

# Basic combinatorics in Isabelle/HOL (and the Archive of Formal Proofs)

May 23, 2024

## Contents

<b>1</b>	<b>Transposition function</b>	<b>1</b>
<b>2</b>	<b>Stirling numbers of first and second kind</b>	<b>5</b>
2.1	Stirling numbers of the second kind . . . . .	5
2.2	Stirling numbers of the first kind . . . . .	6
2.2.1	Efficient code . . . . .	7
<b>3</b>	<b>Permutations, both general and specifically on finite sets.</b>	<b>8</b>
3.1	Auxiliary . . . . .	8
3.2	Basic definition and consequences . . . . .	8
3.3	Group properties . . . . .	10
3.4	Mapping permutations with bijections . . . . .	11
3.5	The number of permutations on a finite set . . . . .	11
3.6	Hence a sort of induction principle composing by swaps . . . .	12
3.7	Permutations of index set for iterated operations . . . . .	12
3.8	Permutations as transposition sequences . . . . .	12
3.9	Some closure properties of the set of permutations, with lengths	12
3.10	Various combinations of transpositions with 2, 1 and 0 com- mon elements . . . . .	13
3.11	The identity map only has even transposition sequences . . . .	13
3.12	Therefore we have a welldefined notion of parity . . . . .	14
3.13	And it has the expected composition properties . . . . .	14
3.14	A more abstract characterization of permutations . . . . .	15
3.15	Relation to <i>permutes</i> . . . . .	16
3.16	Sign of a permutation as a real number . . . . .	16
3.17	Permuting a list . . . . .	17
3.18	More lemmas about permutations . . . . .	18
3.19	Sum over a set of permutations (could generalize to iteration)	20
3.20	Constructing permutations from association lists . . . . .	20

<b>4</b>	<b>Permuted Lists</b>	<b>23</b>
4.1	An existing notion . . . . .	23
4.2	Nontrivial conclusions . . . . .	23
4.3	Trivial conclusions: . . . . .	24
<b>5</b>	<b>Permutations of a Multiset</b>	<b>25</b>
5.1	Permutations of a multiset . . . . .	26
5.2	Cardinality of permutations . . . . .	27
5.3	Permutations of a set . . . . .	28
5.4	Code generation . . . . .	30
<b>6</b>	<b>Cycles</b>	<b>32</b>
6.1	Definitions . . . . .	32
6.2	Basic Properties . . . . .	33
6.3	Conjugation of cycles . . . . .	33
6.4	When Cycles Commute . . . . .	33
6.5	Cycles from Permutations . . . . .	34
6.5.1	Exponentiation of permutations . . . . .	34
6.5.2	Extraction of cycles from permutations . . . . .	34
6.6	Decomposition on Cycles . . . . .	35
6.6.1	Preliminaries . . . . .	35
6.6.2	Decomposition . . . . .	35
<b>7</b>	<b>Permutations as abstract type</b>	<b>36</b>
7.1	Abstract type of permutations . . . . .	36
7.2	Identity, composition and inversion . . . . .	37
7.3	Orbit and order of elements . . . . .	39
7.4	Swaps . . . . .	42
7.5	Permutations specified by cycles . . . . .	43
7.6	Syntax . . . . .	43
<b>8</b>	<b>Permutation orbits</b>	<b>44</b>
8.1	Orbits and cyclic permutations . . . . .	44
8.2	Decomposition of arbitrary permutations . . . . .	47
8.3	Function-power distance between values . . . . .	48
<b>9</b>	<b>Basic combinatorics in Isabelle/HOL (and the Archive of Formal Proofs)</b>	<b>50</b>

## 1 Transposition function

```
theory Transposition
  imports Main
begin
```

**definition** *transpose* ::  $\langle 'a \Rightarrow 'a \Rightarrow 'a \Rightarrow 'a \rangle$   
**where**  $\langle \text{transpose } a \ b \ c = (\text{if } c = a \ \text{then } b \ \text{else if } c = b \ \text{then } a \ \text{else } c) \rangle$

**lemma** *transpose\_apply\_first* [*simp*]:  
 $\langle \text{transpose } a \ b \ a = b \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *transpose\_apply\_second* [*simp*]:  
 $\langle \text{transpose } a \ b \ b = a \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *transpose\_apply\_other* [*simp*]:  
 $\langle \text{transpose } a \ b \ c = c \rangle$  **if**  $\langle c \neq a \rangle \langle c \neq b \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *transpose\_same* [*simp*]:  
 $\langle \text{transpose } a \ a = \text{id} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *transpose\_eq\_iff*:  
 $\langle \text{transpose } a \ b \ c = d \iff (c \neq a \wedge c \neq b \wedge d = c) \vee (c = a \wedge d = b) \vee (c = b \wedge d = a) \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *transpose\_eq\_imp\_eq*:  
 $\langle c = d \rangle$  **if**  $\langle \text{transpose } a \ b \ c = \text{transpose } a \ b \ d \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *transpose\_commute* [*ac\_simps*]:  
 $\langle \text{transpose } b \ a = \text{transpose } a \ b \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *transpose\_involution* [*simp*]:  
 $\langle \text{transpose } a \ b \ (\text{transpose } a \ b \ c) = c \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *transpose\_comp\_involution* [*simp*]:  
 $\langle \text{transpose } a \ b \circ \text{transpose } a \ b = \text{id} \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *transpose\_triple*:  
 $\langle \text{transpose } a \ b \ (\text{transpose } b \ c \ (\text{transpose } a \ b \ d)) = \text{transpose } a \ c \ d \rangle$   
**if**  $\langle a \neq c \rangle$  **and**  $\langle b \neq c \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *transpose\_comp\_triple*:  
 $\langle \text{transpose } a \ b \circ \text{transpose } b \ c \circ \text{transpose } a \ b = \text{transpose } a \ c \rangle$   
**if**  $\langle a \neq c \rangle$  **and**  $\langle b \neq c \rangle$   
 $\langle \text{proof} \rangle$

**lemma** *transpose\_image\_eq* [simp]:  
⟨*transpose a b* ‘  $A = A$  ⟩ **if** ⟨ $a \in A \longleftrightarrow b \in A$ ⟩  
⟨*proof*⟩

**lemma** *inj\_on\_transpose* [simp]:  
⟨*inj\_on* (*transpose a b*)  $A$ ⟩  
⟨*proof*⟩

**lemma** *inj\_transpose*:  
⟨*inj* (*transpose a b*)⟩  
⟨*proof*⟩

**lemma** *surj\_transpose*:  
⟨*surj* (*transpose a b*)⟩  
⟨*proof*⟩

**lemma** *bij\_betw\_transpose\_iff* [simp]:  
⟨*bij\_betw* (*transpose a b*)  $A A$ ⟩ **if** ⟨ $a \in A \longleftrightarrow b \in A$ ⟩  
⟨*proof*⟩

**lemma** *bij\_transpose* [simp]:  
⟨*bij* (*transpose a b*)⟩  
⟨*proof*⟩

**lemma** *bijection\_transpose*:  
⟨*bijection* (*transpose a b*)⟩  
⟨*proof*⟩

**lemma** *inv\_transpose\_eq* [simp]:  
⟨*inv* (*transpose a b*) = *transpose a b*⟩  
⟨*proof*⟩

**lemma** *transpose\_apply\_commute*:  
⟨*transpose a b* ( $f c$ ) =  $f$  (*transpose* (*inv f a*) (*inv f b*)  $c$ )⟩  
**if** ⟨*bij f*⟩  
⟨*proof*⟩

**lemma** *transpose\_comp\_eq*:  
⟨*transpose a b*  $\circ f$  =  $f \circ$  *transpose* (*inv f a*) (*inv f b*)⟩  
**if** ⟨*bij f*⟩  
⟨*proof*⟩

**lemma** *in\_transpose\_image\_iff*:  
⟨ $x \in$  *transpose a b* ‘  $S \longleftrightarrow$  *transpose a b*  $x \in S$ ⟩  
⟨*proof*⟩

Legacy input alias

⟨*ML*⟩

**abbreviation** (*input*)  $swap :: \langle 'a \Rightarrow 'a \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'b \rangle$   
**where**  $\langle swap\ a\ b\ f \equiv f \circ transpose\ a\ b \rangle$

**lemma**  $swap\_def$ :  
 $\langle Fun.swap\ a\ b\ f = f\ (a := f\ b, b := f\ a) \rangle$   
 $\langle proof \rangle$

$\langle ML \rangle$

**lemma**  $swap\_apply$ :  
 $Fun.swap\ a\ b\ f\ a = f\ b$   
 $Fun.swap\ a\ b\ f\ b = f\ a$   
 $c \neq a \implies c \neq b \implies Fun.swap\ a\ b\ f\ c = f\ c$   
 $\langle proof \rangle$

**lemma**  $swap\_self$ :  $Fun.swap\ a\ a\ f = f$   
 $\langle proof \rangle$

**lemma**  $swap\_commute$ :  $Fun.swap\ a\ b\ f = Fun.swap\ b\ a\ f$   
 $\langle proof \rangle$

**lemma**  $swap\_nilpotent$ :  $Fun.swap\ a\ b\ (Fun.swap\ a\ b\ f) = f$   
 $\langle proof \rangle$

**lemma**  $swap\_comp\_involutory$ :  $Fun.swap\ a\ b \circ Fun.swap\ a\ b = id$   
 $\langle proof \rangle$

**lemma**  $swap\_triple$ :  
**assumes**  $a \neq c$  **and**  $b \neq c$   
**shows**  $Fun.swap\ a\ b\ (Fun.swap\ b\ c\ (Fun.swap\ a\ b\ f)) = Fun.swap\ a\ c\ f$   
 $\langle proof \rangle$

**lemma**  $comp\_swap$ :  $f \circ Fun.swap\ a\ b\ g = Fun.swap\ a\ b\ (f \circ g)$   
 $\langle proof \rangle$

**lemma**  $swap\_image\_eq$ :  
**assumes**  $a \in A\ b \in A$   
**shows**  $Fun.swap\ a\ b\ f\ 'A = f\ 'A$   
 $\langle proof \rangle$

**lemma**  $inj\_on\_imp\_inj\_on\_swap$ :  $inj\_on\ f\ A \implies a \in A \implies b \in A \implies inj\_on\ (Fun.swap\ a\ b\ f)\ A$   
 $\langle proof \rangle$

**lemma**  $inj\_on\_swap\_iff$ :  
**assumes**  $A: a \in A\ b \in A$   
**shows**  $inj\_on\ (Fun.swap\ a\ b\ f)\ A \longleftrightarrow inj\_on\ f\ A$   
 $\langle proof \rangle$

**lemma** *surj\_imp\_surj\_swap*:  $surj\ f \implies surj\ (Fun.swap\ a\ b\ f)$   
⟨proof⟩

**lemma** *surj\_swap\_iff*:  $surj\ (Fun.swap\ a\ b\ f) \iff surj\ f$   
⟨proof⟩

**lemma** *bij\_betw\_swap\_iff*:  $x \in A \implies y \in A \implies bij\_betw\ (Fun.swap\ x\ y\ f)\ A\ B$   
 $\iff bij\_betw\ f\ A\ B$   
⟨proof⟩

**lemma** *bij\_swap\_iff*:  $bij\ (Fun.swap\ a\ b\ f) \iff bij\ f$   
⟨proof⟩

**lemma** *swap\_image*:  
⟨ $Fun.swap\ i\ j\ f\ `A = f\ `(A - \{i, j\}$   
 $\cup (if\ i \in A\ then\ \{j\}\ else\ \{\}) \cup (if\ j \in A\ then\ \{i\}\ else\ \{\}))$ ⟩  
⟨proof⟩

**lemma** *inv\_swap\_id*:  $inv\ (Fun.swap\ a\ b\ id) = Fun.swap\ a\ b\ id$   
⟨proof⟩

**lemma** *bij\_swap\_comp*:  
**assumes** *bij* *p*  
**shows**  $Fun.swap\ a\ b\ id \circ p = Fun.swap\ (inv\ p\ a)\ (inv\ p\ b)\ p$   
⟨proof⟩

**lemma** *swap\_id\_eq*:  $Fun.swap\ a\ b\ id\ x = (if\ x = a\ then\ b\ else\ if\ x = b\ then\ a\ else\ x)$   
⟨proof⟩

**lemma** *swap\_unfold*:  
⟨ $Fun.swap\ a\ b\ p = p \circ Fun.swap\ a\ b\ id$ ⟩  
⟨proof⟩

**lemma** *swap\_id\_idempotent*:  $Fun.swap\ a\ b\ id \circ Fun.swap\ a\ b\ id = id$   
⟨proof⟩

**lemma** *bij\_swap\_compose\_bij*:  
⟨ $bij\ (Fun.swap\ a\ b\ id \circ p)$ ⟩ **if** ⟨*bij* *p*⟩  
⟨proof⟩

**end**

## 2 Stirling numbers of first and second kind

**theory** *Stirling*  
**imports** *Main*  
**begin**

## 2.1 Stirling numbers of the second kind

**fun** *Stirling* :: *nat* ⇒ *nat* ⇒ *nat*

**where**

*Stirling* 0 0 = 1

| *Stirling* 0 (Suc k) = 0

| *Stirling* (Suc n) 0 = 0

| *Stirling* (Suc n) (Suc k) = Suc k \* *Stirling* n (Suc k) + *Stirling* n k

**lemma** *Stirling\_1* [*simp*]: *Stirling* (Suc n) (Suc 0) = 1

⟨*proof*⟩

**lemma** *Stirling\_less* [*simp*]:  $n < k \implies \text{Stirling } n \ k = 0$

⟨*proof*⟩

**lemma** *Stirling\_same* [*simp*]: *Stirling* n n = 1

⟨*proof*⟩

**lemma** *Stirling\_2\_2*: *Stirling* (Suc (Suc n)) (Suc (Suc 0)) =  $2^{\wedge} \text{Suc } n - 1$

⟨*proof*⟩

**lemma** *Stirling\_2*: *Stirling* (Suc n) (Suc (Suc 0)) =  $2^{\wedge} n - 1$

⟨*proof*⟩

## 2.2 Stirling numbers of the first kind

**fun** *stirling* :: *nat* ⇒ *nat* ⇒ *nat*

**where**

*stirling* 0 0 = 1

| *stirling* 0 (Suc k) = 0

| *stirling* (Suc n) 0 = 0

| *stirling* (Suc n) (Suc k) = n \* *stirling* n (Suc k) + *stirling* n k

**lemma** *stirling\_0* [*simp*]:  $n > 0 \implies \text{stirling } n \ 0 = 0$

⟨*proof*⟩

**lemma** *stirling\_less* [*simp*]:  $n < k \implies \text{stirling } n \ k = 0$

⟨*proof*⟩

**lemma** *stirling\_same* [*simp*]: *stirling* n n = 1

⟨*proof*⟩

**lemma** *stirling\_Suc\_n\_1*: *stirling* (Suc n) (Suc 0) = *fact* n

⟨*proof*⟩

**lemma** *stirling\_Suc\_n\_n*: *stirling* (Suc n) n = *Suc* n *choose* 2

⟨*proof*⟩

**lemma** *stirling\_Suc\_n\_2*:

**assumes**  $n \geq \text{Suc } 0$

**shows**  $\text{stirling } (\text{Suc } n) \ 2 = (\sum k=1..n. \text{fact } n \ \text{div } k)$   
 ⟨proof⟩

**lemma** *of\_nat\_stirling\_Suc\_n\_2*:

**assumes**  $n \geq \text{Suc } 0$

**shows**  $(\text{of\_nat } (\text{stirling } (\text{Suc } n) \ 2) :: 'a::\text{field\_char\_0}) = \text{fact } n * (\sum k=1..n. (1 / \text{of\_nat } k))$

⟨proof⟩

**lemma** *sum\_stirling*:  $(\sum k \leq n. \text{stirling } n \ k) = \text{fact } n$

⟨proof⟩

**lemma** *stirling\_pochhammer*:

$(\sum k \leq n. \text{of\_nat } (\text{stirling } n \ k) * x^k) = (\text{pochhammer } x \ n :: 'a::\text{comm\_semiring\_1})$

⟨proof⟩

A row of the Stirling number triangle

**definition** *stirling\_row* ::  $\text{nat} \Rightarrow \text{nat list}$

**where**  $\text{stirling\_row } n = [\text{stirling } n \ k. \ k \leftarrow [0..<\text{Suc } n]]$

**lemma** *nth\_stirling\_row*:  $k \leq n \implies \text{stirling\_row } n \ ! \ k = \text{stirling } n \ k$

⟨proof⟩

**lemma** *length\_stirling\_row* [simp]:  $\text{length } (\text{stirling\_row } n) = \text{Suc } n$

⟨proof⟩

**lemma** *stirling\_row\_nonempty* [simp]:  $\text{stirling\_row } n \neq []$

⟨proof⟩

### 2.2.1 Efficient code

Naively using the defining equations of the Stirling numbers of the first kind to compute them leads to exponential run time due to repeated computations. We can use memoisation to compute them row by row without repeating computations, at the cost of computing a few unneeded values.

As a bonus, this is very efficient for applications where an entire row of Stirling numbers is needed.

**definition** *zip\_with\_prev* ::  $('a \Rightarrow 'a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'a \ \text{list} \Rightarrow 'b \ \text{list}$

**where**  $\text{zip\_with\_prev } f \ x \ xs = \text{map2 } f \ (x \ \# \ xs) \ xs$

**lemma** *zip\_with\_prev\_altdef*:

$\text{zip\_with\_prev } f \ x \ xs =$

$(\text{if } xs = [] \ \text{then } [] \ \text{else } f \ x \ (\text{hd } xs) \ \# \ [f \ (xs!i) \ (xs!(i+1)). \ i \leftarrow [0..<\text{length } xs - 1]])$

⟨proof⟩

**primrec** *stirling\_row\_aux*

**where**

*stirling\_row\_aux* *n* *y* [] = [1]  
| *stirling\_row\_aux* *n* *y* (*x*#*xs*) = (*y* + *n* \* *x*) # *stirling\_row\_aux* *n* *x* *xs*

**lemma** *stirling\_row\_aux\_correct*:

*stirling\_row\_aux* *n* *y* *xs* = *zip\_with\_prev* ( $\lambda a b. a + n * b$ ) *y* *xs* @ [1]  
<proof>

**lemma** *stirling\_row\_code* [code]:

*stirling\_row* 0 = [1]  
*stirling\_row* (Suc *n*) = *stirling\_row\_aux* *n* 0 (*stirling\_row* *n*)  
<proof>

**lemma** *stirling\_code* [code]:

*stirling* *n* *k* =  
  (if *k* = 0 then (if *n* = 0 then 1 else 0)  
  else if *k* > *n* then 0  
  else if *k* = *n* then 1  
  else *stirling\_row* *n* ! *k*)  
<proof>

**end**

### 3 Permutations, both general and specifically on finite sets.

**theory** *Permutations*

**imports**

*HOL-Library.Multiset*  
*HOL-Library.Disjoint\_Sets*  
*Transposition*

**begin**

#### 3.1 Auxiliary

**abbreviation** (*input*) *fixpoints* ::  $\langle ('a \Rightarrow 'a) \Rightarrow 'a \text{ set} \rangle$

**where**  $\langle \text{fixpoints } f \equiv \{x. f\ x = x\} \rangle$

**lemma** *inj\_on\_fixpoints*:

$\langle \text{inj\_on } f \ (\text{fixpoints } f) \rangle$   
<proof>

**lemma** *bij\_betw\_fixpoints*:

$\langle \text{bij\_betw } f \ (\text{fixpoints } f) \ (\text{fixpoints } f) \rangle$   
<proof>

#### 3.2 Basic definition and consequences

**definition** *permutes* ::  $\langle ('a \Rightarrow 'a) \Rightarrow 'a \text{ set} \Rightarrow \text{bool} \rangle$  (**infixr**  $\langle \text{permutes} \rangle$  41)

**where**  $\langle p \text{ permutes } S \longleftrightarrow (\forall x. x \notin S \longrightarrow p x = x) \wedge (\forall y. \exists!x. p x = y) \rangle$

**lemma** *bij\_imp\_permutes*:

$\langle p \text{ permutes } S \rangle$  **if**  $\langle \text{bij\_betw } p \ S \ S \rangle$  **and** *stable*:  $\langle \bigwedge x. x \notin S \implies p x = x \rangle$   
*<proof>*

**context**

**fixes**  $p :: 'a \Rightarrow 'a$  **and**  $S :: 'a \text{ set}$

**assumes** *perm*:  $\langle p \text{ permutes } S \rangle$

**begin**

**lemma** *permutes\_inj*:

$\langle \text{inj } p \rangle$   
*<proof>*

**lemma** *permutes\_image*:

$\langle p ` S = S \rangle$   
*<proof>*

**lemma** *permutes\_not\_in*:

$\langle x \notin S \implies p x = x \rangle$   
*<proof>*

**lemma** *permutes\_image\_complement*:

$\langle p ` (- S) = - S \rangle$   
*<proof>*

**lemma** *permutes\_in\_image*:

$\langle p x \in S \longleftrightarrow x \in S \rangle$   
*<proof>*

**lemma** *permutes\_surj*:

$\langle \text{surj } p \rangle$   
*<proof>*

**lemma** *permutes\_inv\_o*:

**shows**  $p \circ \text{inv } p = \text{id}$   
**and**  $\text{inv } p \circ p = \text{id}$   
*<proof>*

**lemma** *permutes\_inverses*:

**shows**  $p (\text{inv } p x) = x$   
**and**  $\text{inv } p (p x) = x$   
*<proof>*

**lemma** *permutes\_inv\_eq*:

$\langle \text{inv } p y = x \longleftrightarrow p x = y \rangle$   
*<proof>*

**lemma** *permutes\_inj\_on*:

⟨*inj\_on* *p* *A*⟩

⟨*proof*⟩

**lemma** *permutes\_bij*:

⟨*bij* *p*⟩

⟨*proof*⟩

**lemma** *permutes\_imp\_bij*:

⟨*bij\_betw* *p* *S* *S*⟩

⟨*proof*⟩

**lemma** *permutes\_subset*:

⟨*p* *permutes* *T*⟩ **if** ⟨*S*  $\subseteq$  *T*⟩

⟨*proof*⟩

**lemma** *permutes\_imp\_permutes\_insert*:

⟨*p* *permutes* *insert* *x* *S*⟩

⟨*proof*⟩

**end**

**lemma** *permutes\_id* [*simp*]:

⟨*id* *permutes* *S*⟩

⟨*proof*⟩

**lemma** *permutes\_empty* [*simp*]:

⟨*p* *permutes* {}  $\longleftrightarrow$  *p* = *id*⟩

⟨*proof*⟩

**lemma** *permutes\_sing* [*simp*]:

⟨*p* *permutes* {*a*}  $\longleftrightarrow$  *p* = *id*⟩

⟨*proof*⟩

**lemma** *permutes\_univ*: *p* *permutes* *UNIV*  $\longleftrightarrow$   $(\forall y. \exists!x. p\ x = y)$

⟨*proof*⟩

**lemma** *permutes\_swap\_id*:  $a \in S \implies b \in S \implies \text{transpose } a\ b \text{ permutes } S$

⟨*proof*⟩

**lemma** *permutes\_superset*:

⟨*p* *permutes* *T*⟩ **if** ⟨*p* *permutes* *S*⟩  $\langle \bigwedge x. x \in S - T \implies p\ x = x \rangle$

⟨*proof*⟩

**lemma** *permutes\_bij\_inv\_into*:

**fixes** *A* :: 'a set

**and** *B* :: 'b set

**assumes** *p* *permutes* *A*

**and** *bij\_betw* *f* *A* *B*

**shows**  $(\lambda x. \text{if } x \in B \text{ then } f (p (\text{inv\_into } A f x)) \text{ else } x) \text{ permutes } B$   
 <proof>

**lemma** *permutes\_image\_mset*:

**assumes**  $p \text{ permutes } A$

**shows**  $\text{image\_mset } p (\text{mset\_set } A) = \text{mset\_set } A$

<proof>

**lemma** *permutes\_implies\_image\_mset\_eq*:

**assumes**  $p \text{ permutes } A \wedge x. x \in A \implies f x = f' (p x)$

**shows**  $\text{image\_mset } f' (\text{mset\_set } A) = \text{image\_mset } f (\text{mset\_set } A)$

<proof>

### 3.3 Group properties

**lemma** *permutes\_compose*:  $p \text{ permutes } S \implies q \text{ permutes } S \implies q \circ p \text{ permutes } S$

<proof>

**lemma** *permutes\_inv*:

**assumes**  $p \text{ permutes } S$

**shows**  $\text{inv } p \text{ permutes } S$

<proof>

**lemma** *permutes\_inv\_inv*:

**assumes**  $p \text{ permutes } S$

**shows**  $\text{inv } (\text{inv } p) = p$

<proof>

**lemma** *permutes\_invI*:

**assumes** *perm*:  $p \text{ permutes } S$

**and** *inv*:  $\wedge x. x \in S \implies p' (p x) = x$

**and** *outside*:  $\wedge x. x \notin S \implies p' x = x$

**shows**  $\text{inv } p = p'$

<proof>

**lemma** *permutes\_vimage*:  $f \text{ permutes } A \implies f^{-1} A = A$

<proof>

### 3.4 Mapping permutations with bijections

**lemma** *bij\_betw\_permutations*:

**assumes** *bij\_betw*  $f A B$

**shows**  $\text{bij\_betw } (\lambda \pi x. \text{if } x \in B \text{ then } f (\pi (\text{inv\_into } A f x)) \text{ else } x)$   
 $\{ \pi. \pi \text{ permutes } A \} \{ \pi. \pi \text{ permutes } B \} (\text{is\_bij\_betw } ?f \_ \_)$

<proof>

**lemma** *bij\_betw\_derangements*:

**assumes** *bij\_betw*  $f A B$

**shows**  $\text{bij\_betw } (\lambda \pi x. \text{if } x \in B \text{ then } f (\pi (\text{inv\_into } A f x)) \text{ else } x)$

$\{\pi. \pi \text{ permutes } A \wedge (\forall x \in A. \pi x \neq x)\} \{\pi. \pi \text{ permutes } B \wedge (\forall x \in B. \pi x \neq x)\}$   
 (is bij\_betw ?f \_\_)  
 <proof>

### 3.5 The number of permutations on a finite set

**lemma** *permutes\_insert\_lemma*:  
 assumes  $p \text{ permutes } (\text{insert } a \ S)$   
 shows  $\text{transpose } a \ (p \ a) \circ p \text{ permutes } S$   
 <proof>

**lemma** *permutes\_insert*:  $\{p. p \text{ permutes } (\text{insert } a \ S)\} =$   
 $(\lambda(b, p). \text{transpose } a \ b \circ p) \ ` \ \{(b, p). b \in \text{insert } a \ S \wedge p \in \{p. p \text{ permutes } S\}\}$   
 <proof>

**lemma** *card\_permutations*:  
 assumes  $\text{card } S = n$   
 and  $\text{finite } S$   
 shows  $\text{card } \{p. p \text{ permutes } S\} = \text{fact } n$   
 <proof>

**lemma** *finite\_permutations*:  
 assumes  $\text{finite } S$   
 shows  $\text{finite } \{p. p \text{ permutes } S\}$   
 <proof>

### 3.6 Hence a sort of induction principle composing by swaps

**lemma** *permutes\_induct* [*consumes 2, case\_names id swap*]:  
 $\langle P \ p \rangle$  if  $\langle p \text{ permutes } S \rangle \langle \text{finite } S \rangle$   
 and *id*:  $\langle P \ \text{id} \rangle$   
 and *swap*:  $\langle \bigwedge a \ b \ p. a \in S \implies b \in S \implies p \text{ permutes } S \implies P \ p \implies P \ (\text{transpose } a \ b \circ p) \rangle$   
 <proof>

**lemma** *permutes\_rev\_induct* [*consumes 2, case\_names id swap*]:  
 $\langle P \ p \rangle$  if  $\langle p \text{ permutes } S \rangle \langle \text{finite } S \rangle$   
 and *id'*:  $\langle P \ \text{id} \rangle$   
 and *swap'*:  $\langle \bigwedge a \ b \ p. a \in S \implies b \in S \implies p \text{ permutes } S \implies P \ p \implies P \ (p \circ \text{transpose } a \ b) \rangle$   
 <proof>

### 3.7 Permutations of index set for iterated operations

**lemma** (in *comm\_monoid\_set*) *permute*:  
 assumes  $p \text{ permutes } S$   
 shows  $F \ g \ S = F \ (g \circ p) \ S$   
 <proof>

### 3.8 Permutations as transposition sequences

**inductive** *swapidseq* ::  $\text{nat} \Rightarrow ('a \Rightarrow 'a) \Rightarrow \text{bool}$

**where**

*id*[*simp*]: *swapidseq* 0 *id*

| *comp\_Suc*: *swapidseq* *n p*  $\implies a \neq b \implies \text{swapidseq}$  (*Suc* *n*) (*transpose* *a b*  $\circ$  *p*)

**declare** *id*[*unfolded id\_def, simp*]

**definition** *permutation* *p*  $\longleftrightarrow (\exists n. \text{swapidseq } n \text{ } p)$

### 3.9 Some closure properties of the set of permutations, with lengths

**lemma** *permutation\_id*[*simp*]: *permutation id*

*<proof>*

**declare** *permutation\_id*[*unfolded id\_def, simp*]

**lemma** *swapidseq\_swap*: *swapidseq* (*if* *a = b* *then* 0 *else* 1) (*transpose* *a b*)

*<proof>*

**lemma** *permutation\_swap\_id*: *permutation* (*transpose* *a b*)

*<proof>*

**lemma** *swapidseq\_comp\_add*: *swapidseq* *n p*  $\implies \text{swapidseq}$  *m q*  $\implies \text{swapidseq}$  (*n* + *m*) (*p*  $\circ$  *q*)

*<proof>*

**lemma** *permutation\_compose*: *permutation* *p*  $\implies \text{permutation}$  *q*  $\implies \text{permutation}$  (*p*  $\circ$  *q*)

*<proof>*

**lemma** *swapidseq\_endswap*: *swapidseq* *n p*  $\implies a \neq b \implies \text{swapidseq}$  (*Suc* *n*) (*p*  $\circ$  *transpose* *a b*)

*<proof>*

**lemma** *swapidseq\_inverse\_exists*: *swapidseq* *n p*  $\implies \exists q. \text{swapidseq}$  *n q*  $\wedge p \circ q = \text{id} \wedge q \circ p = \text{id}$

*<proof>*

**lemma** *swapidseq\_inverse*:

**assumes** *swapidseq* *n p*

**shows** *swapidseq* *n* (*inv* *p*)

*<proof>*

**lemma** *permutation\_inverse*: *permutation* *p*  $\implies \text{permutation}$  (*inv* *p*)

*<proof>*

### 3.10 Various combinations of transpositions with 2, 1 and 0 common elements

**lemma** *swap\_id\_common*:  $a \neq c \implies b \neq c \implies$   
 $\text{transpose } a \ b \circ \text{transpose } a \ c = \text{transpose } b \ c \circ \text{transpose } a \ b$   
 ⟨proof⟩

**lemma** *swap\_id\_common'*:  $a \neq b \implies a \neq c \implies$   
 $\text{transpose } a \ c \circ \text{transpose } b \ c = \text{transpose } b \ c \circ \text{transpose } a \ b$   
 ⟨proof⟩

**lemma** *swap\_id\_independent*:  $a \neq c \implies a \neq d \implies b \neq c \implies b \neq d \implies$   
 $\text{transpose } a \ b \circ \text{transpose } c \ d = \text{transpose } c \ d \circ \text{transpose } a \ b$   
 ⟨proof⟩

### 3.11 The identity map only has even transposition sequences

**lemma** *symmetry\_lemma*:  
**assumes**  $\bigwedge a \ b \ c \ d. P \ a \ b \ c \ d \implies P \ a \ b \ d \ c$   
**and**  $\bigwedge a \ b \ c \ d. a \neq b \implies c \neq d \implies$   
 $a = c \wedge b = d \vee a = c \wedge b \neq d \vee a \neq c \wedge b = d \vee a \neq c \wedge a \neq d \wedge b \neq c$   
 $\wedge b \neq d \implies$   
 $P \ a \ b \ c \ d$   
**shows**  $\bigwedge a \ b \ c \ d. a \neq b \longrightarrow c \neq d \longrightarrow P \ a \ b \ c \ d$   
 ⟨proof⟩

**lemma** *swap\_general*:  $a \neq b \implies c \neq d \implies$   
 $\text{transpose } a \ b \circ \text{transpose } c \ d = \text{id} \vee$   
 $(\exists x \ y \ z. x \neq a \wedge y \neq a \wedge z \neq a \wedge x \neq y \wedge$   
 $\text{transpose } a \ b \circ \text{transpose } c \ d = \text{transpose } x \ y \circ \text{transpose } a \ z)$   
 ⟨proof⟩

**lemma** *swapidseq\_id\_iff[simp]*:  $\text{swapidseq } 0 \ p \longleftrightarrow p = \text{id}$   
 ⟨proof⟩

**lemma** *swapidseq\_cases*:  $\text{swapidseq } n \ p \longleftrightarrow$   
 $n = 0 \wedge p = \text{id} \vee (\exists a \ b \ q \ m. n = \text{Suc } m \wedge p = \text{transpose } a \ b \circ q \wedge \text{swapidseq}$   
 $m \ q \wedge a \neq b)$   
 ⟨proof⟩

**lemma** *fixing\_swapidseq\_decrease*:  
**assumes**  $\text{swapidseq } n \ p$   
**and**  $a \neq b$   
**and**  $(\text{transpose } a \ b \circ p) \ a = a$   
**shows**  $n \neq 0 \wedge \text{swapidseq } (n - 1) (\text{transpose } a \ b \circ p)$   
 ⟨proof⟩

**lemma** *swapidseq\_identity\_even*:  
**assumes**  $\text{swapidseq } n (\text{id} :: 'a \Rightarrow 'a)$   
**shows**  $\text{even } n$

*<proof>*

### 3.12 Therefore we have a welldefined notion of parity

**definition**  $evenperm\ p = even\ (SOME\ n.\ swapidseq\ n\ p)$

**lemma**  $swapidseq\_even\_even$ :

**assumes**  $m: swapidseq\ m\ p$

**and**  $n: swapidseq\ n\ p$

**shows**  $even\ m \longleftrightarrow even\ n$

*<proof>*

**lemma**  $evenperm\_unique$ :

**assumes**  $p: swapidseq\ n\ p$

**and**  $n: even\ n = b$

**shows**  $evenperm\ p = b$

*<proof>*

### 3.13 And it has the expected composition properties

**lemma**  $evenperm\_id[simp]$ :  $evenperm\ id = True$

*<proof>*

**lemma**  $evenperm\_identity\ [simp]$ :

$\langle evenperm\ (\lambda x.\ x) \rangle$

*<proof>*

**lemma**  $evenperm\_swap$ :  $evenperm\ (transpose\ a\ b) = (a = b)$

*<proof>*

**lemma**  $evenperm\_comp$ :

**assumes**  $permutation\ p\ permutation\ q$

**shows**  $evenperm\ (p \circ q) \longleftrightarrow evenperm\ p = evenperm\ q$

*<proof>*

**lemma**  $evenperm\_inv$ :

**assumes**  $permutation\ p$

**shows**  $evenperm\ (inv\ p) = evenperm\ p$

*<proof>*

### 3.14 A more abstract characterization of permutations

**lemma**  $permutation\_bijective$ :

**assumes**  $permutation\ p$

**shows**  $bij\ p$

*<proof>*

**lemma**  $permutation\_finite\_support$ :

**assumes**  $permutation\ p$

**shows**  $finite\ \{x.\ p\ x \neq x\}$

*<proof>*

**lemma** *permutation\_lemma*:

**assumes** *finite S*  
**and** *bij p*  
**and**  $\forall x. x \notin S \longrightarrow p\ x = x$   
**shows** *permutation p*  
*<proof>*

**lemma** *permutation*: *permutation p*  $\longleftrightarrow$  *bij p*  $\wedge$  *finite {x. p x  $\neq$  x}*

**(is** *?lhs*  $\longleftrightarrow$  *?b*  $\wedge$  *?f*)  
*<proof>*

**lemma** *permutation\_inverse\_works*:

**assumes** *permutation p*  
**shows** *inv p*  $\circ$  *p* = *id*  
**and** *p*  $\circ$  *inv p* = *id*  
*<proof>*

**lemma** *permutation\_inverse\_compose*:

**assumes** *p*: *permutation p*  
**and** *q*: *permutation q*  
**shows** *inv (p*  $\circ$  *q)* = *inv q*  $\circ$  *inv p*  
*<proof>*

### 3.15 Relation to *permutes*

**lemma** *permutes\_imp\_permutation*:

*<permutation p>* **if** *<finite S>* *<p permutes S>*  
*<proof>*

**lemma** *permutation\_permutesE*:

**assumes** *<permutation p>*  
**obtains** *S* **where** *<finite S>* *<p permutes S>*  
*<proof>*

**lemma** *permutation\_permutes*: *permutation p*  $\longleftrightarrow$   $(\exists S. \textit{finite } S \wedge p \textit{ permutes } S)$

*<proof>*

### 3.16 Sign of a permutation as a real number

**definition** *sign* ::  $\langle 'a \Rightarrow 'a \rangle \Rightarrow \textit{int}$  — TODO: prefer less generic name

**where**  $\langle \textit{sign } p = (\textit{if evenperm } p \textit{ then } 1 \textit{ else } - 1) \rangle$

**lemma** *sign\_cases* [*case\_names even odd*]:

**obtains**  $\langle \textit{sign } p = 1 \rangle$  |  $\langle \textit{sign } p = - 1 \rangle$   
*<proof>*

**lemma** *sign\_nz* [*simp*]: *sign p*  $\neq 0$

*<proof>*

**lemma** *sign\_id* [simp]: *sign id = 1*  
⟨*proof*⟩

**lemma** *sign\_identity* [simp]:  
⟨*sign* ( $\lambda x. x$ ) = 1⟩  
⟨*proof*⟩

**lemma** *sign\_inverse*: *permutation p*  $\implies$  *sign (inv p) = sign p*  
⟨*proof*⟩

**lemma** *sign\_compose*: *permutation p*  $\implies$  *permutation q*  $\implies$  *sign (p  $\circ$  q) = sign p \* sign q*  
⟨*proof*⟩

**lemma** *sign\_swap\_id*: *sign (transpose a b) = (if a = b then 1 else - 1)*  
⟨*proof*⟩

**lemma** *sign\_idempotent* [simp]: *sign p \* sign p = 1*  
⟨*proof*⟩

**lemma** *sign\_left\_idempotent* [simp]:  
⟨*sign p \* (sign p \* sign q) = sign q*⟩  
⟨*proof*⟩

**term** (*bij*, *bij\_betw*, *permutation*)

### 3.17 Permuting a list

This function permutes a list by applying a permutation to the indices.

**definition** *permute\_list* :: (*nat*  $\Rightarrow$  *nat*)  $\Rightarrow$  '*a list*  $\Rightarrow$  '*a list*  
**where** *permute\_list f xs = map* ( $\lambda i. xs ! (f i)$ ) [0..*length xs*]

**lemma** *permute\_list\_map*:  
**assumes** *f permutes* {..*length xs*}  
**shows** *permute\_list f (map g xs) = map g (permute\_list f xs)*  
⟨*proof*⟩

**lemma** *permute\_list\_nth*:  
**assumes** *f permutes* {..*length xs*} *i < length xs*  
**shows** *permute\_list f xs ! i = xs ! f i*  
⟨*proof*⟩

**lemma** *permute\_list\_Nil* [simp]: *permute\_list f [] = []*  
⟨*proof*⟩

**lemma** *length\_permute\_list* [simp]: *length (permute\_list f xs) = length xs*  
⟨*proof*⟩

**lemma** *permute\_list\_compose*:  
**assumes**  $g$  permutes  $\{.. $\text{length } xs\}$   
**shows**  $\text{permute\_list } (f \circ g) \text{ } xs = \text{permute\_list } g (\text{permute\_list } f \text{ } xs)$   
 $\langle \text{proof} \rangle$$

**lemma** *permute\_list\_ident* [simp]:  $\text{permute\_list } (\lambda x. x) \text{ } xs = xs$   
 $\langle \text{proof} \rangle$

**lemma** *permute\_list\_id* [simp]:  $\text{permute\_list } \text{id } xs = xs$   
 $\langle \text{proof} \rangle$

**lemma** *mset\_permute\_list* [simp]:  
**fixes**  $xs :: 'a \text{ list}$   
**assumes**  $f$  permutes  $\{.. $\text{length } xs\}$   
**shows**  $\text{mset } (\text{permute\_list } f \text{ } xs) = \text{mset } xs$   
 $\langle \text{proof} \rangle$$

**lemma** *set\_permute\_list* [simp]:  
**assumes**  $f$  permutes  $\{.. $\text{length } xs\}$   
**shows**  $\text{set } (\text{permute\_list } f \text{ } xs) = \text{set } xs$   
 $\langle \text{proof} \rangle$$

**lemma** *distinct\_permute\_list* [simp]:  
**assumes**  $f$  permutes  $\{.. $\text{length } xs\}$   
**shows**  $\text{distinct } (\text{permute\_list } f \text{ } xs) = \text{distinct } xs$   
 $\langle \text{proof} \rangle$$

**lemma** *permute\_list\_zip*:  
**assumes**  $f$  permutes  $A$   $A = \{.. $\text{length } xs\}$   
**assumes** [simp]:  $\text{length } xs = \text{length } ys$   
**shows**  $\text{permute\_list } f (\text{zip } xs \text{ } ys) = \text{zip } (\text{permute\_list } f \text{ } xs) (\text{permute\_list } f \text{ } ys)$   
 $\langle \text{proof} \rangle$$

**lemma** *map\_of\_permute*:  
**assumes**  $\sigma$  permutes  $\text{fst } \text{' set } xs$   
**shows**  $\text{map\_of } xs \circ \sigma = \text{map\_of } (\text{map } (\lambda(x,y). (\text{inv } \sigma \text{ } x, y)) \text{ } xs)$   
 $(\text{is } \_ = \text{map\_of } (\text{map } ?f \text{ } \_))$   
 $\langle \text{proof} \rangle$

**lemma** *list\_all2\_permute\_list\_iff*:  
 $\langle \text{list\_all2 } P (\text{permute\_list } p \text{ } xs) (\text{permute\_list } p \text{ } ys) \longleftrightarrow \text{list\_all2 } P \text{ } xs \text{ } ys \rangle$   
**if**  $\langle p \text{ permutes } \{.. $\text{length } xs\} \rangle$   
 $\langle \text{proof} \rangle$$

### 3.18 More lemmas about permutations

**lemma** *permutes\_in\_funpow\_image*:  
**assumes**  $f$  permutes  $S$   $x \in S$   
**shows**  $(f \text{ } \overset{\sim}{\sim} n) \text{ } x \in S$

*<proof>*

**lemma** *permutation\_self*:  
  **assumes** *<permutation p>*  
  **obtains** *n* **where** *<n > 0>* *<(p  $\sim$  n) x = x>*  
*<proof>*

The following few lemmas were contributed by Lukas Bulwahn.

**lemma** *count\_image\_mset\_eq\_card\_vimage*:  
  **assumes** *finite A*  
  **shows** *count (image\_mset f (mset\_set A)) b = card {a  $\in$  A. f a = b}*  
*<proof>*

**lemma** *image\_mset\_eq\_implies\_permutes*:  
  **fixes** *f :: 'a  $\Rightarrow$  'b*  
  **assumes** *finite A*  
  **and** *mset\_eq: image\_mset f (mset\_set A) = image\_mset f' (mset\_set A)*  
  **obtains** *p* **where** *p permutes A* **and**  $\forall x \in A. f x = f' (p x)$   
*<proof>*

**lemma** *mset\_eq\_permutation*:  
  **fixes** *xs ys :: 'a list*  
  **assumes** *mset\_eq: mset xs = mset ys*  
  **obtains** *p* **where** *p permutes {.. $\text{length } ys$ }* *permute\_list p ys = xs*  
*<proof>*

**lemma** *permutes\_natset\_le*:  
  **fixes** *S :: 'a::wellorder set*  
  **assumes** *p permutes S*  
  **and**  $\forall i \in S. p i \leq i$   
  **shows** *p = id*  
*<proof>*

**lemma** *permutes\_natset\_ge*:  
  **fixes** *S :: 'a::wellorder set*  
  **assumes** *p: p permutes S*  
  **and** *le:  $\forall i \in S. p i \geq i$*   
  **shows** *p = id*  
*<proof>*

**lemma** *image\_inverse\_permutations*:  $\{inv p \mid p. p \text{ permutes } S\} = \{p. p \text{ permutes } S\}$   
*<proof>*

**lemma** *image\_compose\_permutations\_left*:  
  **assumes** *q permutes S*  
  **shows**  $\{q \circ p \mid p. p \text{ permutes } S\} = \{p. p \text{ permutes } S\}$   
*<proof>*

**lemma** *image\_compose\_permutations\_right*:  
  **assumes** *q permutes S*

```

shows { $p \circ q \mid p. p \text{ permutes } S\} = \{p . p \text{ permutes } S\}$ 
<proof>

lemma permutes_in_seg:  $p \text{ permutes } \{1 ..n\} \implies i \in \{1..n\} \implies 1 \leq p i \wedge p i \leq n$ 
<proof>

lemma sum_permutations_inverse:  $\text{sum } f \{p. p \text{ permutes } S\} = \text{sum } (\lambda p. f(\text{inv } p)) \{p. p \text{ permutes } S\}$ 
  (is ?lhs = ?rhs)
<proof>

lemma setum_permutations_compose_left:
  assumes  $q: q \text{ permutes } S$ 
  shows  $\text{sum } f \{p. p \text{ permutes } S\} = \text{sum } (\lambda p. f(q \circ p)) \{p. p \text{ permutes } S\}$ 
  (is ?lhs = ?rhs)
<proof>

lemma sum_permutations_compose_right:
  assumes  $q: q \text{ permutes } S$ 
  shows  $\text{sum } f \{p. p \text{ permutes } S\} = \text{sum } (\lambda p. f(p \circ q)) \{p. p \text{ permutes } S\}$ 
  (is ?lhs = ?rhs)
<proof>

lemma inv_inj_on_permutes:
  <inj_on inv { $p. p \text{ permutes } S\}$ >
<proof>

lemma permutes_pair_eq:
  <{( $p s, s \mid s. s \in S\} = \{(s, \text{inv } p s) \mid s. s \in S\}$ > (is <?L = ?R>) if < $p \text{ permutes } S$ >
<proof>

context
  fixes  $p$  and  $n i :: \text{nat}$ 
  assumes  $p: \langle p \text{ permutes } \{0..<n\}\rangle$  and  $i: \langle i < n \rangle$ 
begin

lemma permutes_nat_less:
  < $p i < n$ >
<proof>

lemma permutes_nat_inv_less:
  <inv  $p i < n$ >
<proof>

end

context comm_monoid_set
begin

```

**lemma** *permutes\_inv*:  
 $\langle F (\lambda s. g (p s) s) S = F (\lambda s. g s (inv p s)) S \rangle$  (**is**  $\langle ?l = ?r \rangle$ )  
**if**  $\langle p \text{ permutes } S \rangle$   
 $\langle \text{proof} \rangle$

**end**

### 3.19 Sum over a set of permutations (could generalize to iteration)

**lemma** *sum\_over\_permutations\_insert*:  
**assumes**  $fS$ : *finite*  $S$   
**and**  $aS$ :  $a \notin S$   
**shows**  $sum f \{p. p \text{ permutes } (insert a S)\} =$   
 $sum (\lambda b. sum (\lambda q. f (transpose a b \circ q)) \{p. p \text{ permutes } S\}) (insert a S)$   
 $\langle \text{proof} \rangle$

### 3.20 Constructing permutations from association lists

**definition** *list\_permutes* ::  $('a \times 'a) \text{ list} \Rightarrow 'a \text{ set} \Rightarrow \text{bool}$   
**where**  $list\_permutes\ xs\ A \iff$   
 $set (map\ fst\ xs) \subseteq A \wedge$   
 $set (map\ snd\ xs) = set (map\ fst\ xs) \wedge$   
 $distinct (map\ fst\ xs) \wedge$   
 $distinct (map\ snd\ xs)$

**lemma** *list\_permutesI* [*simp*]:  
**assumes**  $set (map\ fst\ xs) \subseteq A$   $set (map\ snd\ xs) = set (map\ fst\ xs)$   $distinct (map\ fst\ xs)$   
**shows**  $list\_permutes\ xs\ A$   
 $\langle \text{proof} \rangle$

**definition** *permutation\_of\_list* ::  $('a \times 'a) \text{ list} \Rightarrow 'a \Rightarrow 'a$   
**where**  $permutation\_of\_list\ xs\ x = (case\ map\_of\ xs\ x\ of\ None \Rightarrow x \mid Some\ y \Rightarrow y)$

**lemma** *permutation\_of\_list\_Cons*:  
 $permutation\_of\_list ((x, y) \# xs) x' = (if\ x = x' \text{ then } y \text{ else } permutation\_of\_list\ xs\ x')$   
 $\langle \text{proof} \rangle$

**fun** *inverse\_permutation\_of\_list* ::  $('a \times 'a) \text{ list} \Rightarrow 'a \Rightarrow 'a$   
**where**  
 $inverse\_permutation\_of\_list []\ x = x$   
 $\mid inverse\_permutation\_of\_list ((y, x') \# xs)\ x =$   
 $(if\ x = x' \text{ then } y \text{ else } inverse\_permutation\_of\_list\ xs\ x)$

**declare** *inverse\_permutation\_of\_list.simps* [*simp del*]

**lemma** *inj\_on\_map\_of*:  
**assumes** *distinct (map snd xs)*  
**shows** *inj\_on (map\_of xs) (set (map fst xs))*  
 ⟨*proof*⟩

**lemma** *inj\_on\_the*: *None ∉ A ⇒ inj\_on the A*  
 ⟨*proof*⟩

**lemma** *inj\_on\_map\_of'*:  
**assumes** *distinct (map snd xs)*  
**shows** *inj\_on (the ∘ map\_of xs) (set (map fst xs))*  
 ⟨*proof*⟩

**lemma** *image\_map\_of*:  
**assumes** *distinct (map fst xs)*  
**shows** *map\_of xs ' set (map fst xs) = Some ' set (map snd xs)*  
 ⟨*proof*⟩

**lemma** *the\_Some\_image [simp]*: *the ' Some ' A = A*  
 ⟨*proof*⟩

**lemma** *image\_map\_of'*:  
**assumes** *distinct (map fst xs)*  
**shows** *(the ∘ map\_of xs) ' set (map fst xs) = set (map snd xs)*  
 ⟨*proof*⟩

**lemma** *permutation\_of\_list\_permutes [simp]*:  
**assumes** *list\_permutes xs A*  
**shows** *permutation\_of\_list xs permutes A*  
 (**is** *?f permutes \_*)  
 ⟨*proof*⟩

**lemma** *eval\_permutation\_of\_list [simp]*:  
*permutation\_of\_list [] x = x*  
*x = x' ⇒ permutation\_of\_list ((x',y)#xs) x = y*  
*x ≠ x' ⇒ permutation\_of\_list ((x',y')#xs) x = permutation\_of\_list xs x*  
 ⟨*proof*⟩

**lemma** *eval\_inverse\_permutation\_of\_list [simp]*:  
*inverse\_permutation\_of\_list [] x = x*  
*x = x' ⇒ inverse\_permutation\_of\_list ((y,x')#xs) x = y*  
*x ≠ x' ⇒ inverse\_permutation\_of\_list ((y',x')#xs) x = inverse\_permutation\_of\_list xs x*  
 ⟨*proof*⟩

**lemma** *permutation\_of\_list\_id*: *x ∉ set (map fst xs) ⇒ permutation\_of\_list xs x = x*  
 ⟨*proof*⟩

**lemma** *permutation\_of\_list\_unique'*:  
 $distinct (map fst xs) \implies (x, y) \in set\ xs \implies permutation\_of\_list\ xs\ x = y$   
 <proof>

**lemma** *permutation\_of\_list\_unique*:  
 $list\_permutes\ xs\ A \implies (x, y) \in set\ xs \implies permutation\_of\_list\ xs\ x = y$   
 <proof>

**lemma** *inverse\_permutation\_of\_list\_id*:  
 $x \notin set (map snd xs) \implies inverse\_permutation\_of\_list\ xs\ x = x$   
 <proof>

**lemma** *inverse\_permutation\_of\_list\_unique'*:  
 $distinct (map snd xs) \implies (x, y) \in set\ xs \implies inverse\_permutation\_of\_list\ xs\ y = x$   
 <proof>

**lemma** *inverse\_permutation\_of\_list\_unique*:  
 $list\_permutes\ xs\ A \implies (x, y) \in set\ xs \implies inverse\_permutation\_of\_list\ xs\ y = x$   
 <proof>

**lemma** *inverse\_permutation\_of\_list\_correct*:  
**fixes**  $A :: 'a\ set$   
**assumes**  $list\_permutes\ xs\ A$   
**shows**  $inverse\_permutation\_of\_list\ xs = inv (permutation\_of\_list\ xs)$   
 <proof>

end

## 4 Permuted Lists

**theory** *List\_Permutation*  
**imports** *Permutations*  
**begin**

Note that multisets already provide the notion of permuted list and hence this theory mostly echoes material already logically present in theory *Permutations*; it should be seldom needed.

### 4.1 An existing notion

**abbreviation** (*input*)  $perm :: \langle 'a\ list \Rightarrow 'a\ list \Rightarrow bool \rangle$  (**infixr**  $\langle \sim \sim \rangle$  50)  
**where**  $\langle xs \sim \sim ys \equiv mset\ xs = mset\ ys \rangle$

### 4.2 Nontrivial conclusions

**proposition** *perm\_swap*:  
 $\langle xs[i := xs ! j, j := xs ! i] \sim \sim xs \rangle$

**if**  $\langle i < \text{length } xs \rangle \langle j < \text{length } xs \rangle$   
 $\langle \text{proof} \rangle$

**proposition** *mset\_le\_perm\_append*:  $mset\ xs \subseteq\# mset\ ys \longleftrightarrow (\exists zs. xs @ zs <\sim\sim> ys)$   
 $\langle \text{proof} \rangle$

**proposition** *perm\_set\_eq*:  $xs <\sim\sim> ys \implies set\ xs = set\ ys$   
 $\langle \text{proof} \rangle$

**proposition** *perm\_distinct\_iff*:  $xs <\sim\sim> ys \implies distinct\ xs \longleftrightarrow distinct\ ys$   
 $\langle \text{proof} \rangle$

**theorem** *eq\_set\_perm\_remdups*:  $set\ xs = set\ ys \implies remdups\ xs <\sim\sim> remdups\ ys$   
 $\langle \text{proof} \rangle$

**proposition** *perm\_remdups\_iff\_eq\_set*:  $remdups\ x <\sim\sim> remdups\ y \longleftrightarrow set\ x = set\ y$   
 $\langle \text{proof} \rangle$

**theorem** *permutation\_Ex\_bij*:  
**assumes**  $xs <\sim\sim> ys$   
**shows**  $\exists f. \text{bij\_betw } f \{..<\text{length } xs\} \{..<\text{length } ys\} \wedge (\forall i < \text{length } xs. xs ! i = ys ! (f\ i))$   
 $\langle \text{proof} \rangle$

**proposition** *perm\_finite*:  $finite\ \{B. B <\sim\sim> A\}$   
 $\langle \text{proof} \rangle$

### 4.3 Trivial conclusions:

**proposition** *perm\_empty\_imp*:  $[] <\sim\sim> ys \implies ys = []$   
 $\langle \text{proof} \rangle$

This more general theorem is easier to understand!

**proposition** *perm\_length*:  $xs <\sim\sim> ys \implies \text{length } xs = \text{length } ys$   
 $\langle \text{proof} \rangle$

**proposition** *perm\_sym*:  $xs <\sim\sim> ys \implies ys <\sim\sim> xs$   
 $\langle \text{proof} \rangle$

We can insert the head anywhere in the list.

**proposition** *perm\_append\_Cons*:  $a \# xs @ ys <\sim\sim> xs @ a \# ys$   
 $\langle \text{proof} \rangle$

**proposition** *perm\_append\_swap*:  $xs @ ys <\sim\sim> ys @ xs$   
 $\langle \text{proof} \rangle$

**proposition** *perm\_append\_single*:  $a \# xs <\sim\sim> xs @ [a]$   
(proof)

**proposition** *perm\_rev*:  $rev\ xs <\sim\sim> xs$   
(proof)

**proposition** *perm\_append1*:  $xs <\sim\sim> ys \implies l @ xs <\sim\sim> l @ ys$   
(proof)

**proposition** *perm\_append2*:  $xs <\sim\sim> ys \implies xs @ l <\sim\sim> ys @ l$   
(proof)

**proposition** *perm\_empty [iff]*:  $[] <\sim\sim> xs \longleftrightarrow xs = []$   
(proof)

**proposition** *perm\_empty2 [iff]*:  $xs <\sim\sim> [] \longleftrightarrow xs = []$   
(proof)

**proposition** *perm\_sing\_imp*:  $ys <\sim\sim> xs \implies xs = [y] \implies ys = [y]$   
(proof)

**proposition** *perm\_sing\_eq [iff]*:  $ys <\sim\sim> [y] \longleftrightarrow ys = [y]$   
(proof)

**proposition** *perm\_sing\_eq2 [iff]*:  $[y] <\sim\sim> ys \longleftrightarrow ys = [y]$   
(proof)

**proposition** *perm\_remove*:  $x \in set\ ys \implies ys <\sim\sim> x \# remove1\ x\ ys$   
(proof)

Congruence rule

**proposition** *perm\_remove\_perm*:  $xs <\sim\sim> ys \implies remove1\ z\ xs <\sim\sim> remove1\ z\ ys$   
(proof)

**proposition** *remove\_hd [simp]*:  $remove1\ z\ (z \# xs) = xs$   
(proof)

**proposition** *cons\_perm\_imp\_perm*:  $z \# xs <\sim\sim> z \# ys \implies xs <\sim\sim> ys$   
(proof)

**proposition** *cons\_perm\_eq [simp]*:  $z \# xs <\sim\sim> z \# ys \longleftrightarrow xs <\sim\sim> ys$   
(proof)

**proposition** *append\_perm\_imp\_perm*:  $zs @ xs <\sim\sim> zs @ ys \implies xs <\sim\sim> ys$   
(proof)

**proposition** *perm\_append1\_eq [iff]*:  $zs @ xs <\sim\sim> zs @ ys \longleftrightarrow xs <\sim\sim> ys$   
(proof)

**proposition** *perm\_append2\_eq* [iff]:  $xs @ zs <\sim\sim> ys @ zs \longleftrightarrow xs <\sim\sim> ys$   
 <proof>

end

## 5 Permutations of a Multiset

**theory** *Multiset\_Permutations*

**imports**

*Complex\_Main*

*Permutations*

**begin**

**lemma** *mset\_tl*:  $xs \neq [] \implies mset (tl\ xs) = mset\ xs - \{\#hd\ xs\#\}$   
 <proof>

**lemma** *mset\_set\_image\_inj*:

**assumes** *inj\_on*  $f\ A$

**shows**  $mset\_set\ (f\ 'A) = image\_mset\ f\ (mset\_set\ A)$

<proof>

**lemma** *multiset\_remove\_induct* [case\_names empty remove]:

**assumes**  $P\ \{\#\} \wedge A.\ A \neq \{\#\} \implies (\bigwedge x.\ x \in\ \#\ A \implies P\ (A - \{\#x\#\})) \implies P\ A$

**shows**  $P\ A$

<proof>

**lemma** *map\_list\_bind*:  $map\ g\ (List.bind\ xs\ f) = List.bind\ xs\ (map\ g\ \circ\ f)$

<proof>

**lemma** *mset\_eq\_mset\_set\_imp\_distinct*:

$finite\ A \implies mset\_set\ A = mset\ xs \implies distinct\ xs$

<proof>

### 5.1 Permutations of a multiset

**definition** *permutations\_of\_multiset* :: 'a multiset  $\Rightarrow$  'a list set **where**

$permutations\_of\_multiset\ A = \{xs.\ mset\ xs = A\}$

**lemma** *permutations\_of\_multisetI*:  $mset\ xs = A \implies xs \in permutations\_of\_multiset\ A$

A

<proof>

**lemma** *permutations\_of\_multisetD*:  $xs \in permutations\_of\_multiset\ A \implies mset\ xs = A$

<proof>

**lemma** *permutations\_of\_multiset\_Cons\_iff*:

$x \# xs \in \text{permutations\_of\_multiset } A \leftrightarrow x \in \# A \wedge xs \in \text{permutations\_of\_multiset } (A - \{\#x\#})$   
(proof)

**lemma** *permutations\_of\_multiset\_empty* [simp]:  $\text{permutations\_of\_multiset } \{\#\} = \{\emptyset\}$   
(proof)

**lemma** *permutations\_of\_multiset\_nonempty*:

**assumes** *nonempty*:  $A \neq \{\#\}$

**shows**  $\text{permutations\_of\_multiset } A =$

$(\bigcup_{x \in \text{set\_mset } A. ((\#) x) \text{ ' permutations\_of\_multiset } (A - \{\#x\#})$

(is  $\_ = ?rhs$ )

(proof)

**lemma** *permutations\_of\_multiset\_singleton* [simp]:  $\text{permutations\_of\_multiset } \{\#x\#} = \{\{x\}\}$   
(proof)

**lemma** *permutations\_of\_multiset\_doubleton*:

$\text{permutations\_of\_multiset } \{\#x,y\#} = \{[x,y], [y,x]\}$

(proof)

**lemma** *rev\_permutations\_of\_multiset* [simp]:

$\text{rev ' permutations\_of\_multiset } A = \text{permutations\_of\_multiset } A$

(proof)

**lemma** *length\_finite\_permutations\_of\_multiset*:

$xs \in \text{permutations\_of\_multiset } A \implies \text{length } xs = \text{size } A$

(proof)

**lemma** *permutations\_of\_multiset\_lists*:  $\text{permutations\_of\_multiset } A \subseteq \text{lists } (\text{set\_mset } A)$

(proof)

**lemma** *finite\_permutations\_of\_multiset* [simp]:  $\text{finite } (\text{permutations\_of\_multiset } A)$

(proof)

**lemma** *permutations\_of\_multiset\_not\_empty* [simp]:  $\text{permutations\_of\_multiset } A \neq \{\}$

(proof)

**lemma** *permutations\_of\_multiset\_image*:

$\text{permutations\_of\_multiset } (\text{image\_mset } f A) = \text{map } f \text{ ' permutations\_of\_multiset } A$

(proof)

## 5.2 Cardinality of permutations

In this section, we prove some basic facts about the number of permutations of a multiset.

**context**  
**begin**

**private lemma** *multiset\_prod\_fact\_insert*:

$$\left(\prod_{y \in \text{set\_mset } (A + \{\#x\})} \text{fact } (\text{count } (A + \{\#x\}) \ y)\right) =$$

$$(\text{count } A \ x + 1) * \left(\prod_{y \in \text{set\_mset } A} \text{fact } (\text{count } A \ y)\right)$$

*<proof>* **lemma** *multiset\_prod\_fact\_remove*:

$$x \in \# A \implies \left(\prod_{y \in \text{set\_mset } A} \text{fact } (\text{count } A \ y)\right) =$$

$$\text{count } A \ x * \left(\prod_{y \in \text{set\_mset } (A - \{\#x\})} \text{fact } (\text{count } (A - \{\#x\}) \ y)\right)$$

*<proof>*

**lemma** *card\_permutations\_of\_multiset\_aux*:

$$\text{card } (\text{permutations\_of\_multiset } A) * \left(\prod_{x \in \text{set\_mset } A} \text{fact } (\text{count } A \ x)\right) = \text{fact } (\text{size } A)$$

*<proof>*

**theorem** *card\_permutations\_of\_multiset*:

$$\text{card } (\text{permutations\_of\_multiset } A) = \text{fact } (\text{size } A) \text{ div } \left(\prod_{x \in \text{set\_mset } A} \text{fact } (\text{count } A \ x)\right)$$

$$\left(\prod_{x \in \text{set\_mset } A} \text{fact } (\text{count } A \ x) :: \text{nat}\right) \text{ dvd } \text{fact } (\text{size } A)$$

*<proof>*

**lemma** *card\_permutations\_of\_multiset\_insert\_aux*:

$$\text{card } (\text{permutations\_of\_multiset } (A + \{\#x\})) * (\text{count } A \ x + 1) =$$

$$(\text{size } A + 1) * \text{card } (\text{permutations\_of\_multiset } A)$$

*<proof>*

**lemma** *card\_permutations\_of\_multiset\_remove\_aux*:

**assumes**  $x \in \# A$

**shows**  $\text{card } (\text{permutations\_of\_multiset } A) * \text{count } A \ x =$   
 $\text{size } A * \text{card } (\text{permutations\_of\_multiset } (A - \{\#x\}))$

*<proof>*

**lemma** *real\_card\_permutations\_of\_multiset\_remove*:

**assumes**  $x \in \# A$

**shows**  $\text{real } (\text{card } (\text{permutations\_of\_multiset } (A - \{\#x\}))) =$   
 $\text{real } (\text{card } (\text{permutations\_of\_multiset } A) * \text{count } A \ x) / \text{real } (\text{size } A)$

*<proof>*

**lemma** *real\_card\_permutations\_of\_multiset\_remove'*:

**assumes**  $x \in \# A$

**shows**  $\text{real } (\text{card } (\text{permutations\_of\_multiset } A)) =$   
 $\text{real } (\text{size } A * \text{card } (\text{permutations\_of\_multiset } (A - \{\#x\}))) / \text{real } (\text{count } A \ x)$

*<proof>*

**end**

### 5.3 Permutations of a set

**definition** *permutations\_of\_set* :: 'a set  $\Rightarrow$  'a list set **where**  
*permutations\_of\_set* A = {xs. set xs = A  $\wedge$  distinct xs}

**lemma** *permutations\_of\_set\_altdef*:  
*finite* A  $\implies$  *permutations\_of\_set* A = *permutations\_of\_multiset* (*mset\_set* A)  
*<proof>*

**lemma** *permutations\_of\_setI* [*intro*]:  
**assumes** set xs = A distinct xs  
**shows** xs  $\in$  *permutations\_of\_set* A  
*<proof>*

**lemma** *permutations\_of\_setD*:  
**assumes** xs  $\in$  *permutations\_of\_set* A  
**shows** set xs = A distinct xs  
*<proof>*

**lemma** *permutations\_of\_set\_lists*: *permutations\_of\_set* A  $\subseteq$  lists A  
*<proof>*

**lemma** *permutations\_of\_set\_empty* [*simp*]: *permutations\_of\_set* {} = {[]}  
*<proof>*

**lemma** *UN\_set\_permutations\_of\_set* [*simp*]:  
*finite* A  $\implies$  ( $\bigcup$  xs  $\in$  *permutations\_of\_set* A. set xs) = A  
*<proof>*

**lemma** *permutations\_of\_set\_infinite*:  
 $\neg$ *finite* A  $\implies$  *permutations\_of\_set* A = {}  
*<proof>*

**lemma** *permutations\_of\_set\_nonempty*:  
A  $\neq$  {}  $\implies$  *permutations\_of\_set* A =  
( $\bigcup$  x  $\in$  A. ( $\lambda$ xs. x  $\#$  xs) ' *permutations\_of\_set* (A - {x}))  
*<proof>*

**lemma** *permutations\_of\_set\_singleton* [*simp*]: *permutations\_of\_set* {x} = {[x]}  
*<proof>*

**lemma** *permutations\_of\_set\_doubleton*:  
x  $\neq$  y  $\implies$  *permutations\_of\_set* {x,y} = {[x,y], [y,x]}  
*<proof>*

**lemma** *rev\_permutations\_of\_set* [simp]:  
 $rev \text{ ' permutations\_of\_set } A = \text{permutations\_of\_set } A$   
 ⟨proof⟩

**lemma** *length\_finite\_permutations\_of\_set*:  
 $xs \in \text{permutations\_of\_set } A \implies \text{length } xs = \text{card } A$   
 ⟨proof⟩

**lemma** *finite\_permutations\_of\_set* [simp]: *finite* (permutations\_of\_set A)  
 ⟨proof⟩

**lemma** *permutations\_of\_set\_empty\_iff* [simp]:  
 $\text{permutations\_of\_set } A = \{\}$   $\longleftrightarrow \neg \text{finite } A$   
 ⟨proof⟩

**lemma** *card\_permutations\_of\_set* [simp]:  
 $\text{finite } A \implies \text{card } (\text{permutations\_of\_set } A) = \text{fact } (\text{card } A)$   
 ⟨proof⟩

**lemma** *permutations\_of\_set\_image\_inj*:  
**assumes** *inj*: *inj\_on* f A  
**shows**  $\text{permutations\_of\_set } (f \text{ ' } A) = \text{map } f \text{ ' permutations\_of\_set } A$   
 ⟨proof⟩

**lemma** *permutations\_of\_set\_image\_permutes*:  
 $\sigma \text{ permutes } A \implies \text{map } \sigma \text{ ' permutations\_of\_set } A = \text{permutations\_of\_set } A$   
 ⟨proof⟩

## 5.4 Code generation

First, we give code an implementation for permutations of lists.

**declare** *length\_remove1* [termination\_simp]

**fun** *permutations\_of\_list\_impl* **where**  
 $\text{permutations\_of\_list\_impl } xs = (\text{if } xs = [] \text{ then } [[]] \text{ else } \\ \text{List.bind } (\text{remdups } xs) (\lambda x. \text{map } ((\#) \ x) (\text{permutations\_of\_list\_impl } (\text{remove1 } \\ x \ xs))))$

**fun** *permutations\_of\_list\_impl\_aux* **where**  
 $\text{permutations\_of\_list\_impl\_aux } acc \ xs = (\text{if } xs = [] \text{ then } [acc] \text{ else } \\ \text{List.bind } (\text{remdups } xs) (\lambda x. \text{permutations\_of\_list\_impl\_aux } (x\#\text{acc}) (\text{remove1 } \\ x \ xs))))$

**declare** *permutations\_of\_list\_impl\_aux.simps* [simp del]

**declare** *permutations\_of\_list\_impl.simps* [simp del]

**lemma** *permutations\_of\_list\_impl\_Nil* [simp]:  
 $\text{permutations\_of\_list\_impl } [] = [[]]$   
 ⟨proof⟩

**lemma** *permutations\_of\_list\_impl\_nonempty*:  
 $xs \neq [] \implies \text{permutations\_of\_list\_impl } xs =$   
 $\text{List.bind (remdups } xs) (\lambda x. \text{map } ((\#) \ x) (\text{permutations\_of\_list\_impl } (\text{remove1 } x \ xs)))$   
 ⟨proof⟩

**lemma** *set\_permutations\_of\_list\_impl*:  
 $\text{set } (\text{permutations\_of\_list\_impl } xs) = \text{permutations\_of\_multiset } (\text{mset } xs)$   
 ⟨proof⟩

**lemma** *distinct\_permutations\_of\_list\_impl*:  
 $\text{distinct } (\text{permutations\_of\_list\_impl } xs)$   
 ⟨proof⟩

**lemma** *permutations\_of\_list\_impl\_aux\_correct'*:  
 $\text{permutations\_of\_list\_impl\_aux } acc \ xs =$   
 $\text{map } (\lambda xs. \text{rev } xs \ @ \ acc) (\text{permutations\_of\_list\_impl } xs)$   
 ⟨proof⟩

**lemma** *permutations\_of\_list\_impl\_aux\_correct*:  
 $\text{permutations\_of\_list\_impl\_aux } [] \ xs = \text{map } \text{rev } (\text{permutations\_of\_list\_impl } xs)$   
 ⟨proof⟩

**lemma** *distinct\_permutations\_of\_list\_impl\_aux*:  
 $\text{distinct } (\text{permutations\_of\_list\_impl\_aux } acc \ xs)$   
 ⟨proof⟩

**lemma** *set\_permutations\_of\_list\_impl\_aux*:  
 $\text{set } (\text{permutations\_of\_list\_impl\_aux } [] \ xs) = \text{permutations\_of\_multiset } (\text{mset } xs)$   
 ⟨proof⟩

**declare** *set\_permutations\_of\_list\_impl\_aux* [symmetric, code]

**value** [code] *permutations\_of\_multiset* {#1,2,3,4::int#}

Now we turn to permutations of sets. We define an auxiliary version with an accumulator to avoid having to map over the results.

**function** *permutations\_of\_set\_aux* **where**  
 $\text{permutations\_of\_set\_aux } acc \ A =$   
 $(\text{if } \neg \text{finite } A \ \text{then } \{\} \ \text{else if } A = \{\} \ \text{then } \{acc\} \ \text{else}$   
 $(\bigcup_{x \in A} \text{permutations\_of\_set\_aux } (x \# \ acc) (A - \{x\})))$   
 ⟨proof⟩

**termination** ⟨proof⟩

**lemma** *permutations\_of\_set\_aux\_altdef*:  
 $\text{permutations\_of\_set\_aux } acc \ A = (\lambda xs. \text{rev } xs \ @ \ acc) ' \text{permutations\_of\_set } A$   
 ⟨proof⟩

**declare** *permutations\_of\_set\_aux.simps* [*simp del*]

**lemma** *permutations\_of\_set\_aux\_correct*:  
*permutations\_of\_set\_aux* [] *A* = *permutations\_of\_set A*  
{*proof*}

In another refinement step, we define a version on lists.

**declare** *length\_remove1* [*termination\_simp*]

**fun** *permutations\_of\_set\_aux\_list* **where**  
*permutations\_of\_set\_aux\_list acc xs* =  
 (*if xs* = [] *then* [*acc*] *else*  
 *List.bind xs* ( $\lambda x.$  *permutations\_of\_set\_aux\_list* (*x#acc*) (*List.remove1 x xs*)))

**definition** *permutations\_of\_set\_list* **where**  
*permutations\_of\_set\_list xs* = *permutations\_of\_set\_aux\_list* [] *xs*

**declare** *permutations\_of\_set\_aux\_list.simps* [*simp del*]

**lemma** *permutations\_of\_set\_aux\_list\_refine*:  
**assumes** *distinct xs*  
**shows** *set (permutations\_of\_set\_aux\_list acc xs)* = *permutations\_of\_set\_aux acc (set xs)*  
{*proof*}

The permutation lists contain no duplicates if the inputs contain no duplicates. Therefore, these functions can easily be used when working with a representation of sets by distinct lists. The same approach should generalise to any kind of set implementation that supports a monadic bind operation, and since the results are disjoint, merging should be cheap.

**lemma** *distinct\_permutations\_of\_set\_aux\_list*:  
*distinct xs*  $\implies$  *distinct (permutations\_of\_set\_aux\_list acc xs)*  
{*proof*}

**lemma** *distinct\_permutations\_of\_set\_list*:  
*distinct xs*  $\implies$  *distinct (permutations\_of\_set\_list xs)*  
{*proof*}

**lemma** *permutations\_of\_set\_list*:  
*permutations\_of\_set (set xs)* = *set (permutations\_of\_set\_list (remdups xs))*  
{*proof*}

**lemma** *permutations\_of\_set\_list\_code* [*code*]:  
*permutations\_of\_set (set xs)* = *set (permutations\_of\_set\_list (remdups xs))*  
*permutations\_of\_set (List.coset xs)* =  
 *Code.abort (STR "Permutation of set complement not supported")*  
 ( $\lambda _.$  *permutations\_of\_set (List.coset xs)*)

```

    <proof>

value [code] permutations_of_set (set "abcd")

end

```

```

theory Cycles
  imports
    HOL-Library.FuncSet
    Permutations
  begin

```

## 6 Cycles

### 6.1 Definitions

```

abbreviation cycle :: 'a list  $\Rightarrow$  bool
  where cycle cs  $\equiv$  distinct cs

```

```

fun cycle_of_list :: 'a list  $\Rightarrow$  'a  $\Rightarrow$  'a
  where
    cycle_of_list (i # j # cs) = transpose i j  $\circ$  cycle_of_list (j # cs)
    | cycle_of_list cs = id

```

### 6.2 Basic Properties

We start proving that the function derived from a cycle rotates its support list.

```

lemma id_outside_supp:
  assumes  $x \notin \text{set } cs$  shows (cycle_of_list cs)  $x = x$ 
  <proof>

```

```

lemma permutation_of_cycle: permutation (cycle_of_list cs)
  <proof>

```

```

lemma cycle_permutes: (cycle_of_list cs) permutes (set cs)
  <proof>

```

```

theorem cyclic_rotation:
  assumes cycle cs shows  $\text{map } ((\text{cycle\_of\_list } cs) \overset{\sim}{\sim} n) cs = \text{rotate } n cs$ 
  <proof>

```

```

corollary cycle_is_surj:
  assumes cycle cs shows (cycle_of_list cs) ` (set cs) = (set cs)
  <proof>

```

```

corollary cycle_is_id_root:

```

**assumes** *cycle cs shows*  $(\text{cycle\_of\_list } cs) \text{ } \overset{\sim}{\sim} (\text{length } cs) = \text{id}$   
*<proof>*

**corollary** *cycle\_of\_list\_rotate\_independent:*

**assumes** *cycle cs shows*  $(\text{cycle\_of\_list } cs) = (\text{cycle\_of\_list } (\text{rotate } n \text{ } cs))$   
*<proof>*

### 6.3 Conjugation of cycles

**lemma** *conjugation\_of\_cycle:*

**assumes** *cycle cs and bij p*

**shows**  $p \circ (\text{cycle\_of\_list } cs) \circ (\text{inv } p) = \text{cycle\_of\_list } (\text{map } p \text{ } cs)$

*<proof>*

### 6.4 When Cycles Commute

**lemma** *cycles\_commute:*

**assumes** *cycle p cycle q and set p  $\cap$  set q = {}*

**shows**  $(\text{cycle\_of\_list } p) \circ (\text{cycle\_of\_list } q) = (\text{cycle\_of\_list } q) \circ (\text{cycle\_of\_list } p)$

*<proof>*

### 6.5 Cycles from Permutations

#### 6.5.1 Exponentiation of permutations

Some important properties of permutations before defining how to extract its cycles.

**lemma** *permutation\_funpow:*

**assumes** *permutation p shows permutation*  $(p \text{ } \overset{\sim}{\sim} \text{ } n)$

*<proof>*

**lemma** *permutes\_funpow:*

**assumes** *p permutes S shows*  $(p \text{ } \overset{\sim}{\sim} \text{ } n)$  *permutes S*

*<proof>*

**lemma** *funpow\_diff:*

**assumes** *inj p and*  $i \leq j$   $(p \text{ } \overset{\sim}{\sim} \text{ } i)$   $a = (p \text{ } \overset{\sim}{\sim} \text{ } j) a$  **shows**  $(p \text{ } \overset{\sim}{\sim} \text{ } (j - i)) a = a$

*<proof>*

**lemma** *permutation\_is\_nilpotent:*

**assumes** *permutation p obtains n where*  $(p \text{ } \overset{\sim}{\sim} \text{ } n) = \text{id}$  **and**  $n > 0$

*<proof>*

**lemma** *permutation\_is\_nilpotent':*

**assumes** *permutation p obtains n where*  $(p \text{ } \overset{\sim}{\sim} \text{ } n) = \text{id}$  **and**  $n > m$

*<proof>*

## 6.5.2 Extraction of cycles from permutations

**definition** *least\_power* :: ('a ⇒ 'a) ⇒ 'a ⇒ nat  
**where** *least\_power* f x = (LEAST n. (f  $\hat{\sim}$  n) x = x ∧ n > 0)

**abbreviation** *support* :: ('a ⇒ 'a) ⇒ 'a ⇒ 'a list  
**where** *support* p x ≡ map (λi. (p  $\hat{\sim}$  i) x) [0..*(least\_power p x)*]

**lemma** *least\_powerI*:  
**assumes** (f  $\hat{\sim}$  n) x = x **and** n > 0  
**shows** (f  $\hat{\sim}$  (least\_power f x)) x = x **and** least\_power f x > 0  
⟨*proof*⟩

**lemma** *least\_power\_le*:  
**assumes** (f  $\hat{\sim}$  n) x = x **and** n > 0 **shows** least\_power f x ≤ n  
⟨*proof*⟩

**lemma** *least\_power\_of\_permutation*:  
**assumes** permutation p **shows** (p  $\hat{\sim}$  (least\_power p a)) a = a **and** least\_power p a > 0  
⟨*proof*⟩

**lemma** *least\_power\_gt\_one*:  
**assumes** permutation p **and** p a ≠ a **shows** least\_power p a > Suc 0  
⟨*proof*⟩

**lemma** *least\_power\_minimal*:  
**assumes** (p  $\hat{\sim}$  n) a = a **shows** (least\_power p a) dvd n  
⟨*proof*⟩

**lemma** *least\_power\_dvd*:  
**assumes** permutation p **shows** (least\_power p a) dvd n ↔ (p  $\hat{\sim}$  n) a = a  
⟨*proof*⟩

**theorem** *cycle\_of\_permutation*:  
**assumes** permutation p **shows** cycle (support p a)  
⟨*proof*⟩

## 6.6 Decomposition on Cycles

We show that a permutation can be decomposed on cycles

### 6.6.1 Preliminaries

**lemma** *support\_set*:  
**assumes** permutation p **shows** set (support p a) = range (λi. (p  $\hat{\sim}$  i) a)  
⟨*proof*⟩

**lemma** *disjoint\_support*:  
**assumes** *permutation p* **shows** *disjoint (range (λa. set (support p a))) (is disjoint ?A)*  
 ⟨*proof*⟩

**lemma** *disjoint\_support'*:  
**assumes** *permutation p*  
**shows** *set (support p a) ∩ set (support p b) = {} ↔ a ∉ set (support p b)*  
 ⟨*proof*⟩

**lemma** *support\_coverture*:  
**assumes** *permutation p* **shows**  $\bigcup \{ \text{set (support p a)} \mid a. p\ a \neq a \} = \{ a. p\ a \neq a \}$   
 ⟨*proof*⟩

**theorem** *cycle\_restrict*:  
**assumes** *permutation p* **and**  $b \in \text{set (support p a)}$  **shows**  $p\ b = (\text{cycle\_of\_list (support p a)})\ b$   
 ⟨*proof*⟩

## 6.6.2 Decomposition

**inductive** *cycle\_decomp* ::  $'a\ \text{set} \Rightarrow ('a \Rightarrow 'a) \Rightarrow \text{bool}$   
**where**  
*empty*: *cycle\_decomp* {} *id*  
 | *comp*:  $\llbracket \text{cycle\_decomp } I\ p; \text{ cycle } cs; \text{ set } cs \cap I = \{\} \rrbracket \Longrightarrow$   
           *cycle\_decomp* (set cs ∪ I) ((*cycle\_of\_list* cs) ∘ p)

**lemma** *semidecomposition*:  
**assumes** *p permutes S* **and** *finite S*  
**shows**  $(\lambda y. \text{if } y \in (S - \text{set (support p a)}) \text{ then } p\ y \text{ else } y)$  *permutes (S - set (support p a))*  
 ⟨*proof*⟩

**theorem** *cycle\_decomposition*:  
**assumes** *p permutes S* **and** *finite S* **shows** *cycle\_decomp S p*  
 ⟨*proof*⟩

**end**

## 7 Permutations as abstract type

**theory** *Perm*  
**imports**  
   *Transposition*  
**begin**

This theory introduces basics about permutations, i.e. almost everywhere

fix bijections. But it is by no means complete. Grievously missing are cycles since these would require more elaboration, e.g. the concept of distinct lists equivalent under rotation, which maybe would also deserve its own theory. But see theory `src/HOL/ex/Perm_Fragments.thy` for fragments on that.

## 7.1 Abstract type of permutations

```
typedef 'a perm = {f :: 'a ⇒ 'a. bij f ∧ finite {a. f a ≠ a}}
morphisms apply Perm
⟨proof⟩
```

```
setup_lifting type_definition_perm
```

```
notation apply (infixl ⟨$⟩ 999)
```

```
lemma bij_apply [simp]:
  bij (apply f)
⟨proof⟩
```

```
lemma perm_eqI:
  assumes ∧a. f ⟨$⟩ a = g ⟨$⟩ a
  shows f = g
⟨proof⟩
```

```
lemma perm_eq_iff:
  f = g ⟷ (∀ a. f ⟨$⟩ a = g ⟨$⟩ a)
⟨proof⟩
```

```
lemma apply_inj:
  f ⟨$⟩ a = f ⟨$⟩ b ⟷ a = b
⟨proof⟩
```

```
lift_definition affected :: 'a perm ⇒ 'a set
is λf. {a. f a ≠ a} ⟨proof⟩
```

```
lemma in_affected:
  a ∈ affected f ⟷ f ⟨$⟩ a ≠ a
⟨proof⟩
```

```
lemma finite_affected [simp]:
  finite (affected f)
⟨proof⟩
```

```
lemma apply_affected [simp]:
  f ⟨$⟩ a ∈ affected f ⟷ a ∈ affected f
⟨proof⟩
```

```
lemma card_affected_not_one:
  card (affected f) ≠ 1
```

*<proof>*

## 7.2 Identity, composition and inversion

**instantiation** *Perm.perm* :: (*type*) {*monoid\_mult*, *inverse*}  
**begin**

**lift\_definition** *one\_perm* :: '*a perm*  
  **is** *id*  
  *<proof>*

**lemma** *apply\_one* [*simp*]:  
  *apply 1 = id*  
  *<proof>*

**lemma** *affected\_one* [*simp*]:  
  *affected 1 = {}*  
  *<proof>*

**lemma** *affected\_empty\_iff* [*simp*]:  
  *affected f = {}*  $\longleftrightarrow$  *f = 1*  
  *<proof>*

**lift\_definition** *times\_perm* :: '*a perm*  $\Rightarrow$  '*a perm*  $\Rightarrow$  '*a perm*  
  **is** *comp*  
  *<proof>*

**lemma** *apply\_times*:  
  *apply (f \* g) = apply f  $\circ$  apply g*  
  *<proof>*

**lemma** *apply\_sequence*:  
  *f <\$> (g <\$> a) = apply (f \* g) a*  
  *<proof>*

**lemma** *affected\_times* [*simp*]:  
  *affected (f \* g)  $\subseteq$  affected f  $\cup$  affected g*  
  *<proof>*

**lift\_definition** *inverse\_perm* :: '*a perm*  $\Rightarrow$  '*a perm*  
  **is** *inv*  
  *<proof>*

**instance**  
  *<proof>*

**end**

**lemma** *apply\_inverse*:

$apply (inverse f) = inv (apply f)$   
 $\langle proof \rangle$

**lemma** *affected\_inverse* [simp]:  
 $affected (inverse f) = affected f$   
 $\langle proof \rangle$

**global\_interpretation** *perm*: group times 1::'a perm inverse  
 $\langle proof \rangle$

**declare** *perm.inverse\_distrib\_swap* [simp]

**lemma** *perm\_mult\_commute*:  
**assumes**  $affected f \cap affected g = \{\}$   
**shows**  $g * f = f * g$   
 $\langle proof \rangle$

**lemma** *apply\_power*:  
 $apply (f ^ n) = apply f ^ n$   
 $\langle proof \rangle$

**lemma** *perm\_power\_inverse*:  
 $inverse f ^ n = inverse ((f :: 'a perm) ^ n)$   
 $\langle proof \rangle$

### 7.3 Orbit and order of elements

**definition** *orbit* :: 'a perm  $\Rightarrow$  'a  $\Rightarrow$  'a set  
**where**

$orbit f a = range (\lambda n. (f ^ n) \langle \$ \rangle a)$

**lemma** *in\_orbitI*:  
**assumes**  $(f ^ n) \langle \$ \rangle a = b$   
**shows**  $b \in orbit f a$   
 $\langle proof \rangle$

**lemma** *apply\_power\_self\_in\_orbit* [simp]:  
 $(f ^ n) \langle \$ \rangle a \in orbit f a$   
 $\langle proof \rangle$

**lemma** *in\_orbit\_self* [simp]:  
 $a \in orbit f a$   
 $\langle proof \rangle$

**lemma** *apply\_self\_in\_orbit* [simp]:  
 $f \langle \$ \rangle a \in orbit f a$   
 $\langle proof \rangle$

**lemma** *orbit\_not\_empty* [simp]:

$orbit\ f\ a \neq \{\}$   
 $\langle proof \rangle$

**lemma** *not\_in\_affected\_iff\_orbit\_eq\_singleton*:  
 $a \notin affected\ f \longleftrightarrow orbit\ f\ a = \{a\}$  (**is**  $?P \longleftrightarrow ?Q$ )  
 $\langle proof \rangle$

**definition** *order* ::  $'a\ perm \Rightarrow 'a \Rightarrow nat$   
**where**  
 $order\ f = card \circ orbit\ f$

**lemma** *orbit\_subset\_eq\_affected*:  
**assumes**  $a \in affected\ f$   
**shows**  $orbit\ f\ a \subseteq affected\ f$   
 $\langle proof \rangle$

**lemma** *finite\_orbit* [*simp*]:  
 $finite\ (orbit\ f\ a)$   
 $\langle proof \rangle$

**lemma** *orbit\_1* [*simp*]:  
 $orbit\ 1\ a = \{a\}$   
 $\langle proof \rangle$

**lemma** *order\_1* [*simp*]:  
 $order\ 1\ a = 1$   
 $\langle proof \rangle$

**lemma** *card\_orbit\_eq* [*simp*]:  
 $card\ (orbit\ f\ a) = order\ f\ a$   
 $\langle proof \rangle$

**lemma** *order\_greater\_zero* [*simp*]:  
 $order\ f\ a > 0$   
 $\langle proof \rangle$

**lemma** *order\_eq\_one\_iff*:  
 $order\ f\ a = Suc\ 0 \longleftrightarrow a \notin affected\ f$  (**is**  $?P \longleftrightarrow ?Q$ )  
 $\langle proof \rangle$

**lemma** *order\_greater\_eq\_two\_iff*:  
 $order\ f\ a \geq 2 \longleftrightarrow a \in affected\ f$   
 $\langle proof \rangle$

**lemma** *order\_less\_eq\_affected*:  
**assumes**  $f \neq 1$   
**shows**  $order\ f\ a \leq card\ (affected\ f)$   
 $\langle proof \rangle$

**lemma** *affected\_order\_greater\_eq\_two*:

**assumes**  $a \in \text{affected } f$

**shows**  $\text{order } f \ a \geq 2$

*<proof>*

**lemma** *order\_witness\_unfold*:

**assumes**  $n > 0$  **and**  $(f \ ^n) \ \langle \$ \rangle \ a = a$

**shows**  $\text{order } f \ a = \text{card } ((\lambda m. (f \ ^m) \ \langle \$ \rangle \ a) \ \cdot \ \{0..<n\})$

*<proof>*

**lemma** *inj\_on\_apply\_range*:

*inj\_on*  $(\lambda m. (f \ ^m) \ \langle \$ \rangle \ a) \ \{..<\text{order } f \ a\}$

*<proof>*

**lemma** *orbit\_unfold\_image*:

$\text{orbit } f \ a = (\lambda n. (f \ ^n) \ \langle \$ \rangle \ a) \ \cdot \ \{..<\text{order } f \ a\}$  (**is**  $\_ = ?A$ )

*<proof>*

**lemma** *in\_orbitE*:

**assumes**  $b \in \text{orbit } f \ a$

**obtains**  $n$  **where**  $b = (f \ ^n) \ \langle \$ \rangle \ a$  **and**  $n < \text{order } f \ a$

*<proof>*

**lemma** *apply\_power\_order* [*simp*]:

$(f \ ^{\text{order } f \ a}) \ \langle \$ \rangle \ a = a$

*<proof>*

**lemma** *apply\_power\_left\_mult\_order* [*simp*]:

$(f \ ^{(n * \text{order } f \ a)}) \ \langle \$ \rangle \ a = a$

*<proof>*

**lemma** *apply\_power\_right\_mult\_order* [*simp*]:

$(f \ ^{(\text{order } f \ a * n)}) \ \langle \$ \rangle \ a = a$

*<proof>*

**lemma** *apply\_power\_mod\_order\_eq* [*simp*]:

$(f \ ^{(n \bmod \text{order } f \ a)}) \ \langle \$ \rangle \ a = (f \ ^n) \ \langle \$ \rangle \ a$

*<proof>*

**lemma** *apply\_power\_eq\_iff*:

$(f \ ^m) \ \langle \$ \rangle \ a = (f \ ^n) \ \langle \$ \rangle \ a \iff m \bmod \text{order } f \ a = n \bmod \text{order } f \ a$  (**is**  $?P$

$\iff ?Q$ )

*<proof>*

**lemma** *apply\_inverse\_eq\_apply\_power\_order\_minus\_one*:

$(\text{inverse } f) \ \langle \$ \rangle \ a = (f \ ^{(\text{order } f \ a - 1)}) \ \langle \$ \rangle \ a$

*<proof>*

**lemma** *apply\_inverse\_self\_in\_orbit* [*simp*]:

$(\text{inverse } f) \langle \$ \rangle a \in \text{orbit } f a$   
 $\langle \text{proof} \rangle$

**lemma** *apply\_inverse\_power\_eq*:  
 $(\text{inverse } (f \wedge n)) \langle \$ \rangle a = (f \wedge (\text{order } f a - n \text{ mod } \text{order } f a)) \langle \$ \rangle a$   
 $\langle \text{proof} \rangle$

**lemma** *apply\_power\_eq\_self\_iff*:  
 $(f \wedge n) \langle \$ \rangle a = a \iff \text{order } f a \text{ dvd } n$   
 $\langle \text{proof} \rangle$

**lemma** *orbit\_equiv*:  
assumes  $b \in \text{orbit } f a$   
shows  $\text{orbit } f b = \text{orbit } f a$  (is  $?B = ?A$ )  
 $\langle \text{proof} \rangle$

**lemma** *orbit\_apply [simp]*:  
 $\text{orbit } f (f \langle \$ \rangle a) = \text{orbit } f a$   
 $\langle \text{proof} \rangle$

**lemma** *order\_apply [simp]*:  
 $\text{order } f (f \langle \$ \rangle a) = \text{order } f a$   
 $\langle \text{proof} \rangle$

**lemma** *orbit\_apply\_inverse [simp]*:  
 $\text{orbit } f (\text{inverse } f \langle \$ \rangle a) = \text{orbit } f a$   
 $\langle \text{proof} \rangle$

**lemma** *order\_apply\_inverse [simp]*:  
 $\text{order } f (\text{inverse } f \langle \$ \rangle a) = \text{order } f a$   
 $\langle \text{proof} \rangle$

**lemma** *orbit\_apply\_power [simp]*:  
 $\text{orbit } f ((f \wedge n) \langle \$ \rangle a) = \text{orbit } f a$   
 $\langle \text{proof} \rangle$

**lemma** *order\_apply\_power [simp]*:  
 $\text{order } f ((f \wedge n) \langle \$ \rangle a) = \text{order } f a$   
 $\langle \text{proof} \rangle$

**lemma** *orbit\_inverse [simp]*:  
 $\text{orbit } (\text{inverse } f) = \text{orbit } f$   
 $\langle \text{proof} \rangle$

**lemma** *order\_inverse [simp]*:  
 $\text{order } (\text{inverse } f) = \text{order } f$   
 $\langle \text{proof} \rangle$

**lemma** *orbit\_disjoint*:

**assumes**  $\text{orbit } f \ a \neq \text{orbit } f \ b$   
**shows**  $\text{orbit } f \ a \cap \text{orbit } f \ b = \{\}$   
 $\langle \text{proof} \rangle$

## 7.4 Swaps

**lift\_definition**  $\text{swap} :: 'a \Rightarrow 'a \Rightarrow 'a \text{ perm } (\langle \_ \leftrightarrow \_ \rangle)$   
**is**  $\lambda a \ b. \text{transpose } a \ b$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{apply\_swap\_simp} \ [simp]:$   
 $\langle a \leftrightarrow b \rangle \langle \$ \rangle a = b$   
 $\langle a \leftrightarrow b \rangle \langle \$ \rangle b = a$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{apply\_swap\_same} \ [simp]:$   
 $c \neq a \implies c \neq b \implies \langle a \leftrightarrow b \rangle \langle \$ \rangle c = c$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{apply\_swap\_eq\_iff} \ [simp]:$   
 $\langle a \leftrightarrow b \rangle \langle \$ \rangle c = a \iff c = b$   
 $\langle a \leftrightarrow b \rangle \langle \$ \rangle c = b \iff c = a$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{swap\_1} \ [simp]:$   
 $\langle a \leftrightarrow a \rangle = 1$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{swap\_sym}:$   
 $\langle b \leftrightarrow a \rangle = \langle a \leftrightarrow b \rangle$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{swap\_self} \ [simp]:$   
 $\langle a \leftrightarrow b \rangle * \langle a \leftrightarrow b \rangle = 1$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{affected\_swap}:$   
 $a \neq b \implies \text{affected } \langle a \leftrightarrow b \rangle = \{a, b\}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{inverse\_swap} \ [simp]:$   
 $\text{inverse } \langle a \leftrightarrow b \rangle = \langle a \leftrightarrow b \rangle$   
 $\langle \text{proof} \rangle$

## 7.5 Permutations specified by cycles

**fun**  $\text{cycle} :: 'a \text{ list} \Rightarrow 'a \text{ perm } (\langle \_ \rangle)$   
**where**  
 $\langle [] \rangle = 1$   
 $| \langle [a] \rangle = 1$

|  $\langle a \# b \# as \rangle = \langle a \# as \rangle * \langle a \leftrightarrow b \rangle$

We do not continue and restrict ourselves to syntax from here. See also introductory note.

## 7.6 Syntax

```
bundle no_permutation_syntax
begin
  no_notation swap    (( $\_ \leftrightarrow \_$ ))
  no_notation cycle   (( $\_$ ))
  no_notation apply (infixl ( $\$$ ) 999)
end
```

```
bundle permutation_syntax
begin
  notation swap      (( $\_ \leftrightarrow \_$ ))
  notation cycle     (( $\_$ ))
  notation apply    (infixl ( $\$$ ) 999)
end
```

```
unbundle no_permutation_syntax
```

```
end
```

## 8 Permutation orbits

```
theory Orbits
imports
  HOL-Library.FuncSet
  HOL-Combinatorics.Permutations
begin
```

### 8.1 Orbits and cyclic permutations

**inductive\_set** *orbit* :: ( $'a \Rightarrow 'a$ )  $\Rightarrow 'a \Rightarrow 'a$  set for  $f x$  where

*base*:  $f x \in orbit f x$  |  
*step*:  $y \in orbit f x \Longrightarrow f y \in orbit f x$

**definition** *cyclic\_on* :: ( $'a \Rightarrow 'a$ )  $\Rightarrow 'a$  set  $\Rightarrow bool$  where

*cyclic\_on*  $f S \longleftrightarrow (\exists s \in S. S = orbit f s)$

**lemma** *orbit\_altdef*:  $orbit f x = \{(f \overset{\sim}{\sim} n) x \mid n. 0 < n\}$  (is ?L = ?R)  
 $\langle proof \rangle$

**lemma** *orbit\_trans*:

**assumes**  $s \in orbit f t$   $t \in orbit f u$  **shows**  $s \in orbit f u$   
 $\langle proof \rangle$

**lemma** *orbit\_subset*:

**assumes**  $s \in \text{orbit } f (f t)$  **shows**  $s \in \text{orbit } f t$   
*<proof>*

**lemma** *orbit\_sim\_step*:

**assumes**  $s \in \text{orbit } f t$  **shows**  $f s \in \text{orbit } f (f t)$   
*<proof>*

**lemma** *orbit\_step*:

**assumes**  $y \in \text{orbit } f x$   $f x \neq y$  **shows**  $y \in \text{orbit } f (f x)$   
*<proof>*

**lemma** *self\_in\_orbit\_trans*:

**assumes**  $s \in \text{orbit } f s$   $t \in \text{orbit } f s$  **shows**  $t \in \text{orbit } f t$   
*<proof>*

**lemma** *orbit\_swap*:

**assumes**  $s \in \text{orbit } f s$   $t \in \text{orbit } f s$  **shows**  $s \in \text{orbit } f t$   
*<proof>*

**lemma** *permutation\_self\_in\_orbit*:

**assumes** *permutation*  $f$  **shows**  $s \in \text{orbit } f s$   
*<proof>*

**lemma** *orbit\_altdef\_self\_in*:

**assumes**  $s \in \text{orbit } f s$  **shows**  $\text{orbit } f s = \{(f \overset{\sim}{\sim} n) s \mid n. \text{True}\}$   
*<proof>*

**lemma** *orbit\_altdef\_permutation*:

**assumes** *permutation*  $f$  **shows**  $\text{orbit } f s = \{(f \overset{\sim}{\sim} n) s \mid n. \text{True}\}$   
*<proof>*

**lemma** *orbit\_altdef\_bounded*:

**assumes**  $(f \overset{\sim}{\sim} n) s = s$   $0 < n$  **shows**  $\text{orbit } f s = \{(f \overset{\sim}{\sim} m) s \mid m. m < n\}$   
*<proof>*

**lemma** *funpow\_in\_orbit*:

**assumes**  $s \in \text{orbit } f t$  **shows**  $(f \overset{\sim}{\sim} n) s \in \text{orbit } f t$   
*<proof>*

**lemma** *finite\_orbit*:

**assumes**  $s \in \text{orbit } f s$  **shows** *finite* ( $\text{orbit } f s$ )  
*<proof>*

**lemma** *self\_in\_orbit\_step*:

**assumes**  $s \in \text{orbit } f s$  **shows**  $\text{orbit } f (f s) = \text{orbit } f s$   
*<proof>*

**lemma** *permutation\_orbit\_step*:

**assumes** *permutation f* **shows**  $\text{orbit } f (f s) = \text{orbit } f s$   
(proof)

**lemma** *orbit\_nonempty*:  
 $\text{orbit } f s \neq \{\}$   
(proof)

**lemma** *orbit\_inv\_eq*:  
**assumes** *permutation f*  
**shows**  $\text{orbit } (\text{inv } f) x = \text{orbit } f x$  (is ?L = ?R)  
(proof)

**lemma** *cyclic\_on\_alldef*:  
 $\text{cyclic\_on } f S \longleftrightarrow S \neq \{\} \wedge (\forall s \in S. S = \text{orbit } f s)$   
(proof)

**lemma** *cyclic\_on\_funpow\_in*:  
**assumes** *cyclic\_on f S*  $s \in S$  **shows**  $(f \sim^n) s \in S$   
(proof)

**lemma** *finite\_cyclic\_on*:  
**assumes** *cyclic\_on f S* **shows** *finite S*  
(proof)

**lemma** *cyclic\_on\_singleI*:  
**assumes**  $s \in S$   $S = \text{orbit } f s$  **shows** *cyclic\_on f S*  
(proof)

**lemma** *cyclic\_on\_inI*:  
**assumes** *cyclic\_on f S*  $s \in S$  **shows**  $f s \in S$   
(proof)

**lemma** *orbit\_inverse*:  
**assumes** *self*:  $a \in \text{orbit } g a$   
**and** *eq*:  $\bigwedge x. x \in \text{orbit } g a \implies g' (f x) = f (g x)$   
**shows**  $f ' \text{orbit } g a = \text{orbit } g' (f a)$  (is ?L = ?R)  
(proof)

**lemma** *cyclic\_on\_image*:  
**assumes** *cyclic\_on f S*  
**assumes**  $\bigwedge x. x \in S \implies g (h x) = h (f x)$   
**shows** *cyclic\_on g (h ' S)*  
(proof)

**lemma** *cyclic\_on\_f\_in*:  
**assumes** *f permutes S* *cyclic\_on f A*  $f x \in A$   
**shows**  $x \in A$   
(proof)

**lemma** *orbit\_cong0*:

**assumes**  $x \in A$   $f \in A \rightarrow A$   $\wedge y. y \in A \implies f y = g y$  **shows**  $\text{orbit } f x = \text{orbit } g x$   
*<proof>*

**lemma** *orbit\_cong*:

**assumes** *self\_in*:  $t \in \text{orbit } f t$  **and** *eq*:  $\wedge s. s \in \text{orbit } f t \implies g s = f s$   
**shows**  $\text{orbit } g t = \text{orbit } f t$   
*<proof>*

**lemma** *cyclic\_cong*:

**assumes**  $\wedge s. s \in S \implies f s = g s$  **shows**  $\text{cyclic\_on } f S = \text{cyclic\_on } g S$   
*<proof>*

**lemma** *permutes\_comp\_preserves\_cyclic1*:

**assumes**  $g$  *permutes*  $B$   $\text{cyclic\_on } f C$   
**assumes**  $A \cap B = \{\}$   $C \subseteq A$   
**shows**  $\text{cyclic\_on } (f \circ g) C$   
*<proof>*

**lemma** *permutes\_comp\_preserves\_cyclic2*:

**assumes**  $f$  *permutes*  $A$   $\text{cyclic\_on } g C$   
**assumes**  $A \cap B = \{\}$   $C \subseteq B$   
**shows**  $\text{cyclic\_on } (f \circ g) C$   
*<proof>*

**lemma** *permutes\_orbit\_subset*:

**assumes**  $f$  *permutes*  $S$   $x \in S$  **shows**  $\text{orbit } f x \subseteq S$   
*<proof>*

**lemma** *cyclic\_on\_orbit'*:

**assumes**  $f$  *permutation* **shows**  $\text{cyclic\_on } f (\text{orbit } f x)$   
*<proof>*

**lemma** *cyclic\_on\_orbit*:

**assumes**  $f$  *permutes*  $S$  *finite*  $S$  **shows**  $\text{cyclic\_on } f (\text{orbit } f x)$   
*<proof>*

**lemma** *orbit\_cyclic\_eq3*:

**assumes**  $\text{cyclic\_on } f S$   $y \in S$  **shows**  $\text{orbit } f y = S$   
*<proof>*

**lemma** *orbit\_eq\_singleton\_iff*:  $\text{orbit } f x = \{x\} \iff f x = x$  (**is**  $?L \iff ?R$ )

*<proof>*

**lemma** *eq\_on\_cyclic\_on\_iff1*:

**assumes**  $\text{cyclic\_on } f S$   $x \in S$   
**obtains**  $f x \in S$   $f x = x \iff \text{card } S = 1$   
*<proof>*

**lemma** *orbit\_eqI*:

$y = f x \implies y \in \text{orbit } f x$   
 $z = f y \implies y \in \text{orbit } f x \implies z \in \text{orbit } f x$   
(proof)

## 8.2 Decomposition of arbitrary permutations

**definition** *perm\_restrict* :: ('a  $\Rightarrow$  'a)  $\Rightarrow$  'a set  $\Rightarrow$  ('a  $\Rightarrow$  'a) **where**  
*perm\_restrict* f S x  $\equiv$  if x  $\in$  S then f x else x

**lemma** *perm\_restrict\_comp*:

**assumes**  $A \cap B = \{\}$  *cyclic\_on* f B  
**shows** *perm\_restrict* f A o *perm\_restrict* f B = *perm\_restrict* f (A  $\cup$  B)  
(proof)

**lemma** *perm\_restrict\_simps*:

$x \in S \implies \text{perm\_restrict } f S x = f x$   
 $x \notin S \implies \text{perm\_restrict } f S x = x$   
(proof)

**lemma** *perm\_restrict\_perm\_restrict*:

*perm\_restrict* (*perm\_restrict* f A) B = *perm\_restrict* f (A  $\cap$  B)  
(proof)

**lemma** *perm\_restrict\_union*:

**assumes** *perm\_restrict* f A permutes A *perm\_restrict* f B permutes B A  $\cap$  B =  
{}  
**shows** *perm\_restrict* f A o *perm\_restrict* f B = *perm\_restrict* f (A  $\cup$  B)  
(proof)

**lemma** *perm\_restrict\_id[simp]*:

**assumes** f permutes S **shows** *perm\_restrict* f S = f  
(proof)

**lemma** *cyclic\_on\_perm\_restrict*:

*cyclic\_on* (*perm\_restrict* f S) S  $\longleftrightarrow$  *cyclic\_on* f S  
(proof)

**lemma** *perm\_restrict\_diff\_cyclic*:

**assumes** f permutes S *cyclic\_on* f A  
**shows** *perm\_restrict* f (S - A) permutes (S - A)  
(proof)

**lemma** *permutes\_decompose*:

**assumes** f permutes S finite S  
**shows**  $\exists C. (\forall c \in C. \text{cyclic\_on } f c) \wedge \bigcup C = S \wedge (\forall c1 \in C. \forall c2 \in C. c1 \neq$   
 $c2 \longrightarrow c1 \cap c2 = \{\})$   
(proof)

### 8.3 Function-power distance between values

**definition** *funpow\_dist* :: ('a ⇒ 'a) ⇒ 'a ⇒ 'a ⇒ nat **where**  
*funpow\_dist* f x y ≡ LEAST n. (f <sup>^^</sup> n) x = y

**abbreviation** *funpow\_dist1* :: ('a ⇒ 'a) ⇒ 'a ⇒ 'a ⇒ nat **where**  
*funpow\_dist1* f x y ≡ Suc (funpow\_dist f (f x) y)

**lemma** *funpow\_dist\_0*:  
**assumes** x = y **shows** funpow\_dist f x y = 0  
 ⟨proof⟩

**lemma** *funpow\_dist\_least*:  
**assumes** n < funpow\_dist f x y **shows** (f <sup>^^</sup> n) x ≠ y  
 ⟨proof⟩

**lemma** *funpow\_dist1\_least*:  
**assumes** 0 < n n < funpow\_dist1 f x y **shows** (f <sup>^^</sup> n) x ≠ y  
 ⟨proof⟩

**lemma** *funpow\_dist\_prop*:  
 y ∈ orbit f x ⇒ (f <sup>^^</sup> funpow\_dist f x y) x = y  
 ⟨proof⟩

**lemma** *funpow\_dist\_0\_eq*:  
**assumes** y ∈ orbit f x **shows** funpow\_dist f x y = 0 ↔ x = y  
 ⟨proof⟩

**lemma** *funpow\_dist\_step*:  
**assumes** x ≠ y y ∈ orbit f x **shows** funpow\_dist f x y = Suc (funpow\_dist f (f x) y)  
 ⟨proof⟩

**lemma** *funpow\_dist1\_prop*:  
**assumes** y ∈ orbit f x **shows** (f <sup>^^</sup> funpow\_dist1 f x y) x = y  
 ⟨proof⟩

**lemma** *funpow\_neq\_less\_funpow\_dist*:  
**assumes** y ∈ orbit f x m ≤ funpow\_dist f x y n ≤ funpow\_dist f x y m ≠ n  
**shows** (f <sup>^^</sup> m) x ≠ (f <sup>^^</sup> n) x  
 ⟨proof⟩

**lemma** *funpow\_neq\_less\_funpow\_dist1*:  
**assumes** y ∈ orbit f x m < funpow\_dist1 f x y n < funpow\_dist1 f x y m ≠ n  
**shows** (f <sup>^^</sup> m) x ≠ (f <sup>^^</sup> n) x  
 ⟨proof⟩

**lemma** *inj\_on\_funpow\_dist*:

```

assumes  $y \in \text{orbit } f \ x$  shows  $\text{inj\_on } (\lambda n. (f \ \sim\ n) \ x) \ \{0.. \text{funpow\_dist } f \ x \ y\}$ 
<proof>

lemma inj_on_funpow_dist1:
assumes  $y \in \text{orbit } f \ x$  shows  $\text{inj\_on } (\lambda n. (f \ \sim\ n) \ x) \ \{0.. < \text{funpow\_dist1 } f \ x \ y\}$ 
<proof>

lemma orbit_conv_funpow_dist1:
assumes  $x \in \text{orbit } f \ x$ 
shows  $\text{orbit } f \ x = (\lambda n. (f \ \sim\ n) \ x) \ \{0.. < \text{funpow\_dist1 } f \ x \ x\}$  (is ?L = ?R)
<proof>

lemma funpow_dist1_prop1:
assumes  $(f \ \sim\ n) \ x = y \ 0 < n$  shows  $(f \ \sim\ \text{funpow\_dist1 } f \ x \ y) \ x = y$ 
<proof>

lemma funpow_dist1_dist:
assumes  $\text{funpow\_dist1 } f \ x \ y < \text{funpow\_dist1 } f \ x \ z$ 
assumes  $\{y, z\} \subseteq \text{orbit } f \ x$ 
shows  $\text{funpow\_dist1 } f \ x \ z = \text{funpow\_dist1 } f \ x \ y + \text{funpow\_dist1 } f \ y \ z$  (is ?L =
?R)
<proof>

lemma funpow_dist1_le_self:
assumes  $(f \ \sim\ m) \ x = x \ 0 < m \ y \in \text{orbit } f \ x$ 
shows  $\text{funpow\_dist1 } f \ x \ y \leq m$ 
<proof>

end

```

## 9 Basic combinatorics in Isabelle/HOL (and the Archive of Formal Proofs)

```

theory Combinatorics
imports
  Transposition
  Stirling
  Permutations
  List_Permutation
  Multiset_Permutations
  Cycles
  Perm
  Orbits
begin

end

```