  by standard simp-all
```

In a discrete cpo, every chain is constant

```
lemma discrete-chain-const:
  assumes S: chain S
  shows  $\exists x. S = (\lambda i. x)$ 
proof (intro exI ext)
  fix i :: nat
  from S le0 have S 0  $\sqsubseteq S i$  by (rule chain-mono)
  then have S 0 = S i by simp
  then show S i = S 0 by (rule sym)
qed

subclass chfin
proof
  fix S :: nat  $\Rightarrow$  'a
  assume S: chain S
  then have  $\exists x. S = (\lambda i. x)$ 
    by (rule discrete-chain-const)
  then have max-in-chain 0 S
    by (auto simp: max-in-chain-def)
  then show  $\exists i. \text{max-in-chain } i S ..$ 
qed

end

end
```

## 3 Continuity and monotonicity

```
theory Cont
  imports Pcpo
begin
```

Now we change the default class! From now on all untyped type variables are of default class po

```
default-sort po
```

### 3.1 Definitions

```
definition monofun :: ('a  $\Rightarrow$  'b)  $\Rightarrow$  bool — monotonicity
  where monofun f  $\longleftrightarrow$  ( $\forall x y. x \sqsubseteq y \longrightarrow f x \sqsubseteq f y$ )
```

```

definition cont :: ('a::cpo  $\Rightarrow$  'b::cpo)  $\Rightarrow$  bool
  where cont f = ( $\forall Y$ . chain Y  $\longrightarrow$  range ( $\lambda i$ . f (Y i))  $<<|$  f ( $\bigsqcup i$ . Y i))

lemma contI: ( $\bigwedge Y$ . chain Y  $\Longrightarrow$  range ( $\lambda i$ . f (Y i))  $<<|$  f ( $\bigsqcup i$ . Y i))  $\Longrightarrow$  cont f
  by (simp add: cont-def)

lemma contE: cont f  $\Longrightarrow$  chain Y  $\Longrightarrow$  range ( $\lambda i$ . f (Y i))  $<<|$  f ( $\bigsqcup i$ . Y i)
  by (simp add: cont-def)

lemma monofunI: ( $\bigwedge x y$ .  $x \sqsubseteq y \Longrightarrow f x \sqsubseteq f y$ )  $\Longrightarrow$  monofun f
  by (simp add: monofun-def)

lemma monofunE: monofun f  $\Longrightarrow$   $x \sqsubseteq y \Longrightarrow f x \sqsubseteq f y$ 
  by (simp add: monofun-def)

```

### 3.2 Equivalence of alternate definition

monotone functions map chains to chains

```

lemma ch2ch-monofun: monofun f  $\Longrightarrow$  chain Y  $\Longrightarrow$  chain ( $\lambda i$ . f (Y i))
  apply (rule chainI)
  apply (erule monofunE)
  apply (erule chainE)
  done

```

monotone functions map upper bound to upper bounds

```

lemma ub2ub-monofun: monofun f  $\Longrightarrow$  range Y  $<| u \Longrightarrow$  range ( $\lambda i$ . f (Y i))  $<| f u$ 
  apply (rule ub-rangeI)
  apply (erule monofunE)
  apply (erule ub-rangeD)
  done

```

a lemma about binary chains

```

lemma binchain-cont: cont f  $\Longrightarrow$   $x \sqsubseteq y \Longrightarrow$  range ( $\lambda i::nat$ . f (if  $i = 0$  then x else y))  $<<| f y$ 
  apply (subgoal-tac f ( $\bigsqcup i::nat$ . if  $i = 0$  then x else y) = f y)
  apply (erule subst)
  apply (erule contE)
  apply (erule bin-chain)
  apply (rule-tac f=f in arg-cong)
  apply (erule is-lub-bin-chain [THEN lub-eqI])
  done

```

continuity implies monotonicity

```

lemma cont2mono: cont f  $\Longrightarrow$  monofun f
  apply (rule monofunI)
  apply (drule (1) binchain-cont)

```

```

apply (drule-tac i=0 in is-lub-rangeD1)
apply simp
done

lemmas cont2monofunE = cont2mono [THEN monofunE]

lemmas ch2ch-cont = cont2mono [THEN ch2ch-monofun]
continuity implies preservation of lubs

lemma cont2contlubE: cont f  $\implies$  chain Y  $\implies$  f ( $\bigsqcup$  i. Y i) = ( $\bigsqcup$  i. f (Y i))
apply (rule lub-eqI [symmetric])
apply (erule (1) contE)
done

lemma contI2:
fixes f :: 'a::cpo  $\Rightarrow$  'b::cpo
assumes mono: monofun f
assumes below:  $\bigwedge$  Y. [chain Y; chain ( $\lambda$ i. f (Y i))]  $\implies$  f ( $\bigsqcup$  i. Y i)  $\sqsubseteq$  ( $\bigsqcup$  i. f (Y i))
shows cont f
proof (rule contI)
fix Y :: nat  $\Rightarrow$  'a
assume Y: chain Y
with mono have fY: chain ( $\lambda$ i. f (Y i))
by (rule ch2ch-monofun)
have ( $\bigsqcup$  i. f (Y i)) = f ( $\bigsqcup$  i. Y i)
apply (rule below-antisym)
apply (rule lub-below [OF fY])
apply (rule monofunE [OF mono])
apply (rule is-ub-the lub [OF Y])
apply (rule below [OF Y fY])
done
with fY show range ( $\lambda$ i. f (Y i)) <<| f ( $\bigsqcup$  i. Y i)
by (rule thelubE)
qed

```

### 3.3 Collection of continuity rules

**named-theorems** cont2cont continuity intro rule

### 3.4 Continuity of basic functions

The identity function is continuous

```

lemma cont-id [simp, cont2cont]: cont ( $\lambda$ x. x)
apply (rule contI)
apply (erule cpo-lubI)
done

```

constant functions are continuous

**lemma** *cont-const* [*simp*, *cont2cont*]: *cont* ( $\lambda x. c$ )  
**using** *is-lub-const* **by** (*rule contI*)

application of functions is continuous

**lemma** *cont-apply*:  
**fixes**  $f :: 'a::cpo \Rightarrow 'b::cpo \Rightarrow 'c::cpo$  **and**  $t :: 'a \Rightarrow 'b$   
**assumes**  $1: cont (\lambda x. t x)$   
**assumes**  $2: \bigwedge x. cont (\lambda y. f x y)$   
**assumes**  $3: \bigwedge y. cont (\lambda x. f x y)$   
**shows** *cont* ( $\lambda x. (f x) (t x)$ )  
**proof** (*rule contI2* [*OF monofunI*])  
**fix**  $x y :: 'a$   
**assume**  $x \sqsubseteq y$   
**then show**  $f x (t x) \sqsubseteq f y (t y)$   
**by** (*auto intro: cont2monofunE* [*OF 1*]  
*cont2monofunE* [*OF 2*]  
*cont2monofunE* [*OF 3*]  
*below-trans*)  
**next**  
**fix**  $Y :: nat \Rightarrow 'a$   
**assume** *chain*  $Y$   
**then show**  $f (\bigsqcup i. Y i) (t (\bigsqcup i. Y i)) \sqsubseteq (\bigsqcup i. f (Y i) (t (Y i)))$   
**by** (*simp only: cont2contlubE* [*OF 1*] *ch2ch-cont* [*OF 1*]  
*cont2contlubE* [*OF 2*] *ch2ch-cont* [*OF 2*]  
*cont2contlubE* [*OF 3*] *ch2ch-cont* [*OF 3*]  
*diag-lub below-refl*)  
**qed**

**lemma** *cont-compose*: *cont*  $c \Rightarrow cont (\lambda x. f x) \Rightarrow cont (\lambda x. c (f x))$   
**by** (*rule cont-apply* [*OF - - cont-const*])

Least upper bounds preserve continuity

**lemma** *cont2cont-lub* [*simp*]:  
**assumes** *chain*:  $\bigwedge x. chain (\lambda i. F i x)$   
**and** *cont*:  $\bigwedge i. cont (\lambda x. F i x)$   
**shows** *cont* ( $\lambda x. \bigsqcup i. F i x$ )  
**apply** (*rule contI2*)  
**apply** (*simp add: monofunI cont2monofunE* [*OF cont*] *lub-mono chain*)  
**apply** (*simp add: cont2contlubE* [*OF cont*])  
**apply** (*simp add: diag-lub ch2ch-cont* [*OF cont*] *chain*)  
**done**

if-then-else is continuous

**lemma** *cont-if* [*simp*, *cont2cont*]: *cont*  $f \Rightarrow cont g \Rightarrow cont (\lambda x. if b then f x else g x)$   
**by** (*induct b*) *simp-all*

### 3.5 Finite chains and flat pcpo’s

Monotone functions map finite chains to finite chains.

```
lemma monofun-finch2finch: monofun f  $\Rightarrow$  finite-chain Y  $\Rightarrow$  finite-chain ( $\lambda n. f (Y n)$ )
  by (force simp add: finite-chain-def ch2ch-monofun max-in-chain-def)
```

The same holds for continuous functions.

```
lemma cont-finch2finch: cont f  $\Rightarrow$  finite-chain Y  $\Rightarrow$  finite-chain ( $\lambda n. f (Y n)$ )
  by (rule cont2mono [THEN monofun-finch2finch])
```

All monotone functions with chain-finite domain are continuous.

```
lemma chfindom-monofun2cont: monofun f  $\Rightarrow$  cont f
  for f :: 'a::chfin  $\Rightarrow$  'b::cpo
  apply (erule contI2)
  apply (frule chfin2finch)
  apply (clar simp simp add: finite-chain-def)
  apply (subgoal-tac max-in-chain i ( $\lambda i. f (Y i)$ ))
  apply (simp add: maxinch-is-thelub ch2ch-monofun)
  apply (force simp add: max-in-chain-def)
  done
```

All strict functions with flat domain are continuous.

```
lemma flatdom-strict2mono: f  $\perp = \perp \Rightarrow$  monofun f
  for f :: 'a::flat  $\Rightarrow$  'b::pcpo
  apply (rule monofunI)
  apply (drule ax-flat)
  apply auto
  done

lemma flatdom-strict2cont: f  $\perp = \perp \Rightarrow$  cont f
  for f :: 'a::flat  $\Rightarrow$  'b::pcpo
  by (rule flatdom-strict2mono [THEN chfindom-monofun2cont])
```

All functions with discrete domain are continuous.

```
lemma cont-discrete-cpo [simp, cont2cont]: cont f
  for f :: 'a::discrete-cpo  $\Rightarrow$  'b::cpo
  apply (rule contI)
  apply (drule discrete-chain-const, clarify)
  apply simp
  done

end
```

## 4 Admissibility and compactness

**theory** Adm

```
imports Cont
begin
```

```
default-sort cpo
```

#### 4.1 Definitions

```
definition adm :: ('a::cpo ⇒ bool) ⇒ bool
  where adm P ↔ ( ∀ Y. chain Y → ( ∀ i. P (Y i)) → P ( ⋄ i. Y i))
```

```
lemma admI: ( ∀ Y. [chain Y; ∀ i. P (Y i)] ⇒ P ( ⋄ i. Y i)) ⇒ adm P
  unfolding adm-def by fast
```

```
lemma admD: adm P ⇒ chain Y ⇒ ( ∀ i. P (Y i)) ⇒ P ( ⋄ i. Y i)
  unfolding adm-def by fast
```

```
lemma admD2: adm ( λx. ¬ P x) ⇒ chain Y ⇒ P ( ⋄ i. Y i) ⇒ ∃ i. P (Y i)
  unfolding adm-def by fast
```

```
lemma triv-admI: ∀ x. P x ⇒ adm P
  by (rule admI) (erule spec)
```

#### 4.2 Admissibility on chain-finite types

For chain-finite (easy) types every formula is admissible.

```
lemma adm-chfin [simp]: adm P
  for P :: 'a::chfin ⇒ bool
  by (rule admI, frule chfin, auto simp add: maxinch-is-thelub)
```

#### 4.3 Admissibility of special formulae and propagation

```
lemma adm-const [simp]: adm ( λx. t)
  by (rule admI, simp)
```

```
lemma adm-conj [simp]: adm ( λx. P x) ⇒ adm ( λx. Q x) ⇒ adm ( λx. P x ∧
Q x)
  by (fast intro: admI elim: admD)
```

```
lemma adm-all [simp]: ( ∀ y. adm ( λx. P x y)) ⇒ adm ( λx. ∀ y. P x y)
  by (fast intro: admI elim: admD)
```

```
lemma adm-ball [simp]: ( ∀ y. y ∈ A ⇒ adm ( λx. P x y)) ⇒ adm ( λx. ∀ y ∈ A.
P x y)
  by (fast intro: admI elim: admD)
```

Admissibility for disjunction is hard to prove. It requires 2 lemmas.

```
lemma adm-disj-lemma1:
  assumes adm: adm P
  assumes chain: chain Y
```

```

assumes P:  $\forall i. \exists j \geq i. P (Y j)$ 
shows P ( $\bigsqcup i. Y i$ )
proof -
  define f where f i = (LEAST j.  $i \leq j \wedge P (Y j)$ ) for i
  have chain': chain ( $\lambda i. Y (f i)$ )
    unfolding f-def
    apply (rule chainI)
    apply (rule chain-mono [OF chain])
    apply (rule Least-le)
    apply (rule LeastI2-ex)
    apply (simp-all add: P)
    done
  have f1:  $\bigwedge i. i \leq f i$  and f2:  $\bigwedge i. P (Y (f i))$ 
  using LeastI-ex [OF P [rule-format]] by (simp-all add: f-def)
  have lub-eq: ( $\bigsqcup i. Y i$ ) = ( $\bigsqcup i. Y (f i)$ )
    apply (rule below-antisym)
    apply (rule lub-mono [OF chain chain'])
    apply (rule chain-mono [OF chain f1])
    apply (rule lub-range-mono [OF - chain chain'])
    apply clarsimp
    done
  show P ( $\bigsqcup i. Y i$ )
    unfolding lub-eq using adm chain' f2 by (rule admD)
qed

lemma adm-disj-lemma2:  $\forall n::nat. P n \vee Q n \implies (\forall i. \exists j \geq i. P j) \vee (\forall i. \exists j \geq i. Q j)$ 
  apply (erule contrapos-pp)
  apply (clarsimp, rename-tac a b)
  apply (rule-tac x=max a b in exI)
  apply simp
  done

lemma adm-disj [simp]: adm ( $\lambda x. P x$ )  $\implies$  adm ( $\lambda x. Q x$ )  $\implies$  adm ( $\lambda x. P x \vee Q x$ )
  apply (rule admI)
  apply (erule adm-disj-lemma2 [THEN disjE])
  apply (erule (2) adm-disj-lemma1 [THEN disjI1])
  apply (erule (2) adm-disj-lemma1 [THEN disjI2])
  done

lemma adm-imp [simp]: adm ( $\lambda x. \neg P x$ )  $\implies$  adm ( $\lambda x. Q x$ )  $\implies$  adm ( $\lambda x. P x \rightarrow Q x$ )
  by (subst imp-conv-disj) (rule adm-disj)

lemma adm-iff [simp]: adm ( $\lambda x. P x \rightarrow Q x$ )  $\implies$  adm ( $\lambda x. Q x \rightarrow P x$ )  $\implies$ 
  adm ( $\lambda x. P x \leftrightarrow Q x$ )
  by (subst iff-conv-conj-imp) (rule adm-conj)

```

admissibility and continuity

**lemma** *adm-below* [simp]:  $\text{cont}(\lambda x. u x) \implies \text{cont}(\lambda x. v x) \implies \text{adm}(\lambda x. u x \sqsubseteq v x)$   
**by** (simp add: adm-def cont2contlubE lub-mono ch2ch-cont)

**lemma** *adm-eq* [simp]:  $\text{cont}(\lambda x. u x) \implies \text{cont}(\lambda x. v x) \implies \text{adm}(\lambda x. u x = v x)$   
**by** (simp add: po-eq-conv)

**lemma** *adm-subst*:  $\text{cont}(\lambda x. t x) \implies \text{adm}(P) \implies \text{adm}(\lambda x. P(t x))$   
**by** (simp add: adm-def cont2contlubE ch2ch-cont)

**lemma** *adm-not-below* [simp]:  $\text{cont}(\lambda x. t x) \implies \text{adm}(\lambda x. t x \not\sqsubseteq u)$   
**by** (rule admI) (simp add: cont2contlubE ch2ch-cont lub-below-iff)

#### 4.4 Compactness

**definition** *compact* ::  $'a::cpo \Rightarrow \text{bool}$   
**where**  $\text{compact } k = \text{adm}(\lambda x. k \not\sqsubseteq x)$

**lemma** *compactI*:  $\text{adm}(\lambda x. k \not\sqsubseteq x) \implies \text{compact } k$   
**unfolding** compact-def .

**lemma** *compactD*:  $\text{compact } k \implies \text{adm}(\lambda x. k \not\sqsubseteq x)$   
**unfolding** compact-def .

**lemma** *compactI2*:  $(\bigwedge_x Y. [\![\text{chain } Y; x \sqsubseteq (\bigsqcup i. Y i)]\!] \implies \exists i. x \sqsubseteq Y i) \implies \text{compact } k$   
**x unfolding** compact-def adm-def **by** fast

**lemma** *compactD2*:  $\text{compact } x \implies \text{chain } Y \implies x \sqsubseteq (\bigsqcup i. Y i) \implies \exists i. x \sqsubseteq Y i$   
**unfolding** compact-def adm-def **by** fast

**lemma** *compact-below-lub-iff*:  $\text{compact } x \implies \text{chain } Y \implies x \sqsubseteq (\bigsqcup i. Y i) \longleftrightarrow (\exists i. x \sqsubseteq Y i)$   
**by** (fast intro: compactD2 elim: below-lub)

**lemma** *compact-chfin* [simp]:  $\text{compact } x$   
**for**  $x :: 'a::chfin$   
**by** (rule compactI [OF adm-chfin])

**lemma** *compact-imp-max-in-chain*:  $\text{chain } Y \implies \text{compact}(\bigsqcup i. Y i) \implies \exists i. \text{max-in-chain}_i Y$   
**apply** (drule (1) compactD2, simp)  
**apply** (erule exE, rule-tac  $x=i$  in exI)  
**apply** (rule max-in-chainI)  
**apply** (rule below-antisym)  
**apply** (erule (1) chain-mono)  
**apply** (erule (1) below-trans [OF is-ub-the lub])  
**done**

```

lemma adm-compact-not-below [simp]:
  compact k  $\implies$  cont ( $\lambda x. t x$ )  $\implies$  adm ( $\lambda x. k \not\sqsubseteq t x$ )
  unfolding compact-def by (rule adm-subst)

lemma adm-neq-compact [simp]: compact k  $\implies$  cont ( $\lambda x. t x$ )  $\implies$  adm ( $\lambda x. t x \neq k$ )
  by (simp add: po-eq-conv)

lemma adm-compact-neq [simp]: compact k  $\implies$  cont ( $\lambda x. t x$ )  $\implies$  adm ( $\lambda x. k \neq t x$ )
  by (simp add: po-eq-conv)

lemma compact-bottom [simp, intro]: compact  $\perp$ 
  by (rule compactI) simp

Any upward-closed predicate is admissible.

lemma adm-upward:
  assumes P:  $\bigwedge x y. [P x; x \sqsubseteq y] \implies P y$ 
  shows adm P
  by (rule admI, drule spec, erule P, erule is-ub-thelub)

lemmas adm-lemmas =
  adm-const adm-conj adm-all adm-ball adm-disj adm-imp adm-iff
  adm-below adm-eq adm-not-below
  adm-compact-not-below adm-compact-neq adm-neq-compact

end

```

## 5 Subtypes of pcpos

```

theory Cpodef
  imports Adm
  keywords pcpodef cpodef :: thy-goal-defn
begin

```

### 5.1 Proving a subtype is a partial order

A subtype of a partial order is itself a partial order, if the ordering is defined in the standard way.

```
setup <Sign.add-const-constraint (const-name <Porder.below>, NONE)>
```

```

theorem typedef-po:
  fixes Abs :: 'a::po  $\Rightarrow$  'b::type
  assumes type: type-definition Rep Abs A
  and below: ( $\sqsubseteq$ )  $\equiv$   $\lambda x y. \text{Rep } x \sqsubseteq \text{Rep } y$ 
  shows OFCLASS('b, po-class)
  apply (intro-classes, unfold below)
  apply (rule below-refl)

```

```

apply (erule (1) below-trans)
apply (rule type-definition.Rep-inject [OF type, THEN iffD1])
apply (erule (1) below-antisym)
done

setup ‹Sign.add-const-constraint (const-name ‹Porder.below›, SOME typ ‹'a::below
⇒ 'a::below ⇒ bool›)›

```

## 5.2 Proving a subtype is finite

```

lemma typedef-finite-UNIV:
  fixes Abs :: 'a::type ⇒ 'b::type
  assumes type: type-definition Rep Abs A
  shows finite A ⟹ finite (UNIV :: 'b set)
proof –
  assume finite A
  then have finite (Abs ` A)
    by (rule finite-imageI)
  then show finite (UNIV :: 'b set)
    by (simp only: type-definition.Abs-image [OF type])
qed

```

## 5.3 Proving a subtype is chain-finite

```

lemma ch2ch-Rep:
  assumes below: (⊑) ≡ λx y. Rep x ⊑ Rep y
  shows chain S ⟹ chain (λi. Rep (S i))
  unfolding chain-def below .

```

```

theorem typedef-chfin:
  fixes Abs :: 'a::chfin ⇒ 'b::po
  assumes type: type-definition Rep Abs A
  and below: (⊑) ≡ λx y. Rep x ⊑ Rep y
  shows OFCLASS('b, chfin-class)
apply intro-classes
apply (drule ch2ch-Rep [OF below])
apply (drule chfin)
apply (unfold max-in-chain-def)
apply (simp add: type-definition.Rep-inject [OF type])
done

```

## 5.4 Proving a subtype is complete

A subtype of a cpo is itself a cpo if the ordering is defined in the standard way, and the defining subset is closed with respect to limits of chains. A set is closed if and only if membership in the set is an admissible predicate.

```

lemma typedef-is-lubI:
  assumes below: (⊑) ≡ λx y. Rep x ⊑ Rep y
  shows range (λi. Rep (S i)) <<| Rep x ⟹ range S <<| x

```

```

by (simp add: is-lub-def is-ub-def below)

lemma Abs-inverse-lub-Rep:
fixes Abs :: 'a::cpo ⇒ 'b::po
assumes type: type-definition Rep Abs A
and below: ( $\sqsubseteq$ ) ≡  $\lambda x y. \text{Rep } x \sqsubseteq \text{Rep } y$ 
and adm: adm ( $\lambda x. x \in A$ )
shows chain S ⇒ Rep (Abs ( $\bigsqcup i. \text{Rep} (S i)$ )) = ( $\bigsqcup i. \text{Rep} (S i)$ )
apply (rule type-definition.Abs-inverse [OF type])
apply (erule admD [OF adm ch2ch-Rep [OF below]])
apply (rule type-definition.Rep [OF type])
done

theorem typedef-is-lub:
fixes Abs :: 'a::cpo ⇒ 'b::po
assumes type: type-definition Rep Abs A
and below: ( $\sqsubseteq$ ) ≡  $\lambda x y. \text{Rep } x \sqsubseteq \text{Rep } y$ 
and adm: adm ( $\lambda x. x \in A$ )
assumes S: chain S
shows range S <<| Abs ( $\bigsqcup i. \text{Rep} (S i)$ )
proof –
from S have chain ( $\lambda i. \text{Rep} (S i)$ )
by (rule ch2ch-Rep [OF below])
then have range ( $\lambda i. \text{Rep} (S i)$ ) <<| ( $\bigsqcup i. \text{Rep} (S i)$ )
by (rule cpo-lubI)
then have range ( $\lambda i. \text{Rep} (S i)$ ) <<| Rep (Abs ( $\bigsqcup i. \text{Rep} (S i)$ ))
by (simp only: Abs-inverse-lub-Rep [OF type below adm S])
then show range S <<| Abs ( $\bigsqcup i. \text{Rep} (S i)$ )
by (rule typedef-is-lubI [OF below])
qed

lemmas typedef-lub = typedef-is-lub [THEN lub-eqI]

theorem typedef-cpo:
fixes Abs :: 'a::cpo ⇒ 'b::po
assumes type: type-definition Rep Abs A
and below: ( $\sqsubseteq$ ) ≡  $\lambda x y. \text{Rep } x \sqsubseteq \text{Rep } y$ 
and adm: adm ( $\lambda x. x \in A$ )
shows OFCLASS('b, cpo-class)
proof
fix S :: nat ⇒ 'b
assume chain S
then have range S <<| Abs ( $\bigsqcup i. \text{Rep} (S i)$ )
by (rule typedef-is-lub [OF type below adm])
then show  $\exists x. \text{range } S <<| x ..$ 
qed

```

### 5.4.1 Continuity of *Rep* and *Abs*

For any sub-cpo, the *Rep* function is continuous.

```
theorem typedef-cont-Rep:
  fixes Abs :: 'a::cpo  $\Rightarrow$  'b::cpo
  assumes type: type-definition Rep Abs A
  and below: ( $\sqsubseteq$ )  $\equiv \lambda x y. \text{Rep } x \sqsubseteq \text{Rep } y$ 
  and adm: adm ( $\lambda x. x \in A$ )
  shows cont ( $\lambda x. f x$ )  $\implies$  cont ( $\lambda x. \text{Rep } (f x)$ )
  apply (erule cont-apply [OF - - cont-const])
  apply (rule contI)
  apply (simp only: typedef-lub [OF type below adm])
  apply (simp only: Abs-inverse-lub-Rep [OF type below adm])
  apply (rule cpo-lubI)
  apply (erule ch2ch-Rep [OF below])
  done
```

For a sub-cpo, we can make the *Abs* function continuous only if we restrict its domain to the defining subset by composing it with another continuous function.

```
theorem typedef-cont-Abs:
  fixes Abs :: 'a::cpo  $\Rightarrow$  'b::cpo
  fixes f :: 'c::cpo  $\Rightarrow$  'a::cpo
  assumes type: type-definition Rep Abs A
  and below: ( $\sqsubseteq$ )  $\equiv \lambda x y. \text{Rep } x \sqsubseteq \text{Rep } y$ 
  and adm: adm ( $\lambda x. x \in A$ )
  and f-in-A:  $\bigwedge x. f x \in A$ 
  shows cont f  $\implies$  cont ( $\lambda x. \text{Abs } (f x)$ )
  unfolding cont-def is-lub-def is-ub-def ball-simps below
  by (simp add: type-definition.Abs-inverse [OF type f-in-A])
```

## 5.5 Proving subtype elements are compact

```
theorem typedef-compact:
  fixes Abs :: 'a::cpo  $\Rightarrow$  'b::cpo
  assumes type: type-definition Rep Abs A
  and below: ( $\sqsubseteq$ )  $\equiv \lambda x y. \text{Rep } x \sqsubseteq \text{Rep } y$ 
  and adm: adm ( $\lambda x. x \in A$ )
  shows compact ( $\text{Rep } k$ )  $\implies$  compact k
  proof (unfold compact-def)
    have cont-Rep: cont Rep
      by (rule typedef-cont-Rep [OF type below adm cont-id])
    assume adm ( $\lambda x. \text{Rep } k \not\sqsubseteq x$ )
    with cont-Rep have adm ( $\lambda x. \text{Rep } k \not\sqsubseteq \text{Rep } x$ ) by (rule adm-subst)
    then show adm ( $\lambda x. k \not\sqsubseteq x$ ) by (unfold below)
  qed
```

## 5.6 Proving a subtype is pointed

A subtype of a cpo has a least element if and only if the defining subset has a least element.

```
theorem typedef-pcpo-generic:
  fixes Abs :: 'a::cpo  $\Rightarrow$  'b::cpo
  assumes type: type-definition Rep Abs A
    and below: ( $\sqsubseteq$ )  $\equiv \lambda x y. \text{Rep } x \sqsubseteq \text{Rep } y$ 
    and z-in-A:  $z \in A$ 
    and z-least:  $\bigwedge x. x \in A \implies z \sqsubseteq x$ 
  shows OFCLASS('b, pcpo-class)
  apply (intro-classes)
  apply (rule-tac x=Abs z in exI, rule allI)
  apply (unfold below)
  apply (subst type-definition.Abs-inverse [OF type z-in-A])
  apply (rule z-least [OF type-definition.Rep [OF type]])
  done
```

As a special case, a subtype of a pcpo has a least element if the defining subset contains  $\perp$ .

```
theorem typedef-pcpo:
  fixes Abs :: 'a::pcpo  $\Rightarrow$  'b::cpo
  assumes type: type-definition Rep Abs A
    and below: ( $\sqsubseteq$ )  $\equiv \lambda x y. \text{Rep } x \sqsubseteq \text{Rep } y$ 
    and bottom-in-A:  $\perp \in A$ 
  shows OFCLASS('b, pcpo-class)
  by (rule typedef-pcpo-generic [OF type below bottom-in-A], rule minimal)
```

### 5.6.1 Strictness of *Rep* and *Abs*

For a sub-pcpo where  $\perp$  is a member of the defining subset, *Rep* and *Abs* are both strict.

```
theorem typedef-Abs-strict:
  assumes type: type-definition Rep Abs A
    and below: ( $\sqsubseteq$ )  $\equiv \lambda x y. \text{Rep } x \sqsubseteq \text{Rep } y$ 
    and bottom-in-A:  $\perp \in A$ 
  shows Abs  $\perp = \perp$ 
  apply (rule bottomI, unfold below)
  apply (simp add: type-definition.Abs-inverse [OF type bottom-in-A])
  done
```

```
theorem typedef-Rep-strict:
  assumes type: type-definition Rep Abs A
    and below: ( $\sqsubseteq$ )  $\equiv \lambda x y. \text{Rep } x \sqsubseteq \text{Rep } y$ 
    and bottom-in-A:  $\perp \in A$ 
  shows Rep  $\perp = \perp$ 
  apply (rule typedef-Abs-strict [OF type below bottom-in-A, THEN subst])
  apply (rule type-definition.Abs-inverse [OF type bottom-in-A])
```

**done**

```
theorem typedef-Abs-bottom-iff:
  assumes type: type-definition Rep Abs A
  and below: ( $\sqsubseteq$ )  $\equiv \lambda x y. \text{Rep } x \sqsubseteq \text{Rep } y$ 
  and bottom-in-A:  $\perp \in A$ 
  shows  $x \in A \implies (\text{Abs } x = \perp) = (x = \perp)$ 
  apply (rule typedef-Abs-strict [OF type below bottom-in-A, THEN subst])
  apply (simp add: type-definition.Abs-inject [OF type] bottom-in-A)
  done
```

```
theorem typedef-Rep-bottom-iff:
  assumes type: type-definition Rep Abs A
  and below: ( $\sqsubseteq$ )  $\equiv \lambda x y. \text{Rep } x \sqsubseteq \text{Rep } y$ 
  and bottom-in-A:  $\perp \in A$ 
  shows ( $\text{Rep } x = \perp) = (x = \perp)$ 
  apply (rule typedef-Rep-strict [OF type below bottom-in-A, THEN subst])
  apply (simp add: type-definition.Rep-inject [OF type])
  done
```

## 5.7 Proving a subtype is flat

```
theorem typedef-flat:
  fixes Abs :: 'a::flat  $\Rightarrow$  'b::pcpo
  assumes type: type-definition Rep Abs A
  and below: ( $\sqsubseteq$ )  $\equiv \lambda x y. \text{Rep } x \sqsubseteq \text{Rep } y$ 
  and bottom-in-A:  $\perp \in A$ 
  shows OFCLASS('b, flat-class)
  apply (intro-classes)
  apply (unfold below)
  apply (simp add: type-definition.Rep-inject [OF type, symmetric])
  apply (simp add: typedef-Rep-strict [OF type below bottom-in-A])
  apply (simp add: ax-flat)
  done
```

## 5.8 HOLCF type definition package

ML-file `Tools/cpodef.ML`

**end**

## 6 Class instances for the full function space

```
theory Fun-Cpo
  imports Adm
begin
```

## 6.1 Full function space is a partial order

```

instantiation fun :: (type, below) below
begin

definition below-fun-def: ( $\sqsubseteq$ )  $\equiv (\lambda f g. \forall x. f x \sqsubseteq g x)$ 

instance ..
end

instance fun :: (type, po) po
proof
fix f :: 'a  $\Rightarrow$  'b
show f  $\sqsubseteq$  f
by (simp add: below-fun-def)
next
fix f g :: 'a  $\Rightarrow$  'b
assume f  $\sqsubseteq$  g and g  $\sqsubseteq$  f then show f = g
by (simp add: below-fun-def fun-eq-iff below-antisym)
next
fix f g h :: 'a  $\Rightarrow$  'b
assume f  $\sqsubseteq$  g and g  $\sqsubseteq$  h then show f  $\sqsubseteq$  h
unfolding below-fun-def by (fast elim: below-trans)
qed

lemma fun-below-iff: f  $\sqsubseteq$  g  $\longleftrightarrow (\forall x. f x \sqsubseteq g x)$ 
by (simp add: below-fun-def)

lemma fun-belowI: ( $\bigwedge x. f x \sqsubseteq g x$ )  $\Longrightarrow f \sqsubseteq g$ 
by (simp add: below-fun-def)

lemma fun-belowD: f  $\sqsubseteq$  g  $\Longrightarrow f x \sqsubseteq g x$ 
by (simp add: below-fun-def)

```

## 6.2 Full function space is chain complete

Properties of chains of functions.

```

lemma fun-chain-iff: chain S  $\longleftrightarrow (\forall x. \text{chain } (\lambda i. S i x))$ 
by (auto simp: chain-def fun-below-iff)

lemma ch2ch-fun: chain S  $\Longrightarrow \text{chain } (\lambda i. S i x)$ 
by (simp add: chain-def below-fun-def)

lemma ch2ch-lambda: ( $\bigwedge x. \text{chain } (\lambda i. S i x)$ )  $\Longrightarrow \text{chain } S$ 
by (simp add: chain-def below-fun-def)

```

Type ' $a \Rightarrow b$ ' is chain complete

```

lemma is-lub-lambda: ( $\bigwedge x. \text{range } (\lambda i. Y i x) <<| f x$ )  $\Longrightarrow \text{range } Y <<| f$ 
by (simp add: is-lub-def is-ub-def below-fun-def)

```

```

lemma is-lub-fun: chain S ==> range S <<| (λx. ⋃ i. S i x)
  for S :: nat ⇒ 'a::type ⇒ 'b::cpo
  apply (rule is-lub-lambda)
  apply (rule cpo-lubI)
  apply (erule ch2ch-fun)
  done

lemma lub-fun: chain S ==> (⋃ i. S i) = (λx. ⋃ i. S i x)
  for S :: nat ⇒ 'a::type ⇒ 'b::cpo
  by (rule is-lub-fun [THEN lub-eqI])

instance fun :: (type, cpo) cpo
  by intro-classes (rule exI, erule is-lub-fun)

instance fun :: (type, discrete-cpo) discrete-cpo
proof
  fix f g :: 'a ⇒ 'b
  show f ⊑ g ⇔ f = g
    by (simp add: fun-below-iff fun-eq-iff)
qed

```

### 6.3 Full function space is pointed

```

lemma minimal-fun: (λx. ⊥) ⊑ f
  by (simp add: below-fun-def)

instance fun :: (type, pcpo) pcpo
  by standard (fast intro: minimal-fun)

lemma inst-fun-pcpo: ⊥ = (λx. ⊥)
  by (rule minimal-fun [THEN bottomI, symmetric])

lemma app-strict [simp]: ⊥ x = ⊥
  by (simp add: inst-fun-pcpo)

lemma lambda-strict: (λx. ⊥) = ⊥
  by (rule bottomI, rule minimal-fun)

```

### 6.4 Propagation of monotonicity and continuity

The lub of a chain of monotone functions is monotone.

```

lemma adm-monofun: adm monofun
  by (rule admI) (simp add: lub-fun fun-chain-iff monofun-def lub-mono)

```

The lub of a chain of continuous functions is continuous.

```

lemma adm-cont: adm cont
  by (rule admI) (simp add: lub-fun fun-chain-iff)

```

Function application preserves monotonicity and continuity.

```
lemma mono2mono-fun: monofun f  $\implies$  monofun ( $\lambda x. f x y$ )
```

```
  by (simp add: monofun-def fun-below-iff)
```

```
lemma cont2cont-fun: cont f  $\implies$  cont ( $\lambda x. f x y$ )
```

```
  apply (rule contI2)
```

```
  apply (erule cont2mono [THEN mono2mono-fun])
```

```
  apply (simp add: cont2contlubE lub-fun ch2ch-cont)
```

```
  done
```

```
lemma cont-fun: cont ( $\lambda f. f x$ )
```

```
  using cont-id by (rule cont2cont-fun)
```

Lambda abstraction preserves monotonicity and continuity. (Note  $(\lambda x. \lambda y. f x y) = f$ .)

```
lemma mono2mono-lambda: ( $\bigwedge y. \text{monofun } (\lambda x. f x y)$ )  $\implies$  monofun f
```

```
  by (simp add: monofun-def fun-below-iff)
```

```
lemma cont2cont-lambda [simp]:
```

```
  assumes f:  $\bigwedge y. \text{cont } (\lambda x. f x y)$ 
```

```
  shows cont f
```

```
  by (rule contI, rule is-lub-lambda, rule conte [OF f])
```

What D.A.Schmidt calls continuity of abstraction; never used here

```
lemma contlub-lambda: ( $\bigwedge x. \text{chain } (\lambda i. S i x)$ )  $\implies$  ( $\lambda x. \bigsqcup i. S i x$ ) = ( $\bigsqcup i. (\lambda x. S i x)$ )
```

```
  for S :: nat  $\Rightarrow$  'a::type  $\Rightarrow$  'b::cpo
```

```
  by (simp add: lub-fun ch2ch-lambda)
```

```
end
```

## 7 The cpo of cartesian products

```
theory Product-Cpo
```

```
  imports Adm
```

```
begin
```

```
default-sort cpo
```

### 7.1 Unit type is a pcpo

```
instantiation unit :: discrete-cpo
```

```
begin
```

```
definition below-unit-def [simp]:  $x \sqsubseteq (y::\text{unit}) \longleftrightarrow \text{True}$ 
```

```
instance
```

```
  by standard simp
```

```

end

instance unit :: pcpo
  by standard simp

```

## 7.2 Product type is a partial order

```

instantiation prod :: (below, below) below
begin

definition below-prod-def: ( $\sqsubseteq$ )  $\equiv \lambda p1\ p2. (\text{fst } p1 \sqsubseteq \text{fst } p2 \wedge \text{snd } p1 \sqsubseteq \text{snd } p2)$ 

instance ..

end

instance prod :: (po, po) po
proof
  fix x :: 'a × 'b
  show x  $\sqsubseteq$  x
    by (simp add: below-prod-def)
next
  fix x y :: 'a × 'b
  assume x  $\sqsubseteq$  y y  $\sqsubseteq$  x
  then show x = y
    unfolding below-prod-def prod-eq-iff
    by (fast intro: below-antisym)
next
  fix x y z :: 'a × 'b
  assume x  $\sqsubseteq$  y y  $\sqsubseteq$  z
  then show x  $\sqsubseteq$  z
    unfolding below-prod-def
    by (fast intro: below-trans)
qed

```

## 7.3 Monotonicity of *Pair*, *fst*, *snd*

```

lemma prod-belowI: fst p  $\sqsubseteq$  fst q  $\implies$  snd p  $\sqsubseteq$  snd q  $\implies$  p  $\sqsubseteq$  q
  by (simp add: below-prod-def)

```

```

lemma Pair-below-iff [simp]: (a, b)  $\sqsubseteq$  (c, d)  $\longleftrightarrow$  a  $\sqsubseteq$  c  $\wedge$  b  $\sqsubseteq$  d
  by (simp add: below-prod-def)

```

Pair (–,–) is monotone in both arguments

```

lemma monofun-pair1: monofun ( $\lambda x. (x, y)$ )
  by (simp add: monofun-def)

```

```

lemma monofun-pair2: monofun ( $\lambda y. (x, y)$ )

```

```

by (simp add: monofun-def)
lemma monofun-pair:  $x_1 \sqsubseteq x_2 \implies y_1 \sqsubseteq y_2 \implies (x_1, y_1) \sqsubseteq (x_2, y_2)$ 
by simp

lemma ch2ch-Pair [simp]: chain X  $\implies$  chain Y  $\implies$  chain ( $\lambda i. (X i, Y i)$ )
by (rule chainI, simp add: chainE)

fst and snd are monotone

lemma fst-monofun:  $x \sqsubseteq y \implies \text{fst } x \sqsubseteq \text{fst } y$ 
by (simp add: below-prod-def)

lemma snd-monofun:  $x \sqsubseteq y \implies \text{snd } x \sqsubseteq \text{snd } y$ 
by (simp add: below-prod-def)

lemma monofun-fst: monofun fst
by (simp add: monofun-def below-prod-def)

lemma monofun-snd: monofun snd
by (simp add: monofun-def below-prod-def)

lemmas ch2ch-fst [simp] = ch2ch-monofun [OF monofun-fst]
lemmas ch2ch-snd [simp] = ch2ch-monofun [OF monofun-snd]

lemma prod-chain-cases:
assumes chain: chain Y
obtains A B
where chain A and chain B and  $Y = (\lambda i. (A i, B i))$ 
proof
from chain show chain ( $\lambda i. \text{fst } (Y i)$ )
by (rule ch2ch-fst)
from chain show chain ( $\lambda i. \text{snd } (Y i)$ )
by (rule ch2ch-snd)
show  $Y = (\lambda i. (\text{fst } (Y i), \text{snd } (Y i)))$ 
by simp
qed

```

## 7.4 Product type is a cpo

```

lemma is-lub-Pair:  $\text{range } A <<| x \implies \text{range } B <<| y \implies \text{range } (\lambda i. (A i, B i))$ 
 $<<| (x, y)$ 
by (simp add: is-lub-def is-ub-def below-prod-def)

lemma lub-Pair: chain A  $\implies$  chain B  $\implies$   $(\bigsqcup i. (A i, B i)) = (\bigsqcup i. A i, \bigsqcup i. B i)$ 
for  $A :: \text{nat} \Rightarrow 'a::\text{cpo}$  and  $B :: \text{nat} \Rightarrow 'b::\text{cpo}$ 
by (fast intro: lub-eqI is-lub-Pair elim: thelubE)

lemma is-lub-prod:

```

```

fixes S :: nat  $\Rightarrow$  ('a::cpo  $\times$  'b::cpo)
assumes chain S
shows range S  $<<|$  ( $\bigsqcup i. \text{fst} (S i)$ ,  $\bigsqcup i. \text{snd} (S i)$ )
using assms by (auto elim: prod-chain-cases simp: is-lub-Pair cpo-lubI)

lemma lub-prod: chain S  $\implies$  ( $\bigsqcup i. S i$ ) = ( $\bigsqcup i. \text{fst} (S i)$ ,  $\bigsqcup i. \text{snd} (S i)$ )
  for S :: nat  $\Rightarrow$  'a::cpo  $\times$  'b::cpo
  by (rule is-lub-prod [THEN lub-eqI])

instance prod :: (cpo, cpo) cpo
proof
  fix S :: nat  $\Rightarrow$  ('a  $\times$  'b)
  assume chain S
  then have range S  $<<|$  ( $\bigsqcup i. \text{fst} (S i)$ ,  $\bigsqcup i. \text{snd} (S i)$ )
    by (rule is-lub-prod)
  then show  $\exists x. \text{range } S <<| x ..$ 
qed

instance prod :: (discrete-cpo, discrete-cpo) discrete-cpo
proof
  fix x y :: 'a  $\times$  'b
  show x  $\sqsubseteq$  y  $\longleftrightarrow$  x = y
    by (simp add: below-prod-def prod-eq-iff)
qed

```

## 7.5 Product type is pointed

```

lemma minimal-prod: ( $\perp$ ,  $\perp$ )  $\sqsubseteq$  p
  by (simp add: below-prod-def)

instance prod :: (pcpo, pcpo) pcpo
  by intro-classes (fast intro: minimal-prod)

lemma inst-prod-pcpo:  $\perp = (\perp, \perp)$ 
  by (rule minimal-prod [THEN bottomI, symmetric])

lemma Pair-bottom-iff [simp]: (x, y) =  $\perp \longleftrightarrow x = \perp \wedge y = \perp$ 
  by (simp add: inst-prod-pcpo)

lemma fst-strict [simp]: fst  $\perp = \perp$ 
  unfolding inst-prod-pcpo by (rule fst-conv)

lemma snd-strict [simp]: snd  $\perp = \perp$ 
  unfolding inst-prod-pcpo by (rule snd-conv)

lemma Pair-strict [simp]: ( $\perp$ ,  $\perp$ ) =  $\perp$ 
  by simp

lemma split-strict [simp]: case-prod f  $\perp = f \perp \perp$ 

```

by (simp add: split-def)

## 7.6 Continuity of *Pair*, *fst*, *snd*

```

lemma cont-pair1: cont ( $\lambda x. (x, y)$ )
  apply (rule contI)
  apply (rule is-lub-Pair)
  apply (erule cpo-lubI)
  apply (rule is-lub-const)
  done

lemma cont-pair2: cont ( $\lambda y. (x, y)$ )
  apply (rule contI)
  apply (rule is-lub-Pair)
  apply (rule is-lub-const)
  apply (erule cpo-lubI)
  done

lemma cont-fst: cont fst
  apply (rule contI)
  apply (simp add: lub-prod)
  apply (erule cpo-lubI [OF ch2ch-fst])
  done

lemma cont-snd: cont snd
  apply (rule contI)
  apply (simp add: lub-prod)
  apply (erule cpo-lubI [OF ch2ch-snd])
  done

lemma cont2cont-Pair [simp, cont2cont]:
  assumes f: cont ( $\lambda x. f x$ )
  assumes g: cont ( $\lambda x. g x$ )
  shows cont ( $\lambda x. (f x, g x)$ )
  apply (rule cont-apply [OF f cont-pair1])
  apply (rule cont-apply [OF g cont-pair2])
  apply (rule cont-const)
  done

lemmas cont2cont-fst [simp, cont2cont] = cont-compose [OF cont-fst]

lemmas cont2cont-snd [simp, cont2cont] = cont-compose [OF cont-snd]

lemma cont2cont-case-prod:
  assumes f1:  $\bigwedge a b. \text{cont} (\lambda x. f x a b)$ 
  assumes f2:  $\bigwedge x b. \text{cont} (\lambda a. f x a b)$ 
  assumes f3:  $\bigwedge x a. \text{cont} (\lambda b. f x a b)$ 
  assumes g: cont ( $\lambda x. g x$ )
  shows cont ( $\lambda x. \text{case } g x \text{ of } (a, b) \Rightarrow f x a b$ )

```

```

unfolding split-def
apply (rule cont-apply [OF g])
apply (rule cont-apply [OF cont-fst f2])
apply (rule cont-apply [OF cont-snd f3])
apply (rule cont-const)
apply (rule f1)
done

lemma prod-contI:
assumes f1:  $\bigwedge y. \text{cont}(\lambda x. f(x, y))$ 
assumes f2:  $\bigwedge x. \text{cont}(\lambda y. f(x, y))$ 
shows cont f
proof –
  have cont ( $\lambda(x, y). f(x, y)$ )
    by (intro cont2cont-case-prod f1 f2 cont2cont)
  then show cont f
    by (simp only: case-prod-eta)
qed

lemma prod-cont-iff: cont f  $\longleftrightarrow$  ( $\forall y. \text{cont}(\lambda x. f(x, y))$ )  $\wedge$  ( $\forall x. \text{cont}(\lambda y. f(x, y))$ )
apply safe
apply (erule cont-compose [OF - cont-pair1])
apply (erule cont-compose [OF - cont-pair2])
apply (simp only: prod-contI)
done

lemma cont2cont-case-prod' [simp, cont2cont]:
assumes f: cont ( $\lambda p. f(\text{fst } p)(\text{fst } (\text{snd } p))(\text{snd } (\text{snd } p))$ )
assumes g: cont ( $\lambda x. g x$ )
shows cont ( $\lambda x. \text{case-prod}(f x)(g x)$ )
using assms by (simp add: cont2cont-case-prod prod-cont-iff)

```

The simple version (due to Joachim Breitner) is needed if either element type of the pair is not a cpo.

```

lemma cont2cont-split-simple [simp, cont2cont]:
assumes  $\bigwedge a b. \text{cont}(\lambda x. f x a b)$ 
shows cont ( $\lambda x. \text{case } p \text{ of } (a, b) \Rightarrow f x a b$ )
using assms by (cases p) auto

```

Admissibility of predicates on product types.

```

lemma adm-case-prod [simp]:
assumes adm ( $\lambda x. P x (\text{fst } (f x)) (\text{snd } (f x))$ )
shows adm ( $\lambda x. \text{case } f x \text{ of } (a, b) \Rightarrow P x a b$ )
unfolding case-prod-beta using assms .

```

## 7.7 Compactness and chain-finiteness

```

lemma fst-below-iff: fst x  $\sqsubseteq$  y  $\longleftrightarrow$  x  $\sqsubseteq$  (y, snd x)

```

```

for  $x :: 'a \times 'b$ 
by (simp add: below-prod-def)

lemma snd-below-iff:  $\text{snd } x \sqsubseteq y \longleftrightarrow x \sqsubseteq (\text{fst } x, y)$ 
for  $x :: 'a \times 'b$ 
by (simp add: below-prod-def)

lemma compact-fst:  $\text{compact } x \implies \text{compact } (\text{fst } x)$ 
by (rule compactI) (simp add: fst-below-iff)

lemma compact-snd:  $\text{compact } x \implies \text{compact } (\text{snd } x)$ 
by (rule compactI) (simp add: snd-below-iff)

lemma compact-Pair:  $\text{compact } x \implies \text{compact } y \implies \text{compact } (x, y)$ 
by (rule compactI) (simp add: below-prod-def)

lemma compact-Pair-iff [simp]:  $\text{compact } (x, y) \longleftrightarrow \text{compact } x \wedge \text{compact } y$ 
apply (safe intro!: compact-Pair)
apply (drule compact-fst, simp)
apply (drule compact-snd, simp)
done

instance prod :: (chfin, chfin) chfin
apply intro-classes
apply (erule compact-imp-max-in-chain)
apply (case-tac  $\bigsqcup i. Y_i$ , simp)
done

end

```

## 8 The type of continuous functions

```

theory Cfun
imports Cpodef Fun-Cpo Product-Cpo
begin

default-sort cpo

8.1 Definition of continuous function type

definition cfun = { $f :: 'a \Rightarrow 'b. \text{cont } f$ }

codef ('a, 'b) cfun (( $\_\rightarrow / \_\_$ ) [1, 0] 0) = cfun :: ('a  $\Rightarrow$  'b) set
by (auto simp: cfun-def intro: cont-const adm-cont)

type-notation (ASCII)
  cfun (infixr  $\rightarrow$  0)

notation (ASCII)

```

*Rep-cfun ((-\$/-) [999,1000] 999)*

**notation**

*Rep-cfun ((-/ -) [999,1000] 999)*

**8.2 Syntax for continuous lambda abstraction**

**syntax** *-cabs :: [logic, logic] ⇒ logic*

**parse-translation** ↣

(\* rewrite (-cabs x t) => (Abs-cfun (%x. t)) \*)  
 [Syntax-Trans.mk-binder-tr (syntax-const ⟨-cabs⟩, const-syntax ⟨Abs-cfun⟩)]  
 ↢

**print-translation** ↣

[(const-syntax ⟨Abs-cfun⟩, fn - => fn [Abs abs] =>  
 let val (x, t) = Syntax-Trans.atomic-abs-tr' abs  
 in Syntax.const syntax-const ⟨-cabs⟩ \$ x \$ t end)]  
 ↢ — To avoid eta-contraction of body

Syntax for nested abstractions

**syntax** (*ASCII*)

*-Lambda :: [cargs, logic] ⇒ logic ((3LAM -. / -) [1000, 10] 10)*

**syntax**

*-Lambda :: [cargs, logic] ⇒ logic ((3Λ -. / -) [1000, 10] 10)*

**parse-ast-translation** ↣

(\* rewrite (LAM x y z. t) => (-cabs x (-cabs y (-cabs z t))) \*)  
 (\* cf. Syntax.lambda-ast-tr from src/Pure/Syntax/syn-trans.ML \*)  
 let  
 fun Lambda-ast-tr [pats, body] =  
 Ast.fold-ast-p syntax-const ⟨-cabs⟩  
 (Ast.unfold-ast syntax-const ⟨-cargs⟩ (Ast.strip-positions pats), body)  
 | Lambda-ast-tr asts = raise Ast.AST (Lambda-ast-tr, asts);  
 in [(syntax-const ⟨-Lambda⟩, K Lambda-ast-tr)] end  
 ↢

**print-ast-translation** ↣

(\* rewrite (-cabs x (-cabs y (-cabs z t))) => (LAM x y z. t) \*)  
 (\* cf. Syntax.abs-ast-tr' from src/Pure/Syntax/syn-trans.ML \*)  
 let  
 fun cabs-ast-tr' asts =  
 (case Ast.unfold-ast-p syntax-const ⟨-cabs⟩  
 (Ast.Appl (Ast.Constant syntax-const ⟨-cabs⟩ :: asts)) of  
 ([] , -) => raise Ast.AST (cabs-ast-tr', asts)  
 | (xs, body) => Ast.Appl  
 [Ast.Constant syntax-const ⟨-Lambda⟩,  
 Ast.fold-ast syntax-const ⟨-cargs⟩ xs, body]);

```
in [(syntax-const <-cabs>, K cabs-ast-tr')] end
›
```

Dummy patterns for continuous abstraction

#### **translations**

```
 $\Lambda \_. t \rightarrow CONST\ Abs\text{-}cfun\ (\lambda\_. t)$ 
```

### 8.3 Continuous function space is pointed

```
lemma bottom-cfun:  $\perp \in cfun$ 
  by (simp add: cfun-def inst-fun-pcpo)
```

```
instance cfun :: (cpo, discrete-cpo) discrete-cpo
  by intro-classes (simp add: below-cfun-def Rep-cfun-inject)
```

```
instance cfun :: (cpo, pcpo) pcpo
  by (rule typedef-pcpo [OF type-definition-cfun below-cfun-def bottom-cfun])
```

```
lemmas Rep-cfun-strict =
  typedef-Rep-strict [OF type-definition-cfun below-cfun-def bottom-cfun]
```

```
lemmas Abs-cfun-strict =
  typedef-Abs-strict [OF type-definition-cfun below-cfun-def bottom-cfun]
```

function application is strict in its first argument

```
lemma Rep-cfun-strict1 [simp]:  $\perp \cdot x = \perp$ 
  by (simp add: Rep-cfun-strict)
```

```
lemma LAM-strict [simp]:  $(\Lambda x. \perp) = \perp$ 
  by (simp add: inst-fun-pcpo [symmetric] Abs-cfun-strict)
```

for compatibility with old HOLCF-Version

```
lemma inst-cfun-pcpo:  $\perp = (\Lambda x. \perp)$ 
  by simp
```

### 8.4 Basic properties of continuous functions

Beta-equality for continuous functions

```
lemma Abs-cfun-inverse2: cont f  $\implies$  Rep-cfun (Abs-cfun f) = f
  by (simp add: Abs-cfun-inverse cfun-def)
```

```
lemma beta-cfun: cont f  $\implies$   $(\Lambda x. f x) \cdot u = f u$ 
  by (simp add: Abs-cfun-inverse2)
```

#### 8.4.1 Beta-reduction simproc

Given the term  $(\Lambda x. f x) \cdot y$ , the procedure tries to construct the theorem  $(\Lambda x. f x) \cdot y \equiv f y$ . If this theorem cannot be completely solved by the cont2cont rules, then the procedure returns the ordinary conditional *beta-cfun* rule.

The simproc does not solve any more goals that would be solved by using *beta-cfun* as a simp rule. The advantage of the simproc is that it can avoid deeply-nested calls to the simplifier that would otherwise be caused by large continuity side conditions.

Update: The simproc now uses rule *Abs-cfun-inverse2* instead of *beta-cfun*, to avoid problems with eta-contraction.

```
simproc-setup beta-cfun-proc (Rep-cfun (Abs-cfun f)) = ‹  

  K (fn ctxt => fn ct =>  

    let  

      val f = #2 (Thm.dest-comb (#2 (Thm.dest-comb ct)));  

      val [T, U] = Thm.dest-ctyp (Thm.ctyp-of-cterm f);  

      val tr = Thm.instantiate' [SOME T, SOME U] [SOME f] (mk-meta-eq @{thm  

        Abs-cfun-inverse2});  

      val rules = Named-Theorems.get ctxt named-theorems {cont2cont};  

      val tac = SOLVED' (REPEAT-ALL-NEW (match-tac ctxt (rev rules)));  

      in SOME (perhaps (SINGLE (tac 1)) tr) end)  

  ›
```

Eta-equality for continuous functions

```
lemma eta-cfun: ( $\Lambda x. f \cdot x$ ) =  $f$   

  by (rule Rep-cfun-inverse)
```

Extensionality for continuous functions

```
lemma cfun-eq-iff:  $f = g \longleftrightarrow (\forall x. f \cdot x = g \cdot x)$   

  by (simp add: Rep-cfun-inject [symmetric] fun-eq-iff)
```

```
lemma cfun-eqI:  $(\bigwedge x. f \cdot x = g \cdot x) \implies f = g$   

  by (simp add: cfun-eq-iff)
```

Extensionality wrt. ordering for continuous functions

```
lemma cfun-below-iff:  $f \sqsubseteq g \longleftrightarrow (\forall x. f \cdot x \sqsubseteq g \cdot x)$   

  by (simp add: below-cfun-def fun-below-iff)
```

```
lemma cfun-belowI:  $(\bigwedge x. f \cdot x \sqsubseteq g \cdot x) \implies f \sqsubseteq g$   

  by (simp add: cfun-below-iff)
```

Congruence for continuous function application

```
lemma cfun-cong:  $f = g \implies x = y \implies f \cdot x = g \cdot y$   

  by simp
```

```
lemma cfun-fun-cong:  $f = g \implies f \cdot x = g \cdot x$   

  by simp
```

```
lemma cfun-arg-cong:  $x = y \implies f \cdot x = f \cdot y$   

  by simp
```

## 8.5 Continuity of application

```

lemma cont-Rep-cfun1: cont ( $\lambda f. f \cdot x$ )
  by (rule cont-Rep-cfun [OF cont-id, THEN cont2cont-fun])

lemma cont-Rep-cfun2: cont ( $\lambda x. f \cdot x$ )
  using Rep-cfun [where  $x = f$ ] by (simp add: cfun-def)

lemmas monofun-Rep-cfun = cont-Rep-cfun [THEN cont2mono]

lemmas monofun-Rep-cfun1 = cont-Rep-cfun1 [THEN cont2mono]
lemmas monofun-Rep-cfun2 = cont-Rep-cfun2 [THEN cont2mono]

contlub, cont properties of Rep-cfun in each argument

lemma contlub-cfun-arg: chain  $Y \implies f \cdot (\bigsqcup i. Y i) = (\bigsqcup i. f \cdot (Y i))$ 
  by (rule cont-Rep-cfun2 [THEN cont2contlubE])

lemma contlub-cfun-fun: chain  $F \implies (\bigsqcup i. F i) \cdot x = (\bigsqcup i. F i \cdot x)$ 
  by (rule cont-Rep-cfun1 [THEN cont2contlubE])

monotonicity of application

lemma monofun-cfun-fun:  $f \sqsubseteq g \implies f \cdot x \sqsubseteq g \cdot x$ 
  by (simp add: cfun-below-iff)

lemma monofun-cfun-arg:  $x \sqsubseteq y \implies f \cdot x \sqsubseteq f \cdot y$ 
  by (rule monofun-Rep-cfun2 [THEN monofunE])

lemma monofun-cfun:  $f \sqsubseteq g \implies x \sqsubseteq y \implies f \cdot x \sqsubseteq g \cdot y$ 
  by (rule below-trans [OF monofun-cfun-fun monofun-cfun-arg])

ch2ch - rules for the type ' $a \rightarrow b$ 

lemma chain-monofun: chain  $Y \implies \text{chain } (\lambda i. f \cdot (Y i))$ 
  by (erule monofun-Rep-cfun2 [THEN ch2ch-monofun])

lemma ch2ch-Rep-cfunR: chain  $Y \implies \text{chain } (\lambda i. f \cdot (Y i))$ 
  by (rule monofun-Rep-cfun2 [THEN ch2ch-monofun])

lemma ch2ch-Rep-cfunL: chain  $F \implies \text{chain } (\lambda i. (F i) \cdot x)$ 
  by (rule monofun-Rep-cfun1 [THEN ch2ch-monofun])

lemma ch2ch-Rep-cfun [simp]: chain  $F \implies \text{chain } Y \implies \text{chain } (\lambda i. (F i) \cdot (Y i))$ 
  by (simp add: chain-def monofun-cfun)

lemma ch2ch-LAM [simp]:
   $(\bigwedge x. \text{chain } (\lambda i. S i x)) \implies (\bigwedge i. \text{cont } (\lambda x. S i x)) \implies \text{chain } (\lambda i. \Lambda x. S i x)$ 
  by (simp add: chain-def cfun-below-iff)

contlub, cont properties of Rep-cfun in both arguments

lemma lub-APP: chain  $F \implies \text{chain } Y \implies (\bigsqcup i. F i \cdot (Y i)) = (\bigsqcup i. F i) \cdot (\bigsqcup i. Y i)$ 

```

```

by (simp add: contlub-cfun-fun contlub-cfun-arg diag-lub)

lemma lub-LAM:
assumes ⋀x. chain (λi. F i x)
and ⋀i. cont (λx. F i x)
shows (⊔ i. Λ x. F i x) = (Λ x. ⊔ i. F i x)
using assms by (simp add: lub-cfun lub-fun ch2ch-lambda)

lemmas lub-distrib = lub-APP lub-LAM

strictness

lemma strictI: f·x = ⊥ ⇒ f·⊥ = ⊥
apply (rule bottomI)
apply (erule subst)
apply (rule minimal [THEN monofun-cfun-arg])
done

type 'a → 'b is chain complete

lemma lub-cfun: chain F ⇒ (⊔ i. F i) = (Λ x. ⊔ i. F i·x)
by (simp add: lub-cfun lub-fun ch2ch-lambda)

```

## 8.6 Continuity simplification procedure

cont2cont lemma for *Rep-cfun*

```

lemma cont2cont-APP [simp, cont2cont]:
assumes f: cont (λx. f x)
assumes t: cont (λx. t x)
shows cont (λx. (f x)·(t x))
proof -
from cont-Rep-cfun1 f have cont (λx. (f x)·y) for y
by (rule cont-compose)
with t cont-Rep-cfun2 show cont (λx. (f x)·(t x))
by (rule cont-apply)
qed

```

Two specific lemmas for the combination of LCF and HOL terms. These lemmas are needed in theories that use types like '*a* → '*b* ⇒ '*c*.

```

lemma cont-APP-app [simp]: cont f ⇒ cont g ⇒ cont (λx. ((f x)·(g x)) s)
by (rule cont2cont-APP [THEN cont2cont-fun])

```

```

lemma cont-APP-app-app [simp]: cont f ⇒ cont g ⇒ cont (λx. ((f x)·(g x)) s
t)
by (rule cont-APP-app [THEN cont2cont-fun])

```

cont2mono Lemma for  $\lambda x. \Lambda y. c1 x y$

```

lemma cont2mono-LAM:
[!(λx. cont (λy. f x y); λy. monofun (λx. f x y))]
⇒ monofun (λx. Λ y. f x y)

```

**by** (*simp add: monofun-def cfun-below-iff*)

cont2cont Lemma for  $\lambda x. \Lambda y. f x y$

Not suitable as a cont2cont rule, because on nested lambdas it causes exponential blow-up in the number of subgoals.

```
lemma cont2cont-LAM:
  assumes f1:  $\bigwedge x. \text{cont}(\lambda y. f x y)$ 
  assumes f2:  $\bigwedge y. \text{cont}(\lambda x. f x y)$ 
  shows  $\text{cont}(\lambda x. \Lambda y. f x y)$ 
proof (rule cont-Abs-cfun)
  from f1 show  $f x \in \text{cfun}$  for  $x$ 
    by (simp add: cfun-def)
  from f2 show  $\text{cont} f$ 
    by (rule cont2cont-lambda)
qed
```

This version does work as a cont2cont rule, since it has only a single subgoal.

```
lemma cont2cont-LAM' [simp, cont2cont]:
  fixes f :: 'a::cpo  $\Rightarrow$  'b::cpo  $\Rightarrow$  'c::cpo
  assumes f:  $\text{cont}(\lambda p. f (\text{fst } p) (\text{snd } p))$ 
  shows  $\text{cont}(\lambda x. \Lambda y. f x y)$ 
  using assms by (simp add: cont2cont-LAM prod-cont-iff)

lemma cont2cont-LAM-discrete [simp, cont2cont]:
  ( $\bigwedge y. \text{discrete-cpo}. \text{cont}(\lambda x. f x y) \Rightarrow \text{cont}(\lambda x. \Lambda y. f x y)$ )
  by (simp add: cont2cont-LAM)
```

## 8.7 Miscellaneous

Monotonicity of *Abs-cfun*

```
lemma monofun-LAM:  $\text{cont } f \Rightarrow \text{cont } g \Rightarrow (\bigwedge x. f x \sqsubseteq g x) \Rightarrow (\Lambda x. f x) \sqsubseteq (\Lambda x. g x)$ 
  by (simp add: cfun-below-iff)
```

some lemmata for functions with flat/chfin domain/range types

```
lemma chfin-Rep-cfunR:  $\text{chain } Y \Rightarrow \forall s. \exists n. (\text{LUB } i. Y i) \cdot s = Y n \cdot s$ 
  for Y :: nat  $\Rightarrow$  'a::cpo  $\rightarrow$  'b::chfin
  apply (rule allI)
  apply (subst contlub-cfun-fun)
  apply assumption
  apply (fast intro!: lub-eqI chfin lub-finch2 chfin2finch ch2ch-Rep-cfunL)
  done
```

```
lemma adm-chfindom:  $\text{adm}(\lambda(u:'a::cpo \mathbin{\rightarrow} 'b::chfin). P(u \cdot s))$ 
  by (rule adm-subst, simp, rule adm-chfin)
```

## 8.8 Continuous injection-retraction pairs

Continuous retractions are strict.

```

lemma retraction-strict:  $\forall x. f \cdot (g \cdot x) = x \implies f \cdot \perp = \perp$ 
  apply (rule bottomI)
  apply (drule-tac  $x=\perp$  in spec)
  apply (erule subst)
  apply (rule monofun-cfun-arg)
  apply (rule minimal)
  done

lemma injection-eq:  $\forall x. f \cdot (g \cdot x) = x \implies (g \cdot x = g \cdot y) = (x = y)$ 
  apply (rule iffI)
  apply (drule-tac  $f=f$  in cfun-arg-cong)
  apply simp
  apply simp
  done

lemma injection-below:  $\forall x. f \cdot (g \cdot x) = x \implies (g \cdot x \sqsubseteq g \cdot y) = (x \sqsubseteq y)$ 
  apply (rule iffI)
  apply (drule-tac  $f=f$  in monofun-cfun-arg)
  apply simp
  apply (erule monofun-cfun-arg)
  done

lemma injection-defined-rev:  $\forall x. f \cdot (g \cdot x) = x \implies g \cdot z = \perp \implies z = \perp$ 
  apply (drule-tac  $f=f$  in cfun-arg-cong)
  apply (simp add: retraction-strict)
  done

lemma injection-defined:  $\forall x. f \cdot (g \cdot x) = x \implies z \neq \perp \implies g \cdot z \neq \perp$ 
  by (erule contrapos-nn, rule injection-defined-rev)

a result about functions with flat codomain

lemma flat-eqI:  $x \sqsubseteq y \implies x \neq \perp \implies x = y$ 
  for  $x y :: 'a::flat$ 
  by (drule ax-flat) simp

lemma flat-codom:  $f \cdot x = c \implies f \cdot \perp = \perp \vee (\forall z. f \cdot z = c)$ 
  for  $c :: 'b::flat$ 
  apply (cases  $f \cdot x = \perp$ )
  apply (rule disjI1)
  apply (rule bottomI)
  apply (erule-tac  $t=\perp$  in subst)
  apply (rule minimal [THEN monofun-cfun-arg])
  apply clarify
  apply (rule-tac  $a = f \cdot \perp$  in refl [THEN box-equals])
  apply (erule minimal [THEN monofun-cfun-arg, THEN flat-eqI])
  apply (erule minimal [THEN monofun-cfun-arg, THEN flat-eqI])

```

**done**

## 8.9 Identity and composition

**definition**  $ID :: 'a \rightarrow 'a$   
**where**  $ID = (\Lambda x. x)$

**definition**  $cfcomp :: ('b \rightarrow 'c) \rightarrow ('a \rightarrow 'b) \rightarrow 'a \rightarrow 'c$   
**where**  $oo\text{-def: } cfcomp = (\Lambda f g x. f \cdot (g \cdot x))$

**abbreviation**  $cfcomp\text{-syn} :: ['b \rightarrow 'c, 'a \rightarrow 'b] \Rightarrow 'a \rightarrow 'c$  (**infixr**  $oo 100$ )  
**where**  $f oo g == cfcomp \cdot f \cdot g$

**lemma**  $ID1 [simp]: ID \cdot x = x$   
**by** (*simp add: ID-def*)

**lemma**  $cfcomp1: (f oo g) = (\Lambda x. f \cdot (g \cdot x))$   
**by** (*simp add: oo-def*)

**lemma**  $cfcomp2 [simp]: (f oo g) \cdot x = f \cdot (g \cdot x)$   
**by** (*simp add: cfcomp1*)

**lemma**  $cfcomp\text{-LAM}: cont g \implies f oo (\Lambda x. g x) = (\Lambda x. f \cdot (g x))$   
**by** (*simp add: cfcomp1*)

**lemma**  $cfcomp\text{-strict} [simp]: \perp oo f = \perp$   
**by** (*simp add: cfun-eq-iff*)

Show that interpretation of (pcpo,  $\rightarrow$ ) is a category.

- The class of objects is interpretation of syntactical class pcpo.
- The class of arrows between objects ' $a$ ' and ' $b$ ' is interpret. of ' $a \rightarrow b$ '.
- The identity arrow is interpretation of  $ID$ .
- The composition of  $f$  and  $g$  is interpretation of  $oo$ .

**lemma**  $ID2 [simp]: f oo ID = f$   
**by** (*rule cfun-eqI, simp*)

**lemma**  $ID3 [simp]: ID oo f = f$   
**by** (*rule cfun-eqI*) *simp*

**lemma**  $assoc\text{-oo}: f oo (g oo h) = (f oo g) oo h$   
**by** (*rule cfun-eqI*) *simp*

## 8.10 Strictified functions

**default-sort** pcpo

```

definition seq :: 'a → 'b → 'b
  where seq = ( $\Lambda$  x. if x =  $\perp$  then  $\perp$  else ID)

lemma cont2cont-if-bottom [cont2cont, simp]:
  assumes f: cont ( $\lambda$  x. f x)
    and g: cont ( $\lambda$  x. g x)
  shows cont ( $\lambda$  x. if f x =  $\perp$  then  $\perp$  else g x)
  proof (rule cont-apply [OF f])
    show cont ( $\lambda$  y. if y =  $\perp$  then  $\perp$  else g x) for x
      unfolding cont-def is-lub-def is-ub-def ball-simps
      by (simp add: lub-eq-bottom-iff)
    show cont ( $\lambda$  x. if y =  $\perp$  then  $\perp$  else g x) for y
      by (simp add: g)
  qed

lemma seq-conv-if: seq·x = (if x =  $\perp$  then  $\perp$  else ID)
  by (simp add: seq-def)

lemma seq-simps [simp]:
  seq· $\perp$  =  $\perp$ 
  seq·x· $\perp$  =  $\perp$ 
  x ≠  $\perp$   $\implies$  seq·x = ID
  by (simp-all add: seq-conv-if)

definition strictify :: ('a → 'b) → 'a → 'b
  where strictify = ( $\Lambda$  f x. seq·x·(f·x))

lemma strictify-conv-if: strictify·f·x = (if x =  $\perp$  then  $\perp$  else f·x)
  by (simp add: strictify-def)

lemma strictify1 [simp]: strictify·f· $\perp$  =  $\perp$ 
  by (simp add: strictify-conv-if)

lemma strictify2 [simp]: x ≠  $\perp$   $\implies$  strictify·f·x = f·x
  by (simp add: strictify-conv-if)

```

## 8.11 Continuity of let-bindings

```

lemma cont2cont-Let:
  assumes f: cont ( $\lambda$  x. f x)
  assumes g1:  $\bigwedge$  y. cont ( $\lambda$  x. g x y)
  assumes g2:  $\bigwedge$  x. cont ( $\lambda$  y. g x y)
  shows cont ( $\lambda$  x. let y = f x in g x y)
  unfolding Let-def using f g2 g1 by (rule cont-apply)

lemma cont2cont-Let' [simp, cont2cont]:
  assumes f: cont ( $\lambda$  x. f x)
  assumes g: cont ( $\lambda$  p. g (fst p) (snd p))

```

```

shows cont (λx. let y = f x in g x y)
using f
proof (rule cont2cont-Let)
from g show cont (λy. g x y) for x
  by (simp add: prod-cont-iff)
from g show cont (λx. g x y) for y
  by (simp add: prod-cont-iff)
qed

```

The simple version (suggested by Joachim Breitner) is needed if the type of the defined term is not a cpo.

```

lemma cont2cont-Let-simple [simp, cont2cont]:
assumes ∀y. cont (λx. g x y)
shows cont (λx. let y = t in g x y)
unfolding Let-def using assms .

```

end

## 9 Continuous deflations and ep-pairs

```

theory Deflation
imports Cfun
begin

```

default-sort cpo

### 9.1 Continuous deflations

```

locale deflation =
fixes d :: 'a → 'a
assumes idem: ∀x. d·(d·x) = d·x
assumes below: ∀x. d·x ⊑ x
begin

```

```

lemma below-ID: d ⊑ ID
  by (rule cfun-belowI) (simp add: below)

```

The set of fixed points is the same as the range.

```

lemma fixes-eq-range: {x. d·x = x} = range (λx. d·x)
  by (auto simp add: eq-sym-conv idem)

```

```

lemma range-eq-fixes: range (λx. d·x) = {x. d·x = x}
  by (auto simp add: eq-sym-conv idem)

```

The pointwise ordering on deflation functions coincides with the subset ordering of their sets of fixed-points.

```

lemma belowI:
assumes f: ∀x. d·x = x ==> f·x = x

```

```

shows  $d \sqsubseteq f$ 
proof (rule cfun-belowI)
  fix  $x$ 
  from below have  $f \cdot (d \cdot x) \sqsubseteq f \cdot x$ 
    by (rule monofun-cfun-arg)
  also from idem have  $f \cdot (d \cdot x) = d \cdot x$ 
    by (rule f)
  finally show  $d \cdot x \sqsubseteq f \cdot x$  .
qed

lemma belowD:  $\llbracket f \sqsubseteq d; f \cdot x = x \rrbracket \implies d \cdot x = x$ 
proof (rule below-antisym)
  from below show  $d \cdot x \sqsubseteq x$  .
  assume  $f \sqsubseteq d$ 
  then have  $f \cdot x \sqsubseteq d \cdot x$  by (rule monofun-cfun-fun)
  also assume  $f \cdot x = x$ 
  finally show  $x \sqsubseteq d \cdot x$  .
qed

end

lemma deflation-strict: deflation  $d \implies d \cdot \perp = \perp$ 
  by (rule deflation.below [THEN bottomI])

lemma adm-deflation: adm ( $\lambda d$ . deflation  $d$ )
  by (simp add: deflation-def)

lemma deflation-ID: deflation ID
  by (simp add: deflation.intro)

lemma deflation-bottom: deflation  $\perp$ 
  by (simp add: deflation.intro)

lemma deflation-below-iff: deflation  $p \implies$  deflation  $q \implies p \sqsubseteq q \longleftrightarrow (\forall x. p \cdot x = x \longrightarrow q \cdot x = x)$ 
  apply safe
  apply (simp add: deflation.belowD)
  apply (simp add: deflation.belowI)
  done

```

The composition of two deflations is equal to the lesser of the two (if they are comparable).

```

lemma deflation-below-comp1:
  assumes deflation  $f$ 
  assumes deflation  $g$ 
  shows  $f \sqsubseteq g \implies f \cdot (g \cdot x) = f \cdot x$ 
proof (rule below-antisym)
  interpret  $g$ : deflation  $g$  by fact
  from  $g$ .below show  $f \cdot (g \cdot x) \sqsubseteq f \cdot x$  by (rule monofun-cfun-arg)

```

```

next
  interpret f: deflation f by fact
  assume f ⊑ g
  then have f·x ⊑ g·x by (rule monofun-cfun-fun)
  then have f·(f·x) ⊑ f·(g·x) by (rule monofun-cfun-arg)
  also have f·(f·x) = f·x by (rule f.idem)
  finally show f·x ⊑ f·(g·x) .
qed

```

```

lemma deflation-below-comp2: deflation f  $\Rightarrow$  deflation g  $\Rightarrow$  f ⊑ g  $\Rightarrow$  g·(f·x) = f·x
by (simp only: deflation.belowD deflation.idem)

```

## 9.2 Deflations with finite range

```

lemma finite-range-imp-finite-fixes:
  assumes finite (range f)
  shows finite {x. f x = x}
proof -
  have {x. f x = x} ⊆ range f
  by (clarify, erule subst, rule rangeI)
  from this assms show finite {x. f x = x}
  by (rule finite-subset)
qed

locale finite-deflation = deflation +
  assumes finite-fixes: finite {x. d·x = x}
begin

lemma finite-range: finite (range ( $\lambda x. d\cdot x$ ))
  by (simp add: range-eq-fixes finite-fixes)

lemma finite-image: finite (( $\lambda x. d\cdot x$ ) ` A)
  by (rule finite-subset [OF image-mono [OF subset-UNIV] finite-range])

lemma compact: compact (d·x)
proof (rule compactI2)
  fix Y :: nat  $\Rightarrow$  'a
  assume Y: chain Y
  have finite-chain ( $\lambda i. d\cdot(Y i)$ )
  proof (rule finite-range-imp-fin)
    from Y show chain ( $\lambda i. d\cdot(Y i)$ ) by simp
    have range ( $\lambda i. d\cdot(Y i)$ ) ⊆ range ( $\lambda x. d\cdot x$ ) by auto
    then show finite (range ( $\lambda i. d\cdot(Y i)$ ))
    using finite-range by (rule finite-subset)
  qed
  then have  $\exists j. (\bigsqcup i. d\cdot(Y i)) = d\cdot(Y j)$ 
  by (simp add: finite-chain-def maxinch-is-thelub Y)
  then obtain j where j: ( $\bigsqcup i. d\cdot(Y i)$ ) = d·(Y j) ..

```

```

assume  $d \cdot x \sqsubseteq (\bigsqcup i. Y i)$ 
then have  $d \cdot (d \cdot x) \sqsubseteq d \cdot (\bigsqcup i. Y i)$ 
  by (rule monofun-cfun-arg)
then have  $d \cdot x \sqsubseteq (\bigsqcup i. d \cdot (Y i))$ 
  by (simp add: contlub-cfun-arg Y idem)
with  $j$  have  $d \cdot x \sqsubseteq d \cdot (Y j)$  by simp
then have  $d \cdot x \sqsubseteq Y j$ 
  using below by (rule below-trans)
then show  $\exists j. d \cdot x \sqsubseteq Y j ..$ 
qed

end

lemma finite-deflation-intro: deflation  $d \implies \text{finite } \{x. d \cdot x = x\} \implies \text{finite-deflation } d$ 
by (intro finite-deflation.intro finite-deflation-axioms.intro)

lemma finite-deflation-imp-deflation: finite-deflation  $d \implies \text{deflation } d$ 
by (simp add: finite-deflation-def)

lemma finite-deflation-bottom: finite-deflation  $\perp$ 
by standard simp-all

```

### 9.3 Continuous embedding-projection pairs

```

locale ep-pair =
  fixes  $e :: 'a \rightarrow 'b$  and  $p :: 'b \rightarrow 'a$ 
  assumes e-inverse [simp]:  $\bigwedge x. p \cdot (e \cdot x) = x$ 
  and e-p-below:  $\bigwedge y. e \cdot (p \cdot y) \sqsubseteq y$ 
begin

lemma e-below-iff [simp]:  $e \cdot x \sqsubseteq e \cdot y \longleftrightarrow x \sqsubseteq y$ 
proof
  assume  $e \cdot x \sqsubseteq e \cdot y$ 
  then have  $p \cdot (e \cdot x) \sqsubseteq p \cdot (e \cdot y)$  by (rule monofun-cfun-arg)
  then show  $x \sqsubseteq y$  by simp
next
  assume  $x \sqsubseteq y$ 
  then show  $e \cdot x \sqsubseteq e \cdot y$  by (rule monofun-cfun-arg)
qed

lemma e-eq-iff [simp]:  $e \cdot x = e \cdot y \longleftrightarrow x = y$ 
unfolding po-eq-conv e-below-iff ..

lemma p-eq-iff:  $e \cdot (p \cdot x) = x \implies e \cdot (p \cdot y) = y \implies p \cdot x = p \cdot y \longleftrightarrow x = y$ 
by (safe, erule subst, erule subst, simp)

lemma p-inverse:  $(\exists x. y = e \cdot x) \longleftrightarrow e \cdot (p \cdot y) = y$ 

```

```

by (auto, rule exI, erule sym)

lemma e-below-iff-below-p: e·x ⊑ y ↔ x ⊑ p·y
proof
  assume e·x ⊑ y
  then have p·(e·x) ⊑ p·y by (rule monofun-cfun-arg)
  then show x ⊑ p·y by simp
next
  assume x ⊑ p·y
  then have e·x ⊑ e·(p·y) by (rule monofun-cfun-arg)
  then show e·x ⊑ y using e-p-below by (rule below-trans)
qed

lemma compact-e-rev: compact (e·x) ==> compact x
proof -
  assume compact (e·x)
  then have adm (λy. e·x ⊑ y) by (rule compactD)
  then have adm (λy. e·x ⊑ e·y) by (rule adm-subst [OF cont-Rep-cfun2])
  then have adm (λy. x ⊑ y) by simp
  then show compact x by (rule compactI)
qed

lemma compact-e:
assumes compact x
shows compact (e·x)
proof -
  from assms have adm (λy. x ⊑ y) by (rule compactD)
  then have adm (λy. x ⊑ p·y) by (rule adm-subst [OF cont-Rep-cfun2])
  then have adm (λy. e·x ⊑ y) by (simp add: e-below-iff-below-p)
  then show compact (e·x) by (rule compactI)
qed

lemma compact-e-iff: compact (e·x) ↔ compact x
  by (rule iffI [OF compact-e-rev compact-e])

Deflations from ep-pairs

lemma deflation-e-p: deflation (e oo p)
  by (simp add: deflation.intro e-p-below)

lemma deflation-e-d-p:
assumes deflation d
shows deflation (e oo d oo p)
proof
  interpret deflation d by fact
  fix x :: 'b
  show (e oo d oo p) · ((e oo d oo p) · x) = (e oo d oo p) · x
    by (simp add: idem)
  show (e oo d oo p) · x ⊑ x
    by (simp add: e-below-iff-below-p below)

```

**qed**

```

lemma finite-deflation-e-d-p:
  assumes finite-deflation d
  shows finite-deflation (e oo d oo p)
proof
  interpret finite-deflation d by fact
  fix x :: 'b
  show (e oo d oo p) · ((e oo d oo p) · x) = (e oo d oo p) · x
    by (simp add: idem)
  show (e oo d oo p) · x ⊑ x
    by (simp add: e-below-iff-below-p below)
  have finite ((λx. e · x) ‘ (λx. d · x) ‘ range (λx. p · x))
    by (simp add: finite-image)
  then have finite (range (λx. (e oo d oo p) · x))
    by (simp add: image-image)
  then show finite {x. (e oo d oo p) · x = x}
    by (rule finite-range-imp-finite-fixes)
qed

```

```

lemma deflation-p-d-e:
  assumes deflation d
  assumes d: ⋀x. d · x ⊑ e · (p · x)
  shows deflation (p oo d oo e)
proof –
  interpret d: deflation d by fact
  have p-d-e-below: (p oo d oo e) · x ⊑ x for x
  proof –
    have d · (e · x) ⊑ e · x
      by (rule d.below)
    then have p · (d · (e · x)) ⊑ p · (e · x)
      by (rule monofun-cfun-arg)
    then show ?thesis by simp
  qed
  show ?thesis
proof
  show (p oo d oo e) · x ⊑ x for x
    by (rule p-d-e-below)
  show (p oo d oo e) · ((p oo d oo e) · x) = (p oo d oo e) · x for x
  proof (rule below-antisym)
    show (p oo d oo e) · ((p oo d oo e) · x) ⊑ (p oo d oo e) · x
      by (rule p-d-e-below)
    have p · (d · (d · (d · (e · x)))) ⊑ p · (d · (e · (p · (d · (e · x)))))  

      by (intro monofun-cfun-arg d)
    then have p · (d · (e · x)) ⊑ p · (d · (e · (p · (d · (e · x)))))  

      by (simp only: d.idem)
    then show (p oo d oo e) · x ⊑ (p oo d oo e) · ((p oo d oo e) · x)
      by simp
qed

```

```

qed
qed

lemma finite-deflation-p-d-e:
  assumes finite-deflation d
  assumes d:  $\bigwedge x. d \cdot x \sqsubseteq e \cdot (p \cdot x)$ 
  shows finite-deflation (p oo d oo e)
proof -
  interpret d: finite-deflation d by fact
  show ?thesis
  proof (rule finite-deflation-intro)
    have deflation d ..
    then show deflation (p oo d oo e)
    using d by (rule deflation-p-d-e)
  next
    have finite (( $\lambda x. d \cdot x$ ) ` range ( $\lambda x. e \cdot x$ ))
      by (rule d.finite-image)
    then have finite (( $\lambda x. p \cdot x$ ) ` ( $\lambda x. d \cdot x$ ) ` range ( $\lambda x. e \cdot x$ ))
      by (rule finite-imageI)
    then have finite (range ( $\lambda x. (p \text{ oo } d \text{ oo } e) \cdot x$ ))
      by (simp add: image-image)
    then show finite {x. (p oo d oo e) · x = x}
      by (rule finite-range-imp-finite-fixes)
  qed
qed
qed

end

```

#### 9.4 Uniqueness of ep-pairs

```

lemma ep-pair-unique-e-lemma:
  assumes 1: ep-pair e1 p
  and 2: ep-pair e2 p
  shows e1  $\sqsubseteq$  e2
proof (rule cfun-belowI)
  fix x
  have e1 · (p · (e2 · x))  $\sqsubseteq$  e2 · x
    by (rule ep-pair.e-p-below [OF 1])
  then show e1 · x  $\sqsubseteq$  e2 · x
    by (simp only: ep-pair.e-inverse [OF 2])
qed

lemma ep-pair-unique-e: ep-pair e1 p  $\implies$  ep-pair e2 p  $\implies$  e1 = e2
by (fast intro: below-antisym elim: ep-pair-unique-e-lemma)

lemma ep-pair-unique-p-lemma:
  assumes 1: ep-pair e p1
  and 2: ep-pair e p2
  shows p1  $\sqsubseteq$  p2

```

```

proof (rule cfun-belowI)
  fix x
  have e·(p1·x) ⊑ x
    by (rule ep-pair.e-p-below [OF 1])
  then have p2·(e·(p1·x)) ⊑ p2·x
    by (rule monofun-cfun-arg)
  then show p1·x ⊑ p2·x
    by (simp only: ep-pair.e-inverse [OF 2])
qed

lemma ep-pair-unique-p: ep-pair e p1  $\implies$  ep-pair e p2  $\implies$  p1 = p2
  by (fast intro: below-antisym elim: ep-pair-unique-p-lemma)

```

## 9.5 Composing ep-pairs

```

lemma ep-pair-ID-ID: ep-pair ID ID
  by standard simp-all

```

```

lemma ep-pair-comp:
  assumes ep-pair e1 p1 and ep-pair e2 p2
  shows ep-pair (e2 oo e1) (p1 oo p2)
proof
  interpret ep1: ep-pair e1 p1 by fact
  interpret ep2: ep-pair e2 p2 by fact
  fix x y
  show (p1 oo p2)·((e2 oo e1)·x) = x
    by simp
  have e1·(p1·(p2·y)) ⊑ p2·y
    by (rule ep1.e-p-below)
  then have e2·(e1·(p1·(p2·y))) ⊑ e2·(p2·y)
    by (rule monofun-cfun-arg)
  also have e2·(p2·y) ⊑ y
    by (rule ep2.e-p-below)
  finally show (e2 oo e1)·((p1 oo p2)·y) ⊑ y
    by simp
qed

```

```

locale pcpo-ep-pair = ep-pair e p
  for e :: 'a::pcpo  $\rightarrow$  'b::pcpo
  and p :: 'b::pcpo  $\rightarrow$  'a::pcpo
begin

lemma e-strict [simp]: e· $\perp$  =  $\perp$ 
proof –
  have  $\perp$  ⊑ p· $\perp$  by (rule minimal)
  then have e· $\perp$  ⊑ e·(p· $\perp$ ) by (rule monofun-cfun-arg)
  also have e·(p· $\perp$ ) ⊑  $\perp$  by (rule e-p-below)
  finally show e· $\perp$  =  $\perp$  by simp
qed

```

```

lemma e-bottom-iff [simp]:  $e \cdot x = \perp \longleftrightarrow x = \perp$ 
  by (rule e-eq-iff [where  $y = \perp$ , unfolded e-strict])

lemma e-defined:  $x \neq \perp \implies e \cdot x \neq \perp$ 
  by simp

lemma p-strict [simp]:  $p \cdot \perp = \perp$ 
  by (rule e-inverse [where  $x = \perp$ , unfolded e-strict])

lemmas stricts = e-strict p-strict

end

end

```

## 10 The type of strict products

```

theory Sprod
  imports Cfun
begin

default-sort pcpo

```

### 10.1 Definition of strict product type

```

definition sprod = { $p :: 'a \times 'b$ .  $p = \perp \vee (\text{fst } p \neq \perp \wedge \text{snd } p \neq \perp)$ }

pcpodef ('a, 'b) sprod ((-  $\otimes /$  -) [21,20] 20) = sprod :: ('a  $\times$  'b) set
  by (simp-all add: sprod-def)

instance sprod :: ({chfin,pcpo}, {chfin,pcpo}) chfin
  by (rule typedef-chfin [OF type-definition-sprod below-sprod-def])

type-notation (ASCII)
  sprod (infixr ** 20)

```

### 10.2 Definitions of constants

```

definition sfst :: ('a ** 'b)  $\rightarrow$  'a
  where sfst = ( $\Lambda$  p. fst (Rep-sprod p))

definition ssnd :: ('a ** 'b)  $\rightarrow$  'b
  where ssnd = ( $\Lambda$  p. snd (Rep-sprod p))

definition spair :: 'a  $\rightarrow$  'b  $\rightarrow$  ('a ** 'b)
  where spair = ( $\Lambda$  a b. Abs-sprod (seq·b·a, seq·a·b))

definition ssplit :: ('a  $\rightarrow$  'b  $\rightarrow$  'c)  $\rightarrow$  ('a ** 'b)  $\rightarrow$  'c

```

```

where ssplit = ( $\Lambda f p. \text{seq}\cdot p \cdot (f \cdot (\text{sfst}\cdot p) \cdot (\text{ssnd}\cdot p)))$ 

syntax -stuple :: [logic, args]  $\Rightarrow$  logic (( $1'(:-, / -:')$ ))

translations
  (:x, y, z:)  $\rightleftharpoons$  (:x, (y, z):)
  (:x, y:)  $\rightleftharpoons$  CONST spair $\cdot$ x $\cdot$ y

translations
  CONST spair $\cdot$ x $\cdot$ y. t  $\rightleftharpoons$  CONST ssplit $\cdot$ ( $\Lambda x y. t$ )

```

### 10.3 Case analysis

```

lemma spair-sprod: (seq $\cdot$ b $\cdot$ a, seq $\cdot$ a $\cdot$ b)  $\in$  sprod
  by (simp add: sprod-def seq-conv-if)

lemma Rep-sprod-spair: Rep-sprod (:a, b:) = (seq $\cdot$ b $\cdot$ a, seq $\cdot$ a $\cdot$ b)
  by (simp add: spair-def cont-Abs-sprod Abs-sprod-inverse spair-sprod)

lemmas Rep-sprod-simps =
  Rep-sprod-inject [symmetric] below-sprod-def
  prod-eq-iff below-prod-def
  Rep-sprod-strict Rep-sprod-spair

lemma sprodE [case-names bottom spair, cases type: sprod]:
  obtains p =  $\perp$  | x y where p = (:x, y:) and x  $\neq \perp$  and y  $\neq \perp$ 
  using Rep-sprod [of p] by (auto simp add: sprod-def Rep-sprod-simps)

lemma sprod-induct [case-names bottom spair, induct type: sprod]:
   $\llbracket P \perp; \bigwedge x y. \llbracket x \neq \perp; y \neq \perp \rrbracket \implies P (:x, y:) \rrbracket \implies P x$ 
  by (cases x) simp-all

```

### 10.4 Properties of *spair*

```

lemma spair-strict1 [simp]: (: $\perp$ , y:) =  $\perp$ 
  by (simp add: Rep-sprod-simps)

lemma spair-strict2 [simp]: (:x, y:) =  $\perp$ 
  by (simp add: Rep-sprod-simps)

lemma spair-bottom-iff [simp]: (:x, y:) =  $\perp \longleftrightarrow x = \perp \vee y = \perp$ 
  by (simp add: Rep-sprod-simps seq-conv-if)

lemma spair-below-iff: (:a, b:)  $\sqsubseteq$  (:c, d:)  $\longleftrightarrow a = \perp \vee b = \perp \vee (a \sqsubseteq c \wedge b \sqsubseteq d)$ 
  by (simp add: Rep-sprod-simps seq-conv-if)

lemma spair-eq-iff: (:a, b:) = (:c, d:)  $\longleftrightarrow a = c \wedge b = d \vee (a = \perp \vee b = \perp) \wedge (c = \perp \vee d = \perp)$ 
  by (simp add: Rep-sprod-simps seq-conv-if)

lemma spair-strict: x =  $\perp \vee y = \perp \implies (:x, y:) = \perp$ 

```

```

by simp

lemma spair-strict-rev: (:x, y:) ≠ ⊥  $\Rightarrow$  x ≠ ⊥  $\wedge$  y ≠ ⊥
by simp

lemma spair-defined: [x ≠ ⊥; y ≠ ⊥]  $\Rightarrow$  (:x, y:) ≠ ⊥
by simp

lemma spair-defined-rev: (:x, y:) = ⊥  $\Rightarrow$  x = ⊥  $\vee$  y = ⊥
by simp

lemma spair-below: x ≠ ⊥  $\Rightarrow$  y ≠ ⊥  $\Rightarrow$  (:x, y:) ⊑ (:a, b:)  $\longleftrightarrow$  x ⊑ a  $\wedge$  y ⊑ b
by (simp add: spair-below-iff)

lemma spair-eq: x ≠ ⊥  $\Rightarrow$  y ≠ ⊥  $\Rightarrow$  (:x, y:) = (:a, b:)  $\longleftrightarrow$  x = a  $\wedge$  y = b
by (simp add: spair-eq-iff)

lemma spair-inject: x ≠ ⊥  $\Rightarrow$  y ≠ ⊥  $\Rightarrow$  (:x, y:) = (:a, b:)  $\Rightarrow$  x = a  $\wedge$  y = b
by (rule spair-eq [THEN iffD1])

lemma inst-sprod-pcpo2: ⊥ = (:⊥, ⊥:)
by simp

lemma sprodE2: ( $\bigwedge$ x y. p = (:x, y:)  $\Rightarrow$  Q)  $\Rightarrow$  Q
by (cases p) (simp only: inst-sprod-pcpo2, simp)

```

## 10.5 Properties of *sfst* and *ssnd*

```

lemma sfst-strict [simp]: sfst·⊥ = ⊥
by (simp add: sfst-def cont-Rep-sprod Rep-sprod-strict)

lemma ssnd-strict [simp]: ssnd·⊥ = ⊥
by (simp add: ssnd-def cont-Rep-sprod Rep-sprod-strict)

lemma sfst-spair [simp]: y ≠ ⊥  $\Rightarrow$  sfst·(:x, y:) = x
by (simp add: sfst-def cont-Rep-sprod Rep-sprod-spair)

lemma ssnd-spair [simp]: x ≠ ⊥  $\Rightarrow$  ssnd·(:x, y:) = y
by (simp add: ssnd-def cont-Rep-sprod Rep-sprod-spair)

lemma sfst-bottom-iff [simp]: sfst·p = ⊥  $\longleftrightarrow$  p = ⊥
by (cases p) simp-all

lemma ssnd-bottom-iff [simp]: ssnd·p = ⊥  $\longleftrightarrow$  p = ⊥
by (cases p) simp-all

lemma sfst-defined: p ≠ ⊥  $\Rightarrow$  sfst·p ≠ ⊥
by simp

```

```

lemma ssnd-defined:  $p \neq \perp \implies \text{ssnd}\cdot p \neq \perp$ 
  by simp

lemma spair-sfst-ssnd:  $(\text{sfst}\cdot p, \text{ssnd}\cdot p) = p$ 
  by (cases p) simp-all

lemma below-sprod:  $x \sqsubseteq y \longleftrightarrow \text{sfst}\cdot x \sqsubseteq \text{sfst}\cdot y \wedge \text{ssnd}\cdot x \sqsubseteq \text{ssnd}\cdot y$ 
  by (simp add: Rep-sprod-simps sfst-def ssnd-def cont-Rep-sprod)

lemma eq-sprod:  $x = y \longleftrightarrow \text{sfst}\cdot x = \text{sfst}\cdot y \wedge \text{ssnd}\cdot x = \text{ssnd}\cdot y$ 
  by (auto simp add: po-eq-conv below-sprod)

lemma sfst-below-iff:  $\text{sfst}\cdot x \sqsubseteq y \longleftrightarrow x \sqsubseteq (\text{y}, \text{ssnd}\cdot x)$ 
  by (cases x = ⊥, simp, cases y = ⊥, simp, simp add: below-sprod)

lemma ssnd-below-iff:  $\text{ssnd}\cdot x \sqsubseteq y \longleftrightarrow x \sqsubseteq (\text{sfst}\cdot x, \text{y})$ 
  by (cases x = ⊥, simp, cases y = ⊥, simp, simp add: below-sprod)

```

## 10.6 Compactness

```

lemma compact-sfst: compact x  $\implies$  compact ( $\text{sfst}\cdot x$ )
  by (rule compactI) (simp add: sfst-below-iff)

lemma compact-ssnd: compact x  $\implies$  compact ( $\text{ssnd}\cdot x$ )
  by (rule compactI) (simp add: ssnd-below-iff)

lemma compact-spair: compact x  $\implies$  compact y  $\implies$  compact (:x, y:)
  by (rule compact-sprod) (simp add: Rep-sprod-spair seq-conv-if)

lemma compact-spair-iff: compact (:x, y:)  $\longleftrightarrow$   $x = \perp \vee y = \perp \vee (\text{compact } x \wedge \text{compact } y)$ 
  apply (safe elim!: compact-spair)
    apply (drule compact-sfst, simp)
    apply (drule compact-ssnd, simp)
    apply simp
    apply simp
  done

```

## 10.7 Properties of ssplit

```

lemma ssplit1 [simp]:  $\text{ssplit}\cdot f\cdot \perp = \perp$ 
  by (simp add: ssplit-def)

lemma ssplit2 [simp]:  $x \neq \perp \implies y \neq \perp \implies \text{ssplit}\cdot f\cdot (:x, y:) = f\cdot x\cdot y$ 
  by (simp add: ssplit-def)

lemma ssplit3 [simp]:  $\text{ssplit}\cdot \text{spair}\cdot z = z$ 
  by (cases z) simp-all

```

## 10.8 Strict product preserves flatness

```

instance sprod :: (flat, flat) flat
proof
  fix x y :: 'a ⊗ 'b
  assume x ⊑ y
  then show x = ⊥ ∨ x = y
    apply (induct x, simp)
    apply (induct y, simp)
    apply (simp add: spair-below-iff flat-below-iff)
    done
qed
end

```

## 11 Discrete cpo types

```

theory Discrete
  imports Cont
begin
datatype 'a discr = Discr 'a :: type

```

### 11.1 Discrete cpo class instance

```

instantiation discr :: (type) discrete-cpo
begin
definition ((⊑) :: 'a discr ⇒ 'a discr ⇒ bool) = (=)
instance
  by standard (simp add: below-discr-def)
end

```

### 11.2 undiscr

```

definition undiscr :: ('a::type)discr ⇒ 'a
  where undiscr x = (case x of Discr y ⇒ y)

lemma undiscr-Discr [simp]: undiscr (Discr x) = x
  by (simp add: undiscr-def)

lemma Discr-undiscr [simp]: Discr (undiscr y) = y
  by (induct y) simp
end

```

## 12 The type of lifted values

```
theory Up
  imports Cfun
begin

default-sort cpo

12.1 Definition of new type for lifting

datatype 'a u ((-⊥) [1000] 999) = Ibottom | Iup 'a

primrec Ifup :: ('a → 'b::pcpo) ⇒ 'a u ⇒ 'b
  where
    Ifup f Ibottom = ⊥
    | Ifup f (Iup x) = f·x
```

## 12.2 Ordering on lifted cpo

```
instantiation u :: (cpo) below
begin

definition below-up-def:
  (≤) ≡
  (λx y.
    (case x of
      Ibottom ⇒ True
      | Iup a ⇒ (case y of Ibottom ⇒ False | Iup b ⇒ a ≤ b)))
```

instance ..

```
end

lemma minimal-up [iff]: Ibottom ≤ z
  by (simp add: below-up-def)

lemma not-Iup-below [iff]: Iup x ⊏ Ibottom
  by (simp add: below-up-def)

lemma Iup-below [iff]: (Iup x ≤ Iup y) = (x ≤ y)
  by (simp add: below-up-def)
```

## 12.3 Lifted cpo is a partial order

```
instance u :: (cpo) po
proof
  fix x :: 'a u
  show x ≤ x
    by (simp add: below-up-def split: u.split)
next
```

```

fix x y :: 'a u
assume x ⊑ y y ⊑ x
then show x = y
  by (auto simp: below-up-def split: u.split-asm intro: below-antisym)
next
  fix x y z :: 'a u
  assume x ⊑ y y ⊑ z
  then show x ⊑ z
    by (auto simp: below-up-def split: u.split-asm intro: below-trans)
qed

```

## 12.4 Lifted cpo is a cpo

```

lemma is-lub-Iup: range S <<| x ==> range (λi. Iup (S i)) <<| Iup x
  by (auto simp: is-lub-def is-ub-def ball-simps below-up-def split: u.split)

```

```

lemma up-chain-lemma:
  assumes Y: chain Y
  obtains ∀i. Y i = Ibottom
    | A k where ∀i. Iup (A i) = Y (i + k) and chain A and range Y <<| Iup
      (⊔ i. A i)
  proof (cases ∃k. Y k ≠ Ibottom)
    case True
    then obtain k where k: Y k ≠ Ibottom ..
    define A where A i = (THE a. Iup a = Y (i + k)) for i
    have Iup-A: ∀i. Iup (A i) = Y (i + k)
    proof
      fix i :: nat
      from Y le-add2 have Y k ⊑ Y (i + k) by (rule chain-mono)
      with k have Y (i + k) ≠ Ibottom by (cases Y k) auto
      then show Iup (A i) = Y (i + k)
        by (cases Y (i + k), simp-all add: A-def)
    qed
    from Y have chain-A: chain A
      by (simp add: chain-def Iup-below [symmetric] Iup-A)
    then have range A <<| (⊔ i. A i)
      by (rule cpo-lubI)
    then have range (λi. Iup (A i)) <<| Iup (⊔ i. A i)
      by (rule is-lub-Iup)
    then have range (λi. Y (i + k)) <<| Iup (⊔ i. A i)
      by (simp only: Iup-A)
    then have range (λi. Y i) <<| Iup (⊔ i. A i)
      by (simp only: is-lub-range-shift [OF Y])
      with Iup-A chain-A show ?thesis ..
  next
    case False
    then have ∀i. Y i = Ibottom by simp
    then show ?thesis ..
qed

```

```

instance u :: (cpo) cpo
proof
  fix S :: nat  $\Rightarrow$  'a u
  assume S: chain S
  then show  $\exists x. \text{range}(\lambda i. S i) <<| x$ 
  proof (rule up-chain-lemma)
    assume  $\forall i. S i = I\text{bottom}$ 
    then have  $\text{range}(\lambda i. S i) <<| I\text{bottom}$ 
      by (simp add: is-lub-const)
    then show ?thesis ..
  next
    fix A :: nat  $\Rightarrow$  'a
    assume range S <<| Iup ( $\bigsqcup i. A i$ )
    then show ?thesis ..
  qed
qed

```

## 12.5 Lifted cpo is pointed

```

instance u :: (cpo) pcpo
  by intro-classes fast

```

for compatibility with old HOLCF-Version

```

lemma inst-up-pcpo:  $\perp = I\text{bottom}$ 
  by (rule minimal-up [THEN bottomI, symmetric])

```

## 12.6 Continuity of *Iup* and *Ifup*

continuity for *Iup*

```

lemma cont-Iup: cont Iup
  apply (rule contI)
  apply (rule is-lub-Iup)
  apply (erule cpo-lubI)
  done

```

continuity for *Ifup*

```

lemma cont-Ifup1: cont ( $\lambda f. \text{Ifup } f x$ )
  by (induct x) simp-all

```

```

lemma monofun-Ifup2: monofun ( $\lambda x. \text{Ifup } f x$ )
  apply (rule monofunI)
  apply (case-tac x, simp)
  apply (case-tac y, simp)
  apply (simp add: monofun-cfun-arg)
  done

```

```

lemma cont-Ifup2: cont ( $\lambda x. \text{Ifup } f x$ )

```

```

proof (rule contI2)
  fix Y
  assume Y: chain Y and Y': chain ( $\lambda i. Ifup f (Y i)$ )
  from Y show Ifup f ( $\bigsqcup i. Y i$ )  $\sqsubseteq$  ( $\bigsqcup i. Ifup f (Y i)$ )
  proof (rule up-chain-lemma)
    fix A and k
    assume A:  $\forall i. Iup (A i) = Y (i + k)$ 
    assume chain A and range Y  $<<| Iup (\bigsqcup i. A i)$ 
    then have Ifup f ( $\bigsqcup i. Y i$ ) = ( $\bigsqcup i. Ifup f (Iup (A i))$ )
      by (simp add: lub-eqI contlub-cfun-arg)
    also have ... = ( $\bigsqcup i. Ifup f (Y (i + k))$ )
      by (simp add: A)
    also have ... = ( $\bigsqcup i. Ifup f (Y i)$ )
      using Y' by (rule lub-range-shift)
    finally show ?thesis by simp
  qed simp
qed (rule monofun-Ifup2)

```

## 12.7 Continuous versions of constants

**definition** *up* :: '*a*  $\rightarrow$  '*a* *u*  
**where** *up* = ( $\Lambda x. Iup x$ )

**definition** *fup* :: ('*a*  $\rightarrow$  '*b*::pcpo)  $\rightarrow$  '*a* *u*  $\rightarrow$  '*b*  
**where** *fup* = ( $\Lambda f p. Ifup f p$ )

### translations

case *l* of *XCONST up* · *x*  $\Rightarrow$  *t*  $\doteq$  CONST *fup* · ( $\Lambda x. t$ ) · *l*  
 case *l* of (*XCONST up* :: '*a*) · *x*  $\Rightarrow$  *t*  $\rightarrow$  CONST *fup* · ( $\Lambda x. t$ ) · *l*  
 $\Lambda(XCONST up \cdot x). t \doteq CONST fup \cdot (\Lambda x. t)$

continuous versions of lemmas for '*a*<sub>⊥</sub>

**lemma** *Exh-Up*: *z* =  $\perp$   $\vee$  ( $\exists x. z = up \cdot x$ )  
**by** (*induct z*) (*simp add: inst-up-pcpo, simp add: up-def cont-Iup*)

**lemma** *up-eq* [*simp*]: (*up* · *x* = *up* · *y*) = (*x* = *y*)  
**by** (*simp add: up-def cont-Iup*)

**lemma** *up-inject*: *up* · *x* = *up* · *y*  $\Longrightarrow$  *x* = *y*  
**by** *simp*

**lemma** *up-defined* [*simp*]: *up* · *x*  $\neq \perp$   
**by** (*simp add: up-def cont-Iup inst-up-pcpo*)

**lemma** *not-up-less-UU*: *up* · *x*  $\not\sqsubseteq \perp$   
**by** *simp*

**lemma** *up-below* [*simp*]: *up* · *x*  $\sqsubseteq$  *up* · *y*  $\longleftrightarrow$  *x*  $\sqsubseteq$  *y*  
**by** (*simp add: up-def cont-Iup*)

```

lemma upE [case-names bottom up, cases type: u]:  $\llbracket p = \perp \implies Q; \bigwedge x. p = \text{up}\cdot x \implies Q \rrbracket \implies Q$ 
  by (cases p) (simp add: inst-up-pcpo, simp add: up-def cont-Iup)

lemma up-induct [case-names bottom up, induct type: u]:  $P \perp \implies (\bigwedge x. P (\text{up}\cdot x)) \implies P x$ 
  by (cases x) simp-all

lifting preserves chain-finiteness

lemma up-chain-cases:
  assumes Y: chain Y
  obtains  $\forall i. Y i = \perp \mid A k$  where  $\forall i. \text{up}\cdot(A i) = Y (i + k)$  and chain A and  $(\bigsqcup i. Y i) = \text{up}\cdot(\bigsqcup i. A i)$ 
  by (rule up-chain-lemma [OF Y]) (simp-all add: inst-up-pcpo up-def cont-Iup lub-eqI)

lemma compact-up: compact x  $\implies$  compact ( $\text{up}\cdot x$ )
  apply (rule compactI2)
  apply (erule up-chain-cases)
  apply simp
  apply (drule (1) compactD2, simp)
  apply (erule exE)
  apply (drule-tac f=up and x=x in monofun-cfun-arg)
  apply (simp, erule exI)
  done

lemma compact-upD: compact ( $\text{up}\cdot x$ )  $\implies$  compact x
  unfolding compact-def
  by (drule adm-subst [OF cont-Rep-cfun2 [where f=up]], simp)

lemma compact-up-iff [simp]: compact ( $\text{up}\cdot x$ )  $=$  compact x
  by (safe elim!: compact-up compact-upD)

instance u :: (chfin) chfin
  apply intro-classes
  apply (erule compact-imp-max-in-chain)
  apply (rule-tac p= $\bigsqcup i. Y i$  in upE, simp-all)
  done

properties of fup

lemma fup1 [simp]:  $\text{fup}\cdot f\cdot \perp = \perp$ 
  by (simp add: fup-def cont-Ifup1 cont-Ifup2 inst-up-pcpo cont2cont-LAM)

lemma fup2 [simp]:  $\text{fup}\cdot f\cdot(\text{up}\cdot x) = f\cdot x$ 
  by (simp add: up-def fup-def cont-Iup cont-Ifup1 cont-Ifup2 cont2cont-LAM)

lemma fup3 [simp]:  $\text{fup}\cdot \text{up}\cdot x = x$ 

```

```

by (cases x) simp-all
end

```

## 13 Lifting types of class type to flat pcpo's

```

theory Lift
imports Discrete Up
begin

default-sort type

pcpodef 'a lift = UNIV :: 'a discr u set
by simp-all

lemmas inst-lift-pcpo = Abs-lift-strict [symmetric]

definition
Def :: 'a ⇒ 'a lift where
Def x = Abs-lift (up·(Discr x))

```

### 13.1 Lift as a datatype

```

lemma lift-induct: [|P ⊥; ∀x. P (Def x)|] ⇒ P y
apply (induct y)
apply (rule-tac p=y in upE)
apply (simp add: Abs-lift-strict)
apply (case-tac x)
apply (simp add: Def-def)
done

old-rep-datatype ⊥::'a lift Def
by (erule lift-induct) (simp-all add: Def-def Abs-lift-inject inst-lift-pcpo)

```

$\perp$  and  $Def$

```

lemma not-UndeF-is-Def: (x ≠ ⊥) = (∃y. x = Def y)
by (cases x) simp-all

```

```

lemma lift-definedE: [|x ≠ ⊥; ∀a. x = Def a ⇒ R|] ⇒ R
by (cases x) simp-all

```

For  $x ≠ \perp$  in assumptions  $defined$  replaces  $x$  by  $Def a$  in conclusion.

```

method-setup defined = <
Scan.succeed (fn ctxt => SIMPLE-METHOD'
  (eresolve-tac ctxt @{thms lift-definedE} THEN' asm-simp-tac ctxt))
>

```

```

lemma DefE: Def x = ⊥ ⇒ R

```

by simp

**lemma** *DefE2*:  $\llbracket x = \text{Def } s; x = \perp \rrbracket \implies R$   
**by** simp

**lemma** *Def-below-Def*:  $\text{Def } x \sqsubseteq \text{Def } y \longleftrightarrow x = y$   
**by** (simp add: below-lift-def Def-def Abs-lift-inverse)

**lemma** *Def-below-iff* [simp]:  $\text{Def } x \sqsubseteq y \longleftrightarrow \text{Def } x = y$   
**by** (induct y, simp, simp add: Def-below-Def)

### 13.2 Lift is flat

**instance** lift :: (type) flat

**proof**

fix x y :: 'a lift  
**assume**  $x \sqsubseteq y$  **thus**  $x = \perp \vee x = y$   
**by** (induct x) auto

qed

### 13.3 Continuity of case-lift

**lemma** *case-lift-eq*:  $\text{case-lift } \perp f x = \text{fup}(\Lambda y. f(\text{undiscr } y)) \cdot (\text{Rep-lift } x)$   
**apply** (induct x, unfold lift.case)  
**apply** (simp add: Rep-lift-strict)  
**apply** (simp add: Def-def Abs-lift-inverse)  
**done**

**lemma** *cont2cont-case-lift* [simp]:  
 $\llbracket \Lambda y. \text{cont}(\lambda x. f x y); \text{cont } g \rrbracket \implies \text{cont}(\lambda x. \text{case-lift } \perp(f x)(g x))$   
**unfolding** case-lift-eq **by** (simp add: cont-Rep-lift)

### 13.4 Further operations

**definition**

*flift1* :: ('a  $\Rightarrow$  'b:pcpo)  $\Rightarrow$  ('a lift  $\rightarrow$  'b) (**binder** FLIFT 10) **where**  
*flift1* =  $(\lambda f. (\Lambda x. \text{case-lift } \perp(f x)))$

**translations**

$\Lambda(X\text{CONST } \text{Def } x). t \Rightarrow \text{CONST } \text{flift1 } (\lambda x. t)$   
 $\Lambda(\text{CONST } \text{Def } x). \text{FLIFT } y. t \leq \text{FLIFT } x y. t$   
 $\Lambda(\text{CONST } \text{Def } x). t \leq \text{FLIFT } x. t$

**definition**

*flift2* :: ('a  $\Rightarrow$  'b)  $\Rightarrow$  ('a lift  $\rightarrow$  'b lift) **where**  
*flift2* f =  $(\text{FLIFT } x. \text{Def } (f x))$

**lemma** *flift1-Def* [simp]:  $\text{flift1 } f \cdot (\text{Def } x) = (f x)$   
**by** (simp add: flift1-def)

```

lemma flift2-Def [simp]: flift2 f · (Def x) = Def (f x)
by (simp add: flift2-def)

lemma flift1-strict [simp]: flift1 f · ⊥ = ⊥
by (simp add: flift1-def)

lemma flift2-strict [simp]: flift2 f · ⊥ = ⊥
by (simp add: flift2-def)

lemma flift2-defined [simp]: x ≠ ⊥  $\implies$  (flift2 f) · x ≠ ⊥
by (erule lift-definedE, simp)

lemma flift2-bottom-iff [simp]: (flift2 f · x = ⊥) = (x = ⊥)
by (cases x, simp-all)

lemma FLIFT-mono:
  ( $\bigwedge x. f x \sqsubseteq g x$ )  $\implies$  (FLIFT x. f x)  $\sqsubseteq$  (FLIFT x. g x)
by (rule cfun-belowI, case-tac x, simp-all)

lemma cont2cont-flift1 [simp, cont2cont]:
  [ $\bigwedge y. cont (\lambda x. f x y)$ ]  $\implies$  cont ( $\lambda x. FLIFT y. f x y$ )
by (simp add: flift1-def cont2cont-LAM)

end

```

## 14 The type of lifted booleans

```

theory Tr
  imports Lift
begin

```

### 14.1 Type definition and constructors

```
type-synonym tr = bool lift
```

#### translations

```
(type) tr  $\leftarrow$  (type) bool lift
```

```
definition TT :: tr
  where TT = Def True
```

```
definition FF :: tr
  where FF = Def False
```

Exhaustion and Elimination for type tr

```
lemma Exh-tr: t = ⊥ ∨ t = TT ∨ t = FF
  by (induct t) (auto simp: FF-def TT-def)
```

```
lemma trE [case-names bottom TT FF, cases type: tr]:
```

$\llbracket p = \perp \Rightarrow Q; p = TT \Rightarrow Q; p = FF \Rightarrow Q \rrbracket \Rightarrow Q$   
**by** (induct p) (auto simp: FF-def TT-def)

**lemma** tr-induct [case-names bottom TT FF, induct type: tr]:  
 $P \perp \Rightarrow P$  TT  $\Rightarrow P$  FF  $\Rightarrow P$  x  
**by** (cases x) simp-all

distinctness for type tr

**lemma** dist-below-tr [simp]:  
 $TT \not\sqsubseteq \perp$   $FF \not\sqsubseteq \perp$   $TT \not\sqsubseteq FF$   $FF \not\sqsubseteq TT$   
**by** (simp-all add: TT-def FF-def)

**lemma** dist-eq-tr [simp]:  $TT \neq \perp$   $FF \neq \perp$   $TT \neq FF$   $\perp \neq TT$   $\perp \neq FF$   $FF \neq TT$   
**by** (simp-all add: TT-def FF-def)

**lemma** TT-below-iff [simp]:  $TT \sqsubseteq x \longleftrightarrow x = TT$   
**by** (induct x) simp-all

**lemma** FF-below-iff [simp]:  $FF \sqsubseteq x \longleftrightarrow x = FF$   
**by** (induct x) simp-all

**lemma** not-below-TT-iff [simp]:  $x \not\sqsubseteq TT \longleftrightarrow x = FF$   
**by** (induct x) simp-all

**lemma** not-below-FF-iff [simp]:  $x \not\sqsubseteq FF \longleftrightarrow x = TT$   
**by** (induct x) simp-all

## 14.2 Case analysis

**default-sort** pcpo

**definition** tr-case :: '*a*  $\rightarrow$  '*a*  $\rightarrow$  tr  $\rightarrow$  '*a*  
**where** tr-case = ( $\Lambda$  *t e* (Def *b*). if *b* then *t* else *e*)

**abbreviation** cifte-syn :: [tr, '*c*, '*c*]  $\Rightarrow$  '*c* ((If (-)/ then (-)/ else (-)) [0, 0, 60]  
60)  
**where** If *b* then *e1* else *e2*  $\equiv$  tr-case·*e1*·*e2*·*b*

**translations**

$\Lambda$  (XCONST TT). *t*  $\Leftrightarrow$  CONST tr-case·*t*· $\perp$   
 $\Lambda$  (XCONST FF). *t*  $\Leftrightarrow$  CONST tr-case· $\perp$ ·*t*

**lemma** ifte-thms [simp]:  
*If*  $\perp$  *then e1 else e2* =  $\perp$   
*If FF then e1 else e2* = *e2*  
*If TT then e1 else e2* = *e1*  
**by** (simp-all add: tr-case-def TT-def FF-def)

### 14.3 Boolean connectives

**definition** *trand* :: *tr* → *tr* → *tr*

**where** *andalso-def*: *trand* = ( $\Lambda x y. If x then y else FF$ )

**abbreviation** *andalso-syn* :: *tr* ⇒ *tr* ⇒ *tr* (- *andalso* - [36,35] 35)

**where** *x andalso y* ≡ *trand*·*x*·*y*

**definition** *tror* :: *tr* → *tr* → *tr*

**where** *orelse-def*: *tror* = ( $\Lambda x y. If x then TT else y$ )

**abbreviation** *orelse-syn* :: *tr* ⇒ *tr* ⇒ *tr* (- *orelse* - [31,30] 30)

**where** *x orelse y* ≡ *tror*·*x*·*y*

**definition** *neg* :: *tr* → *tr*

**where** *neg* = *flift2* *Not*

**definition** *If2* :: *tr* ⇒ 'c ⇒ 'c ⇒ 'c

**where** *If2 Q x y* = (*If Q then x else y*)

tactic for *tr-thms* with case split

**lemmas** *tr-defs* = *andalso-def* *orelse-def* *neg-def* *tr-case-def* *TT-def* *FF-def*

lemmas about *andalso*, *orelse*, *neg* and *if*

**lemma** *andalso-thms* [*simp*]:

(*TT andalso y*) = *y*

(*FF andalso y*) = *FF*

( $\perp$  *andalso y*) =  $\perp$

(*y andalso TT*) = *y*

(*y andalso y*) = *y*

**apply** (*unfold andalso-def, simp-all*)

**apply** (*cases y, simp-all*)

**apply** (*cases y, simp-all*)

**done**

**lemma** *orelse-thms* [*simp*]:

(*TT orelse y*) = *TT*

(*FF orelse y*) = *y*

( $\perp$  *orelse y*) =  $\perp$

(*y orelse FF*) = *y*

(*y orelse y*) = *y*

**apply** (*unfold orelse-def, simp-all*)

**apply** (*cases y, simp-all*)

**apply** (*cases y, simp-all*)

**done**

**lemma** *neg-thms* [*simp*]:

*neg*·*TT* = *FF*

*neg*·*FF* = *TT*

*neg*· $\perp$  =  $\perp$

```

by (simp-all add: neg-def TT-def FF-def)

split-tac for If via If2 because the constant has to be a constant

lemma split-If2:  $P(\text{If2 } Q \ x \ y) \longleftrightarrow ((Q = \perp \longrightarrow P \ \perp) \wedge (Q = \text{TT} \longrightarrow P \ x) \wedge$ 
 $(Q = \text{FF} \longrightarrow P \ y))$ 
by (cases Q) (simp-all add: If2-def)

ML <
fun split-If-tac ctxt =
  simp-tac (put-simpset HOL-basic-ss ctxt addsimps [@{thm If2-def} RS sym])
  THEN' (split-tac ctxt [@{thm split-If2}])
>

```

#### 14.4 Rewriting of HOLCF operations to HOL functions

```

lemma andalso-or:  $t \neq \perp \implies (t \text{ andalso } s) = \text{FF} \longleftrightarrow t = \text{FF} \vee s = \text{FF}$ 
by (cases t) simp-all

lemma andalso-and:  $t \neq \perp \implies ((t \text{ andalso } s) \neq \text{FF}) \longleftrightarrow t \neq \text{FF} \wedge s \neq \text{FF}$ 
by (cases t) simp-all

lemma Def-bool1 [simp]:  $\text{Def } x \neq \text{FF} \longleftrightarrow x$ 
by (simp add: FF-def)

lemma Def-bool2 [simp]:  $\text{Def } x = \text{FF} \longleftrightarrow \neg x$ 
by (simp add: FF-def)

lemma Def-bool3 [simp]:  $\text{Def } x = \text{TT} \longleftrightarrow x$ 
by (simp add: TT-def)

lemma Def-bool4 [simp]:  $\text{Def } x \neq \text{TT} \longleftrightarrow \neg x$ 
by (simp add: TT-def)

lemma If-and-if:  $(\text{If } \text{Def } P \text{ then } A \text{ else } B) = (\text{if } P \text{ then } A \text{ else } B)$ 
by (cases Def P) (auto simp add: TT-def[symmetric] FF-def[symmetric])

```

#### 14.5 Compactness

```

lemma compact-TT: compact TT
by (rule compact-chfin)

lemma compact-FF: compact FF
by (rule compact-chfin)

end

```

## 15 The type of strict sums

```

theory Ssum
  imports Tr
begin

default-sort pcpo

15.1 Definition of strict sum type

definition ssum =
  {p :: tr × ('a × 'b). p = ⊥ ∨
   (fst p = TT ∧ fst (snd p) ≠ ⊥ ∧ snd (snd p) = ⊥) ∨
   (fst p = FF ∧ fst (snd p) = ⊥ ∧ snd (snd p) ≠ ⊥)}

pcpodef ('a, 'b) ssum ((- ⊕/ -) [21, 20] 20) = ssum :: (tr × 'a × 'b) set
  by (simp-all add: ssum-def)

instance ssum :: ({chfin,pcpo}, {chfin,pcpo}) chfin
  by (rule typedef-chfin [OF type-definition(ssum) below-ssum-def])

type-notation (ASCII)
  ssum (infixr ++ 10)

```

## 15.2 Definitions of constructors

```

definition sinl :: 'a → ('a ++ 'b)
  where sinl = (Λ a. Abs-ssum (seq·a·TT, a, ⊥))

definition sinr :: 'b → ('a ++ 'b)
  where sinr = (Λ b. Abs-ssum (seq·b·FF, ⊥, b))

lemma sinl-ssum: (seq·a·TT, a, ⊥) ∈ ssum
  by (simp add: ssum-def seq-conv-if)

lemma sinr-ssum: (seq·b·FF, ⊥, b) ∈ ssum
  by (simp add: ssum-def seq-conv-if)

lemma Rep-ssum-sinl: Rep-ssum (sinl·a) = (seq·a·TT, a, ⊥)
  by (simp add: sinl-def cont-Abs-ssum Abs-ssum-inverse sinl-ssum)

lemma Rep-ssum-sinr: Rep-ssum (sinr·b) = (seq·b·FF, ⊥, b)
  by (simp add: sinr-def cont-Abs-ssum Abs-ssum-inverse sinr-ssum)

lemmas Rep-ssum-simps =
  Rep-ssum-inject [symmetric] below-ssum-def
  prod-eq-iff below-prod-def
  Rep-ssum-strict Rep-ssum-sinl Rep-ssum-sinr

```

### 15.3 Properties of $\sinl$ and $\sinr$

Ordering

**lemma**  $\sinl\text{-below} [\text{simp}]: \sinl\cdot x \sqsubseteq \sinl\cdot y \longleftrightarrow x \sqsubseteq y$   
**by** ( $\text{simp add: Rep-ssum-simps seq-conv-if}$ )

**lemma**  $\sinr\text{-below} [\text{simp}]: \sinr\cdot x \sqsubseteq \sinr\cdot y \longleftrightarrow x \sqsubseteq y$   
**by** ( $\text{simp add: Rep-ssum-simps seq-conv-if}$ )

**lemma**  $\sinl\text{-below-}\sinr [\text{simp}]: \sinl\cdot x \sqsubseteq \sinr\cdot y \longleftrightarrow x = \perp$   
**by** ( $\text{simp add: Rep-ssum-simps seq-conv-if}$ )

**lemma**  $\sinr\text{-below-}\sinl [\text{simp}]: \sinr\cdot x \sqsubseteq \sinl\cdot y \longleftrightarrow x = \perp$   
**by** ( $\text{simp add: Rep-ssum-simps seq-conv-if}$ )

Equality

**lemma**  $\sinl\text{-eq} [\text{simp}]: \sinl\cdot x = \sinl\cdot y \longleftrightarrow x = y$   
**by** ( $\text{simp add: po-eq-conv}$ )

**lemma**  $\sinr\text{-eq} [\text{simp}]: \sinr\cdot x = \sinr\cdot y \longleftrightarrow x = y$   
**by** ( $\text{simp add: po-eq-conv}$ )

**lemma**  $\sinl\text{-eq-}\sinr [\text{simp}]: \sinl\cdot x = \sinr\cdot y \longleftrightarrow x = \perp \wedge y = \perp$   
**by** ( $\text{subst po-eq-conv} \text{ simp}$ )

**lemma**  $\sinr\text{-eq-}\sinl [\text{simp}]: \sinr\cdot x = \sinl\cdot y \longleftrightarrow x = \perp \wedge y = \perp$   
**by** ( $\text{subst po-eq-conv} \text{ simp}$ )

**lemma**  $\sinl\text{-inject}: \sinl\cdot x = \sinl\cdot y \implies x = y$   
**by** ( $\text{rule sinl-eq [THEN iffD1]}$ )

**lemma**  $\sinr\text{-inject}: \sinr\cdot x = \sinr\cdot y \implies x = y$   
**by** ( $\text{rule sinr-eq [THEN iffD1]}$ )

Strictness

**lemma**  $\sinl\text{-strict} [\text{simp}]: \sinl\cdot \perp = \perp$   
**by** ( $\text{simp add: Rep-ssum-simps}$ )

**lemma**  $\sinr\text{-strict} [\text{simp}]: \sinr\cdot \perp = \perp$   
**by** ( $\text{simp add: Rep-ssum-simps}$ )

**lemma**  $\sinl\text{-bottom-iff} [\text{simp}]: \sinl\cdot x = \perp \longleftrightarrow x = \perp$   
**using**  $\sinl\text{-eq} [\text{of } x \perp]$  **by**  $\text{simp}$

**lemma**  $\sinr\text{-bottom-iff} [\text{simp}]: \sinr\cdot x = \perp \longleftrightarrow x = \perp$   
**using**  $\sinr\text{-eq} [\text{of } x \perp]$  **by**  $\text{simp}$

**lemma**  $\sinl\text{-defined}: x \neq \perp \implies \sinl\cdot x \neq \perp$   
**by**  $\text{simp}$

```

lemma sinr-defined:  $x \neq \perp \implies \text{sinr}\cdot x \neq \perp$ 
  by simp

Compactness

lemma compact-sinl: compact  $x \implies \text{compact}(\text{sinl}\cdot x)$ 
  by (rule compact-ssum) (simp add: Rep-ssum-sinl)

lemma compact-sinr: compact  $x \implies \text{compact}(\text{sinr}\cdot x)$ 
  by (rule compact-ssum) (simp add: Rep-ssum-sinr)

lemma compact-sinlD: compact ( $\text{sinl}\cdot x$ )  $\implies$  compact  $x$ 
  unfolding compact-def
  by (drule adm-subst [OF cont-Rep-cfun2 [where f=sinl]], simp)

lemma compact-sinrD: compact ( $\text{sinr}\cdot x$ )  $\implies$  compact  $x$ 
  unfolding compact-def
  by (drule adm-subst [OF cont-Rep-cfun2 [where f=sinr]], simp)

lemma compact-sinl-iff [simp]: compact ( $\text{sinl}\cdot x$ )  $=$  compact  $x$ 
  by (safe elim!: compact-sinl compact-sinlD)

lemma compact-sinr-iff [simp]: compact ( $\text{sinr}\cdot x$ )  $=$  compact  $x$ 
  by (safe elim!: compact-sinr compact-sinrD)

```

#### 15.4 Case analysis

```

lemma ssumE [case-names bottom sinl sinr, cases type: ssum]:
  obtains  $p = \perp$ 
  |  $x$  where  $p = \text{sinl}\cdot x$  and  $x \neq \perp$ 
  |  $y$  where  $p = \text{sinr}\cdot y$  and  $y \neq \perp$ 
  using Rep-ssum [of  $p$ ] by (auto simp add: ssum-def Rep-ssum-simps)

lemma ssum-induct [case-names bottom sinl sinr, induct type: ssum]:
   $\llbracket P \perp;$ 
   $\llbracket \bigwedge x. x \neq \perp \implies P(\text{sinl}\cdot x);$ 
   $\bigwedge y. y \neq \perp \implies P(\text{sinr}\cdot y) \rrbracket \implies P x$ 
  by (cases  $x$ ) simp-all

lemma ssumE2 [case-names sinl sinr]:
   $\llbracket \bigwedge x. p = \text{sinl}\cdot x \implies Q; \bigwedge y. p = \text{sinr}\cdot y \implies Q \rrbracket \implies Q$ 
  by (cases  $p$ , simp only: sinl-strict [symmetric], simp, simp)

lemma below-sinlD:  $p \sqsubseteq \text{sinl}\cdot x \implies \exists y. p = \text{sinl}\cdot y \wedge y \sqsubseteq x$ 
  by (cases  $p$ , rule-tac  $x=\perp$  in exI, simp-all)

lemma below-sinrD:  $p \sqsubseteq \text{sinr}\cdot x \implies \exists y. p = \text{sinr}\cdot y \wedge y \sqsubseteq x$ 
  by (cases  $p$ , rule-tac  $x=\perp$  in exI, simp-all)

```

### 15.5 Case analysis combinator

**definition** *sscase* ::  $('a \rightarrow 'c) \rightarrow ('b \rightarrow 'c) \rightarrow ('a ++ 'b) \rightarrow 'c$   
**where** *sscase* =  $(\Lambda f g s. (\lambda(t, x, y). If t then f \cdot x else g \cdot y) (Rep\text{-}ssum s))$

#### translations

*case s of XCONST sinl \cdot x \Rightarrow t1 | XCONST sinr \cdot y \Rightarrow t2 \Rightarrow CONST sscase \cdot (\Lambda x. t1) \cdot (\Lambda y. t2) \cdot s*  
*case s of (XCONST sinl :: 'a) \cdot x \Rightarrow t1 | XCONST sinr \cdot y \Rightarrow t2 \rightarrow CONST sscase \cdot (\Lambda x. t1) \cdot (\Lambda y. t2) \cdot s*

#### translations

$\Lambda(XCONST sinl \cdot x). t \Leftrightarrow CONST sscase \cdot (\Lambda x. t) \cdot \perp$   
 $\Lambda(XCONST sinr \cdot y). t \Leftrightarrow CONST sscase \cdot \perp \cdot (\Lambda y. t)$

**lemma** *beta-sscase*:  $sscase \cdot f \cdot g \cdot s = (\lambda(t, x, y). If t then f \cdot x else g \cdot y) (Rep\text{-}ssum s)$   
**by** (*simp add: sscase-def cont-Rep-ssum*)

**lemma** *sscase1* [*simp*]:  $sscase \cdot f \cdot g \cdot \perp = \perp$   
**by** (*simp add: beta-sscase Rep-ssum-strict*)

**lemma** *sscase2* [*simp*]:  $x \neq \perp \implies sscase \cdot f \cdot g \cdot (sinl \cdot x) = f \cdot x$   
**by** (*simp add: beta-sscase Rep-ssum-sinl*)

**lemma** *sscase3* [*simp*]:  $y \neq \perp \implies sscase \cdot f \cdot g \cdot (sinr \cdot y) = g \cdot y$   
**by** (*simp add: beta-sscase Rep-ssum-sinr*)

**lemma** *sscase4* [*simp*]:  $sscase \cdot sinl \cdot sinr \cdot z = z$   
**by** (*cases z simp-all*)

### 15.6 Strict sum preserves flatness

```
instance ssum :: (flat, flat) flat
  apply (intro-classes, clarify)
  apply (case-tac x, simp)
  apply (case-tac y, simp-all add: flat-below-iff)
  apply (case-tac y, simp-all add: flat-below-iff)
  done

end
```

## 16 The Strict Function Type

```
theory Sfun
  imports Cfun
begin

pcpodef ('a, 'b) sfun (infixr  $\rightarrow!$  0) = {f :: 'a  $\rightarrow$  'b. f  $\cdot \perp = \perp$ }
  by simp-all
```

```

type-notation (ASCII)
  sfun (infixr  $\rightarrow!$  0)

TODO: Define nice syntax for abstraction, application.

definition sfun-abs ::  $('a \rightarrow 'b) \rightarrow ('a \rightarrow! 'b)$ 
  where sfun-abs =  $(\Lambda f. Abs\text{-}sfun (strictify\text{-}f))$ 

definition sfun-rep ::  $('a \rightarrow! 'b) \rightarrow 'a \rightarrow 'b$ 
  where sfun-rep =  $(\Lambda f. Rep\text{-}sfun f)$ 

lemma sfun-rep-beta: sfun-rep. $f = Rep\text{-}sfun f$ 
  by (simp add: sfun-rep-def cont-Rep-sfun)

lemma sfun-rep-strict1 [simp]: sfun-rep. $\perp = \perp$ 
  unfolding sfun-rep-beta by (rule Rep-sfun-strict)

lemma sfun-rep-strict2 [simp]: sfun-rep. $f.\perp = \perp$ 
  unfolding sfun-rep-beta by (rule Rep-sfun [simplified])

lemma strictify-cancel:  $f.\perp = \perp \implies strictify\text{-}f = f$ 
  by (simp add: cfun-eq-iff strictify-conv-if)

lemma sfun-abs-sfun-rep [simp]: sfun-abs.(sfun-rep. $f) = f$ 
  unfolding sfun-abs-def sfun-rep-def
  apply (simp add: cont-Abs-sfun cont-Rep-sfun)
  apply (simp add: Rep-sfun-inject [symmetric] Abs-sfun-inverse)
  apply (simp add: cfun-eq-iff strictify-conv-if)
  apply (simp add: Rep-sfun [simplified])
  done

lemma sfun-rep-sfun-abs [simp]: sfun-rep.(sfun-abs. $f) = strictify\text{-}f$ 
  unfolding sfun-abs-def sfun-rep-def
  apply (simp add: cont-Abs-sfun cont-Rep-sfun)
  apply (simp add: Abs-sfun-inverse)
  done

lemma sfun-eq-iff:  $f = g \longleftrightarrow sfun\text{-}rep\text{-}f = sfun\text{-}rep\text{-}g$ 
  by (simp add: sfun-rep-def cont-Rep-sfun Rep-sfun-inject)

lemma sfun-below-iff:  $f \sqsubseteq g \longleftrightarrow sfun\text{-}rep\text{-}f \sqsubseteq sfun\text{-}rep\text{-}g$ 
  by (simp add: sfun-rep-def cont-Rep-sfun below-sfun-def)

end

```

## 17 Map functions for various types

```

theory Map-Functions
  imports Deflation Sprod Ssum Sfun Up

```

```
begin
```

### 17.1 Map operator for continuous function space

```
default-sort cpo
```

```
definition cfun-map :: ('b → 'a) → ('c → 'd) → ('a → 'c) → ('b → 'd)
  where cfun-map = (Λ a b f x. b·(f·(a·x)))
```

```
lemma cfun-map-beta [simp]: cfun-map·a·b·f·x = b·(f·(a·x))
  by (simp add: cfun-map-def)
```

```
lemma cfun-map-ID: cfun-map·ID·ID = ID
  by (simp add: cfun-eq-iff)
```

```
lemma cfun-map-map: cfun-map·f1·g1·(cfun-map·f2·g2·p) = cfun-map·(Λ x. f2·(f1·x))·(Λ
x. g1·(g2·x))·p
  by (rule cfun-eqI) simp
```

```
lemma ep-pair-cfun-map:
```

```
  assumes ep-pair e1 p1 and ep-pair e2 p2
  shows ep-pair (cfun-map·p1·e2) (cfun-map·e1·p2)
```

```
proof
```

```
  interpret e1p1: ep-pair e1 p1 by fact
  interpret e2p2: ep-pair e2 p2 by fact
  show cfun-map·e1·p2·(cfun-map·p1·e2·f) = f for f
    by (simp add: cfun-eq-iff)
  show cfun-map·p1·e2·(cfun-map·e1·p2·g) ⊑ g for g
    apply (rule cfun-belowI, simp)
    apply (rule below-trans [OF e2p2.e-p-below])
    apply (rule monofun-cfun-arg)
    apply (rule e1p1.e-p-below)
    done
```

```
qed
```

```
lemma deflation-cfun-map:
```

```
  assumes deflation d1 and deflation d2
  shows deflation (cfun-map·d1·d2)
```

```
proof
```

```
  interpret d1: deflation d1 by fact
  interpret d2: deflation d2 by fact
  fix f
  show cfun-map·d1·d2·(cfun-map·d1·d2·f) = cfun-map·d1·d2·f
    by (simp add: cfun-eq-iff d1.idem d2.idem)
  show cfun-map·d1·d2·f ⊑ f
    apply (rule cfun-belowI, simp)
    apply (rule below-trans [OF d2.below])
    apply (rule monofun-cfun-arg)
    apply (rule d1.below)
```

done  
qed

```

lemma finite-range-cfun-map:
  assumes a: finite (range ( $\lambda x. a \cdot x$ ))
  assumes b: finite (range ( $\lambda y. b \cdot y$ ))
  shows finite (range ( $\lambda f. cfun-map \cdot a \cdot b \cdot f$ )) (is finite (range ?h))
  proof (rule finite-imageD)
    let ?f =  $\lambda g. range (\lambda x. (a \cdot x, g \cdot x))$ 
    show finite (?f ` range ?h)
    proof (rule finite-subset)
      let ?B = Pow (range ( $\lambda x. a \cdot x$ )  $\times$  range ( $\lambda y. b \cdot y$ ))
      show ?f ` range ?h  $\subseteq$  ?B
      by clarsimp
      show finite ?B
      by (simp add: a b)
    qed
    show inj-on ?f (range ?h)
    proof (rule inj-onI, rule cfun-eqI, clarsimp)
      fix x f g
      assume range ( $\lambda x. (a \cdot x, b \cdot (f \cdot (a \cdot x)))$ ) = range ( $\lambda x. (a \cdot x, b \cdot (g \cdot (a \cdot x)))$ )
      then have range ( $\lambda x. (a \cdot x, b \cdot (f \cdot (a \cdot x)))$ )  $\subseteq$  range ( $\lambda x. (a \cdot x, b \cdot (g \cdot (a \cdot x)))$ )
      by (rule equalityD1)
      then have (a · x, b · (f · (a · x)))  $\in$  range ( $\lambda x. (a \cdot x, b \cdot (g \cdot (a \cdot x)))$ )
      by (simp add: subset-eq)
      then obtain y where (a · x, b · (f · (a · x))) = (a · y, b · (g · (a · y)))
      by (rule rangeE)
      then show b · (f · (a · x)) = b · (g · (a · x))
      by clarsimp
    qed
  qed

```

```

lemma finite-deflation-cfun-map:
  assumes finite-deflation d1 and finite-deflation d2
  shows finite-deflation (cfun-map · d1 · d2)
  proof (rule finite-deflation-intro)
    interpret d1: finite-deflation d1 by fact
    interpret d2: finite-deflation d2 by fact
    from d1.deflation-axioms d2.deflation-axioms show deflation (cfun-map · d1 · d2)
    by (rule deflation-cfun-map)
    have finite (range ( $\lambda f. cfun-map \cdot d1 \cdot d2 \cdot f$ ))
    using d1.finite-range d2.finite-range
    by (rule finite-range-cfun-map)
    then show finite {f. cfun-map · d1 · d2 · f = f}
    by (rule finite-range-imp-finite-fixes)
  qed

```

Finite deflations are compact elements of the function space

**lemma** finite-deflation-imp-compact: finite-deflation d  $\implies$  compact d

```

apply (frule finite-deflation-imp-deflation)
apply (subgoal-tac compact (cfun-map·d·d·d))
apply (simp add: cfun-map-def deflation.idem eta-cfun)
apply (rule finite-deflation.compact)
apply (simp only: finite-deflation-cfun-map)
done

```

## 17.2 Map operator for product type

```

definition prod-map :: ('a → 'b) → ('c → 'd) → 'a × 'c → 'b × 'd
  where prod-map = (Λ f g p. (f.(fst p), g.(snd p)))

```

```

lemma prod-map-Pair [simp]: prod-map·f·g·(x, y) = (f·x, g·y)
  by (simp add: prod-map-def)

```

```

lemma prod-map-ID: prod-map·ID·ID = ID
  by (auto simp: cfun-eq-iff)

```

```

lemma prod-map-map: prod-map·f1·g1·(prod-map·f2·g2·p) = prod-map·(Λ x. f1·(f2·x))·(Λ
  x. g1·(g2·x))·p
  by (induct p) simp

```

```

lemma ep-pair-prod-map:
  assumes ep-pair e1 p1 and ep-pair e2 p2
  shows ep-pair (prod-map·e1·e2) (prod-map·p1·p2)
proof
  interpret e1p1: ep-pair e1 p1 by fact
  interpret e2p2: ep-pair e2 p2 by fact
  show prod-map·p1·p2·(prod-map·e1·e2·x) = x for x
    by (induct x) simp
  show prod-map·e1·e2·(prod-map·p1·p2·y) ⊑ y for y
    by (induct y) (simp add: e1p1.e-p-below e2p2.e-p-below)
qed

```

```

lemma deflation-prod-map:
  assumes deflation d1 and deflation d2
  shows deflation (prod-map·d1·d2)
proof
  interpret d1: deflation d1 by fact
  interpret d2: deflation d2 by fact
  fix x
  show prod-map·d1·d2·(prod-map·d1·d2·x) = prod-map·d1·d2·x
    by (induct x) (simp add: d1.idem d2.idem)
  show prod-map·d1·d2·x ⊑ x
    by (induct x) (simp add: d1.below d2.below)
qed

```

```

lemma finite-deflation-prod-map:
  assumes finite-deflation d1 and finite-deflation d2

```

```

shows finite-deflation (prod-map·d1·d2)
proof (rule finite-deflation-intro)
  interpret d1: finite-deflation d1 by fact
  interpret d2: finite-deflation d2 by fact
  from d1.deflation-axioms d2.deflation-axioms show deflation (prod-map·d1·d2)
    by (rule deflation-prod-map)
  have {p. prod-map·d1·d2·p = p} ⊆ {x. d1·x = x} × {y. d2·y = y}
    by auto
  then show finite {p. prod-map·d1·d2·p = p}
    by (rule finite-subset, simp add: d1.finite-fixes d2.finite-fixes)
qed

```

**17.3 Map function for lifted cpo**

```

definition u-map :: ('a → 'b) → 'a u → 'b u
  where u-map = (Λ f. fup·(up oo f))

lemma u-map-strict [simp]: u-map·f·⊥ = ⊥
  by (simp add: u-map-def)

lemma u-map-up [simp]: u-map·f·(up·x) = up·(f·x)
  by (simp add: u-map-def)

lemma u-map-ID: u-map·ID = ID
  by (simp add: u-map-def cfun-eq-iff eta-cfun)

lemma u-map-map: u-map·f·(u-map·g·p) = u-map·(Λ x. f·(g·x))·p
  by (induct p) simp-all

lemma u-map-oo: u-map·(f oo g) = u-map·f oo u-map·g
  by (simp add: cfcmap1 u-map-map eta-cfun)

lemma ep-pair-u-map: ep-pair e p ==> ep-pair (u-map·e) (u-map·p)
  apply standard
  subgoal for x by (cases x) (simp-all add: ep-pair.e-inverse)
  subgoal for y by (cases y) (simp-all add: ep-pair.e-p-below)
  done

lemma deflation-u-map: deflation d ==> deflation (u-map·d)
  apply standard
  subgoal for x by (cases x) (simp-all add: deflation.idem)
  subgoal for x by (cases x) (simp-all add: deflation.below)
  done

lemma finite-deflation-u-map:
  assumes finite-deflation d
  shows finite-deflation (u-map·d)
proof (rule finite-deflation-intro)
  interpret d: finite-deflation d by fact

```

```

from d.deflation-axioms show deflation (u-map·d)
  by (rule deflation-u-map)
have {x. u-map·d·x = x} ⊆ insert ⊥ ((λx. up·x) ‘ {x. d·x = x})
  by (rule subsetI, case-tac x, simp-all)
then show finite {x. u-map·d·x = x}
  by (rule finite-subset) (simp add: d.finite-fixes)
qed

```

## 17.4 Map function for strict products

**default-sort** pcpo

```

definition sprod-map :: ('a → 'b) → ('c → 'd) → 'a ⊗ 'c → 'b ⊗ 'd
  where sprod-map = (Λ f g. ssplit·(Λ x y. (:f·x, g·y:)))

```

```

lemma sprod-map-strict [simp]: sprod-map·a·b·⊥ = ⊥
  by (simp add: sprod-map-def)

```

```

lemma sprod-map-spair [simp]: x ≠ ⊥ ⇒ y ≠ ⊥ ⇒ sprod-map·f·g·(:x, y:) = (:f·x, g·y:)
  by (simp add: sprod-map-def)

```

```

lemma sprod-map-spair': f·⊥ = ⊥ ⇒ g·⊥ = ⊥ ⇒ sprod-map·f·g·(:x, y:) = (:f·x, g·y:)
  by (cases x = ⊥ ∨ y = ⊥) auto

```

```

lemma sprod-map-ID: sprod-map·ID·ID = ID
  by (simp add: sprod-map-def cfun-eq-iff eta-cfun)

```

```

lemma sprod-map-map:
  [|f1·⊥ = ⊥; g1·⊥ = ⊥|] ⇒
    sprod-map·f1·g1·(sprod-map·f2·g2·p) =
      sprod-map·(Λ x. f1·(f2·x))·(Λ x. g1·(g2·x))·p
proof (induct p)
  case bottom
  then show ?case by simp
next
  case (spair x y)
  then show ?case
    apply (cases f2·x = ⊥, simp)
    apply (cases g2·y = ⊥, simp)
    apply simp
    done
qed

```

```

lemma ep-pair-sprod-map:
  assumes ep-pair e1 p1 and ep-pair e2 p2
  shows ep-pair (sprod-map·e1·e2) (sprod-map·p1·p2)
proof

```

```

interpret e1p1: pcpo-ep-pair e1 p1 unfolding pcpo-ep-pair-def by fact
interpret e2p2: pcpo-ep-pair e2 p2 unfolding pcpo-ep-pair-def by fact
show sprod-map·p1·p2·(sprod-map·e1·e2·x) = x for x
  by (induct x) simp-all
show sprod-map·e1·e2·(sprod-map·p1·p2·y) ⊑ y for y
proof (induct y)
  case bottom
  then show ?case by simp
next
  case (spair x y)
  then show ?case
    apply simp
    apply (cases p1·x = ⊥, simp, cases p2·y = ⊥, simp)
    apply (simp add: monofun-cfun e1p1.e-p-below e2p2.e-p-below)
    done
qed
qed

lemma deflation-sprod-map:
  assumes deflation d1 and deflation d2
  shows deflation (sprod-map·d1·d2)
proof
  interpret d1: deflation d1 by fact
  interpret d2: deflation d2 by fact
  fix x
  show sprod-map·d1·d2·(sprod-map·d1·d2·x) = sprod-map·d1·d2·x
  proof (induct x)
    case bottom
    then show ?case by simp
  next
    case (spair x y)
    then show ?case
      apply (cases d1·x = ⊥, simp, cases d2·y = ⊥, simp)
      apply (simp add: d1.idem d2.idem)
      done
  qed
  show sprod-map·d1·d2·x ⊑ x
  proof (induct x)
    case bottom
    then show ?case by simp
  next
    case spair
    then show ?case by (simp add: monofun-cfun d1.below d2.below)
  qed
qed

lemma finite-deflation-sprod-map:
  assumes finite-deflation d1 and finite-deflation d2
  shows finite-deflation (sprod-map·d1·d2)

```

```

proof (rule finite-deflation-intro)
  interpret d1: finite-deflation d1 by fact
  interpret d2: finite-deflation d2 by fact
  from d1.deflation-axioms d2.deflation-axioms show deflation (sprod-map·d1·d2)
    by (rule deflation-sprod-map)
  have {x. sprod-map·d1·d2·x = x} ⊆
    insert ⊥ ((λ(x, y). (:x, y)) ` ({x. d1·x = x} × {y. d2·y = y}))
    by (rule subsetI, case-tac x, auto simp add: spair-eq-iff)
  then show finite {x. sprod-map·d1·d2·x = x}
    by (rule finite-subset) (simp add: d1.finite-fixes d2.finite-fixes)
qed

```

### 17.5 Map function for strict sums

```

definition ssum-map :: ('a → 'b) → ('c → 'd) → 'a ⊕ 'c → 'b ⊕ 'd
  where ssum-map = (Λ f g. sscase·(sinl oo f)·(sinr oo g))

```

```

lemma ssum-map-strict [simp]: ssum-map·f·g·⊥ = ⊥
  by (simp add: ssum-map-def)

```

```

lemma ssum-map-sinl [simp]: x ≠ ⊥ ⇒ ssum-map·f·g·(sinl·x) = sinl·(f·x)
  by (simp add: ssum-map-def)

```

```

lemma ssum-map-sinr [simp]: x ≠ ⊥ ⇒ ssum-map·f·g·(sinr·x) = sinr·(g·x)
  by (simp add: ssum-map-def)

```

```

lemma ssum-map-sinl': f·⊥ = ⊥ ⇒ ssum-map·f·g·(sinl·x) = sinl·(f·x)
  by (cases x = ⊥) simp-all

```

```

lemma ssum-map-sinr': g·⊥ = ⊥ ⇒ ssum-map·f·g·(sinr·x) = sinr·(g·x)
  by (cases x = ⊥) simp-all

```

```

lemma ssum-map-ID: ssum-map·ID·ID = ID
  by (simp add: ssum-map-def cfun-eq-iff eta-cfun)

```

```

lemma ssum-map-map:
  [f1·⊥ = ⊥; g1·⊥ = ⊥] ⇒
    ssum-map·f1·g1·(ssum-map·f2·g2·p) =
      ssum-map·(Λ x. f1·(f2·x))·(Λ x. g1·(g2·x))·p
proof (induct p)
  case bottom
  then show ?case by simp
next
  case (sinl x)
  then show ?case by (cases f2·x = ⊥) simp-all
next
  case (sinr y)
  then show ?case by (cases g2·y = ⊥) simp-all
qed

```

```

lemma ep-pair-ssum-map:
  assumes ep-pair e1 p1 and ep-pair e2 p2
  shows ep-pair (ssum-map·e1·e2) (ssum-map·p1·p2)
proof
  interpret e1p1: pcpo-ep-pair e1 p1 unfolding pcpo-ep-pair-def by fact
  interpret e2p2: pcpo-ep-pair e2 p2 unfolding pcpo-ep-pair-def by fact
  show ssum-map·p1·p2·(ssum-map·e1·e2·x) = x for x
    by (induct x) simp-all
  show ssum-map·e1·e2·(ssum-map·p1·p2·y) ⊑ y for y
  proof (induct y)
    case bottom
      then show ?case by simp
    next
      case (sinl x)
        then show ?case by (cases p1·x = ⊥) (simp-all add: e1p1.e-p-below)
    next
      case (sinr y)
        then show ?case by (cases p2·y = ⊥) (simp-all add: e2p2.e-p-below)
    qed
  qed

lemma deflation-ssum-map:
  assumes deflation d1 and deflation d2
  shows deflation (ssum-map·d1·d2)
proof
  interpret d1: deflation d1 by fact
  interpret d2: deflation d2 by fact
  fix x
  show ssum-map·d1·d2·(ssum-map·d1·d2·x) = ssum-map·d1·d2·x
  proof (induct x)
    case bottom
      then show ?case by simp
    next
      case (sinl x)
        then show ?case by (cases d1·x = ⊥) (simp-all add: d1.idem)
    next
      case (sinr y)
        then show ?case by (cases d2·y = ⊥) (simp-all add: d2.idem)
    qed
    show ssum-map·d1·d2·x ⊑ x
    proof (induct x)
      case bottom
        then show ?case by simp
    next
      case (sinl x)
        then show ?case by (cases d1·x = ⊥) (simp-all add: d1.below)
    next
      case (sinr y)

```

```

then show ?case by (cases d2·y = ⊥) (simp-all add: d2.below)
qed
qed

lemma finite-deflation-ssum-map:
assumes finite-deflation d1 and finite-deflation d2
shows finite-deflation (ssum-map·d1·d2)
proof (rule finite-deflation-intro)
  interpret d1: finite-deflation d1 by fact
  interpret d2: finite-deflation d2 by fact
  from d1.deflation-axioms d2.deflation-axioms show deflation (ssum-map·d1·d2)
    by (rule deflation-ssum-map)
  have {x. ssum-map·d1·d2·x = x} ⊆
    (λx. sinl·x) ‘{x. d1·x = x} ∪
    (λx. sinr·x) ‘{x. d2·x = x} ∪ {⊥}
    by (rule subsetI, case-tac x, simp-all)
  then show finite {x. ssum-map·d1·d2·x = x}
    by (rule finite-subset, simp add: d1.finite-fixes d2.finite-fixes)
qed

```

## 17.6 Map operator for strict function space

```

definition sfun-map :: ('b → 'a) → ('c → 'd) → ('a →! 'c) → ('b →! 'd)
  where sfun-map = (Λ a b. sfun-abs oo cfun-map·a·b oo sfun-rep)

```

```

lemma sfun-map-ID: sfun-map·ID·ID = ID
  by (simp add: sfun-map-def cfun-map-ID cfun-eq-iff)

```

```

lemma sfun-map-map:
assumes f2·⊥ = ⊥ and g2·⊥ = ⊥
shows sfun-map·f1·g1·(sfun-map·f2·g2·p) =
  sfun-map·(Λ x. f2·(f1·x))·(Λ x. g1·(g2·x))·p
  by (simp add: sfun-map-def cfun-eq-iff strictify-cancel assms cfun-map-map)

```

```

lemma ep-pair-sfun-map:
assumes 1: ep-pair e1 p1
assumes 2: ep-pair e2 p2
shows ep-pair (sfun-map·p1·e2) (sfun-map·e1·p2)
proof
  interpret e1p1: pcpo-ep-pair e1 p1
    unfolding pcpo-ep-pair-def by fact
  interpret e2p2: pcpo-ep-pair e2 p2
    unfolding pcpo-ep-pair-def by fact
  show sfun-map·e1·p2·(sfun-map·p1·e2·f) = f for f
    unfolding sfun-map-def
    apply (simp add: sfun-eq-iff strictify-cancel)
    apply (rule ep-pair.e-inverse)
    apply (rule ep-pair-cfun-map [OF 1 2])
    done

```

```

show sfun-map·p1·e2·(sfun-map·e1·p2·g) ⊑ g for g
  unfolding sfun-map-def
  apply (simp add: sfun-below-iff strictify-cancel)
  apply (rule ep-pair.e-p-below)
  apply (rule ep-pair-cfun-map [OF 1 2])
  done
qed

lemma deflation-sfun-map:
  assumes 1: deflation d1
  assumes 2: deflation d2
  shows deflation (sfun-map·d1·d2)
  apply (simp add: sfun-map-def)
  apply (rule deflation.intro)
  apply simp
  apply (subst strictify-cancel)
  apply (simp add: cfun-map-def deflation-strict 1 2)
  apply (simp add: cfun-map-def deflation.idem 1 2)
  apply (simp add: sfun-below-iff)
  apply (subst strictify-cancel)
  apply (simp add: cfun-map-def deflation-strict 1 2)
  apply (rule deflation.below)
  apply (rule deflation-cfun-map [OF 1 2])
  done

lemma finite-deflation-sfun-map:
  assumes finite-deflation d1
    and finite-deflation d2
  shows finite-deflation (sfun-map·d1·d2)
proof (intro finite-deflation-intro)
  interpret d1: finite-deflation d1 by fact
  interpret d2: finite-deflation d2 by fact
  from d1.deflation-axioms d2.deflation-axioms show deflation (sfun-map·d1·d2)
    by (rule deflation-sfun-map)
  from assms have finite-deflation (cfun-map·d1·d2)
    by (rule finite-deflation-cfun-map)
  then have finite {f. cfun-map·d1·d2·f = f}
    by (rule finite-deflationFINITE-fixes)
  moreover have inj (λf. sfun-rep·f)
    by (rule inj-onI) (simp add: sfun-eq-iff)
  ultimately have finite ((λf. sfun-rep·f) -` {f. cfun-map·d1·d2·f = f})
    by (rule finite-vimageI)
  with ‹deflation d1› ‹deflation d2› show finite {f. sfun-map·d1·d2·f = f}
    by (simp add: sfun-map-def sfun-eq-iff strictify-cancel deflation-strict)
qed

end

```

## 18 The cpo of cartesian products

```
theory Cprod
  imports Cfun
begin
```

```
default-sort cpo
```

### 18.1 Continuous case function for unit type

```
definition unit-when :: 'a → unit → 'a
  where unit-when = (Λ a -. a)
```

```
translations
```

```
Λ(). t ⇌ CONST unit-when·t
```

```
lemma unit-when [simp]: unit-when·a·u = a
  by (simp add: unit-when-def)
```

### 18.2 Continuous version of split function

```
definition csplit :: ('a → 'b → 'c) → ('a × 'b) → 'c
  where cspring = (Λ f p. f·(fst p)·(snd p))
```

```
translations
```

```
Λ(CONST Pair x y). t ⇌ CONST cspring·(Λ x y. t)
```

```
abbreviation cfst :: 'a × 'b → 'a
  where cfst ≡ Abs-cfun fst
```

```
abbreviation csnd :: 'a × 'b → 'b
  where csnd ≡ Abs-cfun snd
```

### 18.3 Convert all lemmas to the continuous versions

```
lemma cspring1 [simp]: cspring·f·⊥ = f·⊥·⊥
  by (simp add: cspring-def)
```

```
lemma cspring-Pair [simp]: cspring·f·(x, y) = f·x·y
  by (simp add: cspring-def)
```

```
end
```

## 19 Profinite and bifinite cpos

```
theory Bifinite
  imports Map-Functions Cprod Sprod Sfun Up HOL-Library.Countable
begin
```

```
default-sort cpo
```

### 19.1 Chains of finite deflations

```

locale approx-chain =
  fixes approx :: nat  $\Rightarrow$  'a  $\rightarrow$  'a
  assumes chain-approx [simp]: chain ( $\lambda i.$  approx  $i$ )
  assumes lub-approx [simp]: ( $\sqcup i.$  approx  $i$ ) = ID
  assumes finite-deflation-approx [simp]:  $\bigwedge i.$  finite-deflation (approx  $i$ )
begin

lemma deflation-approx: deflation (approx  $i$ )
  using finite-deflation-approx by (rule finite-deflation-imp-deflation)

lemma approx-idem: approx  $i \cdot (approx i \cdot x) = approx i \cdot x$ 
  using deflation-approx by (rule deflation.idem)

lemma approx-below: approx  $i \cdot x \sqsubseteq x$ 
  using deflation-approx by (rule deflation.below)

lemma finite-range-approx: finite (range ( $\lambda x.$  approx  $i \cdot x$ ))
  apply (rule finite-deflation.finite-range)
  apply (rule finite-deflation-approx)
  done

lemma compact-approx [simp]: compact (approx  $n \cdot x$ )
  apply (rule finite-deflation.compact)
  apply (rule finite-deflation-approx)
  done

lemma compact-eq-approx: compact  $x \implies \exists i.$  approx  $i \cdot x = x$ 
  by (rule admD2, simp-all)

end

```

### 19.2 Omega-profinite and bifinite domains

```

class bifinite = pcpo +
  assumes bifinite:  $\exists (a:\text{nat} \Rightarrow 'a \rightarrow 'a).$  approx-chain  $a$ 

class profinite = cpo +
  assumes profinite:  $\exists (a:\text{nat} \Rightarrow 'a_{\perp} \rightarrow 'a_{\perp}).$  approx-chain  $a$ 

```

### 19.3 Building approx chains

```

lemma approx-chain-iso:
  assumes a: approx-chain  $a$ 
  assumes [simp]:  $\bigwedge x.$  f $\cdot(g \cdot x) = x$ 
  assumes [simp]:  $\bigwedge y.$  g $\cdot(f \cdot y) = y$ 
  shows approx-chain ( $\lambda i.$  f oo a i oo g)
proof -
  have 1: f oo g = ID by (simp add: cfun-eqI)

```

```

have 2: ep-pair f g by (simp add: ep-pair-def)
from 1 2 show ?thesis
  using a unfolding approx-chain-def
  by (simp add: lub-APP ep-pair.finite-deflation-e-d-p)
qed

lemma approx-chain-u-map:
assumes approx-chain a
shows approx-chain ( $\lambda i. u\text{-map}\cdot(a i)$ )
using assms unfolding approx-chain-def
by (simp add: lub-APP u-map-ID finite-deflation-u-map)

lemma approx-chain-sfun-map:
assumes approx-chain a and approx-chain b
shows approx-chain ( $\lambda i. sfun\text{-map}\cdot(a i)\cdot(b i)$ )
using assms unfolding approx-chain-def
by (simp add: lub-APP sfun-map-ID finite-deflation-sfun-map)

lemma approx-chain-sprod-map:
assumes approx-chain a and approx-chain b
shows approx-chain ( $\lambda i. sprod\text{-map}\cdot(a i)\cdot(b i)$ )
using assms unfolding approx-chain-def
by (simp add: lub-APP sprod-map-ID finite-deflation-sprod-map)

lemma approx-chain-ssum-map:
assumes approx-chain a and approx-chain b
shows approx-chain ( $\lambda i. ssum\text{-map}\cdot(a i)\cdot(b i)$ )
using assms unfolding approx-chain-def
by (simp add: lub-APP ssum-map-ID finite-deflation-ssum-map)

lemma approx-chain-cfun-map:
assumes approx-chain a and approx-chain b
shows approx-chain ( $\lambda i. cfun\text{-map}\cdot(a i)\cdot(b i)$ )
using assms unfolding approx-chain-def
by (simp add: lub-APP cfun-map-ID finite-deflation-cfun-map)

lemma approx-chain-prod-map:
assumes approx-chain a and approx-chain b
shows approx-chain ( $\lambda i. prod\text{-map}\cdot(a i)\cdot(b i)$ )
using assms unfolding approx-chain-def
by (simp add: lub-APP prod-map-ID finite-deflation-prod-map)

```

Approx chains for countable discrete types.

```

definition discr-approx :: nat  $\Rightarrow$  'a::countable discr u  $\rightarrow$  'a discr u
  where discr-approx = ( $\lambda i. \Lambda(up\cdot x). \text{if } to\text{-nat}(undiscr x) < i \text{ then } up\cdot x \text{ else } \perp$ )

```

```

lemma chain-discr-approx [simp]: chain discr-approx
  unfolding discr-approx-def
  by (rule chainI, simp add: monofun-cfun monofun-LAM)

```

```

lemma lub-discr-approx [simp]: ( $\bigsqcup i$ . discr-approx  $i$ ) = ID
  apply (rule cfun-eqI)
  apply (simp add: contlub-cfun-fun)
  apply (simp add: discr-approx-def)
  subgoal for x
    apply (cases x)
    apply simp
    apply (rule lub-eqI)
    apply (rule is-lubI)
    apply (rule ub-rangeI, simp)
    apply (drule ub-rangeD)
    apply (erule rev-below-trans)
    apply simp
    apply (rule lessI)
    done
  done

lemma inj-on-undiscr [simp]: inj-on undiscr A
using Discr-undiscr by (rule inj-on-inverseI)

lemma finite-deflation-discr-approx: finite-deflation (discr-approx i)
proof
  fix x :: 'a discr u
  show discr-approx i·x  $\sqsubseteq$  x
    unfolding discr-approx-def
    by (cases x, simp, simp)
  show discr-approx i·(discr-approx i·x) = discr-approx i·x
    unfolding discr-approx-def
    by (cases x, simp, simp)
  show finite {x::'a discr u. discr-approx i·x = x}
  proof (rule finite-subset)
    let ?S = insert ( $\perp$ ::'a discr u) (( $\lambda x$ . up·x) ` undiscr - ` to-nat - ` {.. $i$ })
    show {x::'a discr u. discr-approx i·x = x}  $\subseteq$  ?S
      unfolding discr-approx-def
      by (rule subsetI, case-tac x, simp, simp split: if-split-asm)
    show finite ?S
      by (simp add: finite-vimageI)
  qed
  qed

lemma discr-approx: approx-chain discr-approx
using chain-discr-approx lub-discr-approx finite-deflation-discr-approx
by (rule approx-chain.intro)

```

## 19.4 Class instance proofs

```

instance bifinite  $\subseteq$  profinite
proof

```

```

show  $\exists(a::nat \Rightarrow 'a_{\perp} \rightarrow 'a_{\perp}). approx\text{-chain } a$ 
  using bifinite [where 'a='a]
  by (fast intro!: approx-chain-u-map)
qed

instance u :: (profinite) bifinite
  by standard (rule profinite)

Types ' $a \rightarrow 'b$  and ' $a_{\perp} \rightarrow! 'b$  are isomorphic.

definition encode-cfun = ( $\Lambda f. sfun\text{-abs}\cdot(fup\cdot f)$ )
definition decode-cfun = ( $\Lambda g x. sfun\text{-rep}\cdot g\cdot(up\cdot x)$ )

lemma decode-encode-cfun [simp]: decode-cfun·(encode-cfun·x) = x
unfolding encode-cfun-def decode-cfun-def
by (simp add: eta-cfun)

lemma encode-decode-cfun [simp]: encode-cfun·(decode-cfun·y) = y
unfolding encode-cfun-def decode-cfun-def
apply (simp add: sfun-eq-iff strictify-cancel)
apply (rule cfun-eqI, case-tac x, simp-all)
done

instance cfun :: (profinite, bifinite) bifinite
proof
  obtain a :: nat  $\Rightarrow 'a_{\perp} \rightarrow 'a_{\perp}$  where a: approx-chain a
    using profinite ..
  obtain b :: nat  $\Rightarrow 'b \rightarrow 'b$  where b: approx-chain b
    using bifinite ..
  have approx-chain ( $\lambda i. decode\text{-cfun } oo sfun\text{-map}\cdot(a i)\cdot(b i) \ oo encode\text{-cfun}$ )
    using a b by (simp add: approx-chain-iso approx-chain-sfun-map)
  thus  $\exists(a::nat \Rightarrow ('a \rightarrow 'b) \rightarrow ('a \rightarrow 'b)). approx\text{-chain } a$ 
    by – (rule exI)
qed

Types ' $('a \times 'b)_{\perp}$  and ' $'a_{\perp} \otimes 'b_{\perp}$  are isomorphic.

definition encode-prod-u = ( $\Lambda(up\cdot(x, y)). (:up\cdot x, up\cdot y:)$ )
definition decode-prod-u = ( $\Lambda(:up\cdot x, up\cdot y:). up\cdot(x, y)$ )

lemma decode-encode-prod-u [simp]: decode-prod-u·(encode-prod-u·x) = x
unfolding encode-prod-u-def decode-prod-u-def
apply (cases x)
apply simp
subgoal for y by (cases y) simp
done

lemma encode-decode-prod-u [simp]: encode-prod-u·(decode-prod-u·y) = y
unfolding encode-prod-u-def decode-prod-u-def

```

```

apply (cases y)
apply simp
subgoal for a b
  apply (cases a, simp)
  apply (cases b, simp, simp)
done
done

instance prod :: (profinite, profinite) profinite
proof
  obtain a :: nat ⇒ 'a⊥ → 'a⊥ where a: approx-chain a
    using profinite ..
  obtain b :: nat ⇒ 'b⊥ → 'b⊥ where b: approx-chain b
    using profinite ..
  have approx-chain (λi. decode-prod-u oo sprod-map·(a i)·(b i) oo encode-prod-u)
    using a b by (simp add: approx-chain-iso approx-chain-sprod-map)
  thus ∃(a::nat ⇒ ('a × 'b)⊥ → ('a × 'b)⊥). approx-chain a
    by – (rule exI)
qed

instance prod :: (bifinite, bifinite) bifinite
proof
  show ∃(a::nat ⇒ ('a × 'b) → ('a × 'b)). approx-chain a
    using bifinite [where 'a='a] and bifinite [where 'a='b]
    by (fast intro!: approx-chain-prod-map)
qed

instance sfun :: (bifinite, bifinite) bifinite
proof
  show ∃(a::nat ⇒ ('a →! 'b) → ('a →! 'b)). approx-chain a
    using bifinite [where 'a='a] and bifinite [where 'a='b]
    by (fast intro!: approx-chain-sfun-map)
qed

instance sprod :: (bifinite, bifinite) bifinite
proof
  show ∃(a::nat ⇒ ('a ⊗ 'b) → ('a ⊗ 'b)). approx-chain a
    using bifinite [where 'a='a] and bifinite [where 'a='b]
    by (fast intro!: approx-chain-sprod-map)
qed

instance ssum :: (bifinite, bifinite) bifinite
proof
  show ∃(a::nat ⇒ ('a ⊕ 'b) → ('a ⊕ 'b)). approx-chain a
    using bifinite [where 'a='a] and bifinite [where 'a='b]
    by (fast intro!: approx-chain(ssum-map))
qed

lemma approx-chain-unit: approx-chain (⊥ :: nat ⇒ unit → unit)

```

```

by (simp add: approx-chain-def cfun-eq-iff finite-deflation-bottom)

instance unit :: bifinite
  by standard (fast intro!: approx-chain-unit)

instance discr :: (countable) profinite
  by standard (fast intro!: discr-approx)

instance lift :: (countable) bifinite
proof
  note [simp] = cont-Abs-lift cont-Rep-lift Rep-lift-inverse Abs-lift-inverse
  obtain a :: nat ⇒ ('a discr)⊥ → ('a discr)⊥ where a: approx-chain a
    using profinite ..
  hence approx-chain (λi. (Λ y. Abs-lift y) oo a i oo (Λ x. Rep-lift x))
    by (rule approx-chain-iso) simp-all
  thus ∃(a::nat ⇒ 'a lift → 'a lift). approx-chain a
    by – (rule exI)
qed

end

```

## 20 Defining algebraic domains by ideal completion

```

theory Completion
imports Cfun
begin

```

### 20.1 Ideals over a preorder

```

locale preorder =
  fixes r :: 'a::type ⇒ 'a ⇒ bool (infix ⊑ 50)
  assumes r-refl: x ⊑ x
  assumes r-trans: [|x ⊑ y; y ⊑ z|] ==> x ⊑ z
begin

definition
  ideal :: 'a set ⇒ bool where
  ideal A = ((∃ x. x ∈ A) ∧ (∀ x ∈ A. ∀ y ∈ A. ∃ z ∈ A. x ⊑ z ∧ y ⊑ z) ∧
  (∀ x y. x ⊑ y → y ∈ A → x ∈ A))

lemma idealI:
  assumes ∃ x. x ∈ A
  assumes ∀ x y. [|x ∈ A; y ∈ A|] ==> ∃ z ∈ A. x ⊑ z ∧ y ⊑ z
  assumes ∀ x y. [|x ⊑ y; y ∈ A|] ==> x ∈ A
  shows ideal A
  unfolding ideal-def using assms by fast

lemma idealD1:
  ideal A ==> ∃ x. x ∈ A

```

**unfolding** *ideal-def* **by** *fast*

**lemma** *idealD2*:

$\llbracket \text{ideal } A; x \in A; y \in A \rrbracket \implies \exists z \in A. x \preceq z \wedge y \preceq z$   
**unfolding** *ideal-def* **by** *fast*

**lemma** *idealD3*:

$\llbracket \text{ideal } A; x \preceq y; y \in A \rrbracket \implies x \in A$   
**unfolding** *ideal-def* **by** *fast*

**lemma** *ideal-principal*: *ideal* { $x$ .  $x \preceq z$ }

**apply** (*rule idealI*)  
**apply** (*rule exI [where*  $x = z$ *]*)  
**apply** (*fast intro: r-refl*)  
**apply** (*rule bexI [where*  $x = z$ *, fast*)  
**apply** (*fast intro: r-refl*)  
**apply** (*fast intro: r-trans*)  
**done**

**lemma** *ex-ideal*:  $\exists A. A \in \{\text{ideal } A\}$

**by** (*fast intro: ideal-principal*)

The set of ideals is a cpo

**lemma** *ideal-UN*:

**fixes**  $A :: \text{nat} \Rightarrow 'a \text{ set}$   
**assumes** *ideal-A*:  $\bigwedge i. \text{ideal } (A i)$   
**assumes** *chain-A*:  $\bigwedge i j. i \leq j \implies A i \subseteq A j$   
**shows** *ideal* ( $\bigcup i. A i$ )  
**apply** (*rule idealI*)  
**using** *idealD1* [*OF ideal-A*] **apply** *fast*  
**apply** (*clarify*)  
**subgoal for**  $i j$   
**apply** (*drule subsetD* [*OF chain-A* [*OF max.cobounded1*]])  
**apply** (*drule subsetD* [*OF chain-A* [*OF max.cobounded2*]])  
**apply** (*drule (1) idealD2* [*OF ideal-A*])  
**apply** *blast*  
**done**  
**apply** *clarify*  
**apply** (*drule (1) idealD3* [*OF ideal-A*])  
**apply** *fast*  
**done**

**lemma** *typedef-ideal-po*:

**fixes** *Abs* :: ' $a$  set  $\Rightarrow 'b::\text{below}$   
**assumes** *type*: *type-definition Rep Abs {S. ideal S}*  
**assumes** *below*:  $\bigwedge x y. x \sqsubseteq y \longleftrightarrow \text{Rep } x \subseteq \text{Rep } y$   
**shows** *OFCLASS('b, po-class)*  
**apply** (*intro-classes, unfold below*)  
**apply** (*rule subset-refl*)

```

apply (erule (1) subset-trans)
apply (rule type-definition.Rep-inject [OF type, THEN iffD1])
apply (erule (1) subset-antisym)
done

lemma
fixes Abs :: 'a set ⇒ 'b::po
assumes type: type-definition Rep Abs {S. ideal S}
assumes below: ∀x y. x ⊑ y ⟷ Rep x ⊆ Rep y
assumes S: chain S
shows typedef-ideal-lub: range S <<| Abs (⋃ i. Rep (S i))
  and typedef-ideal-rep-lub: Rep (⋃ i. S i) = (⋃ i. Rep (S i))

proof -
have 1: ideal (⋃ i. Rep (S i))
apply (rule ideal-UN)
  apply (rule type-definition.Rep [OF type, unfolded mem-Collect-eq])
  apply (subst below [symmetric])
  apply (erule chain-mono [OF S])
done

hence 2: Rep (Abs (⋃ i. Rep (S i))) = (⋃ i. Rep (S i))
  by (simp add: type-definition.Abs-inverse [OF type])
show 3: range S <<| Abs (⋃ i. Rep (S i))
  apply (rule is-lubI)
  apply (rule is-ubI)
  apply (simp add: below 2, fast)
  apply (simp add: below 2 is-ub-def, fast)
done

hence 4: (⋃ i. S i) = Abs (⋃ i. Rep (S i))
  by (rule lub-eqI)
show 5: Rep (⋃ i. S i) = (⋃ i. Rep (S i))
  by (simp add: 4 2)
qed

lemma typedef-ideal-cpo:
fixes Abs :: 'a set ⇒ 'b::po
assumes type: type-definition Rep Abs {S. ideal S}
assumes below: ∀x y. x ⊑ y ⟷ Rep x ⊆ Rep y
shows OFCLASS('b, cpo-class)
by standard (rule exI, erule typedef-ideal-lub [OF type below])

end

interpretation below: preorder below :: 'a::po ⇒ 'a ⇒ bool
apply unfold-locales
apply (rule below-refl)
apply (erule (1) below-trans)
done

```

## 20.2 Lemmas about least upper bounds

```

lemma is-ub-the-lub-ex:  $\exists u. S <<| u; x \in S \Rightarrow x \sqsubseteq \text{lub } S$ 
apply (erule exE, drule is-lub-lub)
apply (drule is-lubD1)
apply (erule (1) is-ubD)
done

```

```

lemma is-lub-the-lub-ex:  $\exists u. S <<| u; S <| x \Rightarrow \text{lub } S \sqsubseteq x$ 
by (erule exE, drule is-lub-lub, erule is-lubD2)

```

## 20.3 Locale for ideal completion

hide-const (open) *Filter.principal*

```

locale ideal-completion = preorder +
  fixes principal :: 'a::type  $\Rightarrow$  'b::cpo
  fixes rep :: 'b::cpo  $\Rightarrow$  'a::type set
  assumes ideal-rep:  $\bigwedge x. \text{ideal } (\text{rep } x)$ 
  assumes rep-lub:  $\bigwedge Y. \text{chain } Y \Rightarrow \text{rep } (\bigcup i. Y i) = (\bigcup i. \text{rep } (Y i))$ 
  assumes rep-principal:  $\bigwedge a. \text{rep } (\text{principal } a) = \{b. b \preceq a\}$ 
  assumes belowI:  $\bigwedge x y. \text{rep } x \subseteq \text{rep } y \Rightarrow x \sqsubseteq y$ 
  assumes countable:  $\exists f::'a \Rightarrow \text{nat}. \text{inj } f$ 
begin

lemma rep-mono:  $x \sqsubseteq y \Rightarrow \text{rep } x \subseteq \text{rep } y$ 
apply (frule bin-chain)
apply (drule rep-lub)
apply (simp only: lub-eqI [OF is-lub-bin-chain])
apply (rule subsetI, rule UN-I [where a=0], simp-all)
done

lemma below-def:  $x \sqsubseteq y \longleftrightarrow \text{rep } x \subseteq \text{rep } y$ 
by (rule iffI [OF rep-mono belowI])

lemma principal-below-iff-mem-rep:  $\text{principal } a \sqsubseteq x \longleftrightarrow a \in \text{rep } x$ 
unfolding below-def rep-principal
by (auto intro: r-refl elim: idealD3 [OF ideal-rep])

lemma principal-below-iff [simp]:  $\text{principal } a \sqsubseteq \text{principal } b \longleftrightarrow a \preceq b$ 
by (simp add: principal-below-iff-mem-rep rep-principal)

lemma principal-eq-iff:  $\text{principal } a = \text{principal } b \longleftrightarrow a \preceq b \wedge b \preceq a$ 
unfolding po-eq-conv [where 'a='b] principal-below-iff ..

lemma eq-iff:  $x = y \longleftrightarrow \text{rep } x = \text{rep } y$ 
unfolding po-eq-conv below-def by auto

lemma principal-mono:  $a \preceq b \Rightarrow \text{principal } a \sqsubseteq \text{principal } b$ 
by (simp only: principal-below-iff)

```

```
lemma ch2ch-principal [simp]:
   $\forall i. Y i \preceq Y (\text{Suc } i) \implies \text{chain} (\lambda i. \text{principal} (Y i))$ 
by (simp add: chainI principal-mono)
```

### 20.3.1 Principal ideals approximate all elements

```
lemma compact-principal [simp]: compact (principal a)
by (rule compactI2, simp add: principal-below-iff-mem-rep rep-lub)
```

Construct a chain whose lub is the same as a given ideal

```
lemma obtain-principal-chain:
  obtains Y where  $\forall i. Y i \preceq Y (\text{Suc } i)$  and  $x = (\bigsqcup i. \text{principal} (Y i))$ 
proof –
  obtain count :: 'a  $\Rightarrow$  nat where inj: inj count
    using countable ..
  define enum where enum i = (THE a. count a = i) for i
  have enum-count [simp]:  $\bigwedge x. \text{enum} (\text{count } x) = x$ 
    unfolding enum-def by (simp add: inj-eq [OF inj])
  define a where a = (LEAST i. enum i  $\in$  rep x)
  define b where b i = (LEAST j. enum j  $\in$  rep x  $\wedge$   $\neg$  enum j  $\preceq$  enum i) for i
  define c where c i j = (LEAST k. enum k  $\in$  rep x  $\wedge$  enum i  $\preceq$  enum k  $\wedge$  enum j  $\preceq$  enum k) for i j
  define P where P i  $\longleftrightarrow$  ( $\exists j. \text{enum } j \in \text{rep } x \wedge \neg \text{enum } j \preceq \text{enum } i$ ) for i
  define X where X = rec-nat a (λn i. if P i then c i (b i) else i)
  have X-0: X 0 = a unfolding X-def by simp
  have X-Suc:  $\bigwedge n. X (\text{Suc } n) = (\text{if } P (X n) \text{ then } c (X n) (\text{b } (X n)) \text{ else } X n)$ 
    unfolding X-def by simp
  have a-mem: enum a  $\in$  rep x
    unfolding a-def
    apply (rule LeastI-ex)
    apply (insert ideal-rep [of x])
    apply (drule idealD1)
    apply (clarify)
    subgoal for a by (rule exI [where x=count a]) simp
    done
  have b:  $\bigwedge i. P i \implies \text{enum } i \in \text{rep } x$ 
     $\implies \text{enum } (b i) \in \text{rep } x \wedge \neg \text{enum } (b i) \preceq \text{enum } i$ 
    unfolding P-def b-def by (erule LeastI2-ex, simp)
  have c:  $\bigwedge i j. \text{enum } i \in \text{rep } x \implies \text{enum } j \in \text{rep } x$ 
     $\implies \text{enum } (c i j) \in \text{rep } x \wedge \text{enum } i \preceq \text{enum } (c i j) \wedge \text{enum } j \preceq \text{enum } (c i j)$ 
    unfolding c-def
    apply (drule (1) idealD2 [OF ideal-rep], clarify)
    subgoal for ... z by (rule LeastI2 [where a=count z], simp, simp)
    done
  have X-mem: enum (X n)  $\in$  rep x for n
  proof (induct n)
    case 0
    then show ?case by (simp add: X-0 a-mem)
```

```

next
  case (Suc n)
    with b c show ?case by (auto simp: X-Suc)
  qed
have X-chain:  $\bigwedge n. \text{enum}(X n) \preceq \text{enum}(X(\text{Suc } n))$ 
  apply (clar simp simp add: X-Suc r-refl)
  apply (simp add: b c X-mem)
  done
have less-b:  $\bigwedge n i. n < b i \implies \text{enum } n \in \text{rep } x \implies \text{enum } n \preceq \text{enum } i$ 
  unfolding b-def by (drule not-less-Least, simp)
have X-covers:  $\forall k \leq n. \text{enum } k \in \text{rep } x \longrightarrow \text{enum } k \preceq \text{enum}(X n)$  for n
proof (induct n)
  case 0
  then show ?case
  apply (clar simp simp add: X-0 a-def)
  apply (drule Least-le [where k=0], simp add: r-refl)
  done
next
  case (Suc n)
  then show ?case
  apply clar simp
  apply (erule le-SucE)
  apply (rule r-trans [OF - X-chain], simp)
  apply (cases P(X n), simp add: X-Suc)
  apply (rule linorder-cases [where x=b(X n) and y=Suc n])
  apply (simp only: less-Suc-eq-le)
  apply (drule spec, drule (1) mp, simp add: b X-mem)
  apply (simp add: c X-mem)
  apply (drule (1) less-b)
  apply (erule r-trans)
  apply (simp add: b c X-mem)
  apply (simp add: X-Suc)
  apply (simp add: P-def)
  done
qed
have 1:  $\forall i. \text{enum}(X i) \preceq \text{enum}(X(\text{Suc } i))$ 
  by (simp add: X-chain)
have x = ( $\bigsqcup n. \text{principal}(\text{enum}(X n))$ )
  apply (simp add: eq-iff rep-lub 1 rep-principal)
  apply auto
subgoal for a
  apply (subgoal-tac  $\exists i. a = \text{enum } i$ , erule exE)
  apply (rule-tac x=i in exI, simp add: X-covers)
  apply (rule-tac x=count a in exI, simp)
  done
subgoal
  apply (erule idealD3 [OF ideal-rep])
  apply (rule X-mem)
  done

```

```

done
with 1 show ?thesis ..
qed

lemma principal-induct:
assumes adm: adm P
assumes P:  $\bigwedge a. P (\text{principal } a)$ 
shows P x
apply (rule obtain-principal-chain [of x])
apply (simp add: admD [OF adm] P)
done

lemma compact-imp-principal: compact x  $\implies \exists a. x = \text{principal } a$ 
apply (rule obtain-principal-chain [of x])
apply (drule adm-compact-neq [OF - cont-id])
apply (subgoal-tac chain ( $\lambda i. \text{principal} (Y i)$ ))
apply (drule (2) admD2, fast, simp)
done

```

## 20.4 Defining functions in terms of basis elements

### definition

```

extension :: ('a::type  $\Rightarrow$  'c::cpo)  $\Rightarrow$  'b  $\rightarrow$  'c where
extension = ( $\lambda f. (\Lambda x. \text{lub} (f \text{`rep } x))$ )

```

```

lemma extension-lemma:
fixes f :: 'a::type  $\Rightarrow$  'c::cpo
assumes f-mono:  $\bigwedge a b. a \preceq b \implies f a \sqsubseteq f b$ 
shows  $\exists u. f \text{`rep } x <<| u$ 
proof -
  obtain Y where Y:  $\forall i. Y i \preceq Y (\text{Suc } i)$ 
  and x: x = ( $\bigcup i. \text{principal} (Y i)$ )
    by (rule obtain-principal-chain [of x])
  have chain: chain ( $\lambda i. f (Y i)$ )
    by (rule chainI, simp add: f-mono Y)
  have rep-x: rep x = ( $\bigcup n. \{a. a \preceq Y n\}$ )
    by (simp add: x rep-lub Y rep-principal)
  have f ` rep x <<| ( $\bigcup n. f (Y n)$ )
    apply (rule is-lubI)
    apply (rule ub-imageI)
  subgoal for a
    apply (clarify simp add: rep-x)
    apply (drule f-mono)
    apply (erule below-lub [OF chain])
    done
  apply (rule lub-below [OF chain])
  subgoal for ... n
    apply (drule ub-imageD [where x=Y n])
    apply (simp add: rep-x, fast intro: r-refl)

```

```

apply assumption
done
done
then show ?thesis ..
qed

lemma extension-beta:
fixes f :: 'a::type => 'c::cpo
assumes f-mono:  $\bigwedge a b. a \preceq b \implies f a \sqsubseteq f b$ 
shows extension  $f \cdot x = \text{lub} (f \cdot \text{rep } x)$ 
unfolding extension-def
proof (rule beta-cfun)
have lub:  $\bigwedge x. \exists u. f \cdot \text{rep } x <<| u$ 
using f-mono by (rule extension-lemma)
show cont: cont ( $\lambda x. \text{lub} (f \cdot \text{rep } x)$ )
apply (rule contI2)
apply (rule monofunI)
apply (rule is-lub-the-lub-ex [OF lub ub-imageI])
apply (rule is-ub-the-lub-ex [OF lub imageI])
apply (erule (1) subsetD [OF rep-mono])
apply (rule is-lub-the-lub-ex [OF lub ub-imageI])
apply (simp add: rep-lub, clarify)
apply (erule rev-below-trans [OF is-ub-the-lub])
apply (erule is-ub-the-lub-ex [OF lub imageI])
done
qed

lemma extension-principal:
fixes f :: 'a::type => 'c::cpo
assumes f-mono:  $\bigwedge a b. a \preceq b \implies f a \sqsubseteq f b$ 
shows extension  $f \cdot (\text{principal } a) = f a$ 
apply (subst extension-beta, erule f-mono)
apply (subst rep-principal)
apply (rule lub-eqI)
apply (rule is-lub-maximal)
apply (rule ub-imageI)
apply (simp add: f-mono)
apply (rule imageI)
apply (simp add: r-refl)
done

lemma extension-mono:
assumes f-mono:  $\bigwedge a b. a \preceq b \implies f a \sqsubseteq f b$ 
assumes g-mono:  $\bigwedge a b. a \preceq b \implies g a \sqsubseteq g b$ 
assumes below:  $\bigwedge a. f a \sqsubseteq g a$ 
shows extension  $f \sqsubseteq \text{extension } g$ 
apply (rule cfun-belowI)
apply (simp only: extension-beta f-mono g-mono)
apply (rule is-lub-the-lub-ex)

```

```

apply (rule extension-lemma, erule f-mono)
apply (rule ub-imageI)
subgoal for x a
  apply (rule below-trans [OF below])
  apply (rule is-ub-thelub-ex)
    apply (rule extension-lemma, erule g-mono)
    apply (erule imageI)
    done
done

lemma cont-extension:
assumes f-mono:  $\bigwedge a b. a \preceq b \implies f x a \sqsubseteq f x b$ 
assumes f-cont:  $\bigwedge a. \text{cont}(\lambda x. f x a)$ 
shows cont ( $\lambda x. \text{extension}(\lambda a. f x a)$ )
apply (rule contI2)
apply (rule monofunI)
apply (rule extension-mono, erule f-mono, erule f-mono)
apply (erule cont2monofunE [OF f-cont])
apply (rule cfun-belowI)
apply (rule principal-induct, simp)
apply (simp only: contlub-cfun-fun)
apply (simp only: extension-principal f-mono)
apply (simp add: cont2contlubE [OF f-cont])
done

end

lemma (in preorder) typedef-ideal-completion:
fixes Abs :: ' $a$  set  $\Rightarrow$  ' $b$ :cpo
assumes type: type-definition Rep Abs { $S$ . ideal  $S$ }
assumes below:  $\bigwedge x y. x \sqsubseteq y \longleftrightarrow \text{Rep } x \subseteq \text{Rep } y$ 
assumes principal:  $\bigwedge a. \text{principal } a = \text{Abs} \{b. b \preceq a\}$ 
assumes countable:  $\exists f: 'a \Rightarrow \text{nat}. \text{inj } f$ 
shows ideal-completion r principal Rep
proof
interpret type-definition Rep Abs { $S$ . ideal  $S$ } by fact
fix a b :: ' $a$  and x y :: ' $b$  and Y :: nat  $\Rightarrow$  ' $b$ 
show ideal (Rep x)
  using Rep [of x] by simp
show chain Y  $\implies$  Rep ( $\bigsqcup i. Y i$ ) = ( $\bigcup i. \text{Rep} (Y i)$ )
  using type below by (rule typedef-ideal-rep-lub)
show Rep (principal a) = { $b. b \preceq a$ }
  by (simp add: principal Abs-inverse ideal-principal)
show Rep x  $\subseteq$  Rep y  $\implies$  x  $\sqsubseteq$  y
  by (simp only: below)
show  $\exists f: 'a \Rightarrow \text{nat}. \text{inj } f$ 
  by (rule countable)
qed

```

```
end
```

## 21 A universal bifinite domain

```
theory Universal
imports Bifinite Completion HOL-Library.Nat-Bijection
begin

no-notation binomial (infixl choose 65)

21.1 Basis for universal domain

21.1.1 Basis datatype

type-synonym ubasis = nat

definition
node :: nat ⇒ ubasis ⇒ ubasis set ⇒ ubasis
where
node i a S = Suc (prod-encode (i, prod-encode (a, set-encode S)))

lemma node-not-0 [simp]: node i a S ≠ 0
unfolding node-def by simp

lemma node-gt-0 [simp]: 0 < node i a S
unfolding node-def by simp

lemma node-inject [simp]:
[finite S; finite T]
implies node i a S = node j b T iff i = j ∧ a = b ∧ S = T
unfolding node-def by (simp add: prod-encode-eq set-encode-eq)

lemma node-gt0: i < node i a S
unfolding node-def less-Suc-eq-le
by (rule le-prod-encode-1)

lemma node-gt1: a < node i a S
unfolding node-def less-Suc-eq-le
by (rule order-trans [OF le-prod-encode-1 le-prod-encode-2])

lemma nat-less-power2: n < 2^n
by (fact less-exp)

lemma node-gt2: [finite S; b ∈ S] implies b < node i a S
unfolding node-def less-Suc-eq-le set-encode-def
apply (rule order-trans [OF - le-prod-encode-2])
apply (rule order-trans [OF - le-prod-encode-2])
apply (rule order-trans [where y=sum ((↑ 2) {b})])
apply (simp add: nat-less-power2 [THEN order-less-imp-le])
```

```

apply (erule sum-mono2, simp, simp)
done

lemma eq-prod-encode-pairI:
   $\llbracket \text{fst}(\text{prod-decode } x) = a; \text{snd}(\text{prod-decode } x) = b \rrbracket \implies x = \text{prod-encode}(a, b)$ 
  by (erule subst, erule subst, simp)

lemma node-cases:
  assumes 1:  $x = 0 \implies P$ 
  assumes 2:  $\bigwedge i a S. \llbracket \text{finite } S; x = \text{node } i a S \rrbracket \implies P$ 
  shows  $P$ 
  apply (cases x)
  apply (erule 1)
  apply (rule 2)
  apply (rule finite-set-decode)
  apply (simp add: node-def)
  apply (rule eq-prod-encode-pairI [OF refl])
  apply (rule eq-prod-encode-pairI [OF refl refl])
done

lemma node-induct:
  assumes 1:  $P 0$ 
  assumes 2:  $\bigwedge i a S. \llbracket P a; \text{finite } S; \forall b \in S. P b \rrbracket \implies P(\text{node } i a S)$ 
  shows  $P x$ 
  apply (induct x rule: nat-less-induct)
  apply (case-tac n rule: node-cases)
  apply (simp add: 1)
  apply (simp add: 2 node-gt1 node-gt2)
done

```

### 21.1.2 Basis ordering

```

inductive
  ubasis-le :: nat  $\Rightarrow$  nat  $\Rightarrow$  bool
where
  ubasis-le-refl: ubasis-le a a
  | ubasis-le-trans:
     $\llbracket \text{ubasis-le } a b; \text{ubasis-le } b c \rrbracket \implies \text{ubasis-le } a c$ 
  | ubasis-le-lower:
     $\text{finite } S \implies \text{ubasis-le } a (\text{node } i a S)$ 
  | ubasis-le-upper:
     $\llbracket \text{finite } S; b \in S; \text{ubasis-le } a b \rrbracket \implies \text{ubasis-le } (\text{node } i a S) b$ 

lemma ubasis-le-minimal: ubasis-le 0 x
  apply (induct x rule: node-induct)
  apply (rule ubasis-le-refl)
  apply (erule ubasis-le-trans)
  apply (erule ubasis-le-lower)
done

```

```

interpretation udom: preorder ubasis-le
apply standard
apply (rule ubasis-le-refl)
apply (erule (1) ubasis-le-trans)
done

21.1.3 Generic take function

function
  ubasis-until :: (ubasis ⇒ bool) ⇒ ubasis ⇒ ubasis
where
  ubasis-until P 0 = 0
  | finite S ⇒ ubasis-until P (node i a S) =
    (if P (node i a S) then node i a S else ubasis-until P a)
  apply clarify
  apply (rule-tac x=b in node-cases)
  apply simp-all
done

termination ubasis-until
apply (relation measure snd)
apply (rule wf-measure)
apply (simp add: node-gt1)
done

lemma ubasis-until: P 0 ⇒ P (ubasis-until P x)
by (induct x rule: node-induct) simp-all

lemma ubasis-until': 0 < ubasis-until P x ⇒ P (ubasis-until P x)
by (induct x rule: node-induct) auto

lemma ubasis-until-same: P x ⇒ ubasis-until P x = x
by (induct x rule: node-induct) simp-all

lemma ubasis-until-idem:
  P 0 ⇒ ubasis-until P (ubasis-until P x) = ubasis-until P x
by (rule ubasis-until-same [OF ubasis-until])

lemma ubasis-until-0:
  ∀ x. x ≠ 0 → ¬ P x ⇒ ubasis-until P x = 0
by (induct x rule: node-induct) simp-all

lemma ubasis-until-less: ubasis-le (ubasis-until P x) x
apply (induct x rule: node-induct)
apply (simp add: ubasis-le-refl)
apply (simp add: ubasis-le-refl)
apply (rule impI)
apply (erule ubasis-le-trans)

```

```

apply (erule ubasis-le-lower)
done

lemma ubasis-until-chain:
assumes PQ:  $\bigwedge x. P x \implies Q x$ 
shows ubasis-le (ubasis-until P x) (ubasis-until Q x)
apply (induct x rule: node-induct)
apply (simp add: ubasis-le-refl)
apply (simp add: ubasis-le-refl)
apply (simp add: PQ)
apply clarify
apply (rule ubasis-le-trans)
apply (rule ubasis-until-less)
apply (erule ubasis-le-lower)
done

lemma ubasis-until-mono:
assumes  $\bigwedge i a S b. [\text{finite } S; P (\text{node } i a S); b \in S; \text{ubasis-le } a b] \implies P b$ 
shows ubasis-le a b  $\implies$  ubasis-le (ubasis-until P a) (ubasis-until P b)
proof (induct set: ubasis-le)
  case (ubasis-le-refl a) show ?case by (rule ubasis-le.ubasis-le-refl)
next
  case (ubasis-le-trans a b c) thus ?case by – (rule ubasis-le.ubasis-le-trans)
next
  case (ubasis-le-lower S a i) thus ?case
    apply (clarsimp simp add: ubasis-le-refl)
    apply (rule ubasis-le-trans [OF ubasis-until-less])
    apply (erule ubasis-le.ubasis-le-lower)
    done
next
  case (ubasis-le-upper S b a i) thus ?case
    applyclarsimp
    apply (subst ubasis-until-same)
    apply (erule (3) assms)
    apply (erule (2) ubasis-le.ubasis-le-upper)
    done
qed

lemma finite-range-ubasis-until:
finite {x. P x}  $\implies$  finite (range (ubasis-until P))
apply (rule finite-subset [where B=insert 0 {x. P x}])
apply (clarsimp simp add: ubasis-until')
apply simp
done

```

## 21.2 Defining the universal domain by ideal completion

```

typedef udom = {S. udom.ideal S}
by (rule udom.ex-ideal)

```

```

instantiation udom :: below
begin

definition
 $x \sqsubseteq y \longleftrightarrow \text{Rep-udom } x \subseteq \text{Rep-udom } y$ 

instance ..
end

instance udom :: po
using type-definition-udom below-udom-def
by (rule udom.typedef-ideal-po)

instance udom :: cpo
using type-definition-udom below-udom-def
by (rule udom.typedef-ideal-cpo)

definition
udom-principal :: nat  $\Rightarrow$  udom where
udom-principal t = Abs-udom {u. ubasis-le u t}

lemma ubasis-countable:  $\exists f::\text{ubasis} \Rightarrow \text{nat}$ . inj f
by (rule exI, rule inj-on-id)

interpretation udom:
ideal-completion ubasis-le udom-principal Rep-udom
using type-definition-udom below-udom-def
using udom-principal-def ubasis-countable
by (rule udom.typedef-ideal-completion)

```

Universal domain is pointed

```

lemma udom-minimal: udom-principal 0  $\sqsubseteq$  x
apply (induct x rule: udom.principal-induct)
apply (simp, simp add: ubasis-le-minimal)
done

```

```

instance udom :: pcpo
by intro-classes (fast intro: udom-minimal)

```

```

lemma inst-udom-pcpo:  $\perp = \text{udom-principal } 0$ 
by (rule udom-minimal [THEN bottomI, symmetric])

```

### 21.3 Compact bases of domains

```

typedef 'a compact-basis = {x:'a::pcpo. compact x}
by auto

```

```

lemma Rep-compact-basis' [simp]: compact (Rep-compact-basis a)

```

```

by (rule Rep-compact-basis [unfolded mem-Collect-eq])

lemma Abs-compact-basis-inverse' [simp]:
  compact x ==> Rep-compact-basis (Abs-compact-basis x) = x
by (rule Abs-compact-basis-inverse [unfolded mem-Collect-eq])

instantiation compact-basis :: (pcpo) below
begin

definition
  compact-le-def:
  ( $\sqsubseteq$ )  $\equiv (\lambda x y. \text{Rep-compact-basis } x \sqsubseteq \text{Rep-compact-basis } y)$ 

instance ..
end

instance compact-basis :: (pcpo) po
using type-definition-compact-basis compact-le-def
by (rule typedef-po)

definition
  approximants :: ' $a \Rightarrow 'a$  compact-basis set where
  approximants =  $(\lambda x. \{a. \text{Rep-compact-basis } a \sqsubseteq x\})$ 

definition
  compact-bot :: ' $a::\text{pcpo}$  compact-basis where
  compact-bot = Abs-compact-basis  $\perp$ 

lemma Rep-compact-bot [simp]: Rep-compact-basis compact-bot =  $\perp$ 
unfolding compact-bot-def by simp

lemma compact-bot-minimal [simp]: compact-bot  $\sqsubseteq a$ 
unfolding compact-le-def Rep-compact-bot by simp

```

## 21.4 Universality of *udom*

We use a locale to parameterize the construction over a chain of approx functions on the type to be embedded.

```

locale bifinite-approx-chain =
  approx-chain approx for approx :: nat  $\Rightarrow 'a::\text{bifinite} \rightarrow 'a$ 
begin

```

### 21.4.1 Choosing a maximal element from a finite set

```

lemma finite-has-maximal:
  fixes A :: ' $a$  compact-basis set
  shows  $\llbracket \text{finite } A; A \neq \{\} \rrbracket \implies \exists x \in A. \forall y \in A. x \sqsubseteq y \longrightarrow x = y$ 
  proof (induct rule: finite-ne-induct)
    case (singleton x)

```

```

show ?case by simp
next
  case (insert a A)
  from ⟨∃ x∈A. ∀ y∈A. x ⊑ y → x = y⟩
  obtain x where x: x ∈ A
    and x-eq: ∀y. [y ∈ A; x ⊑ y] ⇒ x = y by fast
  show ?case
  proof (intro bexI ballI impI)
    fix y
    assume y ∈ insert a A and (if x ⊑ a then a else x) ⊑ y
    thus (if x ⊑ a then a else x) = y
      apply auto
      apply (frule (1) below-trans)
      apply (frule (1) x-eq)
      apply (rule below-antisym, assumption)
      apply simp
      apply (erule (1) x-eq)
    done
  next
    show (if x ⊑ a then a else x) ∈ insert a A
    by (simp add: x)
  qed
qed

definition
choose :: 'a compact-basis set ⇒ 'a compact-basis
where
choose A = (SOME x. x ∈ {x∈A. ∀ y∈A. x ⊑ y → x = y})

lemma choose-lemma:
  [|finite A; A ≠ {}|] ⇒ choose A ∈ {x∈A. ∀ y∈A. x ⊑ y → x = y}
unfolding choose-def
apply (rule someI-ex)
apply (frule (1) finite-has-maximal, fast)
done

lemma maximal-choose:
  [|finite A; y ∈ A; choose A ⊑ y|] ⇒ choose A = y
apply (cases A = {}, simp)
apply (frule (1) choose-lemma, simp)
done

lemma choose-in: [|finite A; A ≠ {}|] ⇒ choose A ∈ A
by (frule (1) choose-lemma, simp)

function
choose-pos :: 'a compact-basis set ⇒ 'a compact-basis ⇒ nat
where
choose-pos A x =

```

```

(if finite A ∧ x ∈ A ∧ x ≠ choose A
  then Suc (choose-pos (A - {choose A}) x) else 0)
by auto

termination choose-pos
apply (relation measure (card ∘ fst), simp)
apply clar simp
apply (rule card-Diff1-less)
apply assumption
apply (erule choose-in)
apply clar simp
done

declare choose-pos.simps [simp del]

lemma choose-pos-choose: finite A ⇒ choose-pos A (choose A) = 0
by (simp add: choose-pos.simps)

lemma inj-on-choose-pos [OF refl]:
  [| card A = n; finite A |] ⇒ inj-on (choose-pos A) A
apply (induct n arbitrary: A)
apply simp
apply (case-tac A = {}, simp)
apply (frule (1) choose-in)
apply (rule inj-onI)
apply (drule-tac x=A - {choose A} in meta-spec, simp)
apply (simp add: choose-pos.simps)
apply (simp split: if-split-asm)
apply (erule (1) inj-onD, simp, simp)
done

lemma choose-pos-bounded [OF refl]:
  [| card A = n; finite A; x ∈ A |] ⇒ choose-pos A x < n
apply (induct n arbitrary: A)
apply simp
apply (case-tac A = {}, simp)
apply (frule (1) choose-in)
apply (subst choose-pos.simps)
apply simp
done

lemma choose-pos-lessD:
  [| choose-pos A x < choose-pos A y; finite A; x ∈ A; y ∈ A |] ⇒ x ⊏ y
apply (induct A x arbitrary: y rule: choose-pos.induct)
apply simp
apply (case-tac x = choose A)
apply simp
apply (rule notI)
apply (frule (2) maximal-choose)

```

```

apply simp
apply (case-tac  $y = \text{choose } A$ )
  apply (simp add: choose-pos-choose)
apply (drule-tac  $x=y$  in meta-spec)
apply simp
apply (erule meta-mp)
apply (simp add: choose-pos.simps)
done

```

### 21.4.2 Compact basis take function

```

primrec
  cb-take :: nat  $\Rightarrow$  'a compact-basis  $\Rightarrow$  'a compact-basis where
  cb-take 0 = ( $\lambda x.$  compact-bot)
  | cb-take (Suc n) = ( $\lambda a.$  Abs-compact-basis (approx n · (Rep-compact-basis a)))
declare cb-take.simps [simp del]

lemma cb-take-zero [simp]: cb-take 0 a = compact-bot
by (simp only: cb-take.simps)

lemma Rep-cb-take:
  Rep-compact-basis (cb-take (Suc n) a) = approx n · (Rep-compact-basis a)
by (simp add: cb-take.simps(2))

lemmas approx-Rep-compact-basis = Rep-cb-take [symmetric]

lemma cb-take-covers:  $\exists n.$  cb-take n x = x
apply (subgoal-tac  $\exists n.$  cb-take (Suc n) x = x, fast)
apply (simp add: Rep-compact-basis-inject [symmetric])
apply (simp add: Rep-cb-take)
apply (rule compact-eq-approx)
apply (rule Rep-compact-basis')
done

lemma cb-take-less: cb-take n x  $\sqsubseteq$  x
unfolding compact-le-def
by (cases n, simp, simp add: Rep-cb-take approx-below)

lemma cb-take-idem: cb-take n (cb-take n x) = cb-take n x
unfolding Rep-compact-basis-inject [symmetric]
by (cases n, simp, simp add: Rep-cb-take approx-idem)

lemma cb-take-mono:  $x \sqsubseteq y \Rightarrow$  cb-take n x  $\sqsubseteq$  cb-take n y
unfolding compact-le-def
by (cases n, simp, simp add: Rep-cb-take monofun-cfun-arg)

lemma cb-take-chain-le:  $m \leq n \Rightarrow$  cb-take m x  $\sqsubseteq$  cb-take n x
unfolding compact-le-def

```

```

apply (cases m, simp, cases n, simp)
apply (simp add: Rep-cb-take, rule chain-mono, simp, simp)
done

lemma finite-range-cb-take: finite (range (cb-take n))
apply (cases n)
apply (subgoal-tac range (cb-take 0) = {compact-bot}, simp, force)
apply (rule finite-imageD [where f=Rep-compact-basis])
apply (rule finite-subset [where B=range (λx. approx (n - 1)·x)])
apply (clarsimp simp add: Rep-cb-take)
apply (rule finite-range-approx)
apply (rule inj-onI, simp add: Rep-compact-basis-inject)
done

```

### 21.4.3 Rank of basis elements

**definition**

rank :: 'a compact-basis ⇒ nat

**where**

rank x = (LEAST n. cb-take n x = x)

```

lemma compact-approx-rank: cb-take (rank x) x = x
unfolding rank-def
apply (rule LeastI-ex)
apply (rule cb-take-covers)
done

```

```

lemma rank-leD: rank x ≤ n ⟹ cb-take n x = x
apply (rule below-antisym [OF cb-take-less])
apply (subst compact-approx-rank [symmetric])
apply (erule cb-take-chain-le)
done

```

```

lemma rank-leI: cb-take n x = x ⟹ rank x ≤ n
unfolding rank-def by (rule Least-le)

```

```

lemma rank-le-iff: rank x ≤ n ⟷ cb-take n x = x
by (rule iffI [OF rank-leD rank-leI])

```

```

lemma rank-compact-bot [simp]: rank compact-bot = 0
using rank-leI [of 0 compact-bot] by simp

```

```

lemma rank-eq-0-iff [simp]: rank x = 0 ⟷ x = compact-bot
using rank-le-iff [of x 0] by auto

```

**definition**

rank-le :: 'a compact-basis ⇒ 'a compact-basis set

**where**

rank-le x = {y. rank y ≤ rank x}

```

definition
  rank-lt :: 'a compact-basis ⇒ 'a compact-basis set
where
  rank-lt x = {y. rank y < rank x}

definition
  rank-eq :: 'a compact-basis ⇒ 'a compact-basis set
where
  rank-eq x = {y. rank y = rank x}

lemma rank-eq-cong: rank x = rank y ⇒ rank-eq x = rank-eq y
unfolding rank-eq-def by simp

lemma rank-lt-cong: rank x = rank y ⇒ rank-lt x = rank-lt y
unfolding rank-lt-def by simp

lemma rank-eq-subset: rank-eq x ⊆ rank-le x
unfolding rank-eq-def rank-le-def by auto

lemma rank-lt-subset: rank-lt x ⊆ rank-le x
unfolding rank-lt-def rank-le-def by auto

lemma finite-rank-le: finite (rank-le x)
unfolding rank-le-def
apply (rule finite-subset [where B=range (cb-take (rank x))])
apply clarify
apply (rule range-eqI)
apply (erule rank-leD [symmetric])
apply (rule finite-range-cb-take)
done

lemma finite-rank-eq: finite (rank-eq x)
by (rule finite-subset [OF rank-eq-subset finite-rank-le])

lemma finite-rank-lt: finite (rank-lt x)
by (rule finite-subset [OF rank-lt-subset finite-rank-le])

lemma rank-lt-Int-rank-eq: rank-lt x ∩ rank-eq x = {}
unfolding rank-lt-def rank-eq-def rank-le-def by auto

lemma rank-lt-Un-rank-eq: rank-lt x ∪ rank-eq x = rank-le x
unfolding rank-lt-def rank-eq-def rank-le-def by auto

```

#### 21.4.4 Sequencing basis elements

```

definition
  place :: 'a compact-basis ⇒ nat
where

```

```

place x = card (rank-lt x) + choose-pos (rank-eq x) x

lemma place-bounded: place x < card (rank-le x)
unfolding place-def
  apply (rule ord-less-eq-trans)
  apply (rule add-strict-left-mono)
  apply (rule choose-pos-bounded)
  apply (rule finite-rank-eq)
  apply (simp add: rank-eq-def)
  apply (subst card-Un-disjoint [symmetric])
    apply (rule finite-rank-lt)
    apply (rule finite-rank-eq)
    apply (rule rank-lt-Int-rank-eq)
  apply (simp add: rank-lt-Un-rank-eq)
done

lemma place-ge: card (rank-lt x) ≤ place x
unfolding place-def by simp

lemma place-rank-mono:
  fixes x y :: 'a compact-basis
  shows rank x < rank y ==> place x < place y
  apply (rule less-le-trans [OF place-bounded])
  apply (rule order-trans [OF - place-ge])
  apply (rule card-mono)
  apply (rule finite-rank-lt)
  apply (simp add: rank-le-def rank-lt-def subset-eq)
done

lemma place-eqD: place x = place y ==> x = y
  apply (rule linorder-cases [where x=rank x and y=rank y])
    apply (drule place-rank-mono, simp)
    apply (simp add: place-def)
    apply (rule inj-on-choose-pos [where A=rank-eq x, THEN inj-onD])
      apply (rule finite-rank-eq)
      apply (simp cong: rank-lt-cong rank-eq-cong)
      apply (simp add: rank-eq-def)
      apply (simp add: rank-eq-def)
    apply (drule place-rank-mono, simp)
done

lemma inj-place: inj place
by (rule inj-onI, erule place-eqD)

```

#### 21.4.5 Embedding and projection on basis elements

##### definition

```

sub :: 'a compact-basis => 'a compact-basis
where

```

```

sub x = (case rank x of 0 ⇒ compact-bot | Suc k ⇒ cb-take k x)

lemma rank-sub-less: x ≠ compact-bot ⇒ rank (sub x) < rank x
  unfolding sub-def
  apply (cases rank x, simp)
  apply (simp add: less-Suc-eq-le)
  apply (rule rank-leI)
  apply (rule cb-take-idem)
  done

lemma place-sub-less: x ≠ compact-bot ⇒ place (sub x) < place x
  apply (rule place-rank-mono)
  apply (erule rank-sub-less)
  done

lemma sub-below: sub x ⊑ x
  unfolding sub-def by (cases rank x, simp-all add: cb-take-less)

lemma rank-less-imp-below-sub: [|x ⊑ y; rank x < rank y|] ⇒ x ⊑ sub y
  unfolding sub-def
  apply (cases rank y, simp)
  apply (simp add: less-Suc-eq-le)
  apply (subgoal-tac cb-take nat x ⊑ cb-take nat y)
  apply (simp add: rank-leD)
  apply (erule cb-take-mono)
  done

function basis-emb :: 'a compact-basis ⇒ ubasis
  where basis-emb x = (if x = compact-bot then 0 else
    node (place x) (basis-emb (sub x)))
    (basis-emb ` {y. place y < place x ∧ x ⊑ y}))
  by simp-all

termination basis-emb
  by (relation measure place) (simp-all add: place-sub-less)

declare basis-emb.simps [simp del]

lemma basis-emb-compact-bot [simp]:
  basis-emb compact-bot = 0
  using basis-emb.simps [of compact-bot] by simp

lemma basis-emb-rec:
  basis-emb x = node (place x) (basis-emb (sub x)) (basis-emb ` {y. place y < place
  x ∧ x ⊑ y})
  if x ≠ compact-bot
  using that basis-emb.simps [of x] by simp

lemma basis-emb-eq-0-iff [simp]:

```

```

basis-emb  $x = 0 \longleftrightarrow x = \text{compact-bot}$ 
by (cases  $x = \text{compact-bot}$ ) (simp-all add: basis-emb-rec)

```

**lemma**  $\text{fin1}: \text{finite } \{y. \text{place } y < \text{place } x \wedge x \sqsubseteq y\}$

**apply** (*subst Collect-conj-eq*)  
**apply** (*rule finite-Int*)  
**apply** (*rule disjI1*)  
**apply** (*subgoal-tac finite (place -` {n. n < place x}), simp*)  
**apply** (*rule finite-vimageI [OF - inj-place]*)  
**apply** (*simp add: lessThan-def [symmetric]*)  
**done**

**lemma**  $\text{fin2}: \text{finite } (\text{basis-emb} ` \{y. \text{place } y < \text{place } x \wedge x \sqsubseteq y\})$

**by** (*rule finite-imageI [OF fin1]*)

**lemma** *rank-place-mono*:

$[\text{place } x < \text{place } y; x \sqsubseteq y] \implies \text{rank } x < \text{rank } y$

**apply** (*rule linorder-cases, assumption*)  
**apply** (*simp add: place-def cong: rank-lt-cong rank-eq-cong*)  
**apply** (*drule choose-pos-lessD*)  
**apply** (*rule finite-rank-eq*)  
**apply** (*simp add: rank-eq-def*)  
**apply** (*simp add: rank-eq-def*)  
**apply** *simp*  
**apply** (*drule place-rank-mono, simp*)  
**done**

**lemma** *basis-emb-mono*:

$x \sqsubseteq y \implies \text{ubasis-le} (\text{basis-emb } x) (\text{basis-emb } y)$

**proof** (*induct max (place x) (place y) arbitrary: x y rule: less-induct*)  
**case** *less*  
**show** ?case **proof** (*rule linorder-cases*)  
**assume** *place x < place y*  
**then have** *rank x < rank y*  
**using** ⟨*x ⊑ y*⟩ **by** (*rule rank-place-mono*)  
**with** ⟨*place x < place y*⟩ **show** ?case  
**apply** (*case-tac y = compact-bot, simp*)  
**apply** (*simp add: basis-emb.simps [of y]*)  
**apply** (*rule ubasis-le-trans [OF - ubasis-le-lower [OF fin2]]*)  
**apply** (*rule less*)  
**apply** (*simp add: less-max-iff-disj*)  
**apply** (*erule place-sub-less*)  
**apply** (*erule rank-less-imp-below-sub [OF ⟨x ⊑ y⟩]*)  
**done**

**next**  
**assume** *place x = place y*  
**hence**  $x = y$  **by** (*rule place-eqD*)  
**thus** ?case **by** (*simp add: ubasis-le-refl*)  
**next**

```

assume place x > place y
with ⟨x ⊑ y⟩ show ?case
  apply (case-tac x = compact-bot, simp add: ubasis-le-minimal)
  apply (simp add: basis-emb.simps [of x])
  apply (rule ubasis-le-upper [OF fin2], simp)
  apply (rule less)
  apply (simp add: less-max-iff-disj)
  apply (erule place-sub-less)
  apply (erule rev-below-trans)
  apply (rule sub-below)
  done
qed
qed

lemma inj-basis-emb: inj basis-emb
proof (rule injI)
  fix x y
  assume basis-emb x = basis-emb y
  then show x = y
  by (cases x = compact-bot ∨ y = compact-bot) (auto simp add: basis-emb-rec
fin2 place-eqD)
qed

definition
  basis-prj :: ubasis ⇒ 'a compact-basis
where
  basis-prj x = inv basis-emb
  (ubasis-until (λx. x ∈ range (basis-emb :: 'a compact-basis ⇒ ubasis)) x)

lemma basis-prj-basis-emb: ∀x. basis-prj (basis-emb x) = x
unfolding basis-prj-def
  apply (subst ubasis-until-same)
  apply (rule rangeI)
  apply (rule inv-f-f)
  apply (rule inj-basis-emb)
  done

lemma basis-prj-node:
  [|finite S; node i a S ∉ range (basis-emb :: 'a compact-basis ⇒ nat)|]
    ==> basis-prj (node i a S) = (basis-prj a :: 'a compact-basis)
unfolding basis-prj-def by simp

lemma basis-prj-0: basis-prj 0 = compact-bot
apply (subst basis-emb-compact-bot [symmetric])
apply (rule basis-prj-basis-emb)
done

lemma node-eq-basis-emb-iff:
  finite S ==> node i a S = basis-emb x ↔

```

```

 $x \neq \text{compact-bot} \wedge i = \text{place } x \wedge a = \text{basis-emb} (\text{sub } x) \wedge$ 
 $S = \text{basis-emb} ` \{y. \text{place } y < \text{place } x \wedge x \sqsubseteq y\}$ 
apply (cases  $x = \text{compact-bot}$ , simp)
apply (simp add: basis-emb.simps [of x])
apply (simp add: fin2)
done

lemma basis-prj-mono: ubasis-le  $a b \implies \text{basis-prj } a \sqsubseteq \text{basis-prj } b$ 
proof (induct  $a b$  rule: ubasis-le.induct)
  case (ubasis-le-refl  $a$ ) show ?case by (rule below-refl)
next
  case (ubasis-le-trans  $a b c$ ) thus ?case by – (rule below-trans)
next
  case (ubasis-le-lower  $S a i$ ) thus ?case
    apply (cases  $\text{node } i a S \in \text{range} (\text{basis-emb} :: 'a \text{ compact-basis} \Rightarrow \text{nat})$ )
    apply (erule rangeE, rename-tac  $x$ )
    apply (simp add: basis-prj-basis-emb)
    apply (simp add: node-eq-basis-emb-iff)
    apply (simp add: basis-prj-basis-emb)
    apply (rule sub-below)
    apply (simp add: basis-prj-node)
    done
next
  case (ubasis-le-upper  $S b a i$ ) thus ?case
    apply (cases  $\text{node } i a S \in \text{range} (\text{basis-emb} :: 'a \text{ compact-basis} \Rightarrow \text{nat})$ )
    apply (erule rangeE, rename-tac  $x$ )
    apply (simp add: basis-prj-basis-emb)
    apply (clarsimp simp add: node-eq-basis-emb-iff)
    apply (simp add: basis-prj-basis-emb)
    apply (simp add: basis-prj-node)
    done
qed

lemma basis-emb-prj-less: ubasis-le (basis-emb (basis-prj  $x$ ))  $x$ 
unfolding basis-prj-def
apply (subst f-inv-into-f [where  $f = \text{basis-emb}$ ])
apply (rule ubasis-until)
apply (rule range-eqI [where  $x = \text{compact-bot}$ ])
apply simp
apply (rule ubasis-until-less)
done

lemma ideal-completion:
  ideal-completion below Rep-compact-basis (approximants :: 'a  $\Rightarrow$   $\neg$ )
proof
  fix  $w :: 'a$ 
  show below.ideal (approximants w)
  proof (rule below.idealI)
    have Abs-compact-basis (approx 0 · w)  $\in$  approximants w

```

```

by (simp add: approximants-def approx-below)
thus  $\exists x. x \in \text{approximants } w ..$ 
next
fix  $x y :: 'a \text{ compact-basis}$ 
assume  $x: x \in \text{approximants } w \text{ and } y: y \in \text{approximants } w$ 
obtain  $i$  where  $i: \text{approx } i \cdot (\text{Rep-compact-basis } x) = \text{Rep-compact-basis } x$ 
  using compact-eq-approx Rep-compact-basis' by fast
obtain  $j$  where  $j: \text{approx } j \cdot (\text{Rep-compact-basis } y) = \text{Rep-compact-basis } y$ 
  using compact-eq-approx Rep-compact-basis' by fast
let  $?z = \text{Abs-compact-basis } (\text{approx } (\max i j) \cdot w)$ 
have  $?z \in \text{approximants } w$ 
  by (simp add: approximants-def approx-below)
moreover from  $x y$  have  $x \sqsubseteq ?z \wedge y \sqsubseteq ?z$ 
  by (simp add: approximants-def compact-le-def)
  (metis i j monofun-cfun chain-mono chain-approx max.cobounded1 max.cobounded2)
ultimately show  $\exists z \in \text{approximants } w. x \sqsubseteq z \wedge y \sqsubseteq z ..$ 
next
fix  $x y :: 'a \text{ compact-basis}$ 
assume  $x \sqsubseteq y y \in \text{approximants } w$  thus  $x \in \text{approximants } w$ 
  unfolding approximants-def compact-le-def
  by (auto elim: below-trans)
qed
next
fix  $Y :: \text{nat} \Rightarrow 'a$ 
assume chain  $Y$ 
thus  $\text{approximants } (\bigsqcup i. Y i) = (\bigcup i. \text{approximants } (Y i))$ 
  unfolding approximants-def
  by (auto simp add: compact-below-lub-iff)
next
fix  $a :: 'a \text{ compact-basis}$ 
show  $\text{approximants } (\text{Rep-compact-basis } a) = \{b. b \sqsubseteq a\}$ 
  unfolding approximants-def compact-le-def ..
next
fix  $x y :: 'a$ 
assume  $\text{approximants } x \subseteq \text{approximants } y$ 
hence  $\forall z. \text{compact } z \longrightarrow z \sqsubseteq x \longrightarrow z \sqsubseteq y$ 
  by (simp add: approximants-def subset-eq)
  (metis Abs-compact-basis-inverse')
hence  $(\bigsqcup i. \text{approx } i \cdot x) \sqsubseteq y$ 
  by (simp add: lub-below approx-below)
thus  $x \sqsubseteq y$ 
  by (simp add: lub-distrib)
next
show  $\exists f :: 'a \text{ compact-basis} \Rightarrow \text{nat}. \text{inj } f$ 
  by (rule exI, rule inj-place)
qed
end

```

**interpretation** *compact-basis*:  
*ideal-completion below Rep-compact-basis*  
*approximants* :: '*a*::bifinite  $\Rightarrow$  '*a* *compact-basis* set  
**proof** –  
**obtain** *a* :: nat  $\Rightarrow$  '*a*  $\rightarrow$  '*a* **where** *approx-chain* *a*  
**using** *bifinite* ..  
**hence** *bifinite-approx-chain* *a*  
**unfolding** *bifinite-approx-chain-def* .  
**thus** *ideal-completion below Rep-compact-basis* (*approximants* :: '*a*  $\Rightarrow$  -)  
**by** (rule *bifinite-approx-chain.ideal-completion*)  
**qed**

#### 21.4.6 EP-pair from any bifinite domain into *udom*

**context** *bifinite-approx-chain* begin

**definition**

*udom-emb* :: '*a*  $\rightarrow$  *udom*

**where**

*udom-emb* = *compact-basis.extension* ( $\lambda x.$  *udom-principal* (*basis-emb* *x*))

**definition**

*udom-prj* :: *udom*  $\rightarrow$  '*a*

**where**

*udom-prj* = *udom.extension* ( $\lambda x.$  *Rep-compact-basis* (*basis-prj* *x*))

**lemma** *udom-emb-principal*:

*udom-emb*.(*Rep-compact-basis* *x*) = *udom-principal* (*basis-emb* *x*)

**unfolding** *udom-emb-def*

**apply** (rule *compact-basis.extension-principal*)

**apply** (rule *udom.principal-mono*)

**apply** (erule *basis-emb-mono*)

**done**

**lemma** *udom-prj-principal*:

*udom-prj*.(*udom-principal* *x*) = *Rep-compact-basis* (*basis-prj* *x*)

**unfolding** *udom-prj-def*

**apply** (rule *udom.extension-principal*)

**apply** (rule *compact-basis.principal-mono*)

**apply** (erule *basis-prj-mono*)

**done**

**lemma** *ep-pair-udom*: *ep-pair* *udom-emb* *udom-prj*

**apply** *standard*

**apply** (rule *compact-basis.principal-induct, simp*)

**apply** (*simp add:* *udom-emb-principal udom-prj-principal*)

**apply** (*simp add:* *basis-prj-basis-emb*)

**apply** (rule *udom.principal-induct, simp*)

**apply** (*simp add:* *udom-emb-principal udom-prj-principal*)

```

apply (rule basis-emb-prj-less)
done

end

abbreviation udom-emb  $\equiv$  bifinite-approx-chain.udom-emb
abbreviation udom-prj  $\equiv$  bifinite-approx-chain.udom-prj

lemmas ep-pair-udom =
  bifinite-approx-chain.ep-pair-udom [unfolded bifinite-approx-chain-def]

21.5 Chain of approx functions for type udom

definition
  udom-approx :: nat  $\Rightarrow$  udom  $\rightarrow$  udom
where
  udom-approx i =
    udom.extension ( $\lambda x.$  udom-principal (ubasis-until ( $\lambda y.$   $y \leq i$ ) x))

lemma udom-approx-mono:
  ubasis-le a b  $\implies$ 
    udom-principal (ubasis-until ( $\lambda y.$   $y \leq i$ ) a)  $\sqsubseteq$ 
    udom-principal (ubasis-until ( $\lambda y.$   $y \leq i$ ) b)
  apply (rule udom.principal-mono)
  apply (rule ubasis-until-mono)
  apply (frule (2) order-less-le-trans [OF node-gt2])
  apply (erule order-less-imp-le)
  apply assumption
done

lemma adm-mem-finite:  $\llbracket \text{cont } f; \text{finite } S \rrbracket \implies \text{adm } (\lambda x. f x \in S)$ 
by (erule adm-subst, induct set: finite, simp-all)

lemma udom-approx-principal:
  udom-approx i · (udom-principal x) =
    udom-principal (ubasis-until ( $\lambda y.$   $y \leq i$ ) x)
unfolding udom-approx-def
  apply (rule udom.extension-principal)
  apply (erule udom-approx-mono)
done

lemma finite-deflation-udom-approx: finite-deflation (udom-approx i)
proof
  fix x show udom-approx i · (udom-approx i · x) = udom-approx i · x
    by (induct x rule: udom.principal-induct, simp)
      (simp add: udom-approx-principal ubasis-until-idem)
next
  fix x show udom-approx i · x  $\sqsubseteq$  x
    by (induct x rule: udom.principal-induct, simp)

```

```

(simp add: udom-approx-principal ubasis-until-less)
next
  have *: finite (range ( $\lambda x. \text{udom-principal} (\text{ubasis-until} (\lambda y. y \leq i) x)))$ )
    apply (subst range-composition [where f=udom-principal])
    apply (simp add: finite-range-ubasis-until)
    done
  show finite {x. udom-approx i·x = x}
    apply (rule finite-range-imp-finite-fixes)
    apply (rule rev-finite-subset [OF *])
    apply (clarify, rename-tac x)
    apply (induct-tac x rule: udom.principal-induct)
    apply (simp add: adm-mem-finite *)
    apply (simp add: udom-approx-principal)
    done
qed

interpretation udom-approx: finite-deflation udom-approx i
by (rule finite-deflation-udom-approx)

lemma chain-udom-approx [simp]: chain ( $\lambda i. \text{udom-approx } i$ )
unfolding udom-approx-def
apply (rule chainI)
apply (rule udom.extension-mono)
apply (erule udom-approx-mono)
apply (erule udom-approx-mono)
apply (rule udom.principal-mono)
apply (rule ubasis-until-chain, simp)
done

lemma lub-udom-approx [simp]: ( $\bigsqcup i. \text{udom-approx } i$ ) = ID
apply (rule cfun-eqI, simp add: contlub-cfun-fun)
apply (rule below-antisym)
apply (rule lub-below)
apply (simp)
apply (rule udom-approx.below)
apply (rule-tac x=x in udom.principal-induct)
apply (simp add: lub-distrib)
apply (rule-tac i=a in below-lub)
apply simp
apply (simp add: udom-approx-principal)
apply (simp add: ubasis-until-same ubasis-le-refl)
done

lemma udom-approx [simp]: approx-chain udom-approx
proof
  show chain ( $\lambda i. \text{udom-approx } i$ )
    by (rule chain-udom-approx)
  show ( $\bigsqcup i. \text{udom-approx } i$ ) = ID
    by (rule lub-udom-approx)

```

```

qed

instance udom :: bifinite
  by standard (fast intro: udom-approx)

hide-const (open) node

notation binomial (infixl choose 65)

end

```

## 22 Algebraic deflations

```

theory Algebraic
imports Universal Map-Functions
begin

```

```
default-sort bifinite
```

### 22.1 Type constructor for finite deflations

```

typedef 'a fin-defl = {d:'a → 'a. finite-deflation d}
by (fast intro: finite-deflation-bottom)

```

```

instantiation fin-defl :: (bifinite) below
begin

```

```

definition below-fin-defl-def:
below ≡ λx y. Rep-fin-defl x ⊑ Rep-fin-defl y

```

```

instance ..
end

```

```

instance fin-defl :: (bifinite) po
using type-definition-fin-defl below-fin-defl-def
by (rule typedef-po)

```

```

lemma finite-deflation-Rep-fin-defl: finite-deflation (Rep-fin-defl d)
using Rep-fin-defl by simp

```

```

lemma deflation-Rep-fin-defl: deflation (Rep-fin-defl d)
using finite-deflation-Rep-fin-defl
by (rule finite-deflation-imp-deflation)

```

```

interpretation Rep-fin-defl: finite-deflation Rep-fin-defl d
by (rule finite-deflation-Rep-fin-defl)

```

```

lemma fin-defl-belowI:
(λx. Rep-fin-defl a·x = x ⇒ Rep-fin-defl b·x = x) ⇒ a ⊑ b

```

```

unfolding below-fin-defl-def
by (rule Rep-fin-defl.belowI)

lemma fin-defl-belowD:
   $\llbracket a \sqsubseteq b; \text{Rep-fin-defl } a \cdot x = x \rrbracket \implies \text{Rep-fin-defl } b \cdot x = x$ 
unfolding below-fin-defl-def
by (rule Rep-fin-defl.belowD)

lemma fin-defl-eqI:
   $(\bigwedge x. \text{Rep-fin-defl } a \cdot x = x \longleftrightarrow \text{Rep-fin-defl } b \cdot x = x) \implies a = b$ 
apply (rule below-antisym)
apply (rule fin-defl-belowI, simp)
apply (rule fin-defl-belowI, simp)
done

lemma Rep-fin-defl-mono:  $a \sqsubseteq b \implies \text{Rep-fin-defl } a \sqsubseteq \text{Rep-fin-defl } b$ 
unfolding below-fin-defl-def .

lemma Abs-fin-defl-mono:
   $\llbracket \text{finite-deflation } a; \text{finite-deflation } b; a \sqsubseteq b \rrbracket$ 
   $\implies \text{Abs-fin-defl } a \sqsubseteq \text{Abs-fin-defl } b$ 
unfolding below-fin-defl-def
by (simp add: Abs-fin-defl-inverse)

lemma (in finite-deflation) compact-belowI:
  assumes  $\bigwedge x. \text{compact } x \implies d \cdot x = x \implies f \cdot x = x$  shows  $d \sqsubseteq f$ 
by (rule belowI, rule assms, erule subst, rule compact)

lemma compact-Rep-fin-defl [simp]: compact (Rep-fin-defl a)
using finite-deflation-Rep-fin-defl
by (rule finite-deflation-imp-compact)

```

## 22.2 Defining algebraic deflations by ideal completion

```

typedef 'a defl = {S::'a fin-defl set. below.ideal S}
by (rule below.ex-ideal)

instantiation defl :: (bifinite) below
begin

definition
   $x \sqsubseteq y \longleftrightarrow \text{Rep-defl } x \subseteq \text{Rep-defl } y$ 

instance ..
end

instance defl :: (bifinite) po
using type-definition-defl below-defl-def
by (rule below.typedef-ideal-po)

```

```

instance defl :: (bifinite) cpo
using type-definition-defl below-defl-def
by (rule below.typedef-ideal-cpo)

definition
defl-principal :: 'a fin-defl  $\Rightarrow$  'a defl where
defl-principal t = Abs-defl {u. u  $\sqsubseteq$  t}

lemma fin-defl-countable:  $\exists f: 'a \text{fin-defl} \Rightarrow \text{nat. inj } f$ 
proof –
  obtain f :: 'a compact-basis  $\Rightarrow$  nat where inj-f: inj f
    using compact-basis.countable ..
  have *:  $\bigwedge d. \text{finite } (f \text{`Rep-compact-basis} - ' \{x. \text{Rep-fin-defl } d \cdot x = x\})$ 
    apply (rule finite-imageI)
    apply (rule finite-vimageI)
    apply (rule Rep-fin-defl.finite-fixes)
    apply (simp add: inj-on-def Rep-compact-basis-inject)
    done
  have range-eq: range Rep-compact-basis = {x. compact x}
    using type-definition-compact-basis by (rule type-definition.Rep-range)
  have inj ( $\lambda d. \text{set-encode}$ 
    (f `Rep-compact-basis - ' {x. Rep-fin-defl d · x = x})) )
    apply (rule inj-onI)
    apply (simp only: set-encode-eq *)
    apply (simp only: inj-image-eq-iff inj-f)
    apply (drule-tac f=image Rep-compact-basis in arg-cong)
    apply (simp del: vimage-Collect-eq add: range-eq set-eq-iff)
    apply (rule Rep-fin-defl-inject [THEN iffD1])
    apply (rule below-antisym)
    apply (rule Rep-fin-defl.compact-belowI, rename-tac z)
    apply (drule-tac x=z in spec, simp)
    apply (rule Rep-fin-defl.compact-belowI, rename-tac z)
    apply (drule-tac x=z in spec, simp)
    done
  thus ?thesis by – (rule exI)
qed

```

```

interpretation defl: ideal-completion below defl-principal Rep-defl
using type-definition-defl below-defl-def
using defl-principal-def fin-defl-countable
by (rule below.typedef-ideal-completion)

```

Algebraic deflations are pointed

```

lemma defl-minimal: defl-principal (Abs-fin-defl  $\perp$ )  $\sqsubseteq$  x
apply (induct x rule: defl.principal-induct, simp)
apply (rule defl.principal-mono)
apply (simp add: below-fin-defl-def)
apply (simp add: Abs-fin-defl-inverse finite-deflation-bottom)

```

**done**

**instance** *defl* :: (*bifinite*) *pcpo*  
**by** *intro-classes* (*fast intro*: *defl-minimal*)

**lemma** *inst-defl-pcpo*:  $\perp = \text{defl-principal}(\text{Abs-fin-defl } \perp)$   
**by** (*rule defl-minimal* [*THEN bottomI, symmetric*])

## 22.3 Applying algebraic deflations

**definition**

*cast* :: '*a* *defl*  $\rightarrow$  '*a*  $\rightarrow$  '*a*

**where**

*cast* = *defl.extension Rep-fin-defl*

**lemma** *cast-defl-principal*:  
*cast*.(*defl-principal a*) = *Rep-fin-defl a*  
**unfolding** *cast-defl*  
**apply** (*rule defl.extension-principal*)  
**apply** (*simp only: below-fin-defl-def*)  
**done**

**lemma** *deflation-cast*: *deflation* (*cast·d*)  
**apply** (*induct d rule: defl.principal-induct*)  
**apply** (*rule adm-subst [OF - adm-deflation]*, *simp*)  
**apply** (*simp add: cast-defl-principal*)  
**apply** (*rule finite-deflation-imp-deflation*)  
**apply** (*rule finite-deflation-Rep-fin-defl*)  
**done**

**lemma** *finite-deflation-cast*:  
*compact d*  $\implies$  *finite-deflation* (*cast·d*)  
**apply** (*drule defl.compact-imp-principal, clarify*)  
**apply** (*simp add: cast-defl-principal*)  
**apply** (*rule finite-deflation-Rep-fin-defl*)  
**done**

**interpretation** *cast*: *deflation* *cast·d*  
**by** (*rule deflation-cast*)

**declare** *cast.idem* [*simp*]

**lemma** *compact-cast* [*simp*]: *compact d*  $\implies$  *compact* (*cast·d*)  
**apply** (*rule finite-deflation-imp-compact*)  
**apply** (*erule finite-deflation-cast*)  
**done**

**lemma** *cast-below-cast*: *cast·A*  $\sqsubseteq$  *cast·B*  $\longleftrightarrow$  *A*  $\sqsubseteq$  *B*  
**apply** (*induct A rule: defl.principal-induct, simp*)

```

apply (induct B rule: defl.principal-induct, simp)
apply (simp add: cast-defl-principal below-fin-defl-def)
done

lemma compact-cast-iff: compact (cast·d)  $\longleftrightarrow$  compact d
apply (rule iffI)
apply (simp only: compact-def cast-below-cast [symmetric])
apply (erule adm-subst [OF cont-Rep-cfun2])
apply (erule compact-cast)
done

lemma cast-below-imp-below: cast·A  $\sqsubseteq$  cast·B  $\implies$  A  $\sqsubseteq$  B
by (simp only: cast-below-cast)

lemma cast-eq-imp-eq: cast·A = cast·B  $\implies$  A = B
by (simp add: below-antisym cast-below-imp-below)

lemma cast-strict1 [simp]: cast· $\perp$  =  $\perp$ 
apply (subst inst-defl-pcpo)
apply (subst cast-defl-principal)
apply (rule Abs-fin-defl-inverse)
apply (simp add: finite-deflation-bottom)
done

lemma cast-strict2 [simp]: cast·A· $\perp$  =  $\perp$ 
by (rule cast.below [THEN bottomI])

```

## 22.4 Deflation combinators

### definition

```

defl-fun1 e p f =
  defl.extension ( $\lambda a.$ 
    defl-principal (Abs-fin-defl
      (e oo f·(Rep-fin-defl a) oo p)))

```

### definition

```

defl-fun2 e p f =
  defl.extension ( $\lambda a.$ 
    defl.extension ( $\lambda b.$ 
      defl-principal (Abs-fin-defl
        (e oo f·(Rep-fin-defl a)·(Rep-fin-defl b) oo p))))

```

### lemma cast-defl-fun1:

```

assumes ep: ep-pair e p
assumes f:  $\bigwedge a.$  finite-deflation a  $\implies$  finite-deflation (f·a)
shows cast·(defl-fun1 e p f·A) = e oo f·(cast·A) oo p

```

### proof –

```

have 1:  $\bigwedge a.$  finite-deflation (e oo f·(Rep-fin-defl a) oo p)
apply (rule ep-pair.finite-deflation-e-d-p [OF ep])

```

```

apply (rule f, rule finite-deflation-Rep-fin-defl)
done
show ?thesis
by (induct A rule: defl.principal-induct, simp)
  (simp only: defl-fun1-def
    defl.extension-principal
    defl.extension-mono
    defl.principal-mono
    Abs-fin-defl-mono [OF 1 1]
    monofun-cfun below-refl
    Rep-fin-defl-mono
    cast-defl-principal
    Abs-fin-defl-inverse [unfolded mem-Collect-eq, OF 1])
qed

lemma cast-defl-fun2:
assumes ep: ep-pair e p
assumes f: ⋀ a b. finite-deflation a ==> finite-deflation b ==>
           finite-deflation (f·a·b)
shows cast·(defl-fun2 e p f·A·B) = e oo f·(cast·A)·(cast·B) oo p
proof -
have 1: ⋀ a b. finite-deflation
  (e oo f·(Rep-fin-defl a)·(Rep-fin-defl b) oo p)
  apply (rule ep-pair.finite-deflation-e-d-p [OF ep])
  apply (rule f, (rule finite-deflation-Rep-fin-defl)+)
done
show ?thesis
apply (induct A rule: defl.principal-induct, simp)
apply (induct B rule: defl.principal-induct, simp)
by (simp only: defl-fun2-def
    defl.extension-principal
    defl.extension-mono
    defl.principal-mono
    Abs-fin-defl-mono [OF 1 1]
    monofun-cfun below-refl
    Rep-fin-defl-mono
    cast-defl-principal
    Abs-fin-defl-inverse [unfolded mem-Collect-eq, OF 1])
qed

end

```

## 23 Representable domains

```

theory Representable
imports Algebraic Map-Functions HOL-Library.Countable
begin

default-sort cpo

```

### 23.1 Class of representable domains

We define a “domain” as a pcpo that is isomorphic to some algebraic deflation over the universal domain; this is equivalent to being omega-bifinite.

A predomain is a cpo that, when lifted, becomes a domain. Predomains are represented by deflations over a lifted universal domain type.

```

class predomain-syn = cpo +
  fixes liftemb :: 'a⊥ → udom⊥
  fixes liftprj :: udom⊥ → 'a⊥
  fixes liftdefl :: 'a itself ⇒ udom u defl

class predomain = predomain-syn +
  assumes predomain-ep: ep-pair liftemb liftprj
  assumes cast-liftdefl: cast·(liftdefl TYPE('i)) = liftemb oo liftprj

syntax -LIFTDEFL :: type ⇒ logic ((1LIFTDEFL/(1'(-'))))
translations LIFTDEFL('i) ⇔ CONST liftdefl TYPE('i)

definition liftdefl-of :: udom defl → udom u defl
  where liftdefl-of = defl-fun1 ID ID u-map

lemma cast-liftdefl-of: cast·(liftdefl-of · t) = u-map·(cast·t)
by (simp add: liftdefl-of-def cast-defl-fun1 ep-pair-def finite-deflation-u-map)

class domain = predomain-syn + pcpo +
  fixes emb :: 'a → udom
  fixes prj :: udom → 'a
  fixes defl :: 'a itself ⇒ udom defl
  assumes ep-pair-emb-prj: ep-pair emb prj
  assumes cast-DEFL: cast·(defl TYPE('i)) = emb oo prj
  assumes liftemb-eq: liftemb = u-map·emb
  assumes liftprj-eq: liftprj = u-map·prj
  assumes liftdefl-eq: liftdefl TYPE('i) = liftdefl-of·(defl TYPE('i))

syntax -DEFL :: type ⇒ logic ((1DEFL/(1'(-'))))
translations DEFL('i) ⇔ CONST defl TYPE('i)

instance domain ⊆ predomain
proof
  show ep-pair liftemb (liftprj::udom⊥ → 'a⊥)
    unfolding liftemb-eq liftprj-eq
    by (intro ep-pair-u-map ep-pair-emb-prj)
  show cast-LIFTDEFL('i) = liftemb oo (liftprj::udom⊥ → 'a⊥)
    unfolding liftemb-eq liftprj-eq liftdefl-eq
    by (simp add: cast-liftdefl-of cast-DEFL u-map-oo)
qed

```

Constants *liftemb* and *liftprj* imply class predomain.

```

setup ‹
  fold Sign.add-const-constraint
  [(const-name ‹liftemb›, SOME typ ‹'a::predomain u → udom u›),
   (const-name ‹liftprj›, SOME typ ‹udom u → 'a::predomain u›),
   (const-name ‹liftdefl›, SOME typ ‹'a::predomain itself ⇒ udom u defl›)]
›

interpretation predomain: pcpo-ep-pair liftemb liftprj
  unfolding pcpo-ep-pair-def by (rule predomain-ep)

interpretation domain: pcpo-ep-pair emb prj
  unfolding pcpo-ep-pair-def by (rule ep-pair-emb-prj)

lemmas emb-inverse = domain.e-inverse
lemmas emb-prj-below = domain.e-p-below
lemmas emb-eq-iff = domain.e-eq-iff
lemmas emb-strict = domain.e-strict
lemmas prj-strict = domain.p-strict

```

## 23.2 Domains are bifinite

```

lemma approx-chain-ep-cast:
  assumes ep: ep-pair (e::'a::pcpo → 'b::bifinite) (p::'b → 'a)
  assumes cast-t: cast-t = e oo p
  shows ∃(a::nat ⇒ 'a::pcpo → 'a). approx-chain a
proof –
  interpret ep-pair e p by fact
  obtain Y where Y: ∀ i. Y i ⊑ Y (Suc i)
  and t: t = (⊔ i. defl-principal (Y i))
  by (rule defl.obtain-principal-chain)
  define approx where approx i = (p oo cast·(defl-principal (Y i)) oo e) for i
  have approx-chain approx
  proof (rule approx-chain.intro)
    show chain (λi. approx i)
      unfolding approx-def by (simp add: Y)
    show (⊔ i. approx i) = ID
      unfolding approx-def
      by (simp add: lub-distrib Y t [symmetric] cast-t cfun-eq-iff)
    show ∀ i. finite-deflation (approx i)
      unfolding approx-def
      apply (rule finite-deflation-p-d-e)
      apply (rule finite-deflation-cast)
      apply (rule defl.compact-principal)
      apply (rule below-trans [OF monofun-cfun-fun])
      apply (rule is-ub-the-lub, simp add: Y)
      apply (simp add: lub-distrib Y t [symmetric] cast-t)
      done
    qed
    thus ∃(a::nat ⇒ 'a → 'a). approx-chain a by – (rule exI)

```

**qed**

**instance**  $\text{domain} \subseteq \text{bifinite}$   
**by standard** (*rule approx-chain-ep-cast [OF ep-pair-emb-prj cast-DEFL]*)

**instance**  $\text{predomain} \subseteq \text{profinite}$   
**by standard** (*rule approx-chain-ep-cast [OF predomain-ep cast-liftdefl]*)

### 23.3 Universal domain ep-pairs

**definition**  $u\text{-emb} = u\text{dom-emb } (\lambda i. u\text{-map} \cdot (u\text{dom-approx } i))$   
**definition**  $u\text{-prj} = u\text{dom-prj } (\lambda i. u\text{-map} \cdot (u\text{dom-approx } i))$

**definition**  $\text{prod-emb} = u\text{dom-emb } (\lambda i. \text{prod-map} \cdot (u\text{dom-approx } i) \cdot (u\text{dom-approx } i))$

**definition**  $\text{prod-prj} = u\text{dom-prj } (\lambda i. \text{prod-map} \cdot (u\text{dom-approx } i) \cdot (u\text{dom-approx } i))$

**definition**  $\text{sprod-emb} = u\text{dom-emb } (\lambda i. \text{sprod-map} \cdot (u\text{dom-approx } i) \cdot (u\text{dom-approx } i))$

**definition**  $\text{sprod-prj} = u\text{dom-prj } (\lambda i. \text{sprod-map} \cdot (u\text{dom-approx } i) \cdot (u\text{dom-approx } i))$

**definition**  $\text{ssum-emb} = u\text{dom-emb } (\lambda i. \text{ssum-map} \cdot (u\text{dom-approx } i) \cdot (u\text{dom-approx } i))$

**definition**  $\text{ssum-prj} = u\text{dom-prj } (\lambda i. \text{ssum-map} \cdot (u\text{dom-approx } i) \cdot (u\text{dom-approx } i))$

**definition**  $\text{sfun-emb} = u\text{dom-emb } (\lambda i. \text{sfun-map} \cdot (u\text{dom-approx } i) \cdot (u\text{dom-approx } i))$   
**definition**  $\text{sfun-prj} = u\text{dom-prj } (\lambda i. \text{sfun-map} \cdot (u\text{dom-approx } i) \cdot (u\text{dom-approx } i))$

**lemma**  $\text{ep-pair-u: ep-pair } u\text{-emb } u\text{-prj}$

**unfolding**  $u\text{-emb-def } u\text{-prj-def}$

**by** (*simp add: ep-pair-udom approx-chain-u-map*)

**lemma**  $\text{ep-pair-prod: ep-pair } \text{prod-emb } \text{prod-prj}$

**unfolding**  $\text{prod-emb-def } \text{prod-prj-def}$

**by** (*simp add: ep-pair-udom approx-chain-prod-map*)

**lemma**  $\text{ep-pair-sprod: ep-pair } \text{sprod-emb } \text{sprod-prj}$

**unfolding**  $\text{sprod-emb-def } \text{sprod-prj-def}$

**by** (*simp add: ep-pair-udom approx-chain-sprod-map*)

**lemma**  $\text{ep-pair-ssum: ep-pair } \text{ssum-emb } \text{ssum-prj}$

**unfolding**  $\text{ssum-emb-def } \text{ssum-prj-def}$

**by** (*simp add: ep-pair-udom approx-chain-ssum-map*)

**lemma**  $\text{ep-pair-sfun: ep-pair } \text{sfun-emb } \text{sfun-prj}$

**unfolding**  $\text{sfun-emb-def } \text{sfun-prj-def}$

**by** (*simp add: ep-pair-udom approx-chain-sfun-map*)

### 23.4 Type combinators

```

definition u-defl :: udom defl → udom defl
  where u-defl = defl-fun1 u-emb u-prj u-map

definition prod-defl :: udom defl → udom defl → udom defl
  where prod-defl = defl-fun2 prod-emb prod-prj prod-map

definition sprod-defl :: udom defl → udom defl → udom defl
  where sprod-defl = defl-fun2 sprod-emb sprod-prj sprod-map

definition ssum-defl :: udom defl → udom defl → udom defl
  where ssum-defl = defl-fun2 ssum-emb ssum-prj ssum-map

definition sfun-defl :: udom defl → udom defl → udom defl
  where sfun-defl = defl-fun2 sfun-emb sfun-prj sfun-map

lemma cast-u-defl:
  cast·(u-defl·A) = u-emb oo u-map·(cast·A) oo u-prj
  using ep-pair-u finite-deflation-u-map
  unfolding u-defl-def by (rule cast-defl-fun1)

lemma cast-prod-defl:
  cast·(prod-defl·A·B) =
    prod-emb oo prod-map·(cast·A)·(cast·B) oo prod-prj
  using ep-pair-prod finite-deflation-prod-map
  unfolding prod-defl-def by (rule cast-defl-fun2)

lemma cast-sprod-defl:
  cast·(sprod-defl·A·B) =
    sprod-emb oo sprod-map·(cast·A)·(cast·B) oo sprod-prj
  using ep-pair-sprod finite-deflation-sprod-map
  unfolding sprod-defl-def by (rule cast-defl-fun2)

lemma cast-ssum-defl:
  cast·(ssum-defl·A·B) =
    ssum-emb oo ssum-map·(cast·A)·(cast·B) oo ssum-prj
  using ep-pair-ssum finite-deflation-ssum-map
  unfolding ssum-defl-def by (rule cast-defl-fun2)

lemma cast-sfun-defl:
  cast·(sfundefl·A·B) =
    sfun-emb oo sfun-map·(cast·A)·(cast·B) oo sfun-prj
  using ep-pair-sfun finite-deflation-sfun-map
  unfolding sfun-defl-def by (rule cast-defl-fun2)

```

Special deflation combinator for unpointed types.

```

definition u-liftdefl :: udom u defl → udom defl
  where u-liftdefl = defl-fun1 u-emb u-prj ID

```

```

lemma cast-u-liftdefl:
  cast·(u-liftdefl·A) = u-emb oo cast·A oo u-prj
unfolding u-liftdefl-def by (simp add: cast-defl-fun1 ep-pair-u)

lemma u-liftdefl-liftdefl-of:
  u-liftdefl·(liftdefl-of·A) = u-defl·A
by (rule cast-eq-imp-eq)
  (simp add: cast-u-liftdefl cast-liftdefl-of cast-u-defl)

```

## 23.5 Class instance proofs

### 23.5.1 Universal domain

```

instantiation udom :: domain
begin

```

```

definition [simp]:
  emb = (ID :: udom → udom)

```

```

definition [simp]:
  prj = (ID :: udom → udom)

```

```

definition
  defl (t::udom itself) = (⊔ i. defl-principal (Abs-fin-defl (udom-approx i)))

```

```

definition
  (liftemb :: udom u → udom u) = u-map·emb

```

```

definition
  (liftprj :: udom u → udom u) = u-map·prj

```

```

definition
  liftdefl (t::udom itself) = liftdefl-of·DEFL(udom)

```

```

instance proof
  show ep-pair emb (prj :: udom → udom)
    by (simp add: ep-pair.intro)
  show cast·DEFL(udom) = emb oo (prj :: udom → udom)
    unfolding defl-udom-def
    apply (subst contlub-cfun-arg)
    apply (rule chainI)
    apply (rule defl.principal-mono)
    apply (simp add: below-fin-defl-def)
    apply (simp add: Abs-fin-defl-inverse finite-deflation-udom-approx)
    apply (rule chainE)
    apply (rule chain-udom-approx)
    apply (subst cast-defl-principal)
    apply (simp add: Abs-fin-defl-inverse finite-deflation-udom-approx)
    done
  qed (fact liftemb-udom-def liftprj-udom-def liftdefl-udom-def) +

```

**end**

### 23.5.2 Lifted cpo

**instantiation**  $u :: (\text{predomain}) \text{ domain}$   
**begin**

**definition**

$$\text{emb} = u\text{-emb} \text{ oo } \text{liftemb}$$

**definition**

$$\text{prj} = \text{liftprj} \text{ oo } u\text{-prj}$$

**definition**

$$\text{defl } (t::'a u \text{ itself}) = u\text{-liftdefl}\cdot \text{LIFTDEFL}('a)$$

**definition**

$$(\text{liftemb} :: 'a u u \rightarrow \text{udom } u) = u\text{-map}\cdot \text{emb}$$

**definition**

$$(\text{liftprj} :: \text{udom } u \rightarrow 'a u u) = u\text{-map}\cdot \text{prj}$$

**definition**

$$\text{liftdefl } (t::'a u \text{ itself}) = \text{liftdefl-of}\cdot \text{DEFL}('a u)$$

**instance proof**

**show**  $\text{ep-pair emb } (\text{prj} :: \text{udom} \rightarrow 'a u)$   
**unfolding**  $\text{emb-u-def prj-u-def}$   
**by** (*intro ep-pair-comp ep-pair-u predomain-ep*)  
**show**  $\text{cast-DEFL}('a u) = \text{emb} \text{ oo } (\text{prj} :: \text{udom} \rightarrow 'a u)$   
**unfolding**  $\text{emb-u-def prj-u-def defl-u-def}$   
**by** (*simp add: cast-u-liftdefl cast-liftdefl assoc-oo*)  
**qed** (*fact liftemb-u-def liftprj-u-def liftdefl-u-def*) +

**end**

**lemma**  $\text{DEFL-u: DEFL}('a::\text{predomain } u) = u\text{-liftdefl}\cdot \text{LIFTDEFL}('a)$   
**by** (*rule defl-u-def*)

### 23.5.3 Strict function space

**instantiation**  $sfun :: (\text{domain}, \text{domain}) \text{ domain}$   
**begin**

**definition**

$$\text{emb} = sfun\text{-emb} \text{ oo } sfun\text{-map}\cdot \text{prj}\cdot \text{emb}$$

**definition**

$$\text{prj} = sfun\text{-map}\cdot \text{emb}\cdot \text{prj} \text{ oo } sfun\text{-prj}$$

**definition**

$\text{defl } (t::('a \rightarrow! 'b) \text{ itself}) = \text{sfun-defl} \cdot \text{DEFL}('a) \cdot \text{DEFL}('b)$

**definition**

$(\text{liftemb} :: ('a \rightarrow! 'b) u \rightarrow \text{udom } u) = \text{u-map} \cdot \text{emb}$

**definition**

$(\text{liftprj} :: \text{udom } u \rightarrow ('a \rightarrow! 'b) u) = \text{u-map} \cdot \text{prj}$

**definition**

$\text{liftdefl } (t::('a \rightarrow! 'b) \text{ itself}) = \text{liftdefl-of} \cdot \text{DEFL}('a \rightarrow! 'b)$

**instance proof**

**show**  $\text{ep-pair emb } (\text{prj} :: \text{udom} \rightarrow 'a \rightarrow! 'b)$

**unfolding**  $\text{emb-sfun-def prj-sfun-def}$

**by** (*intro ep-pair-comp ep-pair-sfun ep-pair-sfun-map ep-pair-emb-prj*)

**show**  $\text{cast-DEFL}('a \rightarrow! 'b) = \text{emb oo } (\text{prj} :: \text{udom} \rightarrow 'a \rightarrow! 'b)$

**unfolding**  $\text{emb-sfun-def prj-sfun-def defl-sfun-def cast-sfun-defl}$

**by** (*simp add: cast-DEFL oo-def sfun-eq-iff sfun-map-map*)

**qed** (*fact liftemb-sfun-def liftprj-sfun-def liftdefl-sfun-def*) +

**end**

**lemma**  $\text{DEFL-sfun}:$

$\text{DEFL}('a::\text{domain} \rightarrow! 'b::\text{domain}) = \text{sfun-defl} \cdot \text{DEFL}('a) \cdot \text{DEFL}('b)$

**by** (*rule defl-sfun-def*)

### 23.5.4 Continuous function space

**instantiation**  $\text{cfun} :: (\text{predomain}, \text{domain}) \text{ domain}$   
**begin**

**definition**

$\text{emb} = \text{emb oo encode-cfun}$

**definition**

$\text{prj} = \text{decode-cfun oo prj}$

**definition**

$\text{defl } (t::('a \rightarrow 'b) \text{ itself}) = \text{DEFL}('a u \rightarrow! 'b)$

**definition**

$(\text{liftemb} :: ('a \rightarrow 'b) u \rightarrow \text{udom } u) = \text{u-map} \cdot \text{emb}$

**definition**

$(\text{liftprj} :: \text{udom } u \rightarrow ('a \rightarrow 'b) u) = \text{u-map} \cdot \text{prj}$

**definition**

```

liftdefl (t::('a → 'b) itself) = liftdefl-of·DEFL('a → 'b)

instance proof
  have ep-pair encode-cfun decode-cfun
    by (rule ep-pair.intro, simp-all)
  thus ep-pair emb (prj :: udom → 'a → 'b)
    unfolding emb-cfun-def prj-cfun-def
    using ep-pair-emb-prj by (rule ep-pair-comp)
  show cast·DEFL('a → 'b) = emb oo (prj :: udom → 'a → 'b)
    unfolding emb-cfun-def prj-cfun-def defl-cfun-def
    by (simp add: cast-DEFL cfcmap1)
  qed (fact liftemb-cfun-def liftprj-cfun-def liftdefl-cfun-def) +
end

```

```

lemma DEFL-cfun:
  DEFL('a::predomain → 'b::domain) = DEFL('a u →! 'b)
  by (rule defl-cfun-def)

```

### 23.5.5 Strict product

```

instantiation sprod :: (domain, domain) domain
begin

```

#### **definition**

```

emb = sprod-emb oo sprod-map·emb·emb

```

#### **definition**

```

prj = sprod-map·prj·prj oo sprod-prj

```

#### **definition**

```

defl (t::('a ⊗ 'b) itself) = sprod-defl·DEFL('a)·DEFL('b)

```

#### **definition**

```

(liftemb :: ('a ⊗ 'b) u → udom u) = u-map·emb

```

#### **definition**

```

(liftprj :: udom u → ('a ⊗ 'b) u) = u-map·prj

```

#### **definition**

```

liftdefl (t::('a ⊗ 'b) itself) = liftdefl-of·DEFL('a ⊗ 'b)

```

#### **instance proof**

```

show ep-pair emb (prj :: udom → 'a ⊗ 'b)
  unfolding emb-sprod-def prj-sprod-def
  by (intro ep-pair-comp ep-pair-sprod ep-pair-sprod-map ep-pair-emb-prj)
show cast·DEFL('a ⊗ 'b) = emb oo (prj :: udom → 'a ⊗ 'b)
  unfolding emb-sprod-def prj-sprod-def defl-sprod-def cast-sprod-defl
  by (simp add: cast-DEFL oo-def cfun-eq-iff sprod-map-map)

```

```
qed (fact liftemb-sprod-def liftprj-sprod-def liftdefl-sprod-def) +
```

```
end
```

```
lemma DEFL-sprod:
```

```
DEFL('a::domain  $\otimes$  'b::domain) = sprod-defl·DEFL('a)·DEFL('b)
by (rule defl-sprod-def)
```

### 23.5.6 Cartesian product

```
definition prod-liftdefl :: udom u defl  $\rightarrow$  udom u defl  $\rightarrow$  udom u defl
  where prod-liftdefl = defl-fun2 (u-map·prod-emb oo decode-prod-u)
    (encode-prod-u oo u-map·prod-prj) sprod-map
```

```
lemma cast-prod-liftdefl:
```

```
cast·(prod-liftdefl·a·b) =
  (u-map·prod-emb oo decode-prod-u) oo sprod-map·(cast·a)·(cast·b) oo
  (encode-prod-u oo u-map·prod-prj)
```

```
unfolding prod-liftdefl-def
```

```
apply (rule cast-defl-fun2)
```

```
apply (intro ep-pair-comp ep-pair-u-map ep-pair-prod)
```

```
apply (simp add: ep-pair.intro)
```

```
apply (erule (1) finite-deflation-sprod-map)
```

```
done
```

```
instantiation prod :: (predomain, predomain) predomain
begin
```

```
definition
```

```
liftemb = (u-map·prod-emb oo decode-prod-u) oo
  (sprod-map·liftemb·liftemb oo encode-prod-u)
```

```
definition
```

```
liftprj = (decode-prod-u oo sprod-map·liftprj·liftprj) oo
  (encode-prod-u oo u-map·prod-prj)
```

```
definition
```

```
liftdefl (t::('a  $\times$  'b) itself) = prod-liftdefl·LIFTDEFL('a)·LIFTDEFL('b)
```

```
instance proof
```

```
show ep-pair liftemb (liftprj :: udom u  $\rightarrow$  ('a  $\times$  'b) u)
```

```
  unfolding liftemb-prod-def liftprj-prod-def
```

```
  by (intro ep-pair-comp ep-pair-sprod-map ep-pair-u-map
    ep-pair-prod predomain-ep, simp-all add: ep-pair.intro)
```

```
show cast·LIFTDEFL('a  $\times$  'b) = liftemb oo (liftprj :: udom u  $\rightarrow$  ('a  $\times$  'b) u)
```

```
  unfolding liftemb-prod-def liftprj-prod-def liftdefl-prod-def
```

```
  by (simp add: cast-prod-liftdefl cast-liftdefl cfcomp1 sprod-map-map)
```

```
qed
```

**end**

**instantiation** *prod* :: (*domain*, *domain*) *domain*  
**begin**

**definition**

*emb* = *prod-emb oo prod-map·emb·emb*

**definition**

*prj* = *prod-map·prj·prj oo prod-prj*

**definition**

*defl* (*t::('a × 'b) itself*) = *prod-defl·DEFL('a)·DEFL('b)*

**instance proof**

**show 1:** *ep-pair emb (prj :: udom → 'a × 'b)*

**unfolding** *emb-prod-def prj-prod-def*

**by** (*intro ep-pair-comp ep-pair-prod ep-pair-prod-map ep-pair-emb-prj*)

**show 2:** *cast·DEFL('a × 'b) = emb oo (prj :: udom → 'a × 'b)*

**unfolding** *emb-prod-def prj-prod-def defl-prod-def cast-prod-defl*

**by** (*simp add: cast-DEFL oo-def cfun-eq-iff prod-map-map*)

**show 3:** *liftemb = u-map·(emb :: 'a × 'b → udom)*

**unfolding** *emb-prod-def liftemb-prod-def liftemb-eq*

**unfolding** *encode-prod-u-def decode-prod-u-def*

**by** (*rule cfun-eqI, case-tac x, simp, clarsimp*)

**show 4:** *liftprj = u-map·(prj :: udom → 'a × 'b)*

**unfolding** *prj-prod-def liftprj-prod-def liftprj-eq*

**unfolding** *encode-prod-u-def decode-prod-u-def*

**apply** (*rule cfun-eqI, case-tac x, simp*)

**apply** (*rename-tac y, case-tac prod-prj·y, simp*)

**done**

**show 5:** *LIFTDEFL('a × 'b) = liftdefl-of·DEFL('a × 'b)*

**by** (*rule cast-eq-imp-eq*)

*(simp add: cast-liftdefl cast-liftdefl-of cast-DEFL 2 3 4 u-map-oo)*

**qed**

**end**

**lemma** *DEFL-prod*:

*DEFL('a::domain × 'b::domain) = prod-defl·DEFL('a)·DEFL('b)*

**by** (*rule defl-prod-def*)

**lemma** *LIFTDEFL-prod*:

*LIFTDEFL('a::predomain × 'b::predomain) =*

*prod-liftdefl·LIFTDEFL('a)·LIFTDEFL('b)*

**by** (*rule liftdefl-prod-def*)

### 23.5.7 Unit type

```

instantiation unit :: domain
begin

definition
emb = ( $\perp$  :: unit  $\rightarrow$  udom)

definition
prj = ( $\perp$  :: udom  $\rightarrow$  unit)

definition
defl (t::unit itself) =  $\perp$ 

definition
(liftemb :: unit u  $\rightarrow$  udom u) = u-map·emb

definition
(liftprj :: udom u  $\rightarrow$  unit u) = u-map·prj

definition
liftdefl (t::unit itself) = liftdefl-of·DEFL(unit)

instance proof
show ep-pair emb (prj :: udom  $\rightarrow$  unit)
unfolding emb-unit-def prj-unit-def
by (simp add: ep-pair.intro)
show cast·DEFL(unit) = emb oo (prj :: udom  $\rightarrow$  unit)
unfolding emb-unit-def prj-unit-def defl-unit-def by simp
qed (fact liftemb-unit-def liftprj-unit-def liftdefl-unit-def)+

end

```

### 23.5.8 Discrete cpo

```

instantiation discr :: (countable) predomain
begin

definition
(liftemb :: 'a discr u  $\rightarrow$  udom u) = strictify-up oo udom-emb discr-approx

definition
(liftprj :: udom u  $\rightarrow$  'a discr u) = udom-prj discr-approx oo fup·ID

definition
liftdefl (t:'a discr itself) =
(  $\bigsqcup$  i. defl-principal (Abs-fin-defl (liftemb oo discr-approx i oo (liftprj::udom u
 $\rightarrow$  'a discr u)))))

instance proof

```

```

show 1: ep-pair liftemb (liftprj :: udom u → 'a discr u)
  unfolding liftemb-discr-def liftprj-discr-def
  apply (intro ep-pair-comp ep-pair-udom [OF discr-approx])
  apply (rule ep-pair.intro)
  apply (simp add: strictify-conv-if)
  apply (case-tac y, simp, simp add: strictify-conv-if)
  done

show cast-LIFTDEFL('a discr) = liftemb oo (liftprj :: udom u → 'a discr u)
  unfolding liftdefl-discr-def
  apply (subst contlub-cfun-arg)
  apply (rule chainI)
  apply (rule defl.principal-mono)
  apply (simp add: below-fin-defl-def)
  apply (simp add: Abs-fin-defl-inverse
    ep-pair.finite-deflation-e-d-p [OF 1]
    approx-chain.finite-deflation-approx [OF discr-approx])
  apply (intro monofun-cfun below-refl)
  apply (rule chainE)
  apply (rule chain-discr-approx)
  apply (subst cast-defl-principal)
  apply (simp add: Abs-fin-defl-inverse
    ep-pair.finite-deflation-e-d-p [OF 1]
    approx-chain.finite-deflation-approx [OF discr-approx])
  apply (simp add: lub-distrib)
  done
qed

end

```

### 23.5.9 Strict sum

```

instantiation ssum :: (domain, domain) domain
begin

```

**definition**

```
emb = ssum-emb oo ssum-map·emb·emb
```

**definition**

```
prj = ssum-map·prj·prj oo ssum-prj
```

**definition**

```
defl (t::('a ⊕ 'b) itself) = ssum-defl·DEFL('a)·DEFL('b)
```

**definition**

```
(liftemb :: ('a ⊕ 'b) u → udom u) = u-map·emb
```

**definition**

```
(liftprj :: udom u → ('a ⊕ 'b) u) = u-map·prj
```

**definition**

$$\text{liftdefl } (t::('a \oplus 'b) \text{ itself}) = \text{liftdefl-of} \cdot \text{DEFL}('a \oplus 'b)$$
**instance proof**

**show** *ep-pair emb* (*prj* :: *udom*  $\rightarrow$  '*a*  $\oplus$  '*b*)

**unfolding** *emb-ssum-def* *prj-ssum-def*

**by** (*intro* *ep-pair-comp* *ep-pair-ssum* *ep-pair-ssum-map* *ep-pair-emb-prj*)

**show** *cast-DEFL('a  $\oplus$  'b) = emb oo* (*prj* :: *udom*  $\rightarrow$  '*a*  $\oplus$  '*b*)

**unfolding** *emb-ssum-def* *prj-ssum-def* *defl-ssum-def* *cast-ssum-defl*

**by** (*simp add:* *cast-DEFL oo-def* *cfun-eq-iff* *ssum-map-map*)

**qed** (*fact liftemb-ssum-def liftprj-ssum-def liftdefl-ssum-def*) +

**end**

**lemma DEFL-ssum:**

$$\text{DEFL}('a::\text{domain} \oplus 'b::\text{domain}) = \text{ssum-defl} \cdot \text{DEFL}('a) \cdot \text{DEFL}('b)$$

**by** (*rule defl-ssum-def*)

**23.5.10 Lifted HOL type**

**instantiation** *lift* :: (*countable*) *domain*  
**begin**

**definition**

$$\text{emb} = \text{emb oo} (\Lambda x. \text{Rep-lift } x)$$
**definition**

$$\text{prj} = (\Lambda y. \text{Abs-lift } y) \text{ oo } \text{prj}$$
**definition**

$$\text{defl } (t::'a \text{ lift itself}) = \text{DEFL}('a \text{ discr } u)$$
**definition**

$$(\text{liftemb} :: 'a \text{ lift } u \rightarrow \text{udom } u) = \text{u-map} \cdot \text{emb}$$
**definition**

$$(\text{liftprj} :: \text{udom } u \rightarrow 'a \text{ lift } u) = \text{u-map} \cdot \text{prj}$$
**definition**

$$\text{liftdefl } (t::'a \text{ lift itself}) = \text{liftdefl-of} \cdot \text{DEFL}('a \text{ lift})$$
**instance proof**

**note** [*simp*] = *cont-Rep-lift* *cont-Abs-lift* *Rep-lift-inverse* *Abs-lift-inverse*

**have** *ep-pair* ( $\Lambda(x::'a \text{ lift}). \text{Rep-lift } x$ ) ( $\Lambda y. \text{Abs-lift } y$ )

**by** (*simp add:* *ep-pair-def*)

**thus** *ep-pair emb* (*prj* :: *udom*  $\rightarrow$  '*a* lift)

**unfolding** *emb-lift-def* *prj-lift-def*

**using** *ep-pair-emb-prj* **by** (*rule ep-pair-comp*)

**show** *cast-DEFL('a lift) = emb oo* (*prj* :: *udom*  $\rightarrow$  '*a* lift)

```

unfolding emb-lift-def prj-lift-def defl-lift-def cast-DEFL
  by (simp add: cfccomp1)
qed (fact liftemb-lift-def liftprj-lift-def liftdefl-lift-def) +
end
end

```

## 24 The unit domain

```

theory One
  imports Lift
begin

```

```
type-synonym one = unit lift
```

```
translations
```

```
  (type) one  $\leftarrow$  (type) unit lift
```

```

definition ONE :: one
  where ONE  $\equiv$  Def ()

```

Exhaustion and Elimination for type *one*

```

lemma Exh-one:  $t = \perp \vee t = \text{ONE}$ 
  by (induct t) (simp-all add: ONE-def)

```

```

lemma oneE [case-names bottom ONE]:  $\llbracket p = \perp \implies Q; p = \text{ONE} \implies Q \rrbracket \implies Q$ 
  by (induct p) (simp-all add: ONE-def)

```

```

lemma one-induct [case-names bottom ONE]:  $P \perp \implies P \text{ONE} \implies P x$ 
  by (cases x rule: oneE) simp-all

```

```

lemma dist-below-one [simp]:  $\text{ONE} \not\sqsubseteq \perp$ 
  by (simp add: ONE-def)

```

```

lemma below-ONE [simp]:  $x \sqsubseteq \text{ONE}$ 
  by (induct x rule: one-induct) simp-all

```

```

lemma ONE-below-iff [simp]:  $\text{ONE} \sqsubseteq x \longleftrightarrow x = \text{ONE}$ 
  by (induct x rule: one-induct) simp-all

```

```

lemma ONE-defined [simp]:  $\text{ONE} \neq \perp$ 
  by (simp add: ONE-def)

```

```

lemma one-neq-iffs [simp]:
   $x \neq \text{ONE} \longleftrightarrow x = \perp$ 
   $\text{ONE} \neq x \longleftrightarrow x = \perp$ 
   $x \neq \perp \longleftrightarrow x = \text{ONE}$ 
   $\perp \neq x \longleftrightarrow x = \text{ONE}$ 

```

```

by (induct x rule: one-induct) simp-all

lemma compact-ONE: compact ONE
  by (rule compact-chfin)

Case analysis function for type one

definition one-case :: 'a::pcpo → one → 'a
  where one-case = (Λ a x. seq·x·a)

translations
  case x of XCONST ONE ⇒ t ⇔ CONST one-case·t·x
  case x of XCONST ONE :: 'a ⇒ t → CONST one-case·t·x
  Λ (XCONST ONE). t ⇔ CONST one-case·t

lemma one-case1 [simp]: (case ⊥ of ONE ⇒ t) = ⊥
  by (simp add: one-case-def)

lemma one-case2 [simp]: (case ONE of ONE ⇒ t) = t
  by (simp add: one-case-def)

lemma one-case3 [simp]: (case x of ONE ⇒ ONE) = x
  by (induct x rule: one-induct) simp-all

end

```

## 25 Fixed point operator and admissibility

```

theory Fix
  imports Cfun
begin

default-sort pcpo

```

### 25.1 Iteration

```

primrec iterate :: nat ⇒ ('a::cpo → 'a) → ('a → 'a)
  where
    iterate 0 = (Λ F x. x)
    | iterate (Suc n) = (Λ F x. F·(iterate n·F·x))

```

Derive inductive properties of iterate from primitive recursion

```

lemma iterate-0 [simp]: iterate 0·F·x = x
  by simp

```

```

lemma iterate-Suc [simp]: iterate (Suc n)·F·x = F·(iterate n·F·x)
  by simp

```

```

declare iterate.simps [simp del]

```

```

lemma iterate-Suc2: iterate (Suc n)·F·x = iterate n·F·(F·x)
  by (induct n) simp-all

lemma iterate-iterate: iterate m·F·(iterate n·F·x) = iterate (m + n)·F·x
  by (induct m) simp-all

```

The sequence of function iterations is a chain.

```

lemma chain-iterate [simp]: chain (λi. iterate i·F·⊥)
  by (rule chainI, unfold iterate-Suc2, rule monofun-cfun-arg, rule minimal)

```

## 25.2 Least fixed point operator

```

definition fix :: ('a → 'a) → 'a
  where fix = (Λ F. ⋄ i. iterate i·F·⊥)

```

Binder syntax for *fix*

```

abbreviation fix-syn :: ('a ⇒ 'a) ⇒ 'a (binder μ 10)
  where fix-syn (λx. f x) ≡ fix·(Λ x. f x)

```

```

notation (ASCII)
  fix-syn (binder FIX 10)

```

Properties of *fix*

direct connection between *fix* and iteration

```

lemma fix-def2: fix·F = (⋄ i. iterate i·F·⊥)
  by (simp add: fix-def)

```

```

lemma iterate-below-fix: iterate n·f·⊥ ⊑ fix·f
  unfolding fix-def2
  using chain-iterate by (rule is-ub-thelub)

```

Kleene’s fixed point theorems for continuous functions in pointed omega cpo’s

```

lemma fix-eq: fix·F = F·(fix·F)
  apply (simp add: fix-def2)
  apply (subst lub-range-shift [of - 1, symmetric])
  apply (rule chain-iterate)
  apply (subst contlub-cfun-arg)
  apply (rule chain-iterate)
  apply simp
  done

```

```

lemma fix-least-below: F·x ⊑ x ⇒ fix·F ⊑ x
  apply (simp add: fix-def2)
  apply (rule lub-below)
  apply (rule chain-iterate)
  apply (induct-tac i)

```

```

apply simp
apply simp
apply (erule rev-below-trans)
apply (erule monofun-cfun-arg)
done

lemma fix-least:  $F \cdot x = x \implies \text{fix}\cdot F \sqsubseteq x$ 
by (rule fix-least-below) simp

lemma fix-eqI:
assumes fixed:  $F \cdot x = x$ 
and least:  $\bigwedge z. F \cdot z = z \implies x \sqsubseteq z$ 
shows  $\text{fix}\cdot F = x$ 
apply (rule below-antisym)
apply (rule fix-least [OF fixed])
apply (rule least [OF fix-eq [symmetric]])
done

lemma fix-eq2:  $f \equiv \text{fix}\cdot F \implies f = F \cdot f$ 
by (simp add: fix-eq [symmetric])

lemma fix-eq3:  $f \equiv \text{fix}\cdot F \implies f \cdot x = F \cdot f \cdot x$ 
by (erule fix-eq2 [THEN cfun-fun-cong])

lemma fix-eq4:  $f = \text{fix}\cdot F \implies f = F \cdot f$ 
by (erule ssubst) (rule fix-eq)

lemma fix-eq5:  $f = \text{fix}\cdot F \implies f \cdot x = F \cdot f \cdot x$ 
by (erule fix-eq4 [THEN cfun-fun-cong])

strictness of fix

lemma fix-bottom-iff:  $\text{fix}\cdot F = \perp \longleftrightarrow F \cdot \perp = \perp$ 
apply (rule iffI)
apply (erule subst)
apply (rule fix-eq [symmetric])
apply (erule fix-least [THEN bottomI])
done

lemma fix-strict:  $F \cdot \perp = \perp \implies \text{fix}\cdot F = \perp$ 
by (simp add: fix-bottom-iff)

lemma fix-defined:  $F \cdot \perp \neq \perp \implies \text{fix}\cdot F \neq \perp$ 
by (simp add: fix-bottom-iff)

fix applied to identity and constant functions

lemma fix-id:  $(\mu x. x) = \perp$ 
by (simp add: fix-strict)

lemma fix-const:  $(\mu x. c) = c$ 

```

**by** (*subst fix-eq*) *simp*

### 25.3 Fixed point induction

```

lemma fix-ind: adm P ==> P ⊥ ==> (Λx. P x ==> P (F·x)) ==> P (fix·F)
  unfolding fix-def2
  apply (erule admD)
  apply (rule chain-iterate)
  apply (rule nat-induct, simp-all)
  done

lemma cont-fix-ind: cont F ==> adm P ==> P ⊥ ==> (Λx. P x ==> P (F x)) ==>
  P (fix·(Abs-cfun F))
  by (simp add: fix-ind)

lemma def-fix-ind: [|f ≡ fix·F; adm P; P ⊥; Λx. P x ==> P (F·x)|] ==> P f
  by (simp add: fix-ind)

lemma fix-ind2:
  assumes adm: adm P
  assumes 0: P ⊥ and 1: P (F·⊥)
  assumes step: Λx. [|P x; P (F·x)|] ==> P (F·(F·x))
  shows P (fix·F)
  unfolding fix-def2
  apply (rule admD [OF adm chain-iterate])
  apply (rule nat-less-induct)
  apply (case-tac n)
  apply (simp add: 0)
  apply (case-tac nat)
  apply (simp add: 1)
  apply (frule-tac x=nat in spec)
  apply (simp add: step)
  done

lemma parallel-fix-ind:
  assumes adm: adm (λx. P (fst x) (snd x))
  assumes base: P ⊥ ⊥
  assumes step: Λx y. P x y ==> P (F·x) (G·y)
  shows P (fix·F) (fix·G)
  proof –
    from adm have adm': adm (case-prod P)
    unfolding split-def .
    have P (iterate i·F·⊥) (iterate i·G·⊥) for i
      by (induct i) (simp add: base, simp add: step)
    then have Λi. case-prod P (iterate i·F·⊥, iterate i·G·⊥)
      by simp
    then have case-prod P (Λi. (iterate i·F·⊥, iterate i·G·⊥))
      by – (rule admD [OF adm'], simp, assumption)
    then have case-prod P (Λi. iterate i·F·⊥, Λi. iterate i·G·⊥)

```

```

by (simp add: lub-Pair)
then have  $P (\bigsqcup i. \text{iterate } i \cdot F \cdot \perp) (\bigsqcup i. \text{iterate } i \cdot G \cdot \perp)$ 
  by simp
then show  $P (\text{fix} \cdot F) (\text{fix} \cdot G)$ 
  by (simp add: fix-def2)
qed

```

```

lemma cont-parallel-fix-ind:
assumes cont  $F$  and cont  $G$ 
assumes adm  $(\lambda x. P (\text{fst } x) (\text{snd } x))$ 
assumes  $P \perp \perp$ 
assumes  $\bigwedge x y. P x y \implies P (F x) (G y)$ 
shows  $P (\text{fix} \cdot (\text{Abs-cfun } F)) (\text{fix} \cdot (\text{Abs-cfun } G))$ 
by (rule parallel-fix-ind) (simp-all add: assms)

```

## 25.4 Fixed-points on product types

Bekic’s Theorem: Simultaneous fixed points over pairs can be written in terms of separate fixed points.

```

lemma fix-cprod:

$$\begin{aligned} & \text{fix} \cdot (F :: 'a \times 'b \rightarrow 'a \times 'b) = \\ & (\mu x. \text{fst} (F \cdot (x, \mu y. \text{snd} (F \cdot (x, y)))), \\ & \quad \mu y. \text{snd} (F \cdot (\mu x. \text{fst} (F \cdot (x, \mu y. \text{snd} (F \cdot (x, y)))), y))) \\ & (\text{is } \text{fix} \cdot F = (?x, ?y)) \end{aligned}$$

proof (rule fix-eqI)
have  $*: \text{fst} (F \cdot (?x, ?y)) = ?x$ 
  by (rule trans [symmetric, OF fix-eq], simp)
have  $\text{snd} (F \cdot (?x, ?y)) = ?y$ 
  by (rule trans [symmetric, OF fix-eq], simp)
with  $*$  show  $F \cdot (?x, ?y) = (?x, ?y)$ 
  by (simp add: prod-eq-iff)
next
  fix  $z$ 
  assume  $F \cdot z: F \cdot z = z$ 
  obtain  $x y$  where  $z: z = (x, y)$  by (rule prod.exhaust)
  from  $F \cdot z$  have  $F \cdot x: \text{fst} (F \cdot (x, y)) = x$  by simp
  from  $F \cdot z$  have  $F \cdot y: \text{snd} (F \cdot (x, y)) = y$  by simp
  let  $?y1 = \mu y. \text{snd} (F \cdot (x, y))$ 
  have  $?y1 \sqsubseteq y$ 
    by (rule fix-least) (simp add: F-y)
  then have  $\text{fst} (F \cdot (x, ?y1)) \sqsubseteq \text{fst} (F \cdot (x, y))$ 
    by (simp add: fst-monofun monofun-cfun)
  with  $F \cdot x$  have  $\text{fst} (F \cdot (x, ?y1)) \sqsubseteq x$ 
    by simp
  then have  $*: ?x \sqsubseteq x$ 
    by (simp add: fix-least-below)
  then have  $\text{snd} (F \cdot (?x, y)) \sqsubseteq \text{snd} (F \cdot (x, y))$ 
    by (simp add: snd-monofun monofun-cfun)
  with  $F \cdot y$  have  $\text{snd} (F \cdot (?x, y)) \sqsubseteq y$ 

```

```

  by simp
  then have ?y ⊑ y
    by (simp add: fix-least-below)
  with z * show (?x, ?y) ⊑ z
    by simp
qed

end

```

## 26 Package for defining recursive functions in HOLCF

```

theory Fixrec
imports Cprod Sprod Ssum Up One Tr Fix
keywords fixrec :: thy-defn
begin

```

### 26.1 Pattern-match monad

```
default-sort cpo
```

```
pcpodef 'a match = UNIV::(one ++ 'a u) set
by simp-all
```

```
definition
fail :: 'a match where
fail = Abs-match (sinl·ONE)
```

```
definition
succeed :: 'a → 'a match where
succeed = (Λ x. Abs-match (sinr·(up·x)))
```

```
lemma matchE [case-names bottom fail succeed, cases type: match]:
  [| p = ⊥ ==> Q; p = fail ==> Q; ∀x. p = succeed·x ==> Q |] ==> Q
unfolding fail-def succeed-def
apply (cases p, rename-tac r)
apply (rule-tac p=r in ssumE, simp add: Abs-match-strict)
apply (rule-tac p=x in oneE, simp, simp)
apply (rule-tac p=y in upE, simp, simp add: cont-Abs-match)
done
```

```
lemma succeed-defined [simp]: succeed·x ≠ ⊥
by (simp add: succeed-def cont-Abs-match Abs-match-bottom-iff)
```

```
lemma fail-defined [simp]: fail ≠ ⊥
by (simp add: fail-def Abs-match-bottom-iff)
```

```
lemma succeed-eq [simp]: (succeed·x = succeed·y) = (x = y)
by (simp add: succeed-def cont-Abs-match Abs-match-inject)
```

```
lemma succeed-neq-fail [simp]:
  succeed·x ≠ fail fail ≠ succeed·x
by (simp-all add: succeed-def fail-def cont-Abs-match Abs-match-inject)
```

### 26.1.1 Run operator

**definition**

```
run :: 'a match → 'a::pcpo where
run = (Λ m. sscase·⊥·(fup·ID)·(Rep-match m))
```

rewrite rules for run

```
lemma run-strict [simp]: run·⊥ = ⊥
unfolding run-def
by (simp add: cont-Rep-match Rep-match-strict)
```

```
lemma run-fail [simp]: run·fail = ⊥
unfolding run-def fail-def
by (simp add: cont-Rep-match Abs-match-inverse)
```

```
lemma run-succeed [simp]: run·(succeed·x) = x
unfolding run-def succeed-def
by (simp add: cont-Rep-match cont-Abs-match Abs-match-inverse)
```

### 26.1.2 Monad plus operator

**definition**

```
mplus :: 'a match → 'a match → 'a match where
mplus = (Λ m1 m2. sscase·(Λ -. m2)·(Λ -. m1)·(Rep-match m1))
```

**abbreviation**

```
mplus-syn :: ['a match, 'a match] ⇒ 'a match (infixr +++ 65) where
m1 +++ m2 == mplus·m1·m2
```

rewrite rules for mplus

```
lemma mplus-strict [simp]: ⊥ +++ m = ⊥
unfolding mplus-def
by (simp add: cont-Rep-match Rep-match-strict)
```

```
lemma mplus-fail [simp]: fail +++ m = m
unfolding mplus-def fail-def
by (simp add: cont-Rep-match Abs-match-inverse)
```

```
lemma mplus-succeed [simp]: succeed·x +++ m = succeed·x
unfolding mplus-def succeed-def
by (simp add: cont-Rep-match cont-Abs-match Abs-match-inverse)
```

```
lemma mplus-fail2 [simp]: m +++ fail = m
by (cases m, simp-all)
```

**lemma** *mplus-assoc*:  $(x +++ y) +++ z = x +++ (y +++ z)$   
**by** (*cases x, simp-all*)

## 26.2 Match functions for built-in types

**default-sort** *cpo*

**definition**

*match-bottom* ::  $'a \rightarrow 'c \text{ match} \rightarrow 'c \text{ match}$   
**where**

$$\text{match-bottom} = (\Lambda x k. \text{seq}\cdot x \cdot \text{fail})$$

**definition**

*match-Pair* ::  $'a::\text{cpo} \times 'b::\text{cpo} \rightarrow ('a \rightarrow 'b \rightarrow 'c \text{ match}) \rightarrow 'c \text{ match}$   
**where**

$$\text{match-Pair} = (\Lambda x k. \text{csplit}\cdot k \cdot x)$$

**definition**

*match-spair* ::  $'a \otimes 'b \rightarrow ('a \rightarrow 'b \rightarrow 'c \text{ match}) \rightarrow 'c \text{ match}$   
**where**

$$\text{match-spair} = (\Lambda x k. \text{ssplit}\cdot k \cdot x)$$

**definition**

*match-sinl* ::  $'a \oplus 'b \rightarrow ('a \rightarrow 'c \text{ match}) \rightarrow 'c \text{ match}$   
**where**

$$\text{match-sinl} = (\Lambda x k. \text{sscse}\cdot k \cdot (\Lambda b. \text{fail}) \cdot x)$$

**definition**

*match-sinr* ::  $'a \oplus 'b \rightarrow ('b \rightarrow 'c \text{ match}) \rightarrow 'c \text{ match}$   
**where**

$$\text{match-sinr} = (\Lambda x k. \text{sscse}\cdot (\Lambda a. \text{fail}) \cdot k \cdot x)$$

**definition**

*match-up* ::  $'a::\text{cpo} u \rightarrow ('a \rightarrow 'c \text{ match}) \rightarrow 'c \text{ match}$   
**where**

$$\text{match-up} = (\Lambda x k. \text{fup}\cdot k \cdot x)$$

**definition**

*match-ONE* :: *one*  $\rightarrow 'c \text{ match} \rightarrow 'c \text{ match}$   
**where**

$$\text{match-ONE} = (\Lambda \text{ONE} k. k)$$

**definition**

*match-TT* :: *tr*  $\rightarrow 'c \text{ match} \rightarrow 'c \text{ match}$   
**where**

$$\text{match-TT} = (\Lambda x k. \text{If } x \text{ then } k \text{ else fail})$$

**definition**

$$\text{match-FF} :: \text{tr} \rightarrow 'c \text{ match} \rightarrow 'c \text{ match}$$

**where**

$\text{match-FF} = (\Lambda x k. \text{If } x \text{ then fail else } k)$

**lemma**  $\text{match-bottom-simps}$  [*simp*]:

$\text{match-bottom}\cdot x\cdot k = (\text{if } x = \perp \text{ then } \perp \text{ else fail})$   
**by** (*simp add: match-bottom-def*)

**lemma**  $\text{match-Pair-simps}$  [*simp*]:

$\text{match-Pair}\cdot(x, y)\cdot k = k\cdot x\cdot y$   
**by** (*simp-all add: match-Pair-def*)

**lemma**  $\text{match-spair-simps}$  [*simp*]:

$\llbracket x \neq \perp; y \neq \perp \rrbracket \implies \text{match-spair}\cdot(:x, y)\cdot k = k\cdot x\cdot y$   
 $\text{match-spair}\cdot\perp\cdot k = \perp$   
**by** (*simp-all add: match-spair-def*)

**lemma**  $\text{match-sinl-simps}$  [*simp*]:

$x \neq \perp \implies \text{match-sinl}\cdot(\text{sinl}\cdot x)\cdot k = k\cdot x$   
 $y \neq \perp \implies \text{match-sinl}\cdot(\text{sinr}\cdot y)\cdot k = \text{fail}$   
 $\text{match-sinl}\cdot\perp\cdot k = \perp$   
**by** (*simp-all add: match-sinl-def*)

**lemma**  $\text{match-sinr-simps}$  [*simp*]:

$x \neq \perp \implies \text{match-sinr}\cdot(\text{sinl}\cdot x)\cdot k = \text{fail}$   
 $y \neq \perp \implies \text{match-sinr}\cdot(\text{sinr}\cdot y)\cdot k = k\cdot y$   
 $\text{match-sinr}\cdot\perp\cdot k = \perp$   
**by** (*simp-all add: match-sinr-def*)

**lemma**  $\text{match-up-simps}$  [*simp*]:

$\text{match-up}\cdot(\text{up}\cdot x)\cdot k = k\cdot x$   
 $\text{match-up}\cdot\perp\cdot k = \perp$   
**by** (*simp-all add: match-up-def*)

**lemma**  $\text{match-ONE-simps}$  [*simp*]:

$\text{match-ONE}\cdot\text{ONE}\cdot k = k$   
 $\text{match-ONE}\cdot\perp\cdot k = \perp$   
**by** (*simp-all add: match-ONE-def*)

**lemma**  $\text{match-TT-simps}$  [*simp*]:

$\text{match-TT}\cdot\text{TT}\cdot k = k$   
 $\text{match-TT}\cdot\text{FF}\cdot k = \text{fail}$   
 $\text{match-TT}\cdot\perp\cdot k = \perp$   
**by** (*simp-all add: match-TT-def*)

**lemma**  $\text{match-FF-simps}$  [*simp*]:

$\text{match-FF}\cdot\text{FF}\cdot k = k$   
 $\text{match-FF}\cdot\text{TT}\cdot k = \text{fail}$   
 $\text{match-FF}\cdot\perp\cdot k = \perp$   
**by** (*simp-all add: match-FF-def*)

### 26.3 Mutual recursion

The following rules are used to prove unfolding theorems from fixed-point definitions of mutually recursive functions.

**lemma** *Pair-equalI*:  $\llbracket x \equiv \text{fst } p; y \equiv \text{snd } p \rrbracket \implies (x, y) \equiv p$   
**by** *simp*

**lemma** *Pair-eqD1*:  $(x, y) = (x', y') \implies x = x'$   
**by** *simp*

**lemma** *Pair-eqD2*:  $(x, y) = (x', y') \implies y = y'$   
**by** *simp*

**lemma** *def-cont-fix-eq*:  
 $\llbracket f \equiv \text{fix} \cdot (\text{Abs}-\text{cfun } F); \text{cont } F \rrbracket \implies f = F f$   
**by** (*simp, subst fix-eq, simp*)

**lemma** *def-cont-fix-ind*:  
 $\llbracket f \equiv \text{fix} \cdot (\text{Abs}-\text{cfun } F); \text{cont } F; \text{adm } P; P \perp; \bigwedge x. P x \implies P (F x) \rrbracket \implies P f$   
**by** (*simp add: fix-ind*)

lemma for proving rewrite rules

**lemma** *ssubst-lhs*:  $\llbracket t = s; P s = Q \rrbracket \implies P t = Q$   
**by** *simp*

### 26.4 Initializing the fixrec package

**ML-file** *Tools/holcf-library.ML*  
**ML-file** *Tools/fixrec.ML*

**method-setup** *fixrec-simp* =  
 Scan.succeed (SIMPLE-METHOD' o Fixrec.fixrec-simp-tac)  
 › pattern prover for fixrec constants

**setup** ‹  
*Fixrec.add-matchers*  
 [ (*const-name* ‹up›, *const-name* ‹match-up›),  
 (*const-name* ‹sinl›, *const-name* ‹match-sinl›),  
 (*const-name* ‹sinr›, *const-name* ‹match-sinr›),  
 (*const-name* ‹spair›, *const-name* ‹match-spair›),  
 (*const-name* ‹Pair›, *const-name* ‹match-Pair›),  
 (*const-name* ‹ONE›, *const-name* ‹match-ONE›),  
 (*const-name* ‹TT›, *const-name* ‹match-TT›),  
 (*const-name* ‹FF›, *const-name* ‹match-FF›),  
 (*const-name* ‹bottom›, *const-name* ‹match-bottom›) ]  
 ›

**hide-const (open)** succeed fail run

```
end
```

## 27 Domain package support

```
theory Domain-Aux
imports Map-Functions Fixrec
begin
```

### 27.1 Continuous isomorphisms

A locale for continuous isomorphisms

```
locale iso =
  fixes abs :: 'a → 'b
  fixes rep :: 'b → 'a
  assumes abs-iso [simp]: rep · (abs · x) = x
  assumes rep-iso [simp]: abs · (rep · y) = y
begin

lemma swap: iso rep abs
  by (rule iso.intro [OF rep-iso abs-iso])

lemma abs-below: (abs · x ⊑ abs · y) = (x ⊑ y)
proof
  assume abs · x ⊑ abs · y
  then have rep · (abs · x) ⊑ rep · (abs · y) by (rule monofun-cfun-arg)
  then show x ⊑ y by simp
next
  assume x ⊑ y
  then show abs · x ⊑ abs · y by (rule monofun-cfun-arg)
qed

lemma rep-below: (rep · x ⊑ rep · y) = (x ⊑ y)
  by (rule iso.abs-below [OF swap])

lemma abs-eq: (abs · x = abs · y) = (x = y)
  by (simp add: po-eq-conv abs-below)

lemma rep-eq: (rep · x = rep · y) = (x = y)
  by (rule iso.abs-eq [OF swap])

lemma abs-strict: abs · ⊥ = ⊥
proof -
  have ⊥ ⊑ rep · ⊥ ..
  then have abs · ⊥ ⊑ abs · (rep · ⊥) by (rule monofun-cfun-arg)
  then have abs · ⊥ ⊑ ⊥ by simp
  then show ?thesis by (rule bottomI)
qed
```

```

lemma rep-strict: rep· $\perp$  =  $\perp$ 
  by (rule iso.abs-strict [OF swap])

lemma abs-defin': abs· $x$  =  $\perp$   $\Rightarrow$   $x$  =  $\perp$ 
proof -
  have  $x$  = rep·(abs· $x$ ) by simp
  also assume abs· $x$  =  $\perp$ 
  also note rep-strict
  finally show  $x$  =  $\perp$ .
qed

lemma rep-defin': rep· $z$  =  $\perp$   $\Rightarrow$   $z$  =  $\perp$ 
  by (rule iso.abs-defin' [OF swap])

lemma abs-defined:  $z \neq \perp \Rightarrow$  abs· $z$   $\neq \perp$ 
  by (erule contrapos-nn, erule abs-defin')

lemma rep-defined:  $z \neq \perp \Rightarrow$  rep· $z$   $\neq \perp$ 
  by (rule iso.abs-defined [OF iso.swap]) (rule iso-axioms)

lemma abs-bottom-iff: (abs· $x$  =  $\perp$ ) = ( $x$  =  $\perp$ )
  by (auto elim: abs-defin' intro: abs-strict)

lemma rep-bottom-iff: (rep· $x$  =  $\perp$ ) = ( $x$  =  $\perp$ )
  by (rule iso.abs-bottom-iff [OF iso.swap]) (rule iso-axioms)

lemma casedist-rule: rep· $x$  =  $\perp \vee P \Rightarrow$   $x$  =  $\perp \vee P$ 
  by (simp add: rep-bottom-iff)

lemma compact-abs-rev: compact (abs· $x$ )  $\Rightarrow$  compact  $x$ 
proof (unfold compact-def)
  assume adm ( $\lambda y$ . abs· $x$   $\not\sqsubseteq$   $y$ )
  with cont-Rep-cfun2
  have adm ( $\lambda y$ . abs· $x$   $\not\sqsubseteq$  abs· $y$ ) by (rule adm-subst)
  then show adm ( $\lambda y$ .  $x$   $\not\sqsubseteq$   $y$ ) using abs-below by simp
qed

lemma compact-rep-rev: compact (rep· $x$ )  $\Rightarrow$  compact  $x$ 
  by (rule iso.compact-abs-rev [OF iso.swap]) (rule iso-axioms)

lemma compact-abs: compact  $x$   $\Rightarrow$  compact (abs· $x$ )
  by (rule compact-rep-rev) simp

lemma compact-rep: compact  $x$   $\Rightarrow$  compact (rep· $x$ )
  by (rule iso.compact-abs [OF iso.swap]) (rule iso-axioms)

lemma iso-swap: ( $x$  = abs· $y$ ) = (rep· $x$  =  $y$ )
proof
  assume  $x$  = abs· $y$ 

```

```

then have rep·x = rep·(abs·y) by simp
then show rep·x = y by simp
next
  assume rep·x = y
  then have abs·(rep·x) = abs·y by simp
  then show x = abs·y by simp
qed

end

```

## 27.2 Proofs about take functions

This section contains lemmas that are used in a module that supports the domain isomorphism package; the module contains proofs related to take functions and the finiteness predicate.

```

lemma deflation-abs-rep:
  fixes abs and rep and d
  assumes abs-iso:  $\bigwedge x. \text{rep} \cdot (\text{abs} \cdot x) = x$ 
  assumes rep-iso:  $\bigwedge y. \text{abs} \cdot (\text{rep} \cdot y) = y$ 
  shows deflation d  $\implies$  deflation (abs oo d oo rep)
  by (rule ep-pair.deflation-e-d-p) (simp add: ep-pair.intro assms)

```

```

lemma deflation-chain-min:
  assumes chain: chain d
  assumes defl:  $\bigwedge n. \text{deflation} (d n)$ 
  shows d m · (d n · x) = d (min m n) · x
proof (rule linorder-le-cases)
  assume m  $\leq$  n
  with chain have d m  $\sqsubseteq$  d n by (rule chain-mono)
  then have d m · (d n · x) = d m · x
  by (rule deflation-below-comp1 [OF defl defl])
  moreover from {m  $\leq$  n} have min m n = m by simp
  ultimately show ?thesis by simp
next
  assume n  $\leq$  m
  with chain have d n  $\sqsubseteq$  d m by (rule chain-mono)
  then have d m · (d n · x) = d n · x
  by (rule deflation-below-comp2 [OF defl defl])
  moreover from {n  $\leq$  m} have min m n = n by simp
  ultimately show ?thesis by simp
qed

```

```

lemma lub-ID-take-lemma:
  assumes chain t and ( $\bigsqcup n. t n$ ) = ID
  assumes  $\bigwedge n. t n \cdot x = t n \cdot y$  shows x = y
proof -
  have ( $\bigsqcup n. t n \cdot x$ ) = ( $\bigsqcup n. t n \cdot y$ )
  using assms(3) by simp
  then have ( $\bigsqcup n. t n$ ) · x = ( $\bigsqcup n. t n$ ) · y

```

```

using assms(1) by (simp add: lub-distrib)
then show x = y
using assms(2) by simp
qed

lemma lub-ID-reach:
assumes chain t and (LUB n. t n) = ID
shows (LUB n. t n · x) = x
using assms by (simp add: lub-distrib)

lemma lub-ID-take-induct:
assumes chain t and (LUB n. t n) = ID
assumes adm P and (∀n. P (t n · x)) shows P x
proof -
from <chain t> have chain (λn. t n · x) by simp
from <adm P> this <∀n. P (t n · x)> have P (LUB n. t n · x) by (rule admD)
with <chain t> <(LUB n. t n) = ID> show P x by (simp add: lub-distrib)
qed

```

### 27.3 Finiteness

Let a “decisive” function be a deflation that maps every input to either itself or bottom. Then if a domain’s take functions are all decisive, then all values in the domain are finite.

```

definition
decisive :: ('a::pcpo → 'a) ⇒ bool
where
decisive d ↔ ( ∀x. d · x = x ∨ d · x = ⊥ )

lemma decisiveI: ( ∀x. d · x = x ∨ d · x = ⊥ ) ⇒ decisive d
unfolding decisive-def by simp

lemma decisive-cases:
assumes decisive d obtains d · x = x ∣ d · x = ⊥
using assms unfolding decisive-def by auto

lemma decisive-bottom: decisive ⊥
unfolding decisive-def by simp

lemma decisive-ID: decisive ID
unfolding decisive-def by simp

lemma decisive-ssum-map:
assumes f: decisive f
assumes g: decisive g
shows decisive (ssum-map · f · g)
apply (rule decisiveI)
subgoal for s
apply (cases s, simp-all)

```

```

apply (rule-tac  $x=x$  in decisive-cases [OF f], simp-all)
apply (rule-tac  $x=y$  in decisive-cases [OF g], simp-all)
done
done

lemma decisive-sprod-map:
assumes f: decisive f
assumes g: decisive g
shows decisive (sprod-map·f·g)
apply (rule decisiveI)
subgoal for s
  apply (cases s, simp)
  subgoal for x y
    apply (rule decisive-cases [OF f, where  $x = x$ ], simp-all)
    apply (rule decisive-cases [OF g, where  $x = y$ ], simp-all)
    done
  done
done

lemma decisive-abs-rep:
fixes abs rep
assumes iso: iso abs rep
assumes d: decisive d
shows decisive (abs oo d oo rep)
apply (rule decisiveI)
subgoal for s
  apply (rule decisive-cases [OF d, where  $x=rep\cdot s$ ])
  apply (simp add: iso.rep-iso [OF iso])
  apply (simp add: iso.abs-strict [OF iso])
  done
done

lemma lub-ID-finite:
assumes chain: chain d
assumes lub: ( $\bigcup n. d n$ ) = ID
assumes decisive:  $\bigwedge n. \text{decisive} (d n)$ 
shows  $\exists n. d n \cdot x = x$ 
proof -
  have 1: chain ( $\lambda n. d n \cdot x$ ) using chain by simp
  have 2:  $(\bigcup n. d n \cdot x) = x$  using chain lub by (rule lub-ID-reach)
  have  $\forall n. d n \cdot x = x \vee d n \cdot x = \perp$ 
    using decisive unfolding decisive-def by simp
  hence range ( $\lambda n. d n \cdot x$ )  $\subseteq \{x, \perp\}$ 
    by auto
  hence finite (range ( $\lambda n. d n \cdot x$ ))
    by (rule finite-subset, simp)
  with 1 have finite-chain ( $\lambda n. d n \cdot x$ )
    by (rule finite-range-imp-fin)
  then have  $\exists n. (\bigcup n. d n \cdot x) = d n \cdot x$ 

```

```

unfolding finite-chain-def by (auto simp add: maxinch-is-thelub)
with 2 show  $\exists n. d n \cdot x = x$  by (auto elim: sym)
qed

```

```

lemma lub-ID-finite-take-induct:
assumes chain d and ( $\bigsqcup n. d n$ ) = ID and  $\bigwedge n. \text{decisive } (d n)$ 
shows ( $\bigwedge n. P (d n \cdot x)$ )  $\implies P x$ 
using lub-ID-finite [OF assms] by metis

```

## 27.4 Proofs about constructor functions

Lemmas for proving nchotomy rule:

```

lemma ex-one-bottom-iff:
 $(\exists x. P x \wedge x \neq \perp) = P \text{ ONE}$ 
by simp

```

```

lemma ex-up-bottom-iff:
 $(\exists x. P x \wedge x \neq \perp) = (\exists x. P (up \cdot x))$ 
by (safe, case-tac x, auto)

```

```

lemma ex-sprod-bottom-iff:
 $(\exists y. P y \wedge y \neq \perp) =$ 
 $(\exists x y. (P (x, y)) \wedge x \neq \perp \wedge y \neq \perp)$ 
by (safe, case-tac y, auto)

```

```

lemma ex-sprod-up-bottom-iff:
 $(\exists y. P y \wedge y \neq \perp) =$ 
 $(\exists x y. P (up \cdot x, y)) \wedge y \neq \perp)$ 
by (safe, case-tac y, simp, case-tac x, auto)

```

```

lemma ex-ssum-bottom-iff:
 $(\exists x. P x \wedge x \neq \perp) =$ 
 $((\exists x. P (sinl \cdot x)) \wedge x \neq \perp) \vee$ 
 $((\exists x. P (sinr \cdot x)) \wedge x \neq \perp))$ 
by (safe, case-tac x, auto)

```

```

lemma exh-start:  $p = \perp \vee (\exists x. p = x \wedge x \neq \perp)$ 
by auto

```

```

lemmas ex-bottom-iffs =
ex-ssum-bottom-iff
ex-sprod-up-bottom-iff
ex-sprod-bottom-iff
ex-up-bottom-iff
ex-one-bottom-iff

```

Rules for turning nchotomy into exhaust:

```

lemma exh-casedist0:  $\llbracket R; R \implies P \rrbracket \implies P$ 
by auto

```

**lemma** *exh-casedist1*:  $((P \vee Q \Rightarrow R) \Rightarrow S) \equiv ([P \Rightarrow R; Q \Rightarrow R] \Rightarrow S)$   
**by rule auto**

**lemma** *exh-casedist2*:  $(\exists x. P x \Rightarrow Q) \equiv (\bigwedge x. P x \Rightarrow Q)$   
**by rule auto**

**lemma** *exh-casedist3*:  $(P \wedge Q \Rightarrow R) \equiv (P \Rightarrow Q \Rightarrow R)$   
**by rule auto**

**lemmas** *exh-casedists* = *exh-casedist1* *exh-casedist2* *exh-casedist3*

Rules for proving constructor properties

**lemmas** *con-strict-rules* =  
*sinl-strict* *sinr-strict* *spair-strict1* *spair-strict2*

**lemmas** *con-bottom-iff-rules* =  
*sinl-bottom-iff* *sinr-bottom-iff* *spair-bottom-iff* up-defined ONE-defined

**lemmas** *con-below-iff-rules* =  
*sinl-below* *sinr-below* *sinl-below-sinr* *sinr-below-sinl* *con-bottom-iff-rules*

**lemmas** *con-eq-iff-rules* =  
*sinl-eq* *sinr-eq* *sinl-eq-sinr* *sinr-eq-sinl* *con-bottom-iff-rules*

**lemmas** *sel-strict-rules* =  
*cfcomp2* *sscase1* *sfst-strict* *ssnd-strict* *fup1*

**lemma** *sel-app-extra-rules*:  
*sscase*. $ID \cdot \perp \cdot (sinr \cdot x) = \perp$   
*sscase*. $ID \cdot \perp \cdot (sinl \cdot x) = x$   
*sscase*. $\perp \cdot ID \cdot (sinl \cdot x) = \perp$   
*sscase*. $\perp \cdot ID \cdot (sinr \cdot x) = x$   
*fup*. $ID \cdot (up \cdot x) = x$   
**by** (cases  $x = \perp$ , *simp*, *simp*) +

**lemmas** *sel-app-rules* =  
*sel-strict-rules* *sel-app-extra-rules*  
*ssnd-spair* *sfst-spair* up-defined *spair-defined*

**lemmas** *sel-bottom-iff-rules* =  
*cfcomp2* *sfst-bottom-iff* *ssnd-bottom-iff*

**lemmas** *take-con-rules* =  
*ssum-map-sinl'* *ssum-map-sinr'* *sprod-map-spair'* *u-map-up*  
*deflation-strict* *deflation-ID* *ID1* *cfcomp2*

## 27.5 ML setup

```

named-theorems domain-deflation theorems like deflation a ==> deflation (foo-map$a)
and domain-map-ID theorems like foo-map$ID = ID

ML-file <Tools/Domain/domain-take-proofs.ML>
ML-file <Tools/cont-consts.ML>
ML-file <Tools/cont-proc.ML>
simproc-setup cont (cont f) = <K ContProc.cont-proc>

ML-file <Tools/Domain/domain-constructors.ML>
ML-file <Tools/Domain/domain-induction.ML>

end

```

## 28 Domain package

```

theory Domain
imports Representable Domain-Aux
keywords
  lazy unsafe and
  domainindef domain :: thy-defn and
  domain-isomorphism :: thy-decl
begin

default-sort domain

28.1 Representations of types

lemma emb-prj: emb·((prj·x)::'a) = cast·DEFL('a)·x
by (simp add: cast-DEFL)

lemma emb-prj-emb:
  fixes x :: 'a
  assumes DEFL('a) ⊑ DEFL('b)
  shows emb·(prj·(emb·x) :: 'b) = emb·x
unfolding emb-prj
apply (rule cast.belowD)
apply (rule monofun-cfun-arg [OF assms])
apply (simp add: cast-DEFL)
done

lemma prj-emb-prj:
  assumes DEFL('a) ⊑ DEFL('b)
  shows prj·(emb·(prj·x :: 'b)) = (prj·x :: 'a)
apply (rule emb-eq-iff [THEN iffD1])
apply (simp only: emb-prj)
apply (rule deflation-below-comp1)
apply (rule deflation-cast)

```

```

apply (rule deflation-cast)
apply (rule monofun-cfun-arg [OF assms])
done

```

Isomorphism lemmas used internally by the domain package:

```

lemma domain-abs-iso:
  fixes abs and rep
  assumes DEFL:  $\text{DEFL}('b) = \text{DEFL}('a)$ 
  assumes abs-def:  $(\text{abs} :: 'a \rightarrow 'b) \equiv \text{prj} oo \text{emb}$ 
  assumes rep-def:  $(\text{rep} :: 'b \rightarrow 'a) \equiv \text{prj} oo \text{emb}$ 
  shows rep · (abs · x) = x
unfolding abs-def rep-def
by (simp add: emb-prj-emb DEFL)

```

```

lemma domain-rep-iso:
  fixes abs and rep
  assumes DEFL:  $\text{DEFL}('b) = \text{DEFL}('a)$ 
  assumes abs-def:  $(\text{abs} :: 'a \rightarrow 'b) \equiv \text{prj} oo \text{emb}$ 
  assumes rep-def:  $(\text{rep} :: 'b \rightarrow 'a) \equiv \text{prj} oo \text{emb}$ 
  shows abs · (rep · x) = x
unfolding abs-def rep-def
by (simp add: emb-prj-emb DEFL)

```

## 28.2 Deflations as sets

```

definition defl-set :: ' $a$ ::bifinite defl  $\Rightarrow$  'a set
where defl-set A = {x. cast · A · x = x}

```

```

lemma adm-defl-set: adm ( $\lambda x. x \in \text{defl-set } A$ )
unfolding defl-set-def by simp

```

```

lemma defl-set-bottom:  $\perp \in \text{defl-set } A$ 
unfolding defl-set-def by simp

```

```

lemma defl-set-cast [simp]: cast · A · x  $\in \text{defl-set } A$ 
unfolding defl-set-def by simp

```

```

lemma defl-set-subset-iff: defl-set A  $\subseteq$  defl-set B  $\longleftrightarrow$  A  $\sqsubseteq$  B
apply (simp add: defl-set-def subset-eq cast-below-cast [symmetric])
apply (auto simp add: cast.belowI cast.belowD)
done

```

## 28.3 Proving a subtype is representable

Temporarily relax type constraints.

```

setup ‹
  fold Sign.add-const-constraint
  [ (const-name defl, SOME typ ('a::pcpo itself  $\Rightarrow$  udom defl))
  , (const-name emb, SOME typ ('a::pcpo  $\rightarrow$  udom))
  ]

```

```

, (const-name⟨prj⟩, SOME typ⟨udom → 'a::pcpo⟩)
, (const-name⟨liftdefl⟩, SOME typ⟨'a::pcpo itself ⇒ udom u defl⟩)
, (const-name⟨liftemb⟩, SOME typ⟨'a::pcpo u → udom u⟩)
, (const-name⟨liftprj⟩, SOME typ⟨udom u → 'a::pcpo u⟩) ]
>

lemma typedef-domain-class:
fixes Rep :: 'a::pcpo ⇒ udom
fixes Abs :: udom ⇒ 'a::pcpo
fixes t :: udom defl
assumes type: type-definition Rep Abs (defl-set t)
assumes below: ( $\sqsubseteq$ )  $\equiv \lambda x y. Rep x \sqsubseteq Rep y$ 
assumes emb: emb  $\equiv (\Lambda x. Rep x)$ 
assumes prj: prj  $\equiv (\Lambda x. Abs (cast \cdot t \cdot x))$ 
assumes defl: defl  $\equiv (\lambda a:'a itself. t)$ 
assumes liftemb: (liftemb :: 'a u → udom u)  $\equiv u\text{-map}\cdot emb$ 
assumes liftprj: (liftprj :: udom u → 'a u)  $\equiv u\text{-map}\cdot prj$ 
assumes liftdefl: (liftdefl :: 'a itself ⇒ -)  $\equiv (\lambda t. liftdefl\text{-of}\cdot DEFL('a))$ 
shows OFCLASS('a, domain-class)
proof
have emb-beta:  $\bigwedge x. emb \cdot x = Rep x$ 
  unfolding emb
  apply (rule beta-cfun)
  apply (rule typedef-cont-Rep [OF type below adm-defl-set cont-id])
  done
have prj-beta:  $\bigwedge y. prj \cdot y = Abs (cast \cdot t \cdot y)$ 
  unfolding prj
  apply (rule beta-cfun)
  apply (rule typedef-cont-Abs [OF type below adm-defl-set])
  apply simp-all
  done
have prj-emb:  $\bigwedge x:'a. prj \cdot (emb \cdot x) = x$ 
  using type-definition.Rep [OF type]
  unfolding prj-beta emb-beta defl-set-def
  by (simp add: type-definition.Rep-inverse [OF type])
have emb-prj:  $\bigwedge y. emb \cdot (prj \cdot y :: 'a) = cast \cdot t \cdot y$ 
  unfolding prj-beta emb-beta
  by (simp add: type-definition.Abs-inverse [OF type])
show ep-pair (emb :: 'a → udom) prj
  apply standard
  apply (simp add: prj-emb)
  apply (simp add: emb-prj cast.below)
  done
show cast·DEFL('a) = emb oo (prj :: udom → 'a)
  by (rule cfun-eqI, simp add: defl emb-prj)
qed (simp-all only: liftemb liftprj liftdefl)

lemma typedef-DEFL:
assumes defl  $\equiv (\lambda a:'a::pcpo itself. t)$ 

```

```
shows DEFL('a::pcpo) = t
unfolding assms ..
```

Restore original typing constraints.

```
setup ‹
  fold Sign.add-const-constraint
  [(const-name `defl, SOME typ ('a::domain itself ⇒ udom defl)),
   (const-name `emb, SOME typ ('a::domain → udom)),
   (const-name `prj, SOME typ (udom → 'a::domain)),
   (const-name `liftdefl, SOME typ ('a::predomain itself ⇒ udom u defl)),
   (const-name `liftemb, SOME typ ('a::predomain u → udom u)),
   (const-name `liftprj, SOME typ (udom u → 'a::predomain u))]
›
```

**ML-file** ‹Tools/domaindef.ML›

## 28.4 Isomorphic deflations

```
definition isodefl :: ('a → 'a) ⇒ udom defl ⇒ bool
  where isodefl d t ⟷ cast·t = emb oo d oo prj

definition isodefl' :: ('a::predomain → 'a) ⇒ udom u defl ⇒ bool
  where isodefl' d t ⟷ cast·t = liftemb oo u-map·d oo liftprj

lemma isodeflI: (¬x. cast·t·x = emb·(d·(prj·x))) ⟹ isodefl d t
  unfolding isodefl-def by (simp add: cfun-eqI)

lemma cast-isodefl: isodefl d t ⟹ cast·t = (Λ x. emb·(d·(prj·x)))
  unfolding isodefl-def by (simp add: cfun-eqI)

lemma isodefl-strict: isodefl d t ⟹ d·⊥ = ⊥
  unfolding isodefl-def
  by (drule cfun-fun-cong [where x=⊥], simp)

lemma isodefl-imp-deflation:
  fixes d :: 'a → 'a
  assumes isodefl d t shows deflation d
proof
  note assms [unfolded isodefl-def, simp]
  fix x :: 'a
  show d·(d·x) = d·x
    using cast.idem [of t emb·x] by simp
  show d·x ⊑ x
    using cast.below [of t emb·x] by simp
qed

lemma isodefl-ID-DEFL: isodefl (ID :: 'a → 'a) DEFL('a)
  unfolding isodefl-def by (simp add: cast-DEFL)
```

```

lemma isodefl-LIFTDEFL:
  isodefl' (ID :: 'a → 'a) LIFTDEFL('a::predomain)
unfolding isodefl'-def by (simp add: cast-liftdefl u-map-ID)

lemma isodefl-DEFL-imp-ID: isodefl (d :: 'a → 'a) DEFL('a) ==> d = ID
unfolding isodefl-def
apply (simp add: cast-DEFL)
apply (simp add: cfun-eq-iff)
apply (rule allI)
apply (drule-tac x=emb·x in spec)
apply simp
done

lemma isodefl-bottom: isodefl ⊥ ⊥
unfolding isodefl-def by (simp add: cfun-eq-iff)

lemma adm-isodefl:
  cont f ==> cont g ==> adm (λx. isodefl (f x) (g x))
unfolding isodefl-def by simp

lemma isodefl-lub:
  assumes chain d and chain t
  assumes ⋀i. isodefl (d i) (t i)
  shows isodefl (⊔ i. d i) (⊔ i. t i)
using assms unfolding isodefl-def
by (simp add: contlub-cfun-arg contlub-cfun-fun)

lemma isodefl-fix:
  assumes ⋀d t. isodefl d t ==> isodefl (f·d) (g·t)
  shows isodefl (fix·f) (fix·g)
unfolding fix-def2
apply (rule isodefl-lub, simp, simp)
apply (induct-tac i)
apply (simp add: isodefl-bottom)
apply (simp add: assms)
done

lemma isodefl-abs-rep:
  fixes abs and rep and d
  assumes DEFL: DEFL('b) = DEFL('a)
  assumes abs-def: (abs :: 'a → 'b) ≡ prj oo emb
  assumes rep-def: (rep :: 'b → 'a) ≡ prj oo emb
  shows isodefl d t ==> isodefl (abs oo d oo rep) t
unfolding isodefl-def
by (simp add: cfun-eq-iff assms prj-emb-prj emb-prj-emb)

lemma isodefl'-liftdefl-of: isodefl d t ==> isodefl' d (liftdefl-of·t)
unfolding isodefl-def isodefl'-def
by (simp add: cast-liftdefl-of u-map-oo liftemb-eq liftprj-eq)

```

```

lemma isodefl-sfun:
  isodefl d1 t1  $\implies$  isodefl d2 t2  $\implies$ 
    isodefl (sfun-map·d1·d2) (sfun-defl·t1·t2)
apply (rule isodeflI)
apply (simp add: cast-sfun-defl cast-isodefl)
apply (simp add: emb-sfun-def prj-sfun-def)
apply (simp add: sfun-map-map isodefl-strict)
done

lemma isodefl-ssum:
  isodefl d1 t1  $\implies$  isodefl d2 t2  $\implies$ 
    isodefl (ssum-map·d1·d2) (ssum-defl·t1·t2)
apply (rule isodeflI)
apply (simp add: cast-ssum-defl cast-isodefl)
apply (simp add: emb-ssum-def prj-ssum-def)
apply (simp add: ssum-map-map isodefl-strict)
done

lemma isodefl-sprod:
  isodefl d1 t1  $\implies$  isodefl d2 t2  $\implies$ 
    isodefl (sprod-map·d1·d2) (sprod-defl·t1·t2)
apply (rule isodeflI)
apply (simp add: cast-sprod-defl cast-isodefl)
apply (simp add: emb-sprod-def prj-sprod-def)
apply (simp add: sprod-map-map isodefl-strict)
done

lemma isodefl-prod:
  isodefl d1 t1  $\implies$  isodefl d2 t2  $\implies$ 
    isodefl (prod-map·d1·d2) (prod-defl·t1·t2)
apply (rule isodeflI)
apply (simp add: cast-prod-defl cast-isodefl)
apply (simp add: emb-prod-def prj-prod-def)
apply (simp add: prod-map-map cfcomp1)
done

lemma isodefl-u:
  isodefl d t  $\implies$  isodefl (u-map·d) (u-defl·t)
apply (rule isodeflI)
apply (simp add: cast-u-defl cast-isodefl)
apply (simp add: emb-u-def prj-u-def liftemb-eq liftprj-eq u-map-map)
done

lemma isodefl-u-liftdefl:
  isodefl' d t  $\implies$  isodefl (u-map·d) (u-liftdefl·t)
apply (rule isodeflI)
apply (simp add: cast-u-liftdefl isodefl'-def)
apply (simp add: emb-u-def prj-u-def liftemb-eq liftprj-eq)

```

**done**

```

lemma encode-prod-u-map:
  encode-prod-u·(u-map·(prod-map·f·g)·(decode-prod-u·x))
  = sprod-map·(u-map·f)·(u-map·g)·x
unfolding encode-prod-u-def decode-prod-u-def
apply (case-tac x, simp, rename-tac a b)
apply (case-tac a, simp, case-tac b, simp, simp)
done

lemma isodefl-prod-u:
  assumes isodefl' d1 t1 and isodefl' d2 t2
  shows isodefl' (prod-map·d1·d2) (prod-liftdefl·t1·t2)
  using assms unfolding isodefl'-def
  unfolding liftemb-prod-def liftprj-prod-def
  by (simp add: cast-prod-liftdefl cfcomp1 encode-prod-u-map sprod-map-map)

lemma encode-cfun-map:
  encode-cfun·(cfun-map·f·g·(decode-cfun·x))
  = sfun-map·(u-map·f)·g·x
unfolding encode-cfun-def decode-cfun-def
apply (simp add: sfun-eq-iff cfun-map-def sfun-map-def)
apply (rule cfun-eqI, rename-tac y, case-tac y, simp-all)
done

lemma isodefl-cfun:
  assumes isodefl (u-map·d1) t1 and isodefl d2 t2
  shows isodefl (cfun-map·d1·d2) (sfun-defl·t1·t2)
  using isodefl-sfun [OF assms] unfolding isodefl-def
  by (simp add: emb-cfun-def prj-cfun-def cfcomp1 encode-cfun-map)

```

## 28.5 Setting up the domain package

**named-theorems** domain-defl-simps theorems like  $\text{DEFL}('a t) = t\text{-defl\$DEFL}('a)$   
**and** domain-isodefl theorems like  $\text{isodefl } d t \implies \text{isodefl } (\text{foo-map\$}d) (\text{foo-defl\$}t)$

**ML-file** `<Tools/Domain/domain-isomorphism.ML>`

**ML-file** `<Tools/Domain/domain-axioms.ML>`

**ML-file** `<Tools/Domain/domain.ML>`

```

lemmas [domain-defl-simps] =
  DEFL-cfun DEFL-sfun DEFL-ssum DEFL-sprod DEFL-prod DEFL-u
  liftdefl-eq LIFTDEFL-prod u-liftdefl-liftdefl-of

```

```

lemmas [domain-map-ID] =
  cfun-map-ID sfun-map-ID ssum-map-ID sprod-map-ID prod-map-ID u-map-ID

```

```

lemmas [domain-isodefl] =
  isodefl-u isodefl-sfun isodefl-ssum isodefl-sprod

```

```
isodefl-cfun isodefl-prod isodefl-prod-u isodefl'-liftdefl-of
isodefl-u-liftdefl
```

```
lemmas [domain-deflation] =
  deflation-cfun-map deflation-sfun-map deflation-ssum-map
  deflation-sprod-map deflation-prod-map deflation-u-map

setup ‹
  fold Domain-Take-Proofs.add-rec-type
  [(type-name `cfun), [true, true]),
   (type-name `sfun), [true, true]),
   (type-name `ssum), [true, true]),
   (type-name `sprod), [true, true]),
   (type-name `prod), [true, true]),
   (type-name `u), [true])]
›

end
```

## 29 A compact basis for powerdomains

```
theory Compact-Basis
imports Universal
begin
```

```
default-sort bifinite
```

### 29.1 A compact basis for powerdomains

```
definition pd-basis = {S::'a compact-basis set. finite S ∧ S ≠ {}}
```

```
typedef 'a pd-basis = pd-basis :: 'a compact-basis set set
  unfolding pd-basis-def
  apply (rule-tac x={-} in exI)
  apply simp
  done
```

```
lemma finite-Rep-pd-basis [simp]: finite (Rep-pd-basis u)
by (insert Rep-pd-basis [of u, unfolded pd-basis-def]) simp
```

```
lemma Rep-pd-basis-nonempty [simp]: Rep-pd-basis u ≠ {}
by (insert Rep-pd-basis [of u, unfolded pd-basis-def]) simp
```

The powerdomain basis type is countable.

```
lemma pd-basis-countable: ∃f::'a pd-basis ⇒ nat. inj f
proof -
  obtain g :: 'a compact-basis ⇒ nat where inj g
    using compact-basis.countable ..
  hence image-g-eq: ⋀A B. g ` A = g ` B ⟷ A = B
```

```

  by (rule inj-image-eq-iff)
have inj (λt. set-encode (g `Rep-pd-basis t))
  by (simp add: inj-on-def set-encode-eq image-g-eq Rep-pd-basis-inject)
thus ?thesis by – (rule exI)

qed

```

## 29.2 Unit and plus constructors

### definition

```

PDUnit :: 'a compact-basis ⇒ 'a pd-basis where
PDUnit = (λx. Abs-pd-basis {x})

```

### definition

```

PDPlus :: 'a pd-basis ⇒ 'a pd-basis ⇒ 'a pd-basis where
PDPlus t u = Abs-pd-basis (Rep-pd-basis t ∪ Rep-pd-basis u)

```

### lemma Rep-PDUnit:

```
Rep-pd-basis (PDUnit x) = {x}
```

```
unfolding PDUnit-def by (rule Abs-pd-basis-inverse) (simp add: pd-basis-def)
```

### lemma Rep-PDPlus:

```
Rep-pd-basis (PDPlus u v) = Rep-pd-basis u ∪ Rep-pd-basis v
```

```
unfolding PDPlus-def by (rule Abs-pd-basis-inverse) (simp add: pd-basis-def)
```

### lemma PDUnit-inject [simp]: (PDUnit a = PDUnit b) = (a = b)

```
unfolding Rep-pd-basis-inject [symmetric] Rep-PDUnit by simp
```

### lemma PDPlus-assoc: PDPlus (PDPlus t u) v = PDPlus t (PDPlus u v)

```
unfolding Rep-pd-basis-inject [symmetric] Rep-PDPlus by (rule Un-assoc)
```

### lemma PDPlus-commute: PDPlus t u = PDPlus u t

```
unfolding Rep-pd-basis-inject [symmetric] Rep-PDPlus by (rule Un-commute)
```

### lemma PDPlus-absorb: PDPlus t t = t

```
unfolding Rep-pd-basis-inject [symmetric] Rep-PDPlus by (rule Un-absorb)
```

### lemma pd-basis-induct1:

```
assumes PDUnit: ∀a. P (PDUnit a)
```

```
assumes PDPlus: ∀a t. P t ⇒ P (PDPlus (PDUnit a) t)
```

```
shows P x
```

```
apply (induct x, unfold pd-basis-def, clarify)
```

```
apply (erule (1) finite-ne-induct)
```

```
apply (cut-tac a=x in PDUnit)
```

```
apply (simp add: PDUnit-def)
```

```
apply (drule-tac a=x in PDPlus)
```

```
apply (simp add: PDUnit-def PDPlus-def)
```

```
Abs-pd-basis-inverse [unfolded pd-basis-def])
```

```
done
```

```

lemma pd-basis-induct:
  assumes PDUnit:  $\bigwedge a. P (\text{PDUnit } a)$ 
  assumes PDPlus:  $\bigwedge t u. \llbracket P t; P u \rrbracket \implies P (\text{PDPlus } t u)$ 
  shows  $P x$ 
  apply (induct x rule: pd-basis-induct1)
  apply (rule PDUnit, erule PDPlus [OF PDUnit])
  done

```

### 29.3 Fold operator

**definition**

```

fold-pd :: 
  ('a compact-basis  $\Rightarrow$  'b::type)  $\Rightarrow$  ('b  $\Rightarrow$  'b  $\Rightarrow$  'b)  $\Rightarrow$  'a pd-basis  $\Rightarrow$  'b
  where fold-pd g f t = semilattice-set.F f (g ` Rep-pd-basis t)

```

```

lemma fold-pd-PDUnit:
  assumes semilattice f
  shows fold-pd g f (PDUnit x) = g x
proof -
  from assms interpret semilattice-set f by (rule semilattice-set.intro)
  show ?thesis by (simp add: fold-pd-def Rep-PDUnit)
qed

```

```

lemma fold-pd-PDPlus:
  assumes semilattice f
  shows fold-pd g f (PDPlus t u) = f (fold-pd g f t) (fold-pd g f u)
proof -
  from assms interpret semilattice-set f by (rule semilattice-set.intro)
  show ?thesis by (simp add: image-Un fold-pd-def Rep-PDPlus union)
qed

```

end

## 30 Upper powerdomain

```

theory UpperPD
imports Compact-Basis
begin

```

### 30.1 Basis preorder

**definition**

```

upper-le :: 'a pd-basis  $\Rightarrow$  'a pd-basis  $\Rightarrow$  bool (infix  $\leq\#$  50) where
  upper-le = ( $\lambda u v. \forall y \in \text{Rep-pd-basis } v. \exists x \in \text{Rep-pd-basis } u. x \sqsubseteq y$ )

```

```

lemma upper-le-refl [simp]:  $t \leq\# t$ 
unfolding upper-le-def by fast

```

```

lemma upper-le-trans:  $\llbracket t \leq_{\#} u; u \leq_{\#} v \rrbracket \implies t \leq_{\#} v$ 
unfolding upper-le-def
apply (rule ballI)
apply (drule (1) bspec, erule bexE)
apply (drule (1) bspec, erule bexE)
apply (erule rev-bexI)
apply (erule (1) below-trans)
done

interpretation upper-le: preorder upper-le
by (rule preorder.intro, rule upper-le-refl, rule upper-le-trans)

lemma upper-le-minimal [simp]: PDUnit compact-bot  $\leq_{\#} t$ 
unfolding upper-le-def Rep-PDUnit by simp

lemma PDUnit-upper-mono:  $x \sqsubseteq y \implies \text{PDUnit } x \leq_{\#} \text{PDUnit } y$ 
unfolding upper-le-def Rep-PDUnit by simp

lemma PDPlus-upper-mono:  $\llbracket s \leq_{\#} t; u \leq_{\#} v \rrbracket \implies \text{PDPlus } s u \leq_{\#} \text{PDPlus } t v$ 
unfolding upper-le-def Rep-PDPlus by fast

lemma PDPlus-upper-le: PDPlus t u  $\leq_{\#} t$ 
unfolding upper-le-def Rep-PDPlus by fast

lemma upper-le-PDUnit-PDUnit-iff [simp]:
 $(\text{PDUnit } a \leq_{\#} \text{PDUnit } b) = (a \sqsubseteq b)$ 
unfolding upper-le-def Rep-PDUnit by fast

lemma upper-le-PDPlus-PDUnit-iff:
 $(\text{PDPlus } t u \leq_{\#} \text{PDUnit } a) = (t \leq_{\#} \text{PDUnit } a \vee u \leq_{\#} \text{PDUnit } a)$ 
unfolding upper-le-def Rep-PDPlus Rep-PDUnit by fast

lemma upper-le-PDPlus-iff:  $(t \leq_{\#} \text{PDPlus } u v) = (t \leq_{\#} u \wedge t \leq_{\#} v)$ 
unfolding upper-le-def Rep-PDPlus by fast

lemma upper-le-induct [induct set: upper-le]:
assumes le:  $t \leq_{\#} u$ 
assumes 1:  $\bigwedge a b. a \sqsubseteq b \implies P(\text{PDUnit } a)(\text{PDUnit } b)$ 
assumes 2:  $\bigwedge t u a. P t (\text{PDUnit } a) \implies P(\text{PDPlus } t u)(\text{PDUnit } a)$ 
assumes 3:  $\bigwedge t u v. \llbracket P t u; P t v \rrbracket \implies P t (\text{PDPlus } u v)$ 
shows  $P t u$ 
using le apply (induct u arbitrary: t rule: pd-basis-induct)
apply (erule rev-mp)
apply (induct-tac t rule: pd-basis-induct)
apply (simp add: 1)
apply (simp add: upper-le-PDPlus-PDUnit-iff)
apply (simp add: 2)
apply (subst PDPlus-commute)
apply (simp add: 2)

```

```
apply (simp add: upper-le-PDPlus-iff 3)
done
```

### 30.2 Type definition

```
typedef 'a upper-pd (('-')#)) =
{S::'a pd-basis set. upper-le.ideal S}
by (rule upper-le.ex-ideal)
```

```
instantiation upper-pd :: (bifinite) below
begin
```

```
definition
```

```
x ⊑ y ⟷ Rep-upper-pd x ⊆ Rep-upper-pd y
```

```
instance ..
```

```
end
```

```
instance upper-pd :: (bifinite) po
```

```
using type-definition-upper-pd below-upper-pd-def
by (rule upper-le.typedef-ideal-po)
```

```
instance upper-pd :: (bifinite) cpo
```

```
using type-definition-upper-pd below-upper-pd-def
by (rule upper-le.typedef-ideal-cpo)
```

```
definition
```

```
upper-principal :: 'a pd-basis ⇒ 'a upper-pd where
upper-principal t = Abs-upper-pd {u. u ≤# t}
```

```
interpretation upper-pd:
```

```
ideal-completion upper-le upper-principal Rep-upper-pd
using type-definition-upper-pd below-upper-pd-def
using upper-principal-def pd-basis-countable
by (rule upper-le.typedef-ideal-completion)
```

Upper powerdomain is pointed

```
lemma upper-pd-minimal: upper-principal (PDUnit compact-bot) ⊑ ys
by (induct ys rule: upper-pd.principal-induct, simp, simp)
```

```
instance upper-pd :: (bifinite) pcpo
by intro-classes (fast intro: upper-pd-minimal)
```

```
lemma inst-upper-pd-pcpo: ⊥ = upper-principal (PDUnit compact-bot)
by (rule upper-pd-minimal [THEN bottomI, symmetric])
```

### 30.3 Monadic unit and plus

```
definition
```

*upper-unit* :: '*a* → '*a* upper-pd **where**  
*upper-unit* = *compact-basis.extension* ( $\lambda a.$  *upper-principal* (*PDUnit* *a*))

**definition**

*upper-plus* :: '*a* upper-pd → '*a* upper-pd → '*a* upper-pd **where**  
*upper-plus* = *upper-pd.extension* ( $\lambda t.$  *upper-pd.extension* ( $\lambda u.$   
*upper-principal* (*PDPlus* *t u*)))

**abbreviation**

*upper-add* :: '*a* upper-pd ⇒ '*a* upper-pd ⇒ '*a* upper-pd  
(**infixl**  $\cup\sharp$  65) **where**  
 $xs \cup\sharp ys == upper-plus \cdot xs \cdot ys$

**syntax**

*-upper-pd* :: *args* ⇒ *logic* ( $\{\{-\}\sharp$ )

**translations**

$\{x, xs\}\sharp == \{x\}\sharp \cup\sharp \{xs\}\sharp$   
 $\{x\}\sharp == CONST upper-unit \cdot x$

**lemma** *upper-unit-Rep-compact-basis* [*simp*]:

$\{Rep-compact-basis a\}\sharp == upper-principal (PDUnit a)$

**unfolding** *upper-unit-def*

by (*simp add: compact-basis.extension-principal PDUnit-upper-mono*)

**lemma** *upper-plus-principal* [*simp*]:

$upper-principal t \cup\sharp upper-principal u == upper-principal (PDPlus t u)$

**unfolding** *upper-plus-def*

by (*simp add: upper-pd.extension-principal*  
*upper-pd.extension-mono PDPlus-upper-mono*)

**interpretation** *upper-add: semilattice upper-add* **proof**

fix *xs ys zs* :: '*a* upper-pd

show  $(xs \cup\sharp ys) \cup\sharp zs = xs \cup\sharp (ys \cup\sharp zs)$

apply (*induct xs rule: upper-pd.principal-induct, simp*)

apply (*induct ys rule: upper-pd.principal-induct, simp*)

apply (*induct zs rule: upper-pd.principal-induct, simp*)

apply (*simp add: PDPlus-assoc*)

done

show  $xs \cup\sharp ys = ys \cup\sharp xs$

apply (*induct xs rule: upper-pd.principal-induct, simp*)

apply (*induct ys rule: upper-pd.principal-induct, simp*)

apply (*simp add: PDPlus-commute*)

done

show  $xs \cup\sharp xs = xs$

apply (*induct xs rule: upper-pd.principal-induct, simp*)

apply (*simp add: PDPlus-absorb*)

done

qed

```

lemmas upper-plus-assoc = upper-add.assoc
lemmas upper-plus-commute = upper-add.commute
lemmas upper-plus-absorb = upper-add.idem
lemmas upper-plus-left-commute = upper-add.left-commute
lemmas upper-plus-left-absorb = upper-add.left-idem

```

Useful for *simp add*: *upper-plus-ac*

```

lemmas upper-plus-ac =
  upper-plus-assoc upper-plus-commute upper-plus-left-commute

```

Useful for *simp only*: *upper-plus-aci*

```

lemmas upper-plus-aci =
  upper-plus-ac upper-plus-absorb upper-plus-left-absorb

```

```

lemma upper-plus-below1:  $xs \cup\# ys \sqsubseteq xs$ 
apply (induct xs rule: upper-pd.principal-induct, simp)
apply (induct ys rule: upper-pd.principal-induct, simp)
apply (simp add: PDPlus-upper-le)
done

```

```

lemma upper-plus-below2:  $xs \cup\# ys \sqsubseteq ys$ 
by (subst upper-plus-commute, rule upper-plus-below1)

```

```

lemma upper-plus-greatest:  $\llbracket xs \sqsubseteq ys; xs \sqsubseteq zs \rrbracket \implies xs \sqsubseteq ys \cup\# zs$ 
apply (subst upper-plus-absorb [of xs, symmetric])
apply (erule (1) monofun-cfun [OF monofun-cfun-arg])
done

```

```

lemma upper-below-plus-iff [simp]:
   $xs \sqsubseteq ys \cup\# zs \longleftrightarrow xs \sqsubseteq ys \wedge xs \sqsubseteq zs$ 
apply safe
apply (erule below-trans [OF - upper-plus-below1])
apply (erule below-trans [OF - upper-plus-below2])
apply (erule (1) upper-plus-greatest)
done

```

```

lemma upper-plus-below-unit-iff [simp]:
   $xs \cup\# ys \sqsubseteq \{z\}\# \longleftrightarrow xs \sqsubseteq \{z\}\# \vee ys \sqsubseteq \{z\}\#$ 
apply (induct xs rule: upper-pd.principal-induct, simp)
apply (induct ys rule: upper-pd.principal-induct, simp)
apply (induct z rule: compact-basis.principal-induct, simp)
apply (simp add: upper-le-PDPlus-PDUnit-iff)
done

```

```

lemma upper-unit-below-iff [simp]:  $\{x\}\# \sqsubseteq \{y\}\# \longleftrightarrow x \sqsubseteq y$ 
apply (induct x rule: compact-basis.principal-induct, simp)
apply (induct y rule: compact-basis.principal-induct, simp)
apply simp

```

**done**

```

lemmas upper-pd-below-simps =
  upper-unit-below-iff
  upper-below-plus-iff
  upper-plus-below-unit-iff

lemma upper-unit-eq-iff [simp]: {x}# = {y}#  $\longleftrightarrow$  x = y
unfolding po-eq-conv by simp

lemma upper-unit-strict [simp]: {⊥}# = ⊥
using upper-unit-Rep-compact-basis [of compact-bot]
by (simp add: inst-upper-pd-pcpo)

lemma upper-plus-strict1 [simp]: ⊥  $\cup_{\#}$  ys = ⊥
by (rule bottomI, rule upper-plus-below1)

lemma upper-plus-strict2 [simp]: xs  $\cup_{\#}$  ⊥ = ⊥
by (rule bottomI, rule upper-plus-below2)

lemma upper-unit-bottom-iff [simp]: {x}# = ⊥  $\longleftrightarrow$  x = ⊥
unfolding upper-unit-strict [symmetric] by (rule upper-unit-eq-iff)

lemma upper-plus-bottom-iff [simp]:
  xs  $\cup_{\#}$  ys = ⊥  $\longleftrightarrow$  xs = ⊥  $\vee$  ys = ⊥
apply (induct xs rule: upper-pd.principal-induct, simp)
apply (induct ys rule: upper-pd.principal-induct, simp)
apply (simp add: inst-upper-pd-pcpo upper-pd.principal-eq-iff
  upper-le-PDPlus-PDUnit-iff)
done

lemma compact-upper-unit: compact x  $\Longrightarrow$  compact {x}#
by (auto dest!: compact-basis.compact-imp-principal)

lemma compact-upper-unit-iff [simp]: compact {x}#  $\longleftrightarrow$  compact x
apply (safe elim!: compact-upper-unit)
apply (simp only: compact-def upper-unit-below-iff [symmetric])
apply (erule adm-subst [OF cont-Rep-cfun2])
done

lemma compact-upper-plus [simp]:
  [| compact xs; compact ys |]  $\Longrightarrow$  compact (xs  $\cup_{\#}$  ys)
by (auto dest!: upper-pd.compact-imp-principal)

```

### 30.4 Induction rules

```

lemma upper-pd-induct1:
  assumes P: adm P
  assumes unit:  $\bigwedge x. P \{x\}#$ 

```

```

assumes insert:  $\bigwedge x ys. \llbracket P \{x\}; P ys \rrbracket \implies P (\{x\} \cup ys)$ 
shows  $P (xs :: 'a upper-pd)$ 
apply (induct xs rule: upper-pd.principal-induct, rule P)
apply (induct-tac a rule: pd-basis-induct1)
apply (simp only: upper-unit-Rep-compact-basis [symmetric])
apply (rule unit)
apply (simp only: upper-unit-Rep-compact-basis [symmetric]
            upper-plus-principal [symmetric])
apply (erule insert [OF unit])
done

lemma upper-pd-induct
[case-names adm upper-unit upper-plus, induct type: upper-pd]:
assumes P: adm P
assumes unit:  $\bigwedge x. P \{x\}$ 
assumes plus:  $\bigwedge xs ys. \llbracket P xs; P ys \rrbracket \implies P (xs \cup ys)$ 
shows  $P (xs :: 'a upper-pd)$ 
apply (induct xs rule: upper-pd.principal-induct, rule P)
apply (induct-tac a rule: pd-basis-induct)
apply (simp only: upper-unit-Rep-compact-basis [symmetric] unit)
apply (simp only: upper-plus-principal [symmetric] plus)
done

```

### 30.5 Monadic bind

#### definition

```

upper-bind-basis :: 
'a pd-basis  $\Rightarrow ('a \rightarrow 'b upper-pd) \rightarrow 'b upper-pd$  where
upper-bind-basis = fold-pd
(λa. Λ f. f · (Rep-compact-basis a))
(λx y. Λ f. x · f  $\cup$  y · f)

```

```

lemma ACI-upper-bind:
semilattice (λx y. Λ f. x · f  $\cup$  y · f)
apply unfold-locales
apply (simp add: upper-plus-assoc)
apply (simp add: upper-plus-commute)
apply (simp add: eta-cfun)
done

```

```

lemma upper-bind-basis-simps [simp]:
upper-bind-basis (PDUnit a) =
(Λ f. f · (Rep-compact-basis a))
upper-bind-basis (PDPlus t u) =
(Λ f. upper-bind-basis t · f  $\cup$  upper-bind-basis u · f)
unfolding upper-bind-basis-def
apply -
apply (rule fold-pd-PDUnit [OF ACI-upper-bind])
apply (rule fold-pd-PDPlus [OF ACI-upper-bind])

```

**done**

**lemma** *upper-bind-basis-mono*:  
 $t \leq_{\#} u \implies \text{upper-bind-basis } t \sqsubseteq \text{upper-bind-basis } u$   
**unfolding** *cfun-below-iff*  
**apply** (*erule upper-le-induct, safe*)  
**apply** (*simp add: monofun-cfun*)  
**apply** (*simp add: below-trans [OF upper-plus-below1]*)  
**apply** *simp*  
**done**

**definition**

*upper-bind* :: '*a upper-pd*  $\rightarrow$  ('*a  $\rightarrow$  'b *upper-pd*)  $\rightarrow$  'b *upper-pd* **where**  
*upper-bind* = *upper-pd.extension upper-bind-basis**

**syntax**

-*upper-bind* :: [*logic, logic, logic*]  $\Rightarrow$  *logic*  
 $((3 \bigcup \# \in \cdot, / \cdot) [0, 0, 10] 10)$

**translations**

$\bigcup \# x \in xs. e == CONST \text{upper-bind} \cdot xs \cdot (\Lambda x. e)$

**lemma** *upper-bind-principal* [*simp*]:  
 $\text{upper-bind} \cdot (\text{upper-principal } t) = \text{upper-bind-basis } t$   
**unfolding** *upper-bind-def*  
**apply** (*rule upper-pd.extension-principal*)  
**apply** (*erule upper-bind-basis-mono*)  
**done**

**lemma** *upper-bind-unit* [*simp*]:  
 $\text{upper-bind} \cdot \{x\} \# \cdot f = f \cdot x$   
**by** (*induct x rule: compact-basis.principal-induct, simp, simp*)

**lemma** *upper-bind-plus* [*simp*]:  
 $\text{upper-bind} \cdot (xs \bigcup \# ys) \cdot f = \text{upper-bind} \cdot xs \cdot f \bigcup \# \text{upper-bind} \cdot ys \cdot f$   
**by** (*induct xs rule: upper-pd.principal-induct, simp,*  
*induct ys rule: upper-pd.principal-induct, simp, simp*)

**lemma** *upper-bind-strict* [*simp*]:  $\text{upper-bind} \cdot \perp \cdot f = f \cdot \perp$   
**unfolding** *upper-unit-strict* [*symmetric*] **by** (*rule upper-bind-unit*)

**lemma** *upper-bind-bind*:  
 $\text{upper-bind} \cdot (\text{upper-bind} \cdot xs \cdot f) \cdot g = \text{upper-bind} \cdot xs \cdot (\Lambda x. \text{upper-bind} \cdot (f \cdot x) \cdot g)$   
**by** (*induct xs, simp-all*)

## 30.6 Map

**definition**

*upper-map* :: ('*a  $\rightarrow$  'b)  $\rightarrow$  '*a *upper-pd**  $\rightarrow$  'b *upper-pd* **where***

```

upper-map = ( $\Lambda f\ xs.\ upper-bind\ xs\ (\Lambda x.\ \{f\cdot x\}\#)$ )

lemma upper-map-unit [simp]:
  upper-map f\ \{x\}\# = \{f\cdot x\}\#
unfolding upper-map-def by simp

lemma upper-map-plus [simp]:
  upper-map f\ (xs \cup\# ys) = upper-map f\ xs \cup\# upper-map f\ ys
unfolding upper-map-def by simp

lemma upper-map-bottom [simp]: upper-map f\ \perp = \{f\cdot \perp\}\#
unfolding upper-map-def by simp

lemma upper-map-ident: upper-map (\Lambda x.\ x)\ xs = xs
by (induct xs rule: upper-pd-induct, simp-all)

lemma upper-map-ID: upper-map ID = ID
by (simp add: cfun-eq-iff ID-def upper-map-ident)

lemma upper-map-map:
  upper-map f\ (upper-map g\ xs) = upper-map (\Lambda x.\ f\ (g\ x))\ xs
by (induct xs rule: upper-pd-induct, simp-all)

lemma upper-bind-map:
  upper-bind (upper-map f\ xs)\ g = upper-bind\ xs\ (\Lambda x.\ g\ (f\ x))
by (simp add: upper-map-def upper-bind-bind)

lemma upper-map-bind:
  upper-map f\ (upper-bind\ xs\ g) = upper-bind\ xs\ (\Lambda x.\ upper-map f\ (g\ x))
by (simp add: upper-map-def upper-bind-bind)

lemma ep-pair-upper-map: ep-pair e p  $\implies$  ep-pair (upper-map e) (upper-map p)
apply standard
apply (induct-tac x rule: upper-pd-induct, simp-all add: ep-pair.e-inverse)
apply (induct-tac y rule: upper-pd-induct)
apply (simp-all add: ep-pair.e-p-below monofun-cfun del: upper-below-plus-iff)
done

lemma deflation-upper-map: deflation d  $\implies$  deflation (upper-map d)
apply standard
apply (induct-tac x rule: upper-pd-induct, simp-all add: deflation.idem)
apply (induct-tac x rule: upper-pd-induct)
apply (simp-all add: deflation.below monofun-cfun del: upper-below-plus-iff)
done

lemma finite-deflation-upper-map:
  assumes finite-deflation d shows finite-deflation (upper-map d)
proof (rule finite-deflation-intro)

```

```

interpret d: finite-deflation d by fact
from d.deflation-axioms show deflation (upper-map·d)
  by (rule deflation-upper-map)
have finite (range (λx. d·x)) by (rule d.finite-range)
hence finite (Rep-compact-basis -` range (λx. d·x))
  by (rule finite-vimageI, simp add: inj-on-def Rep-compact-basis-inject)
hence finite (Pow (Rep-compact-basis -` range (λx. d·x))) by simp
hence finite (Rep-pd-basis -` (Pow (Rep-compact-basis -` range (λx. d·x))))
  by (rule finite-vimageI, simp add: inj-on-def Rep-pd-basis-inject)
hence *: finite (upper-principal ` Rep-pd-basis -` (Pow (Rep-compact-basis -` range (λx. d·x)))) by simp
hence finite (range (λxs. upper-map·d·xs))
  apply (rule rev-finite-subset)
  apply clar simp
  apply (induct-tac xs rule: upper-pd.principal-induct)
  apply (simp add: adm-mem-finite *)
  apply (rename-tac t, induct-tac t rule: pd-basis-induct)
  apply (simp only: upper-unit-Rep-compact-basis [symmetric] upper-map-unit)
  apply simp
  apply (subgoal-tac ∃ b. d·(Rep-compact-basis a) = Rep-compact-basis b)
  apply clar simp
  apply (rule imageI)
  apply (rule vimageI2)
  apply (simp add: Rep-PDUnit)
  apply (rule range-eqI)
  apply (erule sym)
  apply (rule exI)
  apply (rule Abs-compact-basis-inverse [symmetric])
  apply (simp add: d.compact)
  apply (simp only: upper-plus-principal [symmetric] upper-map-plus)
  apply clar simp
  apply (rule imageI)
  apply (rule vimageI2)
  apply (simp add: Rep-PDPlus)
done
thus finite {xs. upper-map·d·xs = xs}
  by (rule finite-range-imp-finite-fixes)
qed

```

### 30.7 Upper powerdomain is bifinite

```

lemma approx-chain-upper-map:
assumes approx-chain a
shows approx-chain (λi. upper-map·(a i))
using assms unfolding approx-chain-def
by (simp add: lub-APP upper-map-ID finite-deflation-upper-map)

instance upper-pd :: (bifinite) bifinite
proof

```

```

show  $\exists (a::nat \Rightarrow 'a upper-pd \rightarrow 'a upper-pd). approx-chain a$ 
  using bifinite [where 'a='a]
  by (fast intro!: approx-chain-upper-map)
qed

```

### 30.8 Join

**definition**

```

upper-join :: 'a upper-pd upper-pd  $\rightarrow$  'a upper-pd where
upper-join = ( $\Lambda$  xss. upper-bind-xss.( $\Lambda$  xs. xs))

```

**lemma** upper-join-unit [simp]:

```
upper-join.{xs}# = xs
```

**unfolding** upper-join-def **by** simp

**lemma** upper-join-plus [simp]:

```
upper-join.(xss  $\sqcup$  yss) = upper-join.xss  $\sqcup$  upper-join.yss
```

**unfolding** upper-join-def **by** simp

**lemma** upper-join-bottom [simp]:  $upper-join.\perp = \perp$

**unfolding** upper-join-def **by** simp

**lemma** upper-join-map-unit:

```
upper-join.(upper-map.upper-unit.xs) = xs
```

**by** (induct xs rule: upper-pd-induct, simp-all)

**lemma** upper-join-map-join:

```
upper-join.(upper-map.upper-join.xsss) = upper-join.(upper-join.xsss)
```

**by** (induct xsss rule: upper-pd-induct, simp-all)

**lemma** upper-join-map-map:

```
upper-join.(upper-map.(upper-map.f).xss) =
```

```
upper-map.f.(upper-join.xss)
```

**by** (induct xss rule: upper-pd-induct, simp-all)

end

## 31 Lower powerdomain

```

theory LowerPD
imports Compact-Basis
begin

```

### 31.1 Basis preorder

**definition**

```

lower-le :: 'a pd-basis  $\Rightarrow$  'a pd-basis  $\Rightarrow$  bool (infix  $\leq_b$  50) where
lower-le = ( $\lambda u v. \forall x \in Rep\text{-pd-basis } u. \exists y \in Rep\text{-pd-basis } v. x \sqsubseteq y$ )

```

```

lemma lower-le-refl [simp]:  $t \leq b t$ 
  unfolding lower-le-def by fast

lemma lower-le-trans:  $\llbracket t \leq b u; u \leq b v \rrbracket \implies t \leq b v$ 
  unfolding lower-le-def
  apply (rule ballI)
  apply (drule (1) bspec, erule bexE)
  apply (drule (1) bspec, erule bexE)
  apply (erule rev-bexI)
  apply (erule (1) below-trans)
  done

interpretation lower-le: preorder lower-le
by (rule preorder.intro, rule lower-le-refl, rule lower-le-trans)

lemma lower-le-minimal [simp]: PDUnit compact-bot  $\leq b t$ 
  unfolding lower-le-def Rep-PDUnit
  by (simp, rule Rep-pd-basis-nonempty [folded ex-in-conv])

lemma PDUnit-lower-mono:  $x \sqsubseteq y \implies \text{PDUnit } x \leq b \text{ PDUnit } y$ 
  unfolding lower-le-def Rep-PDUnit by fast

lemma PDPlus-lower-mono:  $\llbracket s \leq b t; u \leq b v \rrbracket \implies \text{PDPlus } s u \leq b \text{ PDPlus } t v$ 
  unfolding lower-le-def Rep-PDPlus by fast

lemma PDPlus-lower-le:  $t \leq b \text{ PDPlus } t u$ 
  unfolding lower-le-def Rep-PDPlus by fast

lemma lower-le-PDUnit-PDUnit-iff [simp]:
   $(\text{PDUnit } a \leq b \text{ PDUnit } b) = (a \sqsubseteq b)$ 
  unfolding lower-le-def Rep-PDUnit by fast

lemma lower-le-PDUnit-PDPlus-iff:
   $(\text{PDUnit } a \leq b \text{ PDPlus } t u) = (\text{PDUnit } a \leq b t \vee \text{PDUnit } a \leq b u)$ 
  unfolding lower-le-def Rep-PDPlus Rep-PDUnit by fast

lemma lower-le-PDPlus-iff:  $(\text{PDPlus } t u \leq b v) = (t \leq b v \wedge u \leq b v)$ 
  unfolding lower-le-def Rep-PDPlus by fast

lemma lower-le-induct [induct set: lower-le]:
  assumes le:  $t \leq b u$ 
  assumes 1:  $\bigwedge a b. a \sqsubseteq b \implies P (\text{PDUnit } a) (\text{PDUnit } b)$ 
  assumes 2:  $\bigwedge t u a. P (\text{PDUnit } a) t \implies P (\text{PDUnit } a) (\text{PDPlus } t u)$ 
  assumes 3:  $\bigwedge t u v. \llbracket P t v; P u v \rrbracket \implies P (\text{PDPlus } t u) v$ 
  shows  $P t u$ 
  using le
  apply (induct t arbitrary: u rule: pd-basis-induct)
  apply (erule rev-mp)
  apply (induct-tac u rule: pd-basis-induct)

```

```

apply (simp add: 1)
apply (simp add: lower-le-PDUnit-PDPlus-iff)
apply (simp add: 2)
apply (subst PDPlus-commute)
apply (simp add: 2)
apply (simp add: lower-le-PDPlus-iff 3)
done

```

### 31.2 Type definition

```

typedef 'a lower-pd ((('-'`b)) =
  {S::'a pd-basis set. lower-le.ideal S}
by (rule lower-le.ex-ideal)

```

```

instantiation lower-pd :: (bifinite) below
begin

```

```
definition
```

```
x ⊑ y ⟷ Rep-lower-pd x ⊆ Rep-lower-pd y
```

```
instance ..
```

```
end
```

```

instance lower-pd :: (bifinite) po
using type-definition-lower-pd below-lower-pd-def
by (rule lower-le.typedef-ideal-po)

```

```

instance lower-pd :: (bifinite) cpo
using type-definition-lower-pd below-lower-pd-def
by (rule lower-le.typedef-ideal-cpo)

```

```
definition
```

```
lower-principal :: 'a pd-basis ⇒ 'a lower-pd where
lower-principal t = Abs-lower-pd {u. u ≤b t}
```

```
interpretation lower-pd:
```

```

ideal-completion lower-le lower-principal Rep-lower-pd
using type-definition-lower-pd below-lower-pd-def
using lower-principal-def pd-basis-countable
by (rule lower-le.typedef-ideal-completion)

```

Lower powerdomain is pointed

```

lemma lower-pd-minimal: lower-principal (PDUnit compact-bot) ⊑ ys
by (induct ys rule: lower-pd.principal-induct, simp, simp)

```

```

instance lower-pd :: (bifinite) pcpo
by intro-classes (fast intro: lower-pd-minimal)

```

```

lemma inst-lower-pd-pcpo: ⊥ = lower-principal (PDUnit compact-bot)

```

by (rule lower-pd-minimal [THEN bottomI, symmetric])

### 31.3 Monadic unit and plus

#### definition

```
lower-unit :: 'a → 'a lower-pd where
lower-unit = compact-basis.extension (λa. lower-principal (PDUnit a))
```

#### definition

```
lower-plus :: 'a lower-pd → 'a lower-pd → 'a lower-pd where
lower-plus = lower-pd.extension (λt. lower-pd.extension (λu.
lower-principal (PDPlus t u)))
```

#### abbreviation

```
lower-add :: 'a lower-pd ⇒ 'a lower-pd ⇒ 'a lower-pd
  (infixl ∪b 65) where
  xs ∪b ys == lower-plus·xs·ys
```

#### syntax

```
-lower-pd :: args ⇒ logic ({-}b)
```

#### translations

```
{x, xs}b == {x}b ∪b {xs}b
{x}b == CONST lower-unit·x
```

#### lemma lower-unit-Rep-compact-basis [simp]:

```
{Rep-compact-basis a}b = lower-principal (PDUnit a)
```

#### unfolding lower-unit-def

by (simp add: compact-basis.extension-principal PDUnit-lower-mono)

#### lemma lower-plus-principal [simp]:

```
lower-principal t ∪b lower-principal u = lower-principal (PDPlus t u)
```

#### unfolding lower-plus-def

by (simp add: lower-pd.extension-principal
lower-pd.extension-mono PDPlus-lower-mono)

#### interpretation lower-add: semilattice lower-add proof

fix xs ys zs :: 'a lower-pd

show (xs ∪b ys) ∪b zs = xs ∪b (ys ∪b zs)

apply (induct xs rule: lower-pd.principal-induct, simp)

apply (induct ys rule: lower-pd.principal-induct, simp)

apply (induct zs rule: lower-pd.principal-induct, simp)

apply (simp add: PDPlus-assoc)

done

show xs ∪b ys = ys ∪b xs

apply (induct xs rule: lower-pd.principal-induct, simp)

apply (induct ys rule: lower-pd.principal-induct, simp)

apply (simp add: PDPlus-commute)

done

```

show xs ∪b xs = xs
  apply (induct xs rule: lower-pd.principal-induct, simp)
  apply (simp add: PDPlus-absorb)
  done
qed

lemmas lower-plus-assoc = lower-add.assoc
lemmas lower-plus-commute = lower-add.commute
lemmas lower-plus-absorb = lower-add.idem
lemmas lower-plus-left-commute = lower-add.left-commute
lemmas lower-plus-left-absorb = lower-add.left-idem

Useful for simp add: lower-plus-ac

lemmas lower-plus-ac =
  lower-plus-assoc lower-plus-commute lower-plus-left-commute

Useful for simp only: lower-plus-aci

lemmas lower-plus-aci =
  lower-plus-ac lower-plus-absorb lower-plus-left-absorb

lemma lower-plus-below1: xs ⊑ xs ∪b ys
  apply (induct xs rule: lower-pd.principal-induct, simp)
  apply (induct ys rule: lower-pd.principal-induct, simp)
  apply (simp add: PDPlus-lower-le)
  done

lemma lower-plus-below2: ys ⊑ xs ∪b ys
  by (subst lower-plus-commute, rule lower-plus-below1)

lemma lower-plus-least: [xs ⊑ zs; ys ⊑ zs] ⇒ xs ∪b ys ⊑ zs
  apply (subst lower-plus-absorb [of zs, symmetric])
  apply (erule (1) monofun-cfun [OF monofun-cfun-arg])
  done

lemma lower-plus-below-iff [simp]:
  xs ∪b ys ⊑ zs ⇔ xs ⊑ zs ∧ ys ⊑ zs
  apply safe
  apply (erule below-trans [OF lower-plus-below1])
  apply (erule below-trans [OF lower-plus-below2])
  apply (erule (1) lower-plus-least)
  done

lemma lower-unit-below-plus-iff [simp]:
  {x}b ⊑ ys ∪b zs ⇔ {x}b ⊑ ys ∨ {x}b ⊑ zs
  apply (induct x rule: compact-basis.principal-induct, simp)
  apply (induct ys rule: lower-pd.principal-induct, simp)
  apply (induct zs rule: lower-pd.principal-induct, simp)
  apply (simp add: lower-le-PDUnit-PDPlus-iff)
  done

```

```

lemma lower-unit-below-iff [simp]:  $\{x\} \sqsubseteq \{y\} \longleftrightarrow x \sqsubseteq y$ 
apply (induct x rule: compact-basis.principal-induct, simp)
apply (induct y rule: compact-basis.principal-induct, simp)
apply simp
done

lemmas lower-pd-below-simps =
  lower-unit-below-iff
  lower-plus-below-iff
  lower-unit-below-plus-iff

lemma lower-unit-eq-iff [simp]:  $\{x\} = \{y\} \longleftrightarrow x = y$ 
by (simp add: po-eq-conv)

lemma lower-unit-strict [simp]:  $\{\perp\} = \perp$ 
using lower-unit-Rep-compact-basis [of compact-bot]
by (simp add: inst-lower-pd-pcpo)

lemma lower-unit-bottom-iff [simp]:  $\{x\} = \perp \longleftrightarrow x = \perp$ 
unfolding lower-unit-strict [symmetric] by (rule lower-unit-eq-iff)

lemma lower-plus-bottom-iff [simp]:
   $xs \cup \{y\} = \perp \longleftrightarrow xs = \perp \wedge ys = \perp$ 
apply safe
apply (rule bottomI, erule subst, rule lower-plus-below1)
apply (rule bottomI, erule subst, rule lower-plus-below2)
apply (rule lower-plus-absorb)
done

lemma lower-plus-strict1 [simp]:  $\perp \cup \{y\} = \{y\}$ 
apply (rule below-antisym [OF - lower-plus-below2])
apply (simp add: lower-plus-least)
done

lemma lower-plus-strict2 [simp]:  $xs \cup \perp = xs$ 
apply (rule below-antisym [OF - lower-plus-below1])
apply (simp add: lower-plus-least)
done

lemma compact-lower-unit: compact x  $\Longrightarrow$  compact  $\{x\}$ 
by (auto dest!: compact-basis.compact-imp-principal)

lemma compact-lower-unit-iff [simp]: compact  $\{x\} \longleftrightarrow$  compact x
apply (safe elim!: compact-lower-unit)
apply (simp only: compact-def lower-unit-below-iff [symmetric])
apply (erule adm-subst [OF cont-Rep-cfun2])
done

```

```
lemma compact-lower-plus [simp]:
   $\llbracket \text{compact } xs; \text{compact } ys \rrbracket \implies \text{compact } (xs \cup b\! ys)$ 
by (auto dest!: lower-pd.compact-imp-principal)
```

### 31.4 Induction rules

```
lemma lower-pd-induct1:
  assumes P: adm P
  assumes unit:  $\bigwedge x. P \{x\}b$ 
  assumes insert:
     $\bigwedge x ys. \llbracket P \{x\}b; P ys \rrbracket \implies P (\{x\}b \cup b\! ys)$ 
  shows P (xs::'a lower-pd)
  apply (induct xs rule: lower-pd.principal-induct, rule P)
  apply (induct-tac a rule: pd-basis-induct1)
  apply (simp only: lower-unit-Rep-compact-basis [symmetric])
  apply (rule unit)
  apply (simp only: lower-unit-Rep-compact-basis [symmetric]
          lower-plus-principal [symmetric])
  apply (erule insert [OF unit])
  done

lemma lower-pd-induct
  [case-names adm lower-unit lower-plus, induct type: lower-pd]:
  assumes P: adm P
  assumes unit:  $\bigwedge x. P \{x\}b$ 
  assumes plus:  $\bigwedge xs ys. \llbracket P xs; P ys \rrbracket \implies P (xs \cup b\! ys)$ 
  shows P (xs::'a lower-pd)
  apply (induct xs rule: lower-pd.principal-induct, rule P)
  apply (induct-tac a rule: pd-basis-induct)
  apply (simp only: lower-unit-Rep-compact-basis [symmetric] unit)
  apply (simp only: lower-plus-principal [symmetric] plus)
  done
```

### 31.5 Monadic bind

```
definition
  lower-bind-basis :: 
    'a pd-basis  $\Rightarrow$  ('a  $\rightarrow$  'b lower-pd)  $\rightarrow$  'b lower-pd where
    lower-bind-basis = fold-pd
       $(\lambda a. \Lambda f. f \cdot (\text{Rep-compact-basis } a))$ 
       $(\lambda x y. \Lambda f. x \cdot f \cup b\! y \cdot f)$ 

lemma ACI-lower-bind:
  semilattice ( $\lambda x y. \Lambda f. x \cdot f \cup b\! y \cdot f$ )
  apply unfold-locales
  apply (simp add: lower-plus-assoc)
  apply (simp add: lower-plus-commute)
  apply (simp add: eta-cfun)
  done
```

```

lemma lower-bind-basis-simps [simp]:
  lower-bind-basis (PDUnit a) =
    ( $\Lambda f. f \cdot (\text{Rep-compact-basis } a)$ )
  lower-bind-basis (PDPlus t u) =
    ( $\Lambda f. \text{lower-bind-basis } t \cdot f \cup \text{lower-bind-basis } u \cdot f$ )
unfolding lower-bind-basis-def
apply –
apply (rule fold-pd-PDUnit [OF ACI-lower-bind])
apply (rule fold-pd-PDPlus [OF ACI-lower-bind])
done

lemma lower-bind-basis-mono:
   $t \leq_b u \implies \text{lower-bind-basis } t \sqsubseteq \text{lower-bind-basis } u$ 
unfolding cfun-below-iff
apply (erule lower-le-induct, safe)
apply (simp add: monofun-cfun)
apply (simp add: rev-below-trans [OF lower-plus-below1])
apply simp
done

definition
  lower-bind :: 'a lower-pd  $\rightarrow$  ('a  $\rightarrow$  'b lower-pd)  $\rightarrow$  'b lower-pd where
  lower-bind = lower-pd.extension lower-bind-basis

syntax
  -lower-bind :: [logic, logic, logic]  $\Rightarrow$  logic
    (( $\exists \cup \setminus \cdot \cdot \cdot \cdot \cdot$ ) [0, 0, 10] 10)

translations
   $\bigcup_{x \in xs} e == \text{CONST lower-bind} \cdot xs \cdot (\Lambda x. e)$ 

lemma lower-bind-principal [simp]:
  lower-bind(lower-principal t) = lower-bind-basis t
unfolding lower-bind-def
apply (rule lower-pd.extension-principal)
apply (erule lower-bind-basis-mono)
done

lemma lower-bind-unit [simp]:
  lower-bind.{x}b.f = f.x
by (induct x rule: compact-basis.principal-induct, simp, simp)

lemma lower-bind-plus [simp]:
  lower-bind.(xs  $\cup b$  ys).f = lower-bind.xs.f  $\cup$  lower-bind.ys.f
by (induct xs rule: lower-pd.principal-induct, simp,
  induct ys rule: lower-pd.principal-induct, simp, simp)

lemma lower-bind-strict [simp]: lower-bind. $\perp$ .f = f. $\perp$ 
unfolding lower-unit-strict [symmetric] by (rule lower-bind-unit)

```

**lemma** *lower-bind-bind*:

*lower-bind*·(*lower-bind*·*xs*·*f*)·*g* = *lower-bind*·*xs*·( $\Lambda$  *x*. *lower-bind*·(*f*·*x*)·*g*)  
**by** (*induct xs*, *simp-all*)

### 31.6 Map

**definition**

*lower-map* :: ('*a* → '*b*) → '*a* lower-pd → '*b* lower-pd **where**  
*lower-map* = ( $\Lambda$  *f* *xs*. *lower-bind*·*xs*·( $\Lambda$  *x*. {*f*·*x*} $\flat$ ))

**lemma** *lower-map-unit* [*simp*]:

*lower-map*·*f*·{*x*} $\flat$  = {*f*·*x*} $\flat$   
**unfolding** *lower-map-def* **by** *simp*

**lemma** *lower-map-plus* [*simp*]:

*lower-map*·*f*·(*xs*  $\sqcup\flat$  *ys*) = *lower-map*·*f*·*xs*  $\sqcup\flat$  *lower-map*·*f*·*ys*  
**unfolding** *lower-map-def* **by** *simp*

**lemma** *lower-map-bottom* [*simp*]: *lower-map*·*f*· $\perp$  = {*f*· $\perp$ } $\flat$

**unfolding** *lower-map-def* **by** *simp*

**lemma** *lower-map-ident*: *lower-map*·( $\Lambda$  *x*. *x*)·*xs* = *xs*

**by** (*induct xs* rule: *lower-pd-induct*, *simp-all*)

**lemma** *lower-map-ID*: *lower-map*·*ID* = *ID*

**by** (*simp add: cfun-eq-iff ID-def lower-map-ident*)

**lemma** *lower-map-map*:

*lower-map*·*f*·(*lower-map*·*g*·*xs*) = *lower-map*·( $\Lambda$  *x*. *f*·(*g*·*x*))·*xs*  
**by** (*induct xs* rule: *lower-pd-induct*, *simp-all*)

**lemma** *lower-bind-map*:

*lower-bind*·(*lower-map*·*f*·*xs*)·*g* = *lower-bind*·*xs*·( $\Lambda$  *x*. *g*·(*f*·*x*))  
**by** (*simp add: lower-map-def lower-bind-bind*)

**lemma** *lower-map-bind*:

*lower-map*·*f*·(*lower-bind*·*xs*·*g*) = *lower-bind*·*xs*·( $\Lambda$  *x*. *lower-map*·*f*·(*g*·*x*))  
**by** (*simp add: lower-map-def lower-bind-bind*)

**lemma** *ep-pair-lower-map*: *ep-pair* *e* *p*  $\implies$  *ep-pair* (*lower-map*·*e*) (*lower-map*·*p*)  
**apply** *standard*

**apply** (*induct-tac x* rule: *lower-pd-induct*, *simp-all add: ep-pair.e-inverse*)

**apply** (*induct-tac y* rule: *lower-pd-induct*)

**apply** (*simp-all add: ep-pair.e-p-below monofun-cfun del: lower-plus-below-iff*)

**done**

**lemma** *deflation-lower-map*: *deflation* *d*  $\implies$  *deflation* (*lower-map*·*d*)

**apply** *standard*

```

apply (induct-tac x rule: lower-pd-induct, simp-all add: deflation.idem)
apply (induct-tac x rule: lower-pd-induct)
apply (simp-all add: deflation.below monofun-cfun del: lower-plus-below-iff)
done

lemma finite-deflation-lower-map:
  assumes finite-deflation d shows finite-deflation (lower-map·d)
proof (rule finite-deflation-intro)
  interpret d: finite-deflation d by fact
  from d.deflation-axioms show deflation (lower-map·d)
    by (rule deflation-lower-map)
  have finite (range (λx. d·x)) by (rule d.finite-range)
  hence finite (Rep-compact-basis -` range (λx. d·x))
    by (rule finite-vimageI, simp add: inj-on-def Rep-compact-basis-inject)
  hence finite (Pow (Rep-compact-basis -` range (λx. d·x))) by simp
  hence finite (Rep-pd-basis -` (Pow (Rep-compact-basis -` range (λx. d·x))))
    by (rule finite-vimageI, simp add: inj-on-def Rep-pd-basis-inject)
  hence *: finite (lower-principal ` Rep-pd-basis -` (Pow (Rep-compact-basis -` range (λx. d·x)))) by simp
  hence finite (range (λxs. lower-map·d·xs))
    apply (rule rev-finite-subset)
    apply clarsimp
    apply (induct-tac xs rule: lower-pd.principal-induct)
    apply (simp add: adm-mem-finite *)
    apply (rename-tac t, induct-tac t rule: pd-basis-induct)
    apply (simp only: lower-unit-Rep-compact-basis [symmetric] lower-map-unit)
    apply simp
    apply (subgoal-tac ∃ b. d·(Rep-compact-basis a) = Rep-compact-basis b)
    apply clarsimp
    apply (rule imageI)
    apply (rule vimageI2)
    apply (simp add: Rep-PDUnit)
    apply (rule range-eqI)
    apply (erule sym)
    apply (rule exI)
    apply (rule Abs-compact-basis-inverse [symmetric])
    apply (simp add: d.compact)
    apply (simp only: lower-plus-principal [symmetric] lower-map-plus)
    apply clarsimp
    apply (rule imageI)
    apply (rule vimageI2)
    apply (simp add: Rep-PDPlus)
  done
thus finite {xs. lower-map·d·xs = xs}
  by (rule finite-range-imp-finite-fixes)
qed

```

### 31.7 Lower powerdomain is bifinite

```

lemma approx-chain-lower-map:
  assumes approx-chain a
  shows approx-chain ( $\lambda i. \text{lower-map}\cdot(a\ i)$ )
  using assms unfolding approx-chain-def
  by (simp add: lub-APP lower-map-ID finite-deflation-lower-map)

instance lower-pd :: (bifinite) bifinite
proof
  show  $\exists (a::nat \Rightarrow 'a \text{ lower-pd} \rightarrow 'a \text{ lower-pd}). \text{approx-chain } a$ 
  using bifinite [where 'a='a]
  by (fast intro!: approx-chain-lower-map)
qed

```

### 31.8 Join

```

definition
  lower-join :: 'a lower-pd lower-pd  $\rightarrow$  'a lower-pd where
  lower-join = ( $\Lambda xss. \text{lower-bind}\cdot xss\cdot(\Lambda xs. xs)$ )

```

```

lemma lower-join-unit [simp]:
  lower-join·{xs}b = xs
  unfolding lower-join-def by simp

```

```

lemma lower-join-plus [simp]:
  lower-join·(xss  $\sqcup b$  yss) = lower-join·xss  $\sqcup b$  lower-join·yss
  unfolding lower-join-def by simp

```

```

lemma lower-join-bottom [simp]: lower-join· $\perp$  =  $\perp$ 
  unfolding lower-join-def by simp

```

```

lemma lower-join-map-unit:
  lower-join·(lower-map·lower-unit·xs) = xs
  by (induct xs rule: lower-pd-induct, simp-all)

```

```

lemma lower-join-map-join:
  lower-join·(lower-map·lower-join·xsss) = lower-join·(lower-join·xsss)
  by (induct xsss rule: lower-pd-induct, simp-all)

```

```

lemma lower-join-map-map:
  lower-join·(lower-map·(lower-map·f)·xss) =
    lower-map·f·(lower-join·xss)
  by (induct xss rule: lower-pd-induct, simp-all)

```

```
end
```

## 32 Convex powerdomain

```

theory ConvexPD
imports UpperPD LowerPD
begin

32.1 Basis preorder

definition
convex-le :: 'a pd-basis ⇒ 'a pd-basis ⇒ bool (infix ≤¤ 50) where
convex-le = (λu v. u ≤¤ v ∧ u ≤¤ v)

lemma convex-le-refl [simp]: t ≤¤ t
unfolding convex-le-def by (fast intro: upper-le-refl lower-le-refl)

lemma convex-le-trans: [|t ≤¤ u; u ≤¤ v|] ⇒ t ≤¤ v
unfolding convex-le-def by (fast intro: upper-le-trans lower-le-trans)

interpretation convex-le: preorder convex-le
by (rule preorder.intro, rule convex-le-refl, rule convex-le-trans)

lemma upper-le-minimal [simp]: PDUnit compact-bot ≤¤ t
unfolding convex-le-def Rep-PDUnit by simp

lemma PDUnit-convex-mono: x ⊑ y ⇒ PDUnit x ≤¤ PDUnit y
unfolding convex-le-def by (fast intro: PDUnit-upper-mono PDUnit-lower-mono)

lemma PDPlus-convex-mono: [|s ≤¤ t; u ≤¤ v|] ⇒ PDPlus s u ≤¤ PDPlus t v
unfolding convex-le-def by (fast intro: PDPlus-upper-mono PDPlus-lower-mono)

lemma convex-le-PDUnit-PDUnit-iff [simp]:
(PDUnit a ≤¤ PDUnit b) = (a ⊑ b)
unfolding convex-le-def upper-le-def lower-le-def Rep-PDUnit by fast

lemma convex-le-PDUnit-lemma1:
(PDUnit a ≤¤ t) = (∀ b∈Rep-pd-basis t. a ⊑ b)
unfolding convex-le-def upper-le-def lower-le-def Rep-PDUnit
using Rep-pd-basis-nonempty [of t, folded ex-in-conv] by fast

lemma convex-le-PDUnit-PDPlus-iff [simp]:
(PDUnit a ≤¤ PDPlus t u) = (PDUnit a ≤¤ t ∧ PDUnit a ≤¤ u)
unfolding convex-le-PDUnit-lemma1 Rep-PDPlus by fast

lemma convex-le-PDUnit-lemma2:
(t ≤¤ PDUnit b) = (∀ a∈Rep-pd-basis t. a ⊑ b)
unfolding convex-le-def upper-le-def lower-le-def Rep-PDUnit
using Rep-pd-basis-nonempty [of t, folded ex-in-conv] by fast

lemma convex-le-PDPlus-PDUnit-iff [simp]:
(PDPlus t u ≤¤ PDUnit a) = (t ≤¤ PDUnit a ∧ u ≤¤ PDUnit a)

```

**unfolding** *convex-le-PDUnit-lemma2 Rep-PDPlus by fast*

```

lemma convex-le-PDPlus-lemma:
  assumes z: PDPlus t u ≤ $\sqsubseteq$  z
  shows ∃ v w. z = PDPlus v w ∧ t ≤ $\sqsubseteq$  v ∧ u ≤ $\sqsubseteq$  w
  proof (intro exI conjI)
    let ?A = {b ∈ Rep-pd-basis z. ∃ a ∈ Rep-pd-basis t. a ⊑ b}
    let ?B = {b ∈ Rep-pd-basis z. ∃ a ∈ Rep-pd-basis u. a ⊑ b}
    let ?v = Abs-pd-basis ?A
    let ?w = Abs-pd-basis ?B
    have Rep-v: Rep-pd-basis ?v = ?A
      apply (rule Abs-pd-basis-inverse)
      apply (rule Rep-pd-basis-nonempty [of t, folded ex-in-conv, THEN exE])
      apply (cut-tac z, simp only: convex-le-def lower-le-def, clarify)
      apply (drule-tac x=x in bspec, simp add: Rep-PDPlus, erule bxE)
      apply (simp add: pd-basis-def)
      apply fast
      done
    have Rep-w: Rep-pd-basis ?w = ?B
      apply (rule Abs-pd-basis-inverse)
      apply (rule Rep-pd-basis-nonempty [of u, folded ex-in-conv, THEN exE])
      apply (cut-tac z, simp only: convex-le-def lower-le-def, clarify)
      apply (drule-tac x=x in bspec, simp add: Rep-PDPlus, erule bxE)
      apply (simp add: pd-basis-def)
      apply fast
      done
    show z = PDPlus ?v ?w
      apply (insert z)
      apply (simp add: convex-le-def, erule conjE)
      apply (simp add: Rep-pd-basis-inject [symmetric] Rep-PDPlus)
      apply (simp add: Rep-v Rep-w)
      apply (rule equalityI)
      apply (rule subsetI)
      apply (simp only: upper-le-def)
      apply (drule (1) bspec, erule bxE)
      apply (simp add: Rep-PDPlus)
      apply fast
      apply fast
      done
    show t ≤ $\sqsubseteq$  ?v u ≤ $\sqsubseteq$  ?w
      apply (insert z)
      apply (simp-all add: convex-le-def upper-le-def lower-le-def Rep-PDPlus Rep-v
        Rep-w)
      apply fast+
      done
  qed

lemma convex-le-induct [induct set: convex-le]:
  assumes le: t ≤ $\sqsubseteq$  u

```

```

assumes 2:  $\bigwedge t u v. [P t u; P u v] \implies P t v$ 
assumes 3:  $\bigwedge a b. a \sqsubseteq b \implies P (\text{PDUnit } a) (\text{PDUnit } b)$ 
assumes 4:  $\bigwedge t u v w. [P t v; P u w] \implies P (\text{PDPlus } t u) (\text{PDPlus } v w)$ 
shows  $P t u$ 
using le apply (induct t arbitrary: u rule: pd-basis-induct)
apply (erule rev-mp)
apply (induct-tac u rule: pd-basis-induct1)
apply (simp add: 3)
apply (simp, clarify, rename-tac a b t)
apply (subgoal-tac P (PDPlus (PDUnit a) (PDUnit a)) (PDPlus (PDUnit b) t))
apply (simp add: PDPlus-absorb)
apply (erule (1) 4 [OF 3])
apply (drule convex-le-PDPlus-lemma, clarify)
apply (simp add: 4)
done

```

### 32.2 Type definition

```

typedef 'a convex-pd (('-') $\sqsubseteq$ ) =
  {S::'a pd-basis set. convex-le.ideal S}
by (rule convex-le.ex-ideal)

instantiation convex-pd :: (bifinite) below
begin

definition
   $x \sqsubseteq y \longleftrightarrow \text{Rep-convex-pd } x \subseteq \text{Rep-convex-pd } y$ 

instance ..
end

instance convex-pd :: (bifinite) po
using type-definition-convex-pd below-convex-pd-def
by (rule convex-le.typedef-ideal-po)

instance convex-pd :: (bifinite) cpo
using type-definition-convex-pd below-convex-pd-def
by (rule convex-le.typedef-ideal-cpo)

```

```

definition
  convex-principal :: 'a pd-basis  $\Rightarrow$  'a convex-pd where
  convex-principal t = Abs-convex-pd {u. u  $\leq$  $\sqsubseteq$  t}

```

```

interpretation convex-pd:
  ideal-completion convex-le convex-principal Rep-convex-pd
  using type-definition-convex-pd below-convex-pd-def
  using convex-principal-def pd-basis-countable
  by (rule convex-le.typedef-ideal-completion)

```

Convex powerdomain is pointed

**lemma** convex-pd-minimal: convex-principal (PDUnit compact-bot)  $\sqsubseteq$  ys  
**by** (induct ys rule: convex-pd.principal-induct, simp, simp)

**instance** convex-pd :: (bifinite) pcpo  
**by** intro-classes (fast intro: convex-pd-minimal)

**lemma** inst-convex-pd-pcpo:  $\perp = \text{convex-principal} (\text{PDUnit compact-bot})$   
**by** (rule convex-pd-minimal [THEN bottomI, symmetric])

### 32.3 Monadic unit and plus

#### definition

convex-unit :: 'a  $\rightarrow$  'a convex-pd **where**  
 convex-unit = compact-basis.extension ( $\lambda a.$  convex-principal (PDUnit a))

#### definition

convex-plus :: 'a convex-pd  $\rightarrow$  'a convex-pd  $\rightarrow$  'a convex-pd **where**  
 convex-plus = convex-pd.extension ( $\lambda t.$  convex-pd.extension ( $\lambda u.$  convex-principal (PDPlus t u)))

#### abbreviation

convex-add :: 'a convex-pd  $\Rightarrow$  'a convex-pd  $\Rightarrow$  'a convex-pd  
 (infixl  $\cup\!\!\!/\!$  65) **where**  
 $xs \cup\!\!\!/\! ys == \text{convex-plus}\cdot xs \cdot ys$

#### syntax

-convex-pd :: args  $\Rightarrow$  logic ( $\{\cdot\}\!\!\!/\!$ )

#### translations

$\{x, xs\}\!\!\!/\! == \{x\}\!\!\!/\! \cup\!\!\!/\! \{xs\}\!\!\!/\!$   
 $\{x\}\!\!\!/\! == CONST \text{convex-unit}\cdot x$

**lemma** convex-unit-Rep-compact-basis [simp]:  
 $\{\text{Rep-compact-basis } a\}\!\!\!/\! == \text{convex-principal} (\text{PDUnit } a)$   
**unfolding** convex-unit-def  
**by** (simp add: compact-basis.extension-principal PDUnit-convex-mono)

**lemma** convex-plus-principal [simp]:  
 $\text{convex-principal } t \cup\!\!\!/\! \text{convex-principal } u == \text{convex-principal} (\text{PDPlus } t \ u)$   
**unfolding** convex-plus-def  
**by** (simp add: convex-pd.extension-principal  
 convex-pd.extension-mono PDPlus-convex-mono)

#### interpretation

convex-add: semilattice convex-add **proof**

fix xs ys zs :: 'a convex-pd  
**show** (xs  $\cup\!\!\!/\! ys \cup\!\!\!/\! zs == xs \cup\!\!\!/\! (ys \cup\!\!\!/\! zs)$   
**apply** (induct xs rule: convex-pd.principal-induct, simp)  
**apply** (induct ys rule: convex-pd.principal-induct, simp)  
**apply** (induct zs rule: convex-pd.principal-induct, simp)

```

apply (simp add: PDPlus-assoc)
done
show xs ∪ ys = ys ∪ xs
apply (induct xs rule: convex-pd.principal-induct, simp)
apply (induct ys rule: convex-pd.principal-induct, simp)
apply (simp add: PDPlus-commute)
done
show xs ∪ xs = xs
apply (induct xs rule: convex-pd.principal-induct, simp)
apply (simp add: PDPlus-absorb)
done
qed

```

```

lemmas convex-plus-assoc = convex-add.assoc
lemmas convex-plus-commute = convex-add.commute
lemmas convex-plus-absorb = convex-add.idem
lemmas convex-plus-left-commute = convex-add.left-commute
lemmas convex-plus-left-absorb = convex-add.left-idem

```

Useful for *simp add: convex-plus-ac*

```

lemmas convex-plus-ac =
convex-plus-assoc convex-plus-commute convex-plus-left-commute

```

Useful for *simp only: convex-plus-aci*

```

lemmas convex-plus-aci =
convex-plus-ac convex-plus-absorb convex-plus-left-absorb

```

```

lemma convex-unit-below-plus-iff [simp]:
{x} ⊑ ys ∪ zs ↔ {x} ⊑ ys ∧ {x} ⊑ zs
apply (induct x rule: compact-basis.principal-induct, simp)
apply (induct ys rule: convex-pd.principal-induct, simp)
apply (induct zs rule: convex-pd.principal-induct, simp)
apply simp
done

```

```

lemma convex-plus-below-unit-iff [simp]:
xs ∪ ys ⊑ {z} ⊑ xs ⊑ {z} ∧ ys ⊑ {z}
apply (induct xs rule: convex-pd.principal-induct, simp)
apply (induct ys rule: convex-pd.principal-induct, simp)
apply (induct z rule: compact-basis.principal-induct, simp)
apply simp
done

```

```

lemma convex-unit-below-iff [simp]: {x} ⊑ {y} ⊑ x ⊑ y
apply (induct x rule: compact-basis.principal-induct, simp)
apply (induct y rule: compact-basis.principal-induct, simp)
apply simp
done

```

```

lemma convex-unit-eq-iff [simp]:  $\{x\} \sqsubseteq \{y\} \longleftrightarrow x = y$ 
unfolding po-eq-conv by simp

lemma convex-unit-strict [simp]:  $\{\perp\} \sqsubseteq \perp$ 
using convex-unit-Rep-compact-basis [of compact-bot]
by (simp add: inst-convex-pd-pcpo)

lemma convex-unit-bottom-iff [simp]:  $\{x\} \sqsubseteq \perp \longleftrightarrow x = \perp$ 
unfolding convex-unit-strict [symmetric] by (rule convex-unit-eq-iff)

lemma compact-convex-unit: compact  $x \implies$  compact  $\{x\}$ 
by (auto dest!: compact-basis.compact-imp-principal)

lemma compact-convex-unit-iff [simp]: compact  $\{x\} \longleftrightarrow$  compact  $x$ 
apply (safe elim!: compact-convex-unit)
apply (simp only: compact-def convex-unit-below-iff [symmetric])
apply (erule adm-subst [OF cont-Rep-cfun2])
done

lemma compact-convex-plus [simp]:
 $\llbracket \text{compact } xs; \text{compact } ys \rrbracket \implies \text{compact } (xs \cup ys)$ 
by (auto dest!: convex-pd.compact-imp-principal)

```

### 32.4 Induction rules

```

lemma convex-pd-induct1:
assumes  $P: \text{adm } P$ 
assumes unit:  $\bigwedge x. P \{x\}$ 
assumes insert:  $\bigwedge xs\ ys. \llbracket P \{x\}; P\ ys \rrbracket \implies P (\{x\} \cup ys)$ 
shows  $P (xs :: 'a \text{ convex-pd})$ 
apply (induct xs rule: convex-pd.principal-induct, rule P)
apply (induct-tac a rule: pd-basis-induct1)
apply (simp only: convex-unit-Rep-compact-basis [symmetric])
apply (rule unit)
apply (simp only: convex-unit-Rep-compact-basis [symmetric]
          convex-plus-principal [symmetric])
apply (erule insert [OF unit])
done

lemma convex-pd-induct
[case-names adm convex-unit convex-plus, induct type: convex-pd]:
assumes  $P: \text{adm } P$ 
assumes unit:  $\bigwedge x. P \{x\}$ 
assumes plus:  $\bigwedge xs\ ys. \llbracket P\ xs; P\ ys \rrbracket \implies P (xs \cup ys)$ 
shows  $P (xs :: 'a \text{ convex-pd})$ 
apply (induct xs rule: convex-pd.principal-induct, rule P)
apply (induct-tac a rule: pd-basis-induct)
apply (simp only: convex-unit-Rep-compact-basis [symmetric] unit)
apply (simp only: convex-plus-principal [symmetric] plus)

```

**done**

### 32.5 Monadic bind

**definition**

```

convex-bind-basis :: 
  'a pd-basis  $\Rightarrow$  ('a  $\rightarrow$  'b convex-pd)  $\rightarrow$  'b convex-pd where
  convex-bind-basis = fold-pd
    ( $\lambda a. \Lambda f. f \cdot (\text{Rep-compact-basis } a)$ )
    ( $\lambda x y. \Lambda f. x \cdot f \cup\ddot{\sqsubset} y \cdot f$ )

```

**lemma** *ACI-convex-bind*:

```
semilattice ( $\lambda x y. \Lambda f. x \cdot f \cup\ddot{\sqsubset} y \cdot f$ )
```

**apply** *unfold-locales*

**apply** (*simp add*: *convex-plus-assoc*)

**apply** (*simp add*: *convex-plus-commute*)

**apply** (*simp add*: *eta-cfun*)

**done**

**lemma** *convex-bind-basis-simps* [*simp*]:

```

convex-bind-basis (PDUnit a) =
  ( $\Lambda f. f \cdot (\text{Rep-compact-basis } a)$ )
convex-bind-basis (PDPlus t u) =
  ( $\Lambda f. \text{convex-bind-basis } t \cdot f \cup\ddot{\sqsubset} \text{convex-bind-basis } u \cdot f$ )

```

**unfolding** *convex-bind-basis-def*

**apply** –

**apply** (*rule fold-pd-PDUnit* [*OF ACI-convex-bind*])

**apply** (*rule fold-pd-PDPlus* [*OF ACI-convex-bind*])

**done**

**lemma** *convex-bind-basis-mono*:

```
 $t \leq\ddot{\sqsubset} u \implies \text{convex-bind-basis } t \sqsubseteq \text{convex-bind-basis } u$ 
```

**apply** (*erule convex-le-induct*)

**apply** (*erule (1) below-trans*)

**apply** (*simp add*: *monofun-LAM monofun-cfun*)

**apply** (*simp add*: *monofun-LAM monofun-cfun*)

**done**

**definition**

```
convex-bind :: 'a convex-pd  $\rightarrow$  ('a  $\rightarrow$  'b convex-pd)  $\rightarrow$  'b convex-pd where
```

```
convex-bind = convex-pd.extension convex-bind-basis
```

**syntax**

```
-convex-bind :: [logic, logic, logic]  $\Rightarrow$  logic
```

```
((3  $\bigcup\ddot{\sqsubset}$  -./ -) [0, 0, 10] 10)
```

**translations**

```
 $\bigcup\ddot{\sqsubset}_{x \in xs}. e == \text{CONST convex-bind}\cdot xs \cdot (\Lambda x. e)$ 
```

```

lemma convex-bind-principal [simp]:
  convex-bind·(convex-principal t) = convex-bind-basis t
unfolding convex-bind-def
apply (rule convex-pd.extension-principal)
apply (erule convex-bind-basis-mono)
done

lemma convex-bind-unit [simp]:
  convex-bind·{x}·f = f·x
by (induct x rule: compact-basis.principal-induct, simp, simp)

lemma convex-bind-plus [simp]:
  convex-bind·(xs ∪ ys)·f = convex-bind·xs·f ∪ convex-bind·ys·f
by (induct xs rule: convex-pd.principal-induct, simp,
     induct ys rule: convex-pd.principal-induct, simp, simp)

lemma convex-bind-strict [simp]: convex-bind·⊥·f = f·⊥
unfolding convex-unit-strict [symmetric] by (rule convex-bind-unit)

lemma convex-bind-bind:
  convex-bind·(convex-bind·xs·f)·g =
    convex-bind·xs·(Λ x. convex-bind·(f·x)·g)
by (induct xs, simp-all)

```

## 32.6 Map

### definition

```

convex-map :: ('a → 'b) → 'a convex-pd → 'b convex-pd where
convex-map = (Λ f xs. convex-bind·xs·(Λ x. {f·x}·))

```

```

lemma convex-map-unit [simp]:
  convex-map·f·{x} = {f·x}
unfolding convex-map-def by simp

lemma convex-map-plus [simp]:
  convex-map·f·(xs ∪ ys) = convex-map·f·xs ∪ convex-map·f·ys
unfolding convex-map-def by simp

```

```

lemma convex-map-bottom [simp]: convex-map·f·⊥ = {f·⊥}·
unfolding convex-map-def by simp

```

```

lemma convex-map-ident: convex-map·(Λ x. x)·xs = xs
by (induct xs rule: convex-pd-induct, simp-all)

```

```

lemma convex-map-ID: convex-map·ID = ID
by (simp add: cfun-eq-iff ID-def convex-map-ident)

```

```

lemma convex-map-map:
  convex-map·f·(convex-map·g·xs) = convex-map·(Λ x. f·(g·x))·xs

```

```

by (induct xs rule: convex-pd-induct, simp-all)

lemma convex-bind-map:
  convex-bind·(convex-map·f·xs)·g = convex-bind·xs·(Λ x. g·(f·x))
by (simp add: convex-map-def convex-bind-bind)

lemma convex-map-bind:
  convex-map·f·(convex-bind·xs·g) = convex-bind·xs·(Λ x. convex-map·f·(g·x))
by (simp add: convex-map-def convex-bind-bind)

lemma ep-pair-convex-map: ep-pair e p ==> ep-pair (convex-map·e) (convex-map·p)
apply standard
apply (induct-tac x rule: convex-pd-induct, simp-all add: ep-pair.e-inverse)
apply (induct-tac y rule: convex-pd-induct)
apply (simp-all add: ep-pair.e-p-below monofun-cfun)
done

lemma deflation-convex-map: deflation d ==> deflation (convex-map·d)
apply standard
apply (induct-tac x rule: convex-pd-induct, simp-all add: deflation.idem)
apply (induct-tac x rule: convex-pd-induct)
apply (simp-all add: deflation.below monofun-cfun)
done

lemma finite-deflation-convex-map:
  assumes finite-deflation d shows finite-deflation (convex-map·d)
proof (rule finite-deflation-intro)
  interpret d: finite-deflation d by fact
  from d.deflation-axioms show deflation (convex-map·d)
    by (rule deflation-convex-map)
  have finite (range (λx. d·x)) by (rule d.finite-range)
  hence finite (Rep-compact-basis -` range (λx. d·x))
    by (rule finite-vimageI, simp add: inj-on-def Rep-compact-basis-inject)
  hence finite (Pow (Rep-compact-basis -` range (λx. d·x))) by simp
  hence finite (Rep-pd-basis -` (Pow (Rep-compact-basis -` range (λx. d·x))))
    by (rule finite-vimageI, simp add: inj-on-def Rep-pd-basis-inject)
  hence *: finite (convex-principal ` Rep-pd-basis -` (Pow (Rep-compact-basis -` range (λx. d·x)))) by simp
  hence finite (range (λxs. convex-map·d·xs))
    apply (rule rev-finite-subset)
    apply clar simp
    apply (induct-tac xs rule: convex-pd.principal-induct)
    apply (simp add: adm-mem-finite *)
    apply (rename-tac t, induct-tac t rule: pd-basis-induct)
    apply (simp only: convex-unit-Rep-compact-basis [symmetric] convex-map-unit)
    apply simp
    apply (subgoal-tac ∃ b. d·(Rep-compact-basis a) = Rep-compact-basis b)
    apply clar simp

```

```

apply (rule imageI)
apply (rule vimageI2)
apply (simp add: Rep-PDUnit)
apply (rule range-eqI)
apply (erule sym)
apply (rule exI)
apply (rule Abs-compact-basis-inverse [symmetric])
apply (simp add: d.compact)
apply (simp only: convex-plus-principal [symmetric] convex-map-plus)
apply clar simp
apply (rule imageI)
apply (rule vimageI2)
apply (simp add: Rep-PDPlus)
done
thus finite {xs. convex-map·d·xs = xs}
  by (rule finite-range-imp-finite-fixes)
qed

```

### 32.7 Convex powerdomain is bifinite

```

lemma approx-chain-convex-map:
assumes approx-chain a
shows approx-chain (λi. convex-map·(a i))
using assms unfolding approx-chain-def
by (simp add: lub-APP convex-map-ID finite-deflation-convex-map)

instance convex-pd :: (bifinite) bifinite
proof
show ∃(a::nat ⇒ 'a convex-pd → 'a convex-pd). approx-chain a
  using bifinite [where 'a='a]
  by (fast intro!: approx-chain-convex-map)
qed

```

### 32.8 Join

```

definition
convex-join :: 'a convex-pd convex-pd → 'a convex-pd where
convex-join = (Λ xss. convex-bind·xss·(Λ xs. xs))

lemma convex-join-unit [simp]:
convex-join·{xs}ḥ = xs
unfolding convex-join-def by simp

lemma convex-join-plus [simp]:
convex-join·(xss ∪ḥ yss) = convex-join·xss ∪ḥ convex-join·yss
unfolding convex-join-def by simp

lemma convex-join-bottom [simp]: convex-join·⊥ = ⊥
unfolding convex-join-def by simp

```

```

lemma convex-join-map-unit:
  convex-join·(convex-map·convex-unit·xs) = xs
by (induct xs rule: convex-pd-induct, simp-all)

lemma convex-join-map-join:
  convex-join·(convex-map·convex-join·xss) = convex-join·(convex-join·xss)
by (induct xss rule: convex-pd-induct, simp-all)

lemma convex-join-map-map:
  convex-join·(convex-map·(convex-map·f)·xss) =
    convex-map·f·(convex-join·xss)
by (induct xss rule: convex-pd-induct, simp-all)

```

### 32.9 Conversions to other powerdomains

Convex to upper

```

lemma convex-le-imp-upper-le:  $t \leq_{\natural} u \implies t \leq_{\sharp} u$ 
unfolding convex-le-def by simp

```

**definition**

```

convex-to-upper :: 'a convex-pd  $\rightarrow$  'a upper-pd where
convex-to-upper = convex-pd.extension upper-principal

```

```

lemma convex-to-upper-principal [simp]:
  convex-to-upper·(convex-principal t) = upper-principal t
unfolding convex-to-upper-def
apply (rule convex-pd.extension-principal)
apply (rule upper-pd.principal-mono)
apply (erule convex-le-imp-upper-le)
done

```

```

lemma convex-to-upper-unit [simp]:
  convex-to-upper·{x}$_{\natural}$ = {x}$_{\sharp}$
by (induct x rule: compact-basis.principal-induct, simp, simp)

```

```

lemma convex-to-upper-plus [simp]:
  convex-to-upper·(xs  $\sqcup_{\natural}$  ys) = convex-to-upper·xs  $\sqcup_{\sharp}$  convex-to-upper·ys
by (induct xs rule: convex-pd.principal-induct, simp,
  induct ys rule: convex-pd.principal-induct, simp, simp)

```

```

lemma convex-to-upper-bind [simp]:
  convex-to-upper·(convex-bind·xs·f) =
    upper-bind·(convex-to-upper·xs) · (convex-to-upper oo f)
by (induct xs rule: convex-pd-induct, simp, simp, simp)

```

```

lemma convex-to-upper-map [simp]:
  convex-to-upper·(convex-map·f·xs) = upper-map·f·(convex-to-upper·xs)
by (simp add: convex-map-def upper-map-def cfcomp-LAM)

```

```
lemma convex-to-upper-join [simp]:
  convex-to-upper·(convex-join·xss) =
    upper-bind·(convex-to-upper·xss)·convex-to-upper
by (simp add: convex-join-def upper-join-def cfcomp-LAM eta-cfun)
```

Convex to lower

```
lemma convex-le-imp-lower-le:  $t \leq \natural u \implies t \leq \flat u$ 
unfolding convex-le-def by simp
```

**definition**

```
convex-to-lower :: 'a convex-pd  $\rightarrow$  'a lower-pd where
  convex-to-lower = convex-pd.extension lower-principal
```

```
lemma convex-to-lower-principal [simp]:
  convex-to-lower·(convex-principal t) = lower-principal t
unfolding convex-to-lower-def
apply (rule convex-pd.extension-principal)
apply (rule lower-pd.principal-mono)
apply (erule convex-le-imp-lower-le)
done
```

```
lemma convex-to-lower-unit [simp]:
  convex-to-lower·{x}¶ = {x}flat
by (induct x rule: compact-basis.principal-induct, simp, simp)
```

```
lemma convex-to-lower-plus [simp]:
  convex-to-lower·(xs  $\sqcup \natural ys$ ) = convex-to-lower·xs  $\sqcup \flat$  convex-to-lower·ys
by (induct xs rule: convex-pd.principal-induct, simp,
      induct ys rule: convex-pd.principal-induct, simp, simp)
```

```
lemma convex-to-lower-bind [simp]:
  convex-to-lower·(convex-bind·xs·f) =
    lower-bind·(convex-to-lower·xs)·(convex-to-lower oo f)
by (induct xs rule: convex-pd-induct, simp, simp, simp)
```

```
lemma convex-to-lower-map [simp]:
  convex-to-lower·(convex-map·f·xs) = lower-map·f·(convex-to-lower·xs)
by (simp add: convex-map-def lower-map-def cfcomp-LAM)
```

```
lemma convex-to-lower-join [simp]:
  convex-to-lower·(convex-join·xss) =
    lower-bind·(convex-to-lower·xss)·convex-to-lower
by (simp add: convex-join-def lower-join-def cfcomp-LAM eta-cfun)
```

Ordering property

```
lemma convex-pd-below-iff:
  ( $xs \sqsubseteq ys$ ) =
    (convex-to-upper·xs  $\sqsubseteq$  convex-to-upper·ys  $\wedge$ 
     convex-to-lower·xs  $\sqsubseteq$  convex-to-lower·ys)
```

```

apply (induct xs rule: convex-pd.principal-induct, simp)
apply (induct ys rule: convex-pd.principal-induct, simp)
apply (simp add: convex-le-def)
done

lemmas convex-plus-below-plus-iff =
convex-pd-below-iff [where xs=xs ∪ ys and ys=zs ∪ ws]
for xs ys zs ws

lemmas convex-pd-below-simps =
convex-unit-below-plus-iff
convex-plus-below-unit-iff
convex-plus-below-plus-iff
convex-unit-below-iff
convex-to-upper-unit
convex-to-upper-plus
convex-to-lower-unit
convex-to-lower-plus
upper-pd-below-simps
lower-pd-below-simps

end

```

## 33 Powerdomains

```

theory Powerdomains
imports ConvexPD Domain
begin

33.1 Universal domain embeddings

definition upper-emb = udom-emb (λi. upper-map·(udom-approx i))
definition upper-prj = udom-prj (λi. upper-map·(udom-approx i))

definition lower-emb = udom-emb (λi. lower-map·(udom-approx i))
definition lower-prj = udom-prj (λi. lower-map·(udom-approx i))

definition convex-emb = udom-emb (λi. convex-map·(udom-approx i))
definition convex-prj = udom-prj (λi. convex-map·(udom-approx i))

lemma ep-pair-upper: ep-pair upper-emb upper-prj
  unfolding upper-emb-def upper-prj-def
  by (simp add: ep-pair-udom approx-chain-upper-map)

lemma ep-pair-lower: ep-pair lower-emb lower-prj
  unfolding lower-emb-def lower-prj-def
  by (simp add: ep-pair-udom approx-chain-lower-map)

lemma ep-pair-convex: ep-pair convex-emb convex-prj

```

**unfolding** *convex-emb-def convex-prj-def*  
**by** (*simp add: ep-pair-udom approx-chain-convex-map*)

### 33.2 Deflation combinators

**definition** *upper-defl* :: *udom defl*  $\rightarrow$  *udom defl*  
**where** *upper-defl* = *defl-fun1 upper-emb upper-prj upper-map*

**definition** *lower-defl* :: *udom defl*  $\rightarrow$  *udom defl*  
**where** *lower-defl* = *defl-fun1 lower-emb lower-prj lower-map*

**definition** *convex-defl* :: *udom defl*  $\rightarrow$  *udom defl*  
**where** *convex-defl* = *defl-fun1 convex-emb convex-prj convex-map*

**lemma** *cast-upper-defl*:  
 $cast \cdot (upper\text{-}defl \cdot A) = upper\text{-}emb oo upper\text{-}map \cdot (cast \cdot A) oo upper\text{-}prj$   
**using** *ep-pair-upper finite-deflation-upper-map*  
**unfolding** *upper-defl-def* **by** (*rule cast-defl-fun1*)

**lemma** *cast-lower-defl*:  
 $cast \cdot (lower\text{-}defl \cdot A) = lower\text{-}emb oo lower\text{-}map \cdot (cast \cdot A) oo lower\text{-}prj$   
**using** *ep-pair-lower finite-deflation-lower-map*  
**unfolding** *lower-defl-def* **by** (*rule cast-defl-fun1*)

**lemma** *cast-convex-defl*:  
 $cast \cdot (convex\text{-}defl \cdot A) = convex\text{-}emb oo convex\text{-}map \cdot (cast \cdot A) oo convex\text{-}prj$   
**using** *ep-pair-convex finite-deflation-convex-map*  
**unfolding** *convex-defl-def* **by** (*rule cast-defl-fun1*)

### 33.3 Domain class instances

**instantiation** *upper-pd* :: (*domain*) *domain*  
**begin**

**definition**  
 $emb = upper\text{-}emb oo upper\text{-}map \cdot emb$

**definition**  
 $prj = upper\text{-}map \cdot prj oo upper\text{-}prj$

**definition**  
 $defl (t::'a upper\text{-}pd itself) = upper\text{-}defl \cdot DEFL('a)$

**definition**  
 $(liftemb :: 'a upper\text{-}pd u \rightarrow udom u) = u\text{-}map \cdot emb$

**definition**  
 $(liftprj :: udom u \rightarrow 'a upper\text{-}pd u) = u\text{-}map \cdot prj$

**definition**

```

liftdefl (t::'a upper-pd itself) = liftdefl-of·DEFL('a upper-pd)

instance proof
  show ep-pair emb (prj :: udom → 'a upper-pd)
    unfolding emb-upper-pd-def prj-upper-pd-def
    by (intro ep-pair-comp ep-pair-upper ep-pair-upper-map ep-pair-emb-prj)
next
  show cast·DEFL('a upper-pd) = emb oo (prj :: udom → 'a upper-pd)
    unfolding emb-upper-pd-def prj-upper-pd-def defl-upper-pd-def cast-upper-defl
    by (simp add: cast-DEFL oo-def cfun-eq-iff upper-map-map)
  qed (fact liftemb-upper-pd-def liftprj-upper-pd-def liftdefl-upper-pd-def)+

end

instantiation lower-pd :: (domain) domain
begin

  definition
    emb = lower-emb oo lower-map·emb

  definition
    prj = lower-map·prj oo lower-prj

  definition
    defl (t::'a lower-pd itself) = lower-defl·DEFL('a)

  definition
    (liftemb :: 'a lower-pd u → udom u) = u-map·emb

  definition
    (liftprj :: udom u → 'a lower-pd u) = u-map·prj

  definition
    liftdefl (t::'a lower-pd itself) = liftdefl-of·DEFL('a lower-pd)

instance proof
  show ep-pair emb (prj :: udom → 'a lower-pd)
    unfolding emb-lower-pd-def prj-lower-pd-def
    by (intro ep-pair-comp ep-pair-lower ep-pair-lower-map ep-pair-emb-prj)
next
  show cast·DEFL('a lower-pd) = emb oo (prj :: udom → 'a lower-pd)
    unfolding emb-lower-pd-def prj-lower-pd-def defl-lower-pd-def cast-lower-defl
    by (simp add: cast-DEFL oo-def cfun-eq-iff lower-map-map)
  qed (fact liftemb-lower-pd-def liftprj-lower-pd-def liftdefl-lower-pd-def)+

end

instantiation convex-pd :: (domain) domain
begin

```

**definition**

$\text{emb} = \text{convex-emb} \circ \text{convex-map} \cdot \text{emb}$

**definition**

$\text{prj} = \text{convex-map} \cdot \text{prj} \circ \text{convex-prj}$

**definition**

$\text{defl} (t::'a \text{ convex-pd itself}) = \text{convex-defl} \cdot \text{DEFL}('a)$

**definition**

$(\text{liftemb} :: 'a \text{ convex-pd } u \rightarrow \text{udom } u) = \text{u-map} \cdot \text{emb}$

**definition**

$(\text{liftprj} :: \text{udom } u \rightarrow 'a \text{ convex-pd } u) = \text{u-map} \cdot \text{prj}$

**definition**

$\text{liftdefl} (t::'a \text{ convex-pd itself}) = \text{liftdefl-of} \cdot \text{DEFL}('a \text{ convex-pd})$

**instance proof**

**show**  $\text{ep-pair emb} (\text{prj} :: \text{udom} \rightarrow 'a \text{ convex-pd})$

**unfolding**  $\text{emb-convex-pd-def prj-convex-pd-def}$

**by** (*intro ep-pair-comp ep-pair-convex ep-pair-convex-map ep-pair-emb-prj*)

**next**

**show**  $\text{cast-DEFL}('a \text{ convex-pd}) = \text{emb} \circ (\text{prj} :: \text{udom} \rightarrow 'a \text{ convex-pd})$

**unfolding**  $\text{emb-convex-pd-def prj-convex-pd-def defl-convex-pd-def cast-convex-defl}$

**by** (*simp add: cast-DEFL oo-def cfun-eq-iff convex-map-map*)

**qed** (*fact liftemb-convex-pd-def liftprj-convex-pd-def liftdefl-convex-pd-def*) +

**end**

**lemma**  $\text{DEFL-upper}: \text{DEFL}('a::\text{domain upper-pd}) = \text{upper-defl} \cdot \text{DEFL}('a)$

**by** (*rule defl-upper-pd-def*)

**lemma**  $\text{DEFL-lower}: \text{DEFL}('a::\text{domain lower-pd}) = \text{lower-defl} \cdot \text{DEFL}('a)$

**by** (*rule defl-lower-pd-def*)

**lemma**  $\text{DEFL-convex}: \text{DEFL}('a::\text{domain convex-pd}) = \text{convex-defl} \cdot \text{DEFL}('a)$

**by** (*rule defl-convex-pd-def*)

### 33.4 Isomorphic deflations

**lemma**  $\text{isodefl-upper}:$

$\text{isodefl } d \ t \implies \text{isodefl} (\text{upper-map} \cdot d) (\text{upper-defl} \cdot t)$

**apply** (*rule isodeflI*)

**apply** (*simp add: cast-upper-defl cast-isodefl*)

**apply** (*simp add: emb-upper-pd-def prj-upper-pd-def*)

**apply** (*simp add: upper-map-map*)

**done**

```

lemma isodefl-lower:
  isodefl d t ==> isodefl (lower-map·d) (lower-deft·t)
apply (rule isodeflI)
apply (simp add: cast-lower-defl cast-isodefl)
apply (simp add: emb-lower-pd-def prj-lower-pd-def)
apply (simp add: lower-map-map)
done

lemma isodefl-convex:
  isodefl d t ==> isodefl (convex-map·d) (convex-deft·t)
apply (rule isodeflI)
apply (simp add: cast-convex-defl cast-isodefl)
apply (simp add: emb-convex-pd-def prj-convex-pd-def)
apply (simp add: convex-map-map)
done

```

### 33.5 Domain package setup for powerdomains

```

lemmas [domain-defl-simps] = DEFL-upper DEFL-lower DEFL-convex
lemmas [domain-map-ID] = upper-map-ID lower-map-ID convex-map-ID
lemmas [domain-isodefl] = isodefl-upper isodefl-lower isodefl-convex

lemmas [domain-deflation] =
  deflation-upper-map deflation-lower-map deflation-convex-map

setup ‹
  fold Domain-Take-Proofs.add-rec-type
  [(type-name ⟨upper-pd⟩, [true]),
   (type-name ⟨lower-pd⟩, [true]),
   (type-name ⟨convex-pd⟩, [true])]
›

end

theory HOLCF
imports
  Main
  Domain
  Powerdomains
begin

default-sort domain

end

```