

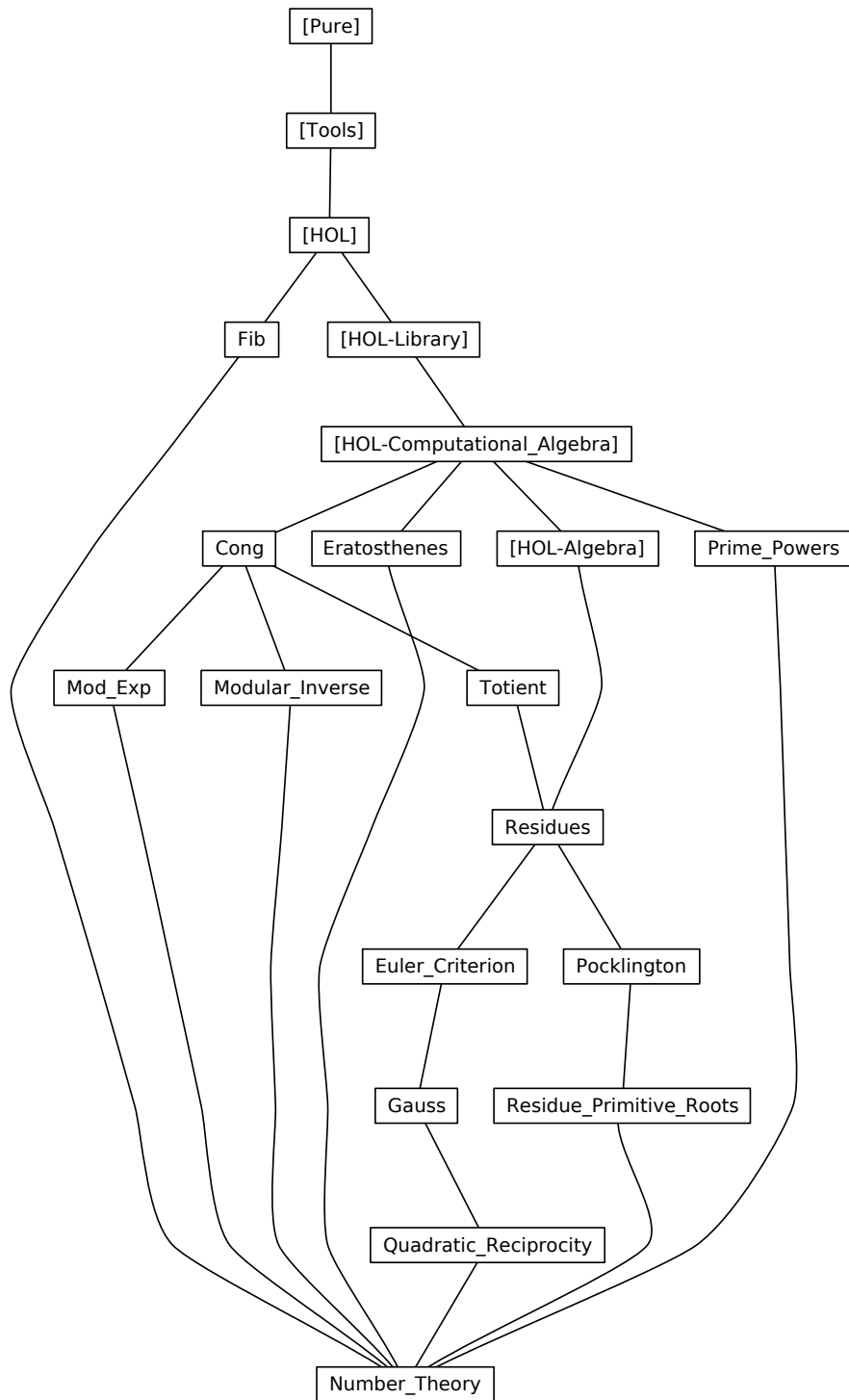
# Various results of number theory

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# 1 The fibonacci function

```
theory Fib
  imports Complex-Main
begin
```

## 1.1 Fibonacci numbers

```
fun fib :: nat  $\Rightarrow$  nat
  where
    fib0: fib 0 = 0
  | fib1: fib (Suc 0) = 1
  | fib2: fib (Suc (Suc n)) = fib (Suc n) + fib n
```

## 1.2 Basic Properties

```
lemma fib-1 [simp]: fib 1 = 1
  <proof>
```

```
lemma fib-2 [simp]: fib 2 = 1
  <proof>
```

```
lemma fib-plus-2: fib (n + 2) = fib (n + 1) + fib n
  <proof>
```

```
lemma fib-add: fib (Suc (n + k)) = fib (Suc k) * fib (Suc n) + fib k * fib n
  <proof>
```

```
lemma fib-neq-0-nat: n > 0  $\implies$  fib n > 0
  <proof>
```

```
lemma fib-Suc-mono: fib m  $\leq$  fib (Suc m)
  <proof>
```

```
lemma fib-mono: m  $\leq$  n  $\implies$  fib m  $\leq$  fib n
  <proof>
```

## 1.3 More efficient code

The naive approach is very inefficient since the branching recursion leads to many values of *fib* being computed multiple times. We can avoid this by “remembering” the last two values in the sequence, yielding a tail-recursive version. This is far from optimal (it takes roughly  $O(n \cdot M(n))$  time where  $M(n)$  is the time required to multiply two  $n$ -bit integers), but much better than the naive version, which is exponential.

```
fun gen-fib :: nat  $\Rightarrow$  nat  $\Rightarrow$  nat  $\Rightarrow$  nat
  where
    gen-fib a b 0 = a
  | gen-fib a b (Suc 0) = b
```

$$| \text{gen-fib } a \ b \ (\text{Suc } (\text{Suc } n)) = \text{gen-fib } b \ (a + b) \ (\text{Suc } n)$$

**lemma** *gen-fib-recurrence*:  $\text{gen-fib } a \ b \ (\text{Suc } (\text{Suc } n)) = \text{gen-fib } a \ b \ n + \text{gen-fib } a \ b \ (\text{Suc } n)$   
 $\langle \text{proof} \rangle$

**lemma** *gen-fib-fib*:  $\text{gen-fib } (\text{fib } n) \ (\text{fib } (\text{Suc } n)) \ m = \text{fib } (n + m)$   
 $\langle \text{proof} \rangle$

**lemma** *fib-conv-gen-fib*:  $\text{fib } n = \text{gen-fib } 0 \ 1 \ n$   
 $\langle \text{proof} \rangle$

**declare** *fib-conv-gen-fib* [code]

## 1.4 A Few Elementary Results

Concrete Mathematics, page 278: Cassini's identity. The proof is much easier using integers, not natural numbers!

**lemma** *fib-Cassini-int*:  $\text{int } (\text{fib } (\text{Suc } (\text{Suc } n)) * \text{fib } n) - \text{int } ((\text{fib } (\text{Suc } n))^2) = -((-1)^n)$   
 $\langle \text{proof} \rangle$

**lemma** *fib-Cassini-nat*:  
 $\text{fib } (\text{Suc } (\text{Suc } n)) * \text{fib } n =$   
 $(\text{if even } n \text{ then } (\text{fib } (\text{Suc } n))^2 - 1 \text{ else } (\text{fib } (\text{Suc } n))^2 + 1)$   
 $\langle \text{proof} \rangle$

## 1.5 Law 6.111 of Concrete Mathematics

**lemma** *coprime-fib-Suc-nat*:  $\text{coprime } (\text{fib } n) \ (\text{fib } (\text{Suc } n))$   
 $\langle \text{proof} \rangle$

**lemma** *gcd-fib-add*:  
 $\text{gcd } (\text{fib } m) \ (\text{fib } (n + m)) = \text{gcd } (\text{fib } m) \ (\text{fib } n)$   
 $\langle \text{proof} \rangle$

**lemma** *gcd-fib-diff*:  $m \leq n \implies \text{gcd } (\text{fib } m) \ (\text{fib } (n - m)) = \text{gcd } (\text{fib } m) \ (\text{fib } n)$   
 $\langle \text{proof} \rangle$

**lemma** *gcd-fib-mod*:  $0 < m \implies \text{gcd } (\text{fib } m) \ (\text{fib } (n \bmod m)) = \text{gcd } (\text{fib } m) \ (\text{fib } n)$   
 $\langle \text{proof} \rangle$

**lemma** *fib-gcd*:  $\text{fib } (\text{gcd } m \ n) = \text{gcd } (\text{fib } m) \ (\text{fib } n)$  — Law 6.111  
 $\langle \text{proof} \rangle$

**theorem** *fib-mult-eq-sum-nat*:  $\text{fib } (\text{Suc } n) * \text{fib } n = (\sum k \in \{..n\}. \text{fib } k * \text{fib } k)$   
 $\langle \text{proof} \rangle$

## 1.6 Closed form

**lemma** *fib-closed-form*:

```

fixes  $\varphi \ \psi :: \text{real}$ 
defines  $\varphi \equiv (1 + \text{sqrt } 5) / 2$ 
and  $\psi \equiv (1 - \text{sqrt } 5) / 2$ 
shows  $\text{of-nat } (\text{fib } n) = (\varphi ^ n - \psi ^ n) / \text{sqrt } 5$ 
<proof>

```

**lemma** *fib-closed-form'*:

```

fixes  $\varphi \ \psi :: \text{real}$ 
defines  $\varphi \equiv (1 + \text{sqrt } 5) / 2$ 
and  $\psi \equiv (1 - \text{sqrt } 5) / 2$ 
assumes  $n > 0$ 
shows  $\text{fib } n = \text{round } (\varphi ^ n / \text{sqrt } 5)$ 
<proof>

```

**lemma** *fib-asymptotics*:

```

fixes  $\varphi :: \text{real}$ 
defines  $\varphi \equiv (1 + \text{sqrt } 5) / 2$ 
shows  $(\lambda n. \text{real } (\text{fib } n) / (\varphi ^ n / \text{sqrt } 5)) \longrightarrow 1$ 
<proof>

```

## 1.7 Divide-and-Conquer recurrence

The following divide-and-conquer recurrence allows for a more efficient computation of Fibonacci numbers; however, it requires memoisation of values to be reasonably efficient, cutting the number of values to be computed to logarithmically many instead of linearly many. The vast majority of the computation time is then actually spent on the multiplication, since the output number is exponential in the input number.

**lemma** *fib-rec-odd*:

```

fixes  $\varphi \ \psi :: \text{real}$ 
defines  $\varphi \equiv (1 + \text{sqrt } 5) / 2$ 
and  $\psi \equiv (1 - \text{sqrt } 5) / 2$ 
shows  $\text{fib } (\text{Suc } (2 * n)) = \text{fib } n ^ 2 + \text{fib } (\text{Suc } n) ^ 2$ 
<proof>

```

**lemma** *fib-rec-even*:  $\text{fib } (2 * n) = (\text{fib } (n - 1) + \text{fib } (n + 1)) * \text{fib } n$

<proof>

**lemma** *fib-rec-even'*:  $\text{fib } (2 * n) = (2 * \text{fib } (n - 1) + \text{fib } n) * \text{fib } n$

<proof>

**lemma** *fib-rec*:

```

fib  $n =$ 
  (if  $n = 0$  then 0 else if  $n = 1$  then 1
   else if even  $n$  then let  $n' = n \text{ div } 2$ ;  $fn = \text{fib } n'$  in  $(2 * \text{fib } (n' - 1) + fn) * fn$ 
   else let  $n' = n \text{ div } 2$  in  $\text{fib } n' ^ 2 + \text{fib } (\text{Suc } n') ^ 2$ )

```

$\langle proof \rangle$

## 1.8 Fibonacci and Binomial Coefficients

**lemma** *sum-drop-zero*:  $(\sum k = 0..Suc\ n. \text{if } 0 < k \text{ then } (f\ (k - 1)) \text{ else } 0) = (\sum j = 0..n. f\ j)$   
 $\langle proof \rangle$

**lemma** *sum-choose-drop-zero*:  
 $(\sum k = 0..Suc\ n. \text{if } k = 0 \text{ then } 0 \text{ else } (Suc\ n - k) \text{ choose } (k - 1)) =$   
 $(\sum j = 0..n. (n-j) \text{ choose } j)$   
 $\langle proof \rangle$

**lemma** *ne-diagonal-fib*:  $(\sum k = 0..n. (n-k) \text{ choose } k) = fib\ (Suc\ n)$   
 $\langle proof \rangle$

end

## 2 Congruence

**theory** *Cong*  
**imports** *HOL-Computational-Algebra.Primes*  
**begin**

### 2.1 Generic congruences

**context** *unique-euclidean-semiring*  
**begin**

**definition** *cong* ::  $'a \Rightarrow 'a \Rightarrow 'a \Rightarrow bool$   
 $(\langle (\langle \text{indent}=1 \text{ notation}=\langle \text{mixfix } cong \rangle [- = -] ' (' mod -) \rangle) \rangle)$   
**where**  $[b = c] (mod\ a) \longleftrightarrow b\ mod\ a = c\ mod\ a$

**abbreviation** *notcong* ::  $'a \Rightarrow 'a \Rightarrow 'a \Rightarrow bool$   
 $(\langle (\langle \text{indent}=1 \text{ notation}=\langle \text{mixfix } notcong \rangle [- \neq -] ' (' mod -) \rangle) \rangle)$   
**where**  $[b \neq c] (mod\ a) \equiv \neg\ cong\ b\ c\ a$

**lemma** *cong-refl* [*simp*]:  
 $[b = b] (mod\ a)$   
 $\langle proof \rangle$

**lemma** *cong-sym*:  
 $[b = c] (mod\ a) \implies [c = b] (mod\ a)$   
 $\langle proof \rangle$

**lemma** *cong-sym-eq*:  
 $[b = c] (mod\ a) \longleftrightarrow [c = b] (mod\ a)$   
 $\langle proof \rangle$

**lemma** *cong-trans* [*trans*]:  
 $[b = c] \text{ (mod } a) \implies [c = d] \text{ (mod } a) \implies [b = d] \text{ (mod } a)$   
 $\langle \text{proof} \rangle$

**lemma** *cong-mult-self-right*:  
 $[b * a = 0] \text{ (mod } a)$   
 $\langle \text{proof} \rangle$

**lemma** *cong-mult-self-left*:  
 $[a * b = 0] \text{ (mod } a)$   
 $\langle \text{proof} \rangle$

**lemma** *cong-mod-left* [*simp*]:  
 $[b \text{ mod } a = c] \text{ (mod } a) \longleftrightarrow [b = c] \text{ (mod } a)$   
 $\langle \text{proof} \rangle$

**lemma** *cong-mod-right* [*simp*]:  
 $[b = c \text{ mod } a] \text{ (mod } a) \longleftrightarrow [b = c] \text{ (mod } a)$   
 $\langle \text{proof} \rangle$

**lemma** *cong-0* [*simp*, *presburger*]:  
 $[b = c] \text{ (mod } 0) \longleftrightarrow b = c$   
 $\langle \text{proof} \rangle$

**lemma** *cong-1* [*simp*, *presburger*]:  
 $[b = c] \text{ (mod } 1)$   
 $\langle \text{proof} \rangle$

**lemma** *cong-dvd-iff*:  
 $a \text{ dvd } b \longleftrightarrow a \text{ dvd } c \text{ if } [b = c] \text{ (mod } a)$   
 $\langle \text{proof} \rangle$

**lemma** *cong-0-iff*:  $[b = 0] \text{ (mod } a) \longleftrightarrow a \text{ dvd } b$   
 $\langle \text{proof} \rangle$

**lemma** *cong-add*:  
 $[b = c] \text{ (mod } a) \implies [d = e] \text{ (mod } a) \implies [b + d = c + e] \text{ (mod } a)$   
 $\langle \text{proof} \rangle$

**lemma** *cong-mult*:  
 $[b = c] \text{ (mod } a) \implies [d = e] \text{ (mod } a) \implies [b * d = c * e] \text{ (mod } a)$   
 $\langle \text{proof} \rangle$

**lemma** *cong-scalar-right*:  
 $[b = c] \text{ (mod } a) \implies [b * d = c * d] \text{ (mod } a)$   
 $\langle \text{proof} \rangle$

**lemma** *cong-scalar-left*:  
 $[b = c] \text{ (mod } a) \implies [d * b = d * c] \text{ (mod } a)$



$\langle proof \rangle$

**lemma** *cong-pow*:

$[b = c] \text{ (mod } a) \implies [b \wedge n = c \wedge n] \text{ (mod } a)$

$\langle proof \rangle$

**lemma** *cong-sum*:

$[sum\ f\ A = sum\ g\ A] \text{ (mod } a) \text{ if } \bigwedge x. x \in A \implies [f\ x = g\ x] \text{ (mod } a)$

$\langle proof \rangle$

**lemma** *cong-prod*:

$[prod\ f\ A = prod\ g\ A] \text{ (mod } a) \text{ if } (\bigwedge x. x \in A \implies [f\ x = g\ x] \text{ (mod } a))$

$\langle proof \rangle$

**lemma** *mod-mult-cong-right*:

$[c \text{ mod } (a * b) = d] \text{ (mod } a) \longleftrightarrow [c = d] \text{ (mod } a)$

$\langle proof \rangle$

**lemma** *mod-mult-cong-left*:

$[c \text{ mod } (b * a) = d] \text{ (mod } a) \longleftrightarrow [c = d] \text{ (mod } a)$

$\langle proof \rangle$

**lemma** *cong-mod-leftI* [simp]:

$[b = c] \text{ (mod } a) \implies [b \text{ mod } a = c] \text{ (mod } a)$

$\langle proof \rangle$

**lemma** *cong-mod-rightI* [simp]:

$[b = c] \text{ (mod } a) \implies [b = c \text{ mod } a] \text{ (mod } a)$

$\langle proof \rangle$

**lemma** *cong-cmult-leftI*:  $[a = b] \text{ (mod } m) \implies [c * a = c * b] \text{ (mod } (c * m))$

$\langle proof \rangle$

**lemma** *cong-cmult-rightI*:  $[a = b] \text{ (mod } m) \implies [a * c = b * c] \text{ (mod } (m * c))$

$\langle proof \rangle$

**lemma** *cong-dvd-mono-modulus*:

**assumes**  $[a = b] \text{ (mod } m) \ m' \text{ dvd } m$

**shows**  $[a = b] \text{ (mod } m')$

$\langle proof \rangle$

**lemma** *coprime-cong-transfer-left*:

**assumes** *coprime*  $a\ b$   $[a = a'] \text{ (mod } b)$

**shows** *coprime*  $a'\ b$

$\langle proof \rangle$

**lemma** *coprime-cong-transfer-right*:

**assumes** *coprime*  $a\ b$   $[b = b'] \text{ (mod } a)$

**shows** *coprime*  $a\ b'$

$\langle proof \rangle$

**lemma** *coprime-cong-cong-left*:

**assumes**  $[a = a'] \pmod{b}$

**shows**  $\text{coprime } a \ b \longleftrightarrow \text{coprime } a' \ b$

$\langle proof \rangle$

**lemma** *coprime-cong-cong-right*:

**assumes**  $[b = b'] \pmod{a}$

**shows**  $\text{coprime } a \ b \longleftrightarrow \text{coprime } a \ b'$

$\langle proof \rangle$

**end**

**context** *unique-euclidean-ring*

**begin**

**lemma** *cong-diff*:

$[b = c] \pmod{a} \implies [d = e] \pmod{a} \implies [b - d = c - e] \pmod{a}$

$\langle proof \rangle$

**lemma** *cong-diff-iff-cong-0*:

$[b - c = 0] \pmod{a} \longleftrightarrow [b = c] \pmod{a} \text{ (is } ?P \longleftrightarrow ?Q)$

$\langle proof \rangle$

**lemma** *cong-minus-minus-iff*:

$[-\ b = -\ c] \pmod{a} \longleftrightarrow [b = c] \pmod{a}$

$\langle proof \rangle$

**lemma** *cong-modulus-minus-iff [iff]*:

$[b = c] \pmod{-\ a} \longleftrightarrow [b = c] \pmod{a}$

$\langle proof \rangle$

**lemma** *cong-iff-dvd-diff*:

$[a = b] \pmod{m} \longleftrightarrow m \text{ dvd } (a - b)$

$\langle proof \rangle$

**lemma** *cong-iff-lin*:

$[a = b] \pmod{m} \longleftrightarrow (\exists k. b = a + m * k) \text{ (is } ?P \longleftrightarrow ?Q)$

$\langle proof \rangle$

**lemma** *cong-add-lcancel*:

$[a + x = a + y] \pmod{n} \longleftrightarrow [x = y] \pmod{n}$

$\langle proof \rangle$

**lemma** *cong-add-rcancel*:

$[x + a = y + a] \pmod{n} \longleftrightarrow [x = y] \pmod{n}$

$\langle proof \rangle$

**lemma** *cong-add-lcancel-0*:

$[a + x = a] \text{ (mod } n) \longleftrightarrow [x = 0] \text{ (mod } n)$   
 $\langle \text{proof} \rangle$

**lemma** *cong-add-rcancel-0*:

$[x + a = a] \text{ (mod } n) \longleftrightarrow [x = 0] \text{ (mod } n)$   
 $\langle \text{proof} \rangle$

**lemma** *cong-dvd-modulus*:

$[x = y] \text{ (mod } n) \text{ if } [x = y] \text{ (mod } m) \text{ and } n \text{ dvd } m$   
 $\langle \text{proof} \rangle$

**lemma** *cong-modulus-mult*:

$[x = y] \text{ (mod } m) \text{ if } [x = y] \text{ (mod } m * n)$   
 $\langle \text{proof} \rangle$

**lemma** *cong-uminus*:  $[x = y] \text{ (mod } m) \implies [-x = -y] \text{ (mod } m)$

$\langle \text{proof} \rangle$

**end**

**lemma** *cong-abs [simp]*:

$[x = y] \text{ (mod } |m|) \longleftrightarrow [x = y] \text{ (mod } m)$   
**for**  $x\ y :: 'a :: \{\text{unique-euclidean-ring, linordered-idom}\}$   
 $\langle \text{proof} \rangle$

**lemma** *cong-square*:

$\text{prime } p \implies 0 < a \implies [a * a = 1] \text{ (mod } p) \implies [a = 1] \text{ (mod } p) \vee [a = -1] \text{ (mod } p)$   
**for**  $a\ p :: 'a :: \{\text{normalization-semidom, linordered-idom, unique-euclidean-ring}\}$   
 $\langle \text{proof} \rangle$

**lemma** *cong-mult-rcancel*:

$[a * k = b * k] \text{ (mod } m) \longleftrightarrow [a = b] \text{ (mod } m)$   
**if**  $\text{coprime } k\ m$  **for**  $a\ k\ m :: 'a :: \{\text{unique-euclidean-ring, ring-gcd}\}$   
 $\langle \text{proof} \rangle$

**lemma** *cong-mult-lcancel*:

$[k * a = k * b] \text{ (mod } m) \implies [a = b] \text{ (mod } m)$   
**if**  $\text{coprime } k\ m$  **for**  $a\ k\ m :: 'a :: \{\text{unique-euclidean-ring, ring-gcd}\}$   
 $\langle \text{proof} \rangle$

**lemma** *coprime-cong-mult*:

$[a = b] \text{ (mod } m) \implies [a = b] \text{ (mod } n) \implies \text{coprime } m\ n \implies [a = b] \text{ (mod } m * n)$   
**for**  $a\ b :: 'a :: \{\text{unique-euclidean-ring, semiring-gcd}\}$   
 $\langle \text{proof} \rangle$

**lemma** *cong-gcd-eq*:

$\text{gcd } a\ m = \text{gcd } b\ m \text{ if } [a = b] \text{ (mod } m)$

**for**  $a\ b :: 'a :: \{\text{unique-euclidean-semiring}, \text{euclidean-semiring-gcd}\}$   
 $\langle \text{proof} \rangle$

**lemma** *cong-imp-coprime*:  
 $[a = b] \ (\text{mod } m) \implies \text{coprime } a\ m \implies \text{coprime } b\ m$   
**for**  $a\ b :: 'a :: \{\text{unique-euclidean-semiring}, \text{euclidean-semiring-gcd}\}$   
 $\langle \text{proof} \rangle$

**lemma** *cong-cong-prod-coprime*:  
 $[x = y] \ (\text{mod } (\prod_{i \in A} m\ i))$  **if**  
 $(\forall i \in A. [x = y] \ (\text{mod } m\ i))$   
 $(\forall i \in A. (\forall j \in A. i \neq j \longrightarrow \text{coprime } (m\ i) \ (m\ j)))$   
**for**  $x\ y :: 'a :: \{\text{unique-euclidean-ring}, \text{semiring-gcd}\}$   
 $\langle \text{proof} \rangle$

## 2.2 Congruences on *nat* and *int*

**lemma** *cong-int-iff*:  
 $[\text{int } m = \text{int } q] \ (\text{mod } \text{int } n) \longleftrightarrow [m = q] \ (\text{mod } n)$   
 $\langle \text{proof} \rangle$

**lemma** *cong-Suc-0* [*simp*, *presburger*]:  
 $[m = n] \ (\text{mod } \text{Suc } 0)$   
 $\langle \text{proof} \rangle$

**lemma** *cong-diff-nat*:  
 $[a - c = b - d] \ (\text{mod } m)$  **if**  $[a = b] \ (\text{mod } m)$   $[c = d] \ (\text{mod } m)$   
**and**  $a \geq c$   $b \geq d$  **for**  $a\ b\ c\ d\ m :: \text{nat}$   
 $\langle \text{proof} \rangle$

**lemma** *cong-diff-iff-cong-0-nat*:  
 $[a - b = 0] \ (\text{mod } m) \longleftrightarrow [a = b] \ (\text{mod } m)$  **if**  $a \geq b$  **for**  $a\ b :: \text{nat}$   
 $\langle \text{proof} \rangle$

**lemma** *cong-diff-iff-cong-0-nat'*:  
 $[\text{nat } |\text{int } a - \text{int } b| = 0] \ (\text{mod } m) \longleftrightarrow [a = b] \ (\text{mod } m)$   
 $\langle \text{proof} \rangle$

**lemma** *cong-altdef-nat*:  
 $a \geq b \implies [a = b] \ (\text{mod } m) \longleftrightarrow m \text{ dvd } (a - b)$   
**for**  $a\ b :: \text{nat}$   
 $\langle \text{proof} \rangle$

**lemma** *cong-altdef-nat'*:  
 $[a = b] \ (\text{mod } m) \longleftrightarrow m \text{ dvd } \text{nat } |\text{int } a - \text{int } b|$   
 $\langle \text{proof} \rangle$

**lemma** *cong-mult-rcancel-nat*:  
 $[a * k = b * k] \ (\text{mod } m) \longleftrightarrow [a = b] \ (\text{mod } m)$

**if** *coprime* *k m* **for** *a k m :: nat*  
 ⟨*proof*⟩

**lemma** *cong-mult-lcancel-nat*:  
 $[k * a = k * b] \text{ (mod } m) = [a = b] \text{ (mod } m)$   
**if** *coprime* *k m* **for** *a k m :: nat*  
 ⟨*proof*⟩

**lemma** *coprime-cong-mult-nat*:  
 $[a = b] \text{ (mod } m) \implies [a = b] \text{ (mod } n) \implies \text{coprime } m \ n \implies [a = b] \text{ (mod } m * n)$   
**for** *a b :: nat*  
 ⟨*proof*⟩

**lemma** *cong-less-imp-eq-nat*:  $0 \leq a \implies a < m \implies 0 \leq b \implies b < m \implies [a = b] \text{ (mod } m) \implies a = b$   
**for** *a b :: nat*  
 ⟨*proof*⟩

**lemma** *cong-less-imp-eq-int*:  $0 \leq a \implies a < m \implies 0 \leq b \implies b < m \implies [a = b] \text{ (mod } m) \implies a = b$   
**for** *a b :: int*  
 ⟨*proof*⟩

**lemma** *cong-less-unique-nat*:  $0 < m \implies (\exists ! b. 0 \leq b \wedge b < m \wedge [a = b] \text{ (mod } m))$   
**for** *a m :: nat*  
 ⟨*proof*⟩

**lemma** *cong-less-unique-int*:  $0 < m \implies (\exists ! b. 0 \leq b \wedge b < m \wedge [a = b] \text{ (mod } m))$   
**for** *a m :: int*  
 ⟨*proof*⟩

**lemma** *cong-iff-lin-nat*:  $[a = b] \text{ (mod } m) \longleftrightarrow (\exists k1 \ k2. b + k1 * m = a + k2 * m)$   
**for** *a b :: nat*  
 ⟨*proof*⟩

**lemma** *cong-cong-mod-nat*:  $[a = b] \text{ (mod } m) \longleftrightarrow [a \text{ mod } m = b \text{ mod } m] \text{ (mod } m)$   
**for** *a b :: nat*  
 ⟨*proof*⟩

**lemma** *cong-cong-mod-int*:  $[a = b] \text{ (mod } m) \longleftrightarrow [a \text{ mod } m = b \text{ mod } m] \text{ (mod } m)$   
**for** *a b :: int*  
 ⟨*proof*⟩

**lemma** *cong-add-lcancel-nat*:  $[a + x = a + y] \text{ (mod } n) \longleftrightarrow [x = y] \text{ (mod } n)$   
**for** *a x y :: nat*  
 ⟨*proof*⟩

**lemma** *cong-add-rcancel-nat*:  $[x + a = y + a] \text{ (mod } n) \longleftrightarrow [x = y] \text{ (mod } n)$   
**for**  $a \ x \ y :: \text{nat}$   
 $\langle \text{proof} \rangle$

**lemma** *cong-add-lcancel-0-nat*:  $[a + x = a] \text{ (mod } n) \longleftrightarrow [x = 0] \text{ (mod } n)$   
**for**  $a \ x :: \text{nat}$   
 $\langle \text{proof} \rangle$

**lemma** *cong-add-rcancel-0-nat*:  $[x + a = a] \text{ (mod } n) \longleftrightarrow [x = 0] \text{ (mod } n)$   
**for**  $a \ x :: \text{nat}$   
 $\langle \text{proof} \rangle$

**lemma** *cong-dvd-modulus-nat*:  $[x = y] \text{ (mod } m) \implies n \text{ dvd } m \implies [x = y] \text{ (mod } n)$   
**for**  $x \ y :: \text{nat}$   
 $\langle \text{proof} \rangle$

**lemma** *cong-to-1-nat*:  
**fixes**  $a :: \text{nat}$   
**assumes**  $[a = 1] \text{ (mod } n)$   
**shows**  $n \text{ dvd } (a - 1)$   
 $\langle \text{proof} \rangle$

**lemma** *cong-0-1-nat'*:  $[0 = \text{Suc } 0] \text{ (mod } n) \longleftrightarrow n = \text{Suc } 0$   
 $\langle \text{proof} \rangle$

**lemma** *cong-0-1-nat*:  $[0 = 1] \text{ (mod } n) \longleftrightarrow n = 1$   
**for**  $n :: \text{nat}$   
 $\langle \text{proof} \rangle$

**lemma** *cong-0-1-int*:  $[0 = 1] \text{ (mod } n) \longleftrightarrow n = 1 \vee n = -1$   
**for**  $n :: \text{int}$   
 $\langle \text{proof} \rangle$

**lemma** *cong-to-1'-nat*:  $[a = 1] \text{ (mod } n) \longleftrightarrow a = 0 \wedge n = 1 \vee (\exists m. a = 1 + m * n)$   
**for**  $a :: \text{nat}$   
 $\langle \text{proof} \rangle$

**lemma** *cong-le-nat*:  $y \leq x \implies [x = y] \text{ (mod } n) \longleftrightarrow (\exists q. x = q * n + y)$   
**for**  $x \ y :: \text{nat}$   
 $\langle \text{proof} \rangle$

**lemma** *cong-solve-nat*:  
**fixes**  $a :: \text{nat}$   
**shows**  $\exists x. [a * x = \text{gcd } a \ n] \text{ (mod } n)$   
 $\langle \text{proof} \rangle$

**lemma** *cong-solve-int*:  
**fixes**  $a :: \text{int}$

**shows**  $\exists x. [a * x = \text{gcd } a \ n] \ (\text{mod } n)$   
 $\langle \text{proof} \rangle$

**lemma** *cong-solve-dvd-nat*:  
**fixes**  $a :: \text{nat}$   
**assumes**  $\text{gcd } a \ n \ \text{dvd } d$   
**shows**  $\exists x. [a * x = d] \ (\text{mod } n)$   
 $\langle \text{proof} \rangle$

**lemma** *cong-solve-dvd-int*:  
**fixes**  $a :: \text{int}$   
**assumes**  $b: \text{gcd } a \ n \ \text{dvd } d$   
**shows**  $\exists x. [a * x = d] \ (\text{mod } n)$   
 $\langle \text{proof} \rangle$

**lemma** *cong-solve-coprime-nat*:  
 $\exists x. [a * x = \text{Suc } 0] \ (\text{mod } n)$  **if** *coprime*  $a \ n$   
 $\langle \text{proof} \rangle$

**lemma** *cong-solve-coprime-int*:  
 $\exists x. [a * x = 1] \ (\text{mod } n)$  **if** *coprime*  $a \ n$  **for**  $a \ n \ x :: \text{int}$   
 $\langle \text{proof} \rangle$

**lemma** *coprime-iff-invertible-nat*:  
 $\text{coprime } a \ m \longleftrightarrow (\exists x. [a * x = \text{Suc } 0] \ (\text{mod } m))$  (**is**  $?P \longleftrightarrow ?Q$ )  
 $\langle \text{proof} \rangle$

**lemma** *coprime-iff-invertible-int*:  
 $\text{coprime } a \ m \longleftrightarrow (\exists x. [a * x = 1] \ (\text{mod } m))$  (**is**  $?P \longleftrightarrow ?Q$ ) **for**  $m :: \text{int}$   
 $\langle \text{proof} \rangle$

**lemma** *coprime-iff-invertible'-nat*:  
**assumes**  $m > 0$   
**shows**  $\text{coprime } a \ m \longleftrightarrow (\exists x. 0 \leq x \wedge x < m \wedge [a * x = \text{Suc } 0] \ (\text{mod } m))$   
 $\langle \text{proof} \rangle$

**lemma** *coprime-iff-invertible'-int*:  
**fixes**  $m :: \text{int}$   
**assumes**  $m > 0$   
**shows**  $\text{coprime } a \ m \longleftrightarrow (\exists x. 0 \leq x \wedge x < m \wedge [a * x = 1] \ (\text{mod } m))$   
 $\langle \text{proof} \rangle$

**lemma** *cong-cong-lcm-nat*:  $[x = y] \ (\text{mod } a) \implies [x = y] \ (\text{mod } b) \implies [x = y] \ (\text{mod } \text{lcm } a \ b)$   
**for**  $x \ y :: \text{nat}$   
 $\langle \text{proof} \rangle$

**lemma** *cong-cong-lcm-int*:  $[x = y] \ (\text{mod } a) \implies [x = y] \ (\text{mod } b) \implies [x = y] \ (\text{mod } \text{lcm } a \ b)$

```

for  $x\ y :: \text{int}$ 
   $\langle \text{proof} \rangle$ 

lemma cong-cong-prod-coprime-nat:
   $[x = y] \ (\text{mod } (\prod_{i \in A} m\ i))$  if
     $(\forall i \in A. [x = y] \ (\text{mod } m\ i))$ 
     $(\forall i \in A. (\forall j \in A. i \neq j \longrightarrow \text{coprime } (m\ i) (m\ j)))$ 
  for  $x\ y :: \text{nat}$ 
   $\langle \text{proof} \rangle$ 

lemma binary-chinese-remainder-nat:
  fixes  $m1\ m2 :: \text{nat}$ 
  assumes  $a: \text{coprime } m1\ m2$ 
  shows  $\exists x. [x = u1] \ (\text{mod } m1) \wedge [x = u2] \ (\text{mod } m2)$ 
   $\langle \text{proof} \rangle$ 

lemma binary-chinese-remainder-int:
  fixes  $m1\ m2 :: \text{int}$ 
  assumes  $a: \text{coprime } m1\ m2$ 
  shows  $\exists x. [x = u1] \ (\text{mod } m1) \wedge [x = u2] \ (\text{mod } m2)$ 
   $\langle \text{proof} \rangle$ 

lemma cong-modulus-mult-nat:  $[x = y] \ (\text{mod } m * n) \Longrightarrow [x = y] \ (\text{mod } m)$ 
  for  $x\ y :: \text{nat}$ 
   $\langle \text{proof} \rangle$ 

lemma cong-less-modulus-unique-nat:  $[x = y] \ (\text{mod } m) \Longrightarrow x < m \Longrightarrow y < m \Longrightarrow$ 
 $x = y$ 
  for  $x\ y :: \text{nat}$ 
   $\langle \text{proof} \rangle$ 

lemma binary-chinese-remainder-unique-nat:
  fixes  $m1\ m2 :: \text{nat}$ 
  assumes  $a: \text{coprime } m1\ m2$ 
  and  $nz: m1 \neq 0\ m2 \neq 0$ 
  shows  $\exists! x. x < m1 * m2 \wedge [x = u1] \ (\text{mod } m1) \wedge [x = u2] \ (\text{mod } m2)$ 
   $\langle \text{proof} \rangle$ 

lemma chinese-remainder-nat:
  fixes  $A :: 'a\ \text{set}$ 
  and  $m :: 'a \Rightarrow \text{nat}$ 
  and  $u :: 'a \Rightarrow \text{nat}$ 
  assumes  $\text{fin: finite } A$ 
  and  $\text{cop: } \forall i \in A. \forall j \in A. i \neq j \longrightarrow \text{coprime } (m\ i) (m\ j)$ 
  shows  $\exists x. \forall i \in A. [x = u\ i] \ (\text{mod } m\ i)$ 
   $\langle \text{proof} \rangle$ 

lemma coprime-cong-prod-nat:  $[x = y] \ (\text{mod } (\prod_{i \in A} m\ i))$ 
  if  $\bigwedge i\ j. [i \in A; j \in A; i \neq j] \Longrightarrow \text{coprime } (m\ i) (m\ j)$ 

```



```

    and  $\bigwedge i. i \in A \implies [x = y] \text{ (mod } m \ i)$  for  $x \ y :: \text{nat}$ 
  <proof>

lemma chinese-remainder-unique-nat:
  fixes  $A :: 'a \text{ set}$ 
  and  $m :: 'a \Rightarrow \text{nat}$ 
  and  $u :: 'a \Rightarrow \text{nat}$ 
  assumes fin: finite A
  and nz:  $\forall i \in A. m \ i \neq 0$ 
  and cop:  $\forall i \in A. \forall j \in A. i \neq j \longrightarrow \text{coprime } (m \ i) \ (m \ j)$ 
  shows  $\exists! x. x < (\prod i \in A. m \ i) \wedge (\forall i \in A. [x = u \ i] \text{ (mod } m \ i))$ 
  <proof>

```

```

lemma (in semiring-1-cancel) of-nat-eq-iff-cong-CHAR:
  of-nat  $x = (\text{of-nat } y :: 'a) \longleftrightarrow [x = y] \text{ (mod CHAR('a))}$ 
  <proof>

```

```

lemma (in ring-1) of-int-eq-iff-cong-CHAR:
  of-int  $x = (\text{of-int } y :: 'a) \longleftrightarrow [x = y] \text{ (mod int CHAR('a))}$ 
  <proof>

```

Thanks to Manuel Eberl

```

lemma prime-cong-4-nat-cases [consumes 1, case-names 2 cong-1 cong-3]:
  assumes prime ( $p :: \text{nat}$ )
  obtains  $p = 2 \mid [p = 1] \text{ (mod } 4) \mid [p = 3] \text{ (mod } 4)$ 
  <proof>

end

```

### 3 Fundamental facts about Euler's totient function

```

theory Totient
imports
  Complex-Main
  HOL-Computational-Algebra.Primes
  Cong
begin

definition totatives ::  $\text{nat} \Rightarrow \text{nat set}$  where
  totatives  $n = \{k \in \{0 <..n\}. \text{coprime } k \ n\}$ 

lemma in-totatives-iff:  $k \in \text{totatives } n \longleftrightarrow k > 0 \wedge k \leq n \wedge \text{coprime } k \ n$ 
  <proof>

lemma totatives-code [code]: totatives  $n = \text{Set.filter } (\lambda k. \text{coprime } k \ n) \ \{0 <..n\}$ 
  <proof>

```

**lemma** *finite-totatives* [simp]: *finite (totatives n)*  
 ⟨proof⟩

**lemma** *totatives-subset*: *totatives n*  $\subseteq$   $\{0 <..n\}$   
 ⟨proof⟩

**lemma** *zero-not-in-totatives* [simp]:  $0 \notin \text{totatives } n$   
 ⟨proof⟩

**lemma** *totatives-le*:  $x \in \text{totatives } n \implies x \leq n$   
 ⟨proof⟩

**lemma** *totatives-less*:  
 assumes  $x \in \text{totatives } n$   $n > 1$   
 shows  $x < n$   
 ⟨proof⟩

**lemma** *totatives-0* [simp]: *totatives 0* = {}  
 ⟨proof⟩

**lemma** *totatives-1* [simp]: *totatives 1* = {Suc 0}  
 ⟨proof⟩

**lemma** *totatives-Suc-0* [simp]: *totatives (Suc 0)* = {Suc 0}  
 ⟨proof⟩

**lemma** *one-in-totatives* [simp]:  $n > 0 \implies \text{Suc } 0 \in \text{totatives } n$   
 ⟨proof⟩

**lemma** *totatives-eq-empty-iff* [simp]: *totatives n* = {}  $\longleftrightarrow n = 0$   
 ⟨proof⟩

**lemma** *minus-one-in-totatives*:  
 assumes  $n \geq 2$   
 shows  $n - 1 \in \text{totatives } n$   
 ⟨proof⟩

**lemma** *power-in-totatives*:  
 assumes  $m > 1$  *coprime m g*  
 shows  $g^i \bmod m \in \text{totatives } m$   
 ⟨proof⟩

**lemma** *totatives-prime-power-Suc*:  
 assumes *prime p*  
 shows  $\text{totatives } (p^i \wedge \text{Suc } n) = \{0 <..p^i \wedge \text{Suc } n\} - (\lambda m. p * m) ` \{0 <..p^i \wedge n\}$   
 ⟨proof⟩

**lemma** *totatives-prime*: *prime p*  $\implies \text{totatives } p = \{0 <..<p\}$   
 ⟨proof⟩

**lemma** *bij-betw-totatives*:

**assumes**  $m1 > 1$   $m2 > 1$  *coprime*  $m1$   $m2$

**shows**  $\text{bij-betw } (\lambda x. (x \bmod m1, x \bmod m2)) (\text{totatives } (m1 * m2))$   
 $(\text{totatives } m1 \times \text{totatives } m2)$

$\langle \text{proof} \rangle$

**lemma** *bij-betw-totatives-gcd-eq*:

**fixes**  $n$   $d :: \text{nat}$

**assumes**  $d \text{ dvd } n$   $n > 0$

**shows**  $\text{bij-betw } (\lambda k. k * d) (\text{totatives } (n \text{ div } d)) \{k \in \{0 <..n\}. \text{gcd } k \ n = d\}$

$\langle \text{proof} \rangle$

**definition** *totient*  $:: \text{nat} \Rightarrow \text{nat}$  **where**

$\text{totient } n = \text{card } (\text{totatives } n)$

**primrec** *totient-naive*  $:: \text{nat} \Rightarrow \text{nat} \Rightarrow \text{nat} \Rightarrow \text{nat}$  **where**

$\text{totient-naive } 0 \text{ acc } n = \text{acc}$

|  $\text{totient-naive } (\text{Suc } k) \text{ acc } n =$

$(\text{if } \text{coprime } (\text{Suc } k) \ n \text{ then } \text{totient-naive } k \ (\text{acc} + 1) \ n \text{ else } \text{totient-naive } k \ \text{acc}$   
 $n)$

**lemma** *totient-naive*:

$\text{totient-naive } k \ \text{acc } n = \text{card } \{x \in \{0 <..k\}. \text{coprime } x \ n\} + \text{acc}$   
 $\langle \text{proof} \rangle$

**lemma** *totient-code-naive* [code]:  $\text{totient } n = \text{totient-naive } n \ 0 \ n$

$\langle \text{proof} \rangle$

**lemma** *totient-le*:  $\text{totient } n \leq n$

$\langle \text{proof} \rangle$

**lemma** *totient-less*:

**assumes**  $n > 1$

**shows**  $\text{totient } n < n$

$\langle \text{proof} \rangle$

**lemma** *totient-0* [simp]:  $\text{totient } 0 = 0$

$\langle \text{proof} \rangle$

**lemma** *totient-Suc-0* [simp]:  $\text{totient } (\text{Suc } 0) = \text{Suc } 0$

$\langle \text{proof} \rangle$

**lemma** *totient-1* [simp]:  $\text{totient } 1 = \text{Suc } 0$

$\langle \text{proof} \rangle$

**lemma** *totient-0-iff* [simp]:  $\text{totient } n = 0 \longleftrightarrow n = 0$

$\langle \text{proof} \rangle$

**lemma** *totient-gt-0-iff* [simp]:  $\text{totient } n > 0 \longleftrightarrow n > 0$   
 <proof>

**lemma** *totient-gt-1*:  
 assumes  $n > 2$   
 shows  $\text{totient } n > 1$   
 <proof>

**lemma** *card-gcd-eq-totient*:  
 $n > 0 \implies d \text{ dvd } n \implies \text{card } \{k \in \{0 <..n\}. \text{gcd } k \ n = d\} = \text{totient } (n \text{ div } d)$   
 <proof>

**lemma** *totient-divisor-sum*:  $(\sum d \mid d \text{ dvd } n. \text{totient } d) = n$   
 <proof>

**lemma** *totient-mult-coprime*:  
 assumes *coprime*  $m \ n$   
 shows  $\text{totient } (m * n) = \text{totient } m * \text{totient } n$   
 <proof>

**lemma** *totient-prime-power-Suc*:  
 assumes *prime*  $p$   
 shows  $\text{totient } (p \wedge \text{Suc } n) = p \wedge n * (p - 1)$   
 <proof>

**lemma** *totient-prime-power*:  
 assumes *prime*  $p \ n > 0$   
 shows  $\text{totient } (p \wedge n) = p \wedge (n - 1) * (p - 1)$   
 <proof>

**lemma** *totient-imp-prime*:  
 assumes  $\text{totient } p = p - 1 \ p > 0$   
 shows *prime*  $p$   
 <proof>

**lemma** *totient-prime*:  
 assumes *prime*  $p$   
 shows  $\text{totient } p = p - 1$   
 <proof>

**lemma** *totient-2* [simp]:  $\text{totient } 2 = 1$   
**and** *totient-3* [simp]:  $\text{totient } 3 = 2$   
**and** *totient-5* [simp]:  $\text{totient } 5 = 4$   
**and** *totient-7* [simp]:  $\text{totient } 7 = 6$   
 <proof>

**lemma** *totient-4* [simp]:  $\text{totient } 4 = 2$   
**and** *totient-8* [simp]:  $\text{totient } 8 = 4$   
**and** *totient-9* [simp]:  $\text{totient } 9 = 6$

$\langle \text{proof} \rangle$

**lemma** *totient-6* [*simp*]:  $\text{totient } 6 = 2$   
 $\langle \text{proof} \rangle$

**lemma** *totient-even*:  
  **assumes**  $n > 2$   
  **shows**  $\text{even } (\text{totient } n)$   
 $\langle \text{proof} \rangle$

**lemma** *totient-prod-coprime*:  
  **assumes**  $\text{pairwise coprime } (f \text{ ` } A) \text{ inj-on } f \ A$   
  **shows**  $\text{totient } (\text{prod } f \ A) = (\prod_{a \in A.} \text{totient } (f \ a))$   
 $\langle \text{proof} \rangle$

**lemma** *prime-power-eq-imp-eq*:  
  **fixes**  $p \ q :: 'a :: \text{factorial-semiring}$   
  **assumes**  $\text{prime } p \ \text{prime } q \ m > 0$   
  **assumes**  $p^m = q^n$   
  **shows**  $p = q$   
 $\langle \text{proof} \rangle$

**lemma** *totient-formula1*:  
  **assumes**  $n > 0$   
  **shows**  $\text{totient } n = (\prod_{p \in \text{prime-factors } n.} p^{(\text{multiplicity } p \ n - 1) * (p - 1)})$   
 $\langle \text{proof} \rangle$

**lemma** *totient-dvd*:  
  **assumes**  $m \ \text{dvd } n$   
  **shows**  $\text{totient } m \ \text{dvd } \text{totient } n$   
 $\langle \text{proof} \rangle$

**lemma** *totient-dvd-mono*:  
  **assumes**  $m \ \text{dvd } n \ n > 0$   
  **shows**  $\text{totient } m \leq \text{totient } n$   
 $\langle \text{proof} \rangle$

**lemma** *prime-factors-power*:  $n > 0 \implies \text{prime-factors } (x^n) = \text{prime-factors } x$   
 $\langle \text{proof} \rangle$

**lemma** *totient-formula2*:  
   $\text{real } (\text{totient } n) = \text{real } n * (\prod_{p \in \text{prime-factors } n.} 1 - 1 / \text{real } p)$   
 $\langle \text{proof} \rangle$

**lemma** *totient-gcd*:  $\text{totient } (a * b) * \text{totient } (\text{gcd } a \ b) = \text{totient } a * \text{totient } b * \text{gcd } a \ b$   
 $\langle \text{proof} \rangle$

**lemma** *totient-mult*:  $\text{totient } (a * b) = \text{totient } a * \text{totient } b * \text{gcd } a \ b \ \text{div } \text{totient } (\text{gcd } a \ b)$   
 ⟨proof⟩

**lemma** *of-nat-eq-1-iff*:  $\text{of-nat } x = (1 :: 'a :: \{\text{semiring-1}, \text{semiring-char-0}\}) \longleftrightarrow x = 1$   
 ⟨proof⟩

**lemma** *odd-imp-coprime-nat*:  
 assumes *odd* ( $n :: \text{nat}$ )  
 shows *coprime*  $n \ 2$   
 ⟨proof⟩

**lemma** *totient-double*:  $\text{totient } (2 * n) = (\text{if even } n \text{ then } 2 * \text{totient } n \text{ else } \text{totient } n)$   
 ⟨proof⟩

**lemma** *totient-power-Suc*:  $\text{totient } (n \wedge \text{Suc } m) = n \wedge m * \text{totient } n$   
 ⟨proof⟩

**lemma** *totient-power*:  $m > 0 \implies \text{totient } (n \wedge m) = n \wedge (m - 1) * \text{totient } n$   
 ⟨proof⟩

**lemma** *totient-gcd-lcm*:  $\text{totient } (\text{gcd } a \ b) * \text{totient } (\text{lcm } a \ b) = \text{totient } a * \text{totient } b$   
 ⟨proof⟩

**end**

## 4 Residue rings

**theory** *Residues*

**imports**

*Cong*

*HOL-Algebra.Multiplicative-Group*

*Totient*

**begin**

**lemma** (*in ring-1*) *CHAR-dvd-CARD*:  $\text{CHAR}('a) \ \text{dvd} \ \text{card } (\text{UNIV} :: 'a \ \text{set})$   
 ⟨proof⟩

**definition** *QuadRes* ::  $\text{int} \Rightarrow \text{int} \Rightarrow \text{bool}$   
 where  $\text{QuadRes } p \ a = (\exists y. ([y^2 = a] \ (\text{mod } p)))$

**definition** *Legendre* ::  $\text{int} \Rightarrow \text{int} \Rightarrow \text{int}$   
 where  $\text{Legendre } a \ p =$   
   (*if*  $[a = 0] \ (\text{mod } p)$ ) *then* 0  
   *else if*  $\text{QuadRes } p \ a$  *then* 1

*else -1)*

#### 4.1 A locale for residue rings

**definition** *residue-ring* :: *int*  $\Rightarrow$  *int ring*  
**where**  
*residue-ring* *m* =  
 ( $\text{carrier} = \{0..m - 1\}$ ,  
 $\text{monoid.mult} = \lambda x y. (x * y) \text{ mod } m$ ,  
 $\text{one} = 1$ ,  
 $\text{zero} = 0$ ,  
 $\text{add} = \lambda x y. (x + y) \text{ mod } m$ )

**locale** *residues* =  
**fixes** *m* :: *int* **and** *R* (**structure**)  
**assumes** *m-gt-one*:  $m > 1$   
**defines** *R-m-def*:  $R \equiv \text{residue-ring } m$   
**begin**

**lemma** *abelian-group*: *abelian-group* *R*  
 $\langle \text{proof} \rangle$

**lemma** *comm-monoid*: *comm-monoid* *R*  
 $\langle \text{proof} \rangle$

**interpretation** *comm-monoid* *R*  
 $\langle \text{proof} \rangle$

**lemma** *cring*: *cring* *R*  
 $\langle \text{proof} \rangle$

**end**

**sublocale** *residues* < *cring*  
 $\langle \text{proof} \rangle$

**context** *residues*  
**begin**

These lemmas translate back and forth between internal and external concepts.

**lemma** *res-carrier-eq*:  $\text{carrier } R = \{0..m - 1\}$   
 $\langle \text{proof} \rangle$

**lemma** *res-add-eq*:  $x \oplus y = (x + y) \text{ mod } m$   
 $\langle \text{proof} \rangle$

**lemma** *res-mult-eq*:  $x \otimes y = (x * y) \text{ mod } m$

$\langle proof \rangle$

**lemma** *res-zero-eq*:  $\mathbf{0} = 0$   
 $\langle proof \rangle$

**lemma** *res-one-eq*:  $\mathbf{1} = 1$   
 $\langle proof \rangle$

**lemma** *res-units-eq*:  $Units\ R = \{x. 0 < x \wedge x < m \wedge coprime\ x\ m\}$  (**is** - = ?*rhs*)  
 $\langle proof \rangle$

**lemma** *res-neg-eq*:  $\ominus x = (-\ x)\ mod\ m$   
 $\langle proof \rangle$

**lemma** *finite [iff]*:  $finite\ (carrier\ R)$   
 $\langle proof \rangle$

**lemma** *finite-Units [iff]*:  $finite\ (Units\ R)$   
 $\langle proof \rangle$

The function  $a \mapsto a\ mod\ m$  maps the integers to the residue classes. The following lemmas show that this mapping respects addition and multiplication on the integers.

**lemma** *mod-in-carrier [iff]*:  $a\ mod\ m \in carrier\ R$   
 $\langle proof \rangle$

**lemma** *add-cong*:  $(x\ mod\ m) \oplus (y\ mod\ m) = (x + y)\ mod\ m$   
 $\langle proof \rangle$

**lemma** *mult-cong*:  $(x\ mod\ m) \otimes (y\ mod\ m) = (x * y)\ mod\ m$   
 $\langle proof \rangle$

**lemma** *zero-cong*:  $\mathbf{0} = 0$   
 $\langle proof \rangle$

**lemma** *one-cong*:  $\mathbf{1} = 1\ mod\ m$   
 $\langle proof \rangle$

**lemma** *pow-cong*:  $(x\ mod\ m) [\wedge] n = x^n\ mod\ m$   
 $\langle proof \rangle$

**lemma** *neg-cong*:  $\ominus (x\ mod\ m) = (-\ x)\ mod\ m$   
 $\langle proof \rangle$

**lemma** (**in residues**) *prod-cong*:  $finite\ A \implies (\bigotimes_{i \in A}. (f\ i)\ mod\ m) = (\prod_{i \in A}. f\ i)\ mod\ m$   
 $\langle proof \rangle$



**lemma** (in *residues*) *sum-cong*:  $\text{finite } A \implies (\bigoplus_{i \in A} (f \ i) \bmod m) = (\sum_{i \in A} f \ i) \bmod m$   
 <proof>

**lemma** *mod-in-res-units* [*simp*]:  
 assumes  $1 < m$  and *coprime*  $a \ m$   
 shows  $a \bmod m \in \text{Units } R$   
 <proof>

**lemma** *res-eq-to-cong*:  $(a \bmod m) = (b \bmod m) \longleftrightarrow [a = b] \bmod m$   
 <proof>

Simplifying with these will translate a ring equation in  $R$  to a congruence.

**lemmas** *res-to-cong-simps* =  
*add-cong mult-cong pow-cong one-cong*  
*prod-cong sum-cong neg-cong res-eq-to-cong*

Other useful facts about the residue ring.

**lemma** *one-eq-neg-one*:  $1 = \ominus 1 \implies m = 2$   
 <proof>

**end**

## 4.2 Prime residues

**locale** *residues-prime* =  
 fixes  $p :: \text{nat}$  and  $R$  (**structure**)  
 assumes *p-prime* [*intro*]: *prime*  $p$   
 defines  $R \equiv \text{residue-ring } (\text{int } p)$

**sublocale** *residues-prime* < *residues*  $p$   
 <proof>

**context** *residues-prime*  
**begin**

**lemma** *p-coprime-left*:  
*coprime*  $p \ a \longleftrightarrow \neg p \ \text{dvd } a$   
 <proof>

**lemma** *p-coprime-right*:  
*coprime*  $a \ p \longleftrightarrow \neg p \ \text{dvd } a$   
 <proof>

**lemma** *p-coprime-left-int*:  
*coprime*  $(\text{int } p) \ a \longleftrightarrow \neg \text{int } p \ \text{dvd } a$   
 <proof>

**lemma** *p-coprime-right-int*:

*coprime*  $a$  (*int*  $p$ )  $\longleftrightarrow \neg$  *int*  $p$  *dvd*  $a$   
 $\langle$ *proof* $\rangle$

**lemma** *is-field*: *field*  $R$   
 $\langle$ *proof* $\rangle$

**lemma** *res-prime-units-eq*: *Units*  $R = \{1..p - 1\}$   
 $\langle$ *proof* $\rangle$

**end**

**sublocale** *residues-prime* < *field*  
 $\langle$ *proof* $\rangle$

## 5 Test cases: Euler's theorem and Wilson's theorem

### 5.1 Euler's theorem

**lemma** (*in residues*) *totatives-eq*:  
*totatives* (*nat*  $m$ ) = *nat* ' *Units*  $R$   
 $\langle$ *proof* $\rangle$

**lemma** (*in residues*) *totient-eq*:  
*totient* (*nat*  $m$ ) = *card* (*Units*  $R$ )  
 $\langle$ *proof* $\rangle$

**lemma** (*in residues-prime*) *prime-totient-eq*: *totient*  $p = p - 1$   
 $\langle$ *proof* $\rangle$

**lemma** (*in residues*) *euler-theorem*:  
**assumes** *coprime*  $a$   $m$   
**shows** [ $a$   $\wedge$  *totient* (*nat*  $m$ ) = 1] (*mod*  $m$ )  
 $\langle$ *proof* $\rangle$

**lemma** *euler-theorem*:  
**fixes**  $a$   $m ::$  *nat*  
**assumes** *coprime*  $a$   $m$   
**shows** [ $a$   $\wedge$  *totient*  $m = 1$ ] (*mod*  $m$ )  
 $\langle$ *proof* $\rangle$

**lemma** *fermat-theorem*:  
**fixes**  $p$   $a ::$  *nat*  
**assumes** *prime*  $p$  **and**  $\neg$   $p$  *dvd*  $a$   
**shows** [ $a$   $\wedge$  ( $p - 1$ ) = 1] (*mod*  $p$ )  
 $\langle$ *proof* $\rangle$

## 5.2 Wilson's theorem

**lemma** (in *field*) *inv-pair-lemma*:  $x \in \text{Units } R \implies y \in \text{Units } R \implies$   
 $\{x, \text{inv } x\} \neq \{y, \text{inv } y\} \implies \{x, \text{inv } x\} \cap \{y, \text{inv } y\} = \{\}$   
 $\langle \text{proof} \rangle$

**lemma** (in *residues-prime*) *wilson-theorem1*:  
**assumes**  $a: p > 2$   
**shows**  $[\text{fact } (p - 1) = (-1::\text{int})] \pmod{p}$   
 $\langle \text{proof} \rangle$

**lemma** *wilson-theorem*:  
**assumes** *prime*  $p$   
**shows**  $[\text{fact } (p - 1) = -1] \pmod{p}$   
 $\langle \text{proof} \rangle$

This result can be transferred to the multiplicative group of  $\mathbb{Z}/p\mathbb{Z}$  for  $p$  prime.

**lemma** *mod-nat-int-pow-eq*:  
**fixes**  $n :: \text{nat}$  **and**  $p \ a :: \text{int}$   
**shows**  $a \geq 0 \implies p \geq 0 \implies (\text{nat } a \wedge n) \text{ mod } (\text{nat } p) = \text{nat } ((a \wedge n) \text{ mod } p)$   
 $\langle \text{proof} \rangle$

**theorem** *residue-prime-mult-group-has-gen*:  
**fixes**  $p :: \text{nat}$   
**assumes** *prime*  $p : \text{prime } p$   
**shows**  $\exists a \in \{1 \dots p - 1\}. \{1 \dots p - 1\} = \{a \wedge i \text{ mod } p \mid i . i \in \text{UNIV}\}$   
 $\langle \text{proof} \rangle$

## 5.3 Upper bound for the number of $n$ -th roots

**lemma** *roots-mod-prime-bound*:  
**fixes**  $n \ c \ p :: \text{nat}$   
**assumes** *prime*  $p \ n > 0$   
**defines**  $A \equiv \{x \in \{..<p\}. [x \wedge n = c] \pmod{p}\}$   
**shows**  $\text{card } A \leq n$   
 $\langle \text{proof} \rangle$

**end**

## 6 The sieve of Eratosthenes

**theory** *Eratosthenes*  
**imports** *Main HOL-Computational-Algebra.Primes*  
**begin**

## 6.1 Preliminary: strict divisibility

**context** *dvd*

**begin**

**abbreviation** *dvd-strict* :: 'a  $\Rightarrow$  'a  $\Rightarrow$  bool (**infixl**  $\langle$ dvd'-strict $\rangle$  50)

**where**

*b dvd-strict a*  $\equiv$  *b dvd a*  $\wedge$   $\neg$  *a dvd b*

**end**

## 6.2 Main corpus

The sieve is modelled as a list of booleans, where *False* means *marked out*.

**type-synonym** *marks* = bool list

**definition** *numbers-of-marks* :: nat  $\Rightarrow$  marks  $\Rightarrow$  nat set

**where**

*numbers-of-marks n bs* = fst ' {*x*  $\in$  set (enumerate *n bs*). snd *x*}

**lemma** *numbers-of-marks-simps* [simp, code]:

*numbers-of-marks n []* = {}

*numbers-of-marks n (True # bs)* = insert *n* (*numbers-of-marks* (Suc *n*) *bs*)

*numbers-of-marks n (False # bs)* = *numbers-of-marks* (Suc *n*) *bs*

$\langle$ proof $\rangle$

**lemma** *numbers-of-marks-Suc*:

*numbers-of-marks* (Suc *n*) *bs* = Suc ' *numbers-of-marks n bs*

$\langle$ proof $\rangle$

**lemma** *numbers-of-marks-replicate-False* [simp]:

*numbers-of-marks n* (replicate *m* False) = {}

$\langle$ proof $\rangle$

**lemma** *numbers-of-marks-replicate-True* [simp]:

*numbers-of-marks n* (replicate *m* True) = {*n*..*n*+*m*}

$\langle$ proof $\rangle$

**lemma** *in-numbers-of-marks-eq*:

*m*  $\in$  *numbers-of-marks n bs*  $\longleftrightarrow$  *m*  $\in$  {*n*..*n* + length *bs*}  $\wedge$  *bs* ! (*m* - *n*)

$\langle$ proof $\rangle$

**lemma** *sorted-list-of-set-numbers-of-marks*:

*sorted-list-of-set* (*numbers-of-marks n bs*) = map fst (filter snd (enumerate *n bs*))

$\langle$ proof $\rangle$

Marking out multiples in a sieve

**definition** *mark-out* :: nat  $\Rightarrow$  marks  $\Rightarrow$  marks

**where**

$mark-out\ n\ bs = map\ (\lambda(q, b). b \wedge \neg\ Suc\ n\ dvd\ Suc\ (Suc\ q))\ (enumerate\ n\ bs)$

**lemma** *mark-out-Nil* [simp]:  $mark-out\ n\ [] = []$   
 ⟨proof⟩

**lemma** *length-mark-out* [simp]:  $length\ (mark-out\ n\ bs) = length\ bs$   
 ⟨proof⟩

**lemma** *numbers-of-marks-mark-out*:  
 $numbers-of-marks\ n\ (mark-out\ m\ bs) = \{q \in numbers-of-marks\ n\ bs. \neg\ Suc\ m\ dvd\ Suc\ q - n\}$   
 ⟨proof⟩

Auxiliary operation for efficient implementation

**definition** *mark-out-aux* ::  $nat \Rightarrow nat \Rightarrow marks \Rightarrow marks$   
**where**

$mark-out-aux\ n\ m\ bs =$   
 $map\ (\lambda(q, b). b \wedge (q < m + n \vee \neg\ Suc\ n\ dvd\ Suc\ (Suc\ q) + (n - m\ mod\ Suc\ n)))\ (enumerate\ n\ bs)$

**lemma** *mark-out-code* [code]:  $mark-out\ n\ bs = mark-out-aux\ n\ n\ bs$   
 ⟨proof⟩

**lemma** *mark-out-aux-simps* [simp, code]:  
 $mark-out-aux\ n\ m\ [] = []$   
 $mark-out-aux\ n\ 0\ (b \# bs) = False \# mark-out-aux\ n\ n\ bs$   
 $mark-out-aux\ n\ (Suc\ m)\ (b \# bs) = b \# mark-out-aux\ n\ m\ bs$   
 ⟨proof⟩

Main entry point to sieve

**fun** *sieve* ::  $nat \Rightarrow marks \Rightarrow marks$   
**where**

$sieve\ n\ [] = []$   
 $| sieve\ n\ (False \# bs) = False \# sieve\ (Suc\ n)\ bs$   
 $| sieve\ n\ (True \# bs) = True \# sieve\ (Suc\ n)\ (mark-out\ n\ bs)$

There are the following possible optimisations here:

- *sieve* can abort as soon as  $n$  is too big to let *mark-out* have any effect.
- Search for further primes can be given up as soon as the search position exceeds the square root of the maximum candidate.

This is left as an constructive exercise to the reader.

**lemma** *numbers-of-marks-sieve*:  
 $numbers-of-marks\ (Suc\ n)\ (sieve\ n\ bs) =$   
 $\{q \in numbers-of-marks\ (Suc\ n)\ bs. \forall m \in numbers-of-marks\ (Suc\ n)\ bs. \neg\ m\ dvd\ strict\ q\}$

$\langle \text{proof} \rangle$

Relation of the sieve algorithm to actual primes

**definition** *primes-upto* ::  $\text{nat} \Rightarrow \text{nat list}$

**where**

*primes-upto*  $n = \text{sorted-list-of-set } \{m. m \leq n \wedge \text{prime } m\}$

**lemma** *set-primes-upto*:  $\text{set } (\text{primes-upto } n) = \{m. m \leq n \wedge \text{prime } m\}$

$\langle \text{proof} \rangle$

**lemma** *sorted-primes-upto* [iff]:  $\text{sorted } (\text{primes-upto } n)$

$\langle \text{proof} \rangle$

**lemma** *distinct-primes-upto* [iff]:  $\text{distinct } (\text{primes-upto } n)$

$\langle \text{proof} \rangle$

**lemma** *set-primes-upto-sieve*:

$\text{set } (\text{primes-upto } n) = \text{numbers-of-marks } 2 \text{ (sieve } 1 \text{ (replicate } (n - 1) \text{ True))}$

$\langle \text{proof} \rangle$

**lemma** *primes-upto-sieve* [code]:

$\text{primes-upto } n = \text{map fst (filter snd (enumerate } 2 \text{ (sieve } 1 \text{ (replicate } (n - 1) \text{ True))))}$

$\langle \text{proof} \rangle$

**lemma** *prime-in-primes-upto*:  $\text{prime } n \longleftrightarrow n \in \text{set } (\text{primes-upto } n)$

$\langle \text{proof} \rangle$

### 6.3 Application: smallest prime beyond a certain number

**definition** *smallest-prime-beyond* ::  $\text{nat} \Rightarrow \text{nat}$

**where**

*smallest-prime-beyond*  $n = (\text{LEAST } p. \text{prime } p \wedge p \geq n)$

**lemma** *prime-smallest-prime-beyond* [iff]:  $\text{prime } (\text{smallest-prime-beyond } n) \text{ (is ?P)}$

**and** *smallest-prime-beyond-le* [iff]:  $\text{smallest-prime-beyond } n \geq n \text{ (is ?Q)}$

$\langle \text{proof} \rangle$

**lemma** *smallest-prime-beyond-smallest*:  $\text{prime } p \implies p \geq n \implies \text{smallest-prime-beyond } n \leq p$

$\langle \text{proof} \rangle$

**lemma** *smallest-prime-beyond-eq*:

$\text{prime } p \implies p \geq n \implies (\bigwedge q. \text{prime } q \implies q \geq n \implies q \geq p) \implies \text{smallest-prime-beyond } n = p$

$\langle \text{proof} \rangle$

**definition** *smallest-prime-between* ::  $\text{nat} \Rightarrow \text{nat} \Rightarrow \text{nat option}$

**where**

*smallest-prime-between*  $m\ n =$   
(if  $(\exists p. \text{prime } p \wedge m \leq p \wedge p \leq n)$  then *Some* (*smallest-prime-beyond*  $m$ ) else *None*)

**lemma** *smallest-prime-between-None*:

*smallest-prime-between*  $m\ n = \text{None} \longleftrightarrow (\forall q. m \leq q \wedge q \leq n \longrightarrow \neg \text{prime } q)$   
*<proof>*

**lemma** *smallest-prime-between-Some*:

*smallest-prime-between*  $m\ n = \text{Some } p \longleftrightarrow \text{smallest-prime-beyond } m = p \wedge p \leq n$   
*<proof>*

**lemma** [code]: *smallest-prime-between*  $m\ n = \text{List.find } (\lambda p. p \geq m) (\text{primes-up-to } n)$   
*<proof>*

**definition** *smallest-prime-beyond-aux* ::  $\text{nat} \Rightarrow \text{nat} \Rightarrow \text{nat}$

**where**

*smallest-prime-beyond-aux*  $k\ n = \text{smallest-prime-beyond } n$

**lemma** [code]:

*smallest-prime-beyond-aux*  $k\ n =$   
(case *smallest-prime-between*  $n\ (k * n)$  of  
  *Some*  $p \Rightarrow p$   
  | *None*  $\Rightarrow \text{smallest-prime-beyond-aux } (\text{Suc } k)\ n$ )  
*<proof>*

**lemma** [code]: *smallest-prime-beyond*  $n = \text{smallest-prime-beyond-aux } 2\ n$   
*<proof>*

**end**

## 7 Fast modular exponentiation

**theory** *Mod-Exp*

**imports** *Cong HOL-Library.Power-By-Squaring*

**begin**

**context** *euclidean-semiring-cancel*

**begin**

**definition** *mod-exp-aux* ::  $'a \Rightarrow 'a \Rightarrow 'a \Rightarrow \text{nat} \Rightarrow 'a$

**where** *mod-exp-aux*  $m = \text{efficient-funpow } (\lambda x\ y. x * y \text{ mod } m)$

**lemma** *mod-exp-aux-code* [code]:

*mod-exp-aux*  $m\ y\ x\ n =$   
(if  $n = 0$  then  $y$

$\text{else if } n = 1 \text{ then } (x * y) \text{ mod } m$   
 $\text{else if even } n \text{ then } \text{mod-exp-aux } m \ y \ ((x * x) \text{ mod } m) \ (n \text{ div } 2)$   
 $\text{else } \text{mod-exp-aux } m \ ((x * y) \text{ mod } m) \ ((x * x) \text{ mod } m) \ (n \text{ div } 2))$   
 $\langle \text{proof} \rangle$

**lemma** *mod-exp-aux-correct*:  
 $\text{mod-exp-aux } m \ y \ x \ n \text{ mod } m = (x \wedge^n * y) \text{ mod } m$   
 $\langle \text{proof} \rangle$

**definition** *mod-exp* :: 'a  $\Rightarrow$  nat  $\Rightarrow$  'a  $\Rightarrow$  'a  
**where**  $\text{mod-exp } b \ e \ m = (b \wedge^e) \text{ mod } m$

**lemma** *mod-exp-code* [code]:  $\text{mod-exp } b \ e \ m = \text{mod-exp-aux } m \ 1 \ b \ e \text{ mod } m$   
 $\langle \text{proof} \rangle$

**end**

**lemmas** [code-abbrev] = *mod-exp-def*[**where** ?'a = nat] *mod-exp-def*[**where** ?'a = int]

**lemma** *cong-power-nat-code* [code-unfold]:  
 $[b \wedge^e = (x :: \text{nat})] \ (\text{mod } m) \longleftrightarrow \text{mod-exp } b \ e \ m = x \text{ mod } m$   
 $\langle \text{proof} \rangle$

**lemma** *cong-power-int-code* [code-unfold]:  
 $[b \wedge^e = (x :: \text{int})] \ (\text{mod } m) \longleftrightarrow \text{mod-exp } b \ e \ m = x \text{ mod } m$   
 $\langle \text{proof} \rangle$

The following rules allow the simplifier to evaluate *mod-exp* efficiently.

**lemma** *eval-mod-exp-aux* [simp]:  
 $\text{mod-exp-aux } m \ y \ x \ 0 = y$   
 $\text{mod-exp-aux } m \ y \ x \ (\text{Suc } 0) = (x * y) \text{ mod } m$   
 $\text{mod-exp-aux } m \ y \ x \ (\text{numeral } (\text{num.Bit0 } n)) =$   
 $\text{mod-exp-aux } m \ y \ (x^2 \text{ mod } m) \ (\text{numeral } n)$   
 $\text{mod-exp-aux } m \ y \ x \ (\text{numeral } (\text{num.Bit1 } n)) =$   
 $\text{mod-exp-aux } m \ ((x * y) \text{ mod } m) \ (x^2 \text{ mod } m) \ (\text{numeral } n)$   
 $\langle \text{proof} \rangle$

**lemma** *eval-mod-exp* [simp]:  
 $\text{mod-exp } b' \ 0 \ m' = 1 \text{ mod } m'$   
 $\text{mod-exp } b' \ 1 \ m' = b' \text{ mod } m'$   
 $\text{mod-exp } b' \ (\text{Suc } 0) \ m' = b' \text{ mod } m'$   
 $\text{mod-exp } b' \ e' \ 0 = b' \wedge^{e'}$   
 $\text{mod-exp } b' \ e' \ 1 = 0$   
 $\text{mod-exp } b' \ e' \ (\text{Suc } 0) = 0$   
 $\text{mod-exp } 0 \ 1 \ m' = 0$   
 $\text{mod-exp } 0 \ (\text{Suc } 0) \ m' = 0$   
 $\text{mod-exp } 0 \ (\text{numeral } e) \ m' = 0$



```

    mod-exp 1 e' m' = 1 mod m'
    mod-exp (Suc 0) e' m' = 1 mod m'
    mod-exp (numeral b) (numeral e) (numeral m) =
      mod-exp-aux (numeral m) 1 (numeral b) (numeral e) mod numeral m
  <proof>

```

**end**

```

theory Euler-Criterion
imports Residues
begin

```

**context**

```

  fixes p :: nat
  fixes a :: int

```

```

  assumes p-prime: prime p
  assumes p-ge-2: 2 < p
  assumes p-a-relprime: [a ≠ 0](mod p)
begin

```

```

private lemma odd-p: odd p
  <proof> lemma p-minus-1-int:
    int (p - 1) = int p - 1
  <proof> lemma p-not-eq-Suc-0 [simp]:
    p ≠ Suc 0
  <proof> lemma one-mod-int-p-eq [simp]:
    1 mod int p = 1
  <proof> lemma E-1:
    assumes QuadRes (int p) a
    shows [a ^ ((p - 1) div 2) = 1] (mod int p)
  <proof> definition S1 :: int set where S1 = {0 <.. int p - 1}

```

```

private definition P :: int ⇒ int ⇒ bool where
  P x y ⟷ [x * y = a] (mod p) ∧ y ∈ S1

```

```

private definition f-1 :: int ⇒ int where
  f-1 x = (THE y. P x y)

```

```

private definition f :: int ⇒ int set where
  f x = {x, f-1 x}

```

```

private definition S2 :: int set set where S2 = f ` S1

```

```

private lemma P-lemma: assumes x ∈ S1
  shows ∃! y. P x y
  <proof> lemma f-1-lemma-1: assumes x ∈ S1
    shows P x (f-1 x) <proof> lemma f-1-lemma-2: assumes x ∈ S1

```

```

shows  $f^{-1} (f^{-1} x) = x$ 
 $\langle \text{proof} \rangle$  lemma f-lemma-1: assumes  $x \in S1$ 
shows  $f x = f (f^{-1} x)$   $\langle \text{proof} \rangle$  lemma l1: assumes  $\neg \text{QuadRes } p \ a \ x \in S1$ 
shows  $x \neq f^{-1} x$ 
 $\langle \text{proof} \rangle$  lemma l2: assumes  $\neg \text{QuadRes } p \ a \ x \in S1$ 
shows  $\prod (f x) = a \pmod{p}$ 
 $\langle \text{proof} \rangle$  lemma l3: assumes  $x \in S2$ 
shows finite  $x$   $\langle \text{proof} \rangle$  lemma l4:  $S1 = \bigcup S2$   $\langle \text{proof} \rangle$  lemma l5: assumes  $x$ 
 $\in S2 \ y \in S2 \ x \neq y$ 
shows  $x \cap y = \{\}$ 
 $\langle \text{proof} \rangle$  lemma l6:  $\text{prod Prod } S2 = \prod S1$ 
 $\langle \text{proof} \rangle$  lemma l7:  $\text{fact } n = \prod \{0 <.. \text{int } n\}$ 
 $\langle \text{proof} \rangle$  lemma l8:  $\text{fact } (p - 1) = \prod S1$   $\langle \text{proof} \rangle$  lemma l9:  $[\text{prod Prod } S2 = -1]$ 
 $\pmod{p}$ 
 $\langle \text{proof} \rangle$  lemma l10: assumes  $\text{card } S = n \wedge x. x \in S \implies [g x = a] \pmod{p}$ 
shows  $[\text{prod } g S = a \wedge n] \pmod{p}$   $\langle \text{proof} \rangle$  lemma l11: assumes  $\neg \text{QuadRes } p \ a$ 
shows  $\text{card } S2 = (p - 1) \text{ div } 2$ 
 $\langle \text{proof} \rangle$  lemma l12: assumes  $\neg \text{QuadRes } p \ a$ 
shows  $[\text{prod Prod } S2 = a \wedge ((p - 1) \text{ div } 2)] \pmod{p}$ 
 $\langle \text{proof} \rangle$  lemma E-2: assumes  $\neg \text{QuadRes } p \ a$ 
shows  $[a \wedge ((p - 1) \text{ div } 2) = -1] \pmod{p}$   $\langle \text{proof} \rangle$ 

lemma euler-criterion-aux:  $[(\text{Legendre } a \ p) = a \wedge ((p - 1) \text{ div } 2)] \pmod{p}$ 
 $\langle \text{proof} \rangle$ 

end

theorem euler-criterion: assumes  $\text{prime } p \ 2 < p$ 
shows  $[(\text{Legendre } a \ p) = a \wedge ((p - 1) \text{ div } 2)] \pmod{p}$ 
 $\langle \text{proof} \rangle$ 

hide-fact euler-criterion-aux

end

```

## 8 Gauss' Lemma

```

theory Gauss
imports Euler-Criterion
begin

```

```

lemma cong-prime-prod-zero-nat:
 $[a * b = 0] \pmod{p} \implies \text{prime } p \implies [a = 0] \pmod{p} \vee [b = 0] \pmod{p}$ 
for  $a :: \text{nat}$ 
 $\langle \text{proof} \rangle$ 

```

```

lemma cong-prime-prod-zero-int:
 $[a * b = 0] \pmod{p} \implies \text{prime } p \implies [a = 0] \pmod{p} \vee [b = 0] \pmod{p}$ 
for  $a :: \text{int}$ 

```

$\langle proof \rangle$

```
locale GAUSS =  
  fixes p :: nat  
  fixes a :: int  
  assumes p-prime: prime p  
  assumes p-ge-2: 2 < p  
  assumes p-a-relprime: [a ≠ 0](mod p)  
  assumes a-nonzero: 0 < a  
begin  
  
definition A = {0::int <.. ((int p - 1) div 2)}  
definition B = (λx. x * a) ‘ A  
definition C = (λx. x mod p) ‘ B  
definition D = C ∩ {.. (int p - 1) div 2}  
definition E = C ∩ {(int p - 1) div 2 <..  
definition F = (λx. (int p - x)) ‘ E
```

## 8.1 Basic properties of p

**lemma** odd-p: odd p  
 $\langle proof \rangle$

**lemma** p-minus-one-l: (int p - 1) div 2 < p  
 $\langle proof \rangle$

**lemma** p-eq2: int p = (2 \* ((int p - 1) div 2)) + 1  
 $\langle proof \rangle$

**lemma** p-odd-int: obtains z :: int where int p = 2 \* z + 1 0 < z  
 $\langle proof \rangle$

## 8.2 Basic Properties of the Gauss Sets

**lemma** finite-A: finite A  
 $\langle proof \rangle$

**lemma** finite-B: finite B  
 $\langle proof \rangle$

**lemma** finite-C: finite C  
 $\langle proof \rangle$

**lemma** finite-D: finite D  
 $\langle proof \rangle$

**lemma** finite-E: finite E  
 $\langle proof \rangle$

**lemma** *finite-F*: *finite F*  
 ⟨proof⟩

**lemma** *C-eq*:  $C = D \cup E$   
 ⟨proof⟩

**lemma** *A-card-eq*:  $\text{card } A = \text{nat } ((\text{int } p - 1) \text{ div } 2)$   
 ⟨proof⟩

**lemma** *inj-on-xa-A*: *inj-on*  $(\lambda x. x * a)$  *A*  
 ⟨proof⟩

**definition** *ResSet* :: *int*  $\Rightarrow$  *int set*  $\Rightarrow$  *bool*  
 where *ResSet* *m* *X*  $\longleftrightarrow (\forall y1\ y2. y1 \in X \wedge y2 \in X \wedge [y1 = y2] \text{ (mod } m) \longrightarrow y1 = y2)$

**lemma** *ResSet-image*:  
 $0 < m \implies \text{ResSet } m\ A \implies \forall x \in A. \forall y \in A. ([f\ x = f\ y] \text{ (mod } m) \longrightarrow x = y) \implies \text{ResSet } m\ (f\ ' A)$   
 ⟨proof⟩

**lemma** *A-res*: *ResSet* *p* *A*  
 ⟨proof⟩

**lemma** *B-res*: *ResSet* *p* *B*  
 ⟨proof⟩

**lemma** *SR-B-inj*: *inj-on*  $(\lambda x. x \text{ mod } p)$  *B*  
 ⟨proof⟩

**lemma** *nonzero-mod-p*:  $0 < x \implies x < \text{int } p \implies [x \neq 0] \text{ (mod } p)$   
 for *x* :: *int*  
 ⟨proof⟩

**lemma** *A-ncong-p*:  $x \in A \implies [x \neq 0] \text{ (mod } p)$   
 ⟨proof⟩

**lemma** *A-greater-zero*:  $x \in A \implies 0 < x$   
 ⟨proof⟩

**lemma** *B-ncong-p*:  $x \in B \implies [x \neq 0] \text{ (mod } p)$   
 ⟨proof⟩

**lemma** *B-greater-zero*:  $x \in B \implies 0 < x$   
 ⟨proof⟩

**lemma** *B-mod-greater-zero*:  
 $0 < x \text{ mod int } p$  **if**  $x \in B$   
 ⟨proof⟩

**lemma** *C-greater-zero*:  $y \in C \implies 0 < y$   
 $\langle \text{proof} \rangle$

**lemma** *F-subset*:  $F \subseteq \{x. 0 < x \wedge x \leq ((\text{int } p - 1) \text{ div } 2)\}$   
 $\langle \text{proof} \rangle$

**lemma** *D-subset*:  $D \subseteq \{x. 0 < x \wedge x \leq ((p - 1) \text{ div } 2)\}$   
 $\langle \text{proof} \rangle$

**lemma** *F-eq*:  $F = \{x. \exists y \in A. (x = p - ((y * a) \bmod p) \wedge (\text{int } p - 1) \text{ div } 2 < (y * a) \bmod p)\}$   
 $\langle \text{proof} \rangle$

**lemma** *D-eq*:  $D = \{x. \exists y \in A. (x = (y * a) \bmod p \wedge (y * a) \bmod p \leq (\text{int } p - 1) \text{ div } 2)\}$   
 $\langle \text{proof} \rangle$

**lemma** *all-A-relprime*:  
*coprime*  $x$   $p$  **if**  $x \in A$   
 $\langle \text{proof} \rangle$

**lemma** *A-prod-relprime*: *coprime* (*prod id*  $A$ )  $p$   
 $\langle \text{proof} \rangle$

### 8.3 Relationships Between Gauss Sets

**lemma** *StandardRes-inj-on-ResSet*:  $\text{ResSet } m \ X \implies \text{inj-on } (\lambda b. b \bmod m) \ X$   
 $\langle \text{proof} \rangle$

**lemma** *B-card-eq-A*:  $\text{card } B = \text{card } A$   
 $\langle \text{proof} \rangle$

**lemma** *B-card-eq*:  $\text{card } B = \text{nat } ((\text{int } p - 1) \text{ div } 2)$   
 $\langle \text{proof} \rangle$

**lemma** *F-card-eq-E*:  $\text{card } F = \text{card } E$   
 $\langle \text{proof} \rangle$

**lemma** *C-card-eq-B*:  $\text{card } C = \text{card } B$   
 $\langle \text{proof} \rangle$

**lemma** *D-E-disj*:  $D \cap E = \{\}$   
 $\langle \text{proof} \rangle$

**lemma** *C-card-eq-D-plus-E*:  $\text{card } C = \text{card } D + \text{card } E$   
 $\langle \text{proof} \rangle$

**lemma** *C-prod-eq-D-times-E*:  $\text{prod id } E * \text{prod id } D = \text{prod id } C$

$\langle proof \rangle$

**lemma** *C-B-zcong-prod*:  $[prod\ id\ C = prod\ id\ B] \ (mod\ p)$   
 $\langle proof \rangle$

**lemma** *F-Un-D-subset*:  $(F \cup D) \subseteq A$   
 $\langle proof \rangle$

**lemma** *F-D-disj*:  $(F \cap D) = \{\}$   
 $\langle proof \rangle$

**lemma** *F-Un-D-card*:  $card\ (F \cup D) = nat\ ((p - 1)\ div\ 2)$   
 $\langle proof \rangle$

**lemma** *F-Un-D-eq-A*:  $F \cup D = A$   
 $\langle proof \rangle$

**lemma** *prod-D-F-eq-prod-A*:  $prod\ id\ D * prod\ id\ F = prod\ id\ A$   
 $\langle proof \rangle$

**lemma** *prod-F-zcong*:  $[prod\ id\ F = ((-1) \wedge (card\ E)) * prod\ id\ E] \ (mod\ p)$   
 $\langle proof \rangle$

## 8.4 Gauss' Lemma

**lemma** *aux*:  $prod\ id\ A * (-1) \wedge card\ E * a \wedge card\ A * (-1) \wedge card\ E = prod\ id\ A * a \wedge card\ A$   
 $\langle proof \rangle$

**theorem** *pre-gauss-lemma*:  $[a \wedge nat((int\ p - 1)\ div\ 2) = (-1) \wedge (card\ E)] \ (mod\ p)$   
 $\langle proof \rangle$

**theorem** *gauss-lemma*: *Legendre*  $a\ p = (-1) \wedge (card\ E)$   
 $\langle proof \rangle$

**end**

**end**

**theory** *Quadratic-Reciprocity*  
**imports** *Gauss*  
**begin**

The proof is based on Gauss's fifth proof, which can be found at <https://www.lehigh.edu/~shw2/q-recip/gauss5.pdf>.

**locale** *QR* =  
  **fixes**  $p :: nat$

```

fixes  $q :: \text{nat}$ 
assumes  $p\text{-prime}: \text{prime } p$ 
assumes  $p\text{-ge-2}: 2 < p$ 
assumes  $q\text{-prime}: \text{prime } q$ 
assumes  $q\text{-ge-2}: 2 < q$ 
assumes  $pq\text{-neq}: p \neq q$ 
begin

lemma  $\text{odd-}p$ :  $\text{odd } p$ 
   $\langle \text{proof} \rangle$ 

lemma  $p\text{-ge-0}$ :  $0 < \text{int } p$ 
   $\langle \text{proof} \rangle$ 

lemma  $p\text{-eq2}$ :  $\text{int } p = (2 * ((\text{int } p - 1) \text{ div } 2)) + 1$ 
   $\langle \text{proof} \rangle$ 

lemma  $\text{odd-}q$ :  $\text{odd } q$ 
   $\langle \text{proof} \rangle$ 

lemma  $q\text{-ge-0}$ :  $0 < \text{int } q$ 
   $\langle \text{proof} \rangle$ 

lemma  $q\text{-eq2}$ :  $\text{int } q = (2 * ((\text{int } q - 1) \text{ div } 2)) + 1$ 
   $\langle \text{proof} \rangle$ 

lemma  $pq\text{-eq2}$ :  $\text{int } p * \text{int } q = (2 * ((\text{int } p * \text{int } q - 1) \text{ div } 2)) + 1$ 
   $\langle \text{proof} \rangle$ 

lemma  $pq\text{-coprime}$ :  $\text{coprime } p \ q$ 
   $\langle \text{proof} \rangle$ 

lemma  $pq\text{-coprime-int}$ :  $\text{coprime } (\text{int } p) (\text{int } q)$ 
   $\langle \text{proof} \rangle$ 

lemma  $qp\text{-ineq}$ :  $\text{int } p * k \leq (\text{int } p * \text{int } q - 1) \text{ div } 2 \longleftrightarrow k \leq (\text{int } q - 1) \text{ div } 2$ 
   $\langle \text{proof} \rangle$ 

lemma  $QRqp$ :  $QR \ q \ p$ 
   $\langle \text{proof} \rangle$ 

lemma  $pq\text{-commute}$ :  $\text{int } p * \text{int } q = \text{int } q * \text{int } p$ 
   $\langle \text{proof} \rangle$ 

lemma  $pq\text{-ge-0}$ :  $\text{int } p * \text{int } q > 0$ 
   $\langle \text{proof} \rangle$ 

definition  $r = ((p - 1) \text{ div } 2) * ((q - 1) \text{ div } 2)$ 
definition  $m = \text{card } (\text{GAUSS.E } p \ q)$ 

```

**definition**  $n = \text{card } (\text{GAUSS}.E \ q \ p)$

**abbreviation**  $\text{Res } k \equiv \{0 \dots k - 1\} \text{ for } k :: \text{int}$

**abbreviation**  $\text{Res-ge-0 } k \equiv \{0 <.. k - 1\} \text{ for } k :: \text{int}$

**abbreviation**  $\text{Res-0 } k \equiv \{0::\text{int}\} \text{ for } k :: \text{int}$

**abbreviation**  $\text{Res-l } k \equiv \{0 <.. (k - 1) \text{ div } 2\} \text{ for } k :: \text{int}$

**abbreviation**  $\text{Res-h } k \equiv \{(k - 1) \text{ div } 2 <.. k - 1\} \text{ for } k :: \text{int}$

**abbreviation**  $\text{Sets-pq } r0 \ r1 \ r2 \equiv$

$\{(x::\text{int}). x \in r0 \ (\text{int } p * \text{int } q) \wedge x \bmod p \in r1 \ (\text{int } p) \wedge x \bmod q \in r2 \ (\text{int } q)\}$

**definition**  $A = \text{Sets-pq } \text{Res-l } \text{Res-l } \text{Res-h}$

**definition**  $B = \text{Sets-pq } \text{Res-l } \text{Res-h } \text{Res-l}$

**definition**  $C = \text{Sets-pq } \text{Res-h } \text{Res-h } \text{Res-l}$

**definition**  $D = \text{Sets-pq } \text{Res-l } \text{Res-h } \text{Res-h}$

**definition**  $E = \text{Sets-pq } \text{Res-l } \text{Res-0 } \text{Res-h}$

**definition**  $F = \text{Sets-pq } \text{Res-l } \text{Res-h } \text{Res-0}$

**definition**  $a = \text{card } A$

**definition**  $b = \text{card } B$

**definition**  $c = \text{card } C$

**definition**  $d = \text{card } D$

**definition**  $e = \text{card } E$

**definition**  $f = \text{card } F$

**lemma**  $Gpq: \text{GAUSS } p \ q$

$\langle \text{proof} \rangle$

**lemma**  $Gqp: \text{GAUSS } q \ p$

$\langle \text{proof} \rangle$

**lemma**  $QR\text{-lemma-01}: (\lambda x. x \bmod q) ' E = \text{GAUSS}.E \ q \ p$

$\langle \text{proof} \rangle$

**lemma**  $QR\text{-lemma-02}: e = n$

$\langle \text{proof} \rangle$

**lemma**  $QR\text{-lemma-03}: f = m$

$\langle \text{proof} \rangle$

**definition**  $f\text{-1} :: \text{int} \Rightarrow \text{int} \times \text{int}$

**where**  $f\text{-1 } x = ((x \bmod p), (x \bmod q))$

**definition**  $P\text{-1} :: \text{int} \times \text{int} \Rightarrow \text{int} \Rightarrow \text{bool}$

**where**  $P\text{-1 } \text{res } x \longleftrightarrow x \bmod p = \text{fst } \text{res} \wedge x \bmod q = \text{snd } \text{res} \wedge x \in \text{Res } (\text{int } p * \text{int } q)$

**definition**  $g\text{-1} :: \text{int} \times \text{int} \Rightarrow \text{int}$

**where**  $g\text{-1 } \text{res} = (\text{THE } x. P\text{-1 } \text{res } x)$



**lemma** *P-1-lemma*:

fixes  $res :: int \times int$   
 assumes  $0 \leq fst\ res\ fst\ res < p\ 0 \leq snd\ res\ snd\ res < q$   
 shows  $\exists!x. P-1\ res\ x$   
 $\langle proof \rangle$

**lemma** *g-1-lemma*:

fixes  $res :: int \times int$   
 assumes  $0 \leq fst\ res\ fst\ res < p\ 0 \leq snd\ res\ snd\ res < q$   
 shows  $P-1\ res\ (g-1\ res)$   
 $\langle proof \rangle$

**definition**  $BuC = Sets-pq\ Res-ge-0\ Res-h\ Res-l$

**lemma** *finite-BuC* [simp]:

*finite BuC*  
 $\langle proof \rangle$

**lemma** *QR-lemma-04*:  $card\ BuC = card\ (Res-h\ p \times Res-l\ q)$   
 $\langle proof \rangle$

**lemma** *QR-lemma-05*:  $card\ (Res-h\ p \times Res-l\ q) = r$   
 $\langle proof \rangle$

**lemma** *QR-lemma-06*:  $b + c = r$   
 $\langle proof \rangle$

**definition** *f-2*:  $int \Rightarrow int$

where  $f-2\ x = (int\ p * int\ q) - x$

**lemma** *f-2-lemma-1*:  $f-2\ (f-2\ x) = x$   
 $\langle proof \rangle$

**lemma** *f-2-lemma-2*:  $[f-2\ x = int\ p - x] \ (mod\ p)$   
 $\langle proof \rangle$

**lemma** *f-2-lemma-3*:  $f-2\ x \in S \implies x \in f-2\ 'S$   
 $\langle proof \rangle$

**lemma** *QR-lemma-07*:

$f-2\ 'Res-l\ (int\ p * int\ q) = Res-h\ (int\ p * int\ q)$   
 $f-2\ 'Res-h\ (int\ p * int\ q) = Res-l\ (int\ p * int\ q)$   
 $\langle proof \rangle$

**lemma** *QR-lemma-08*:

$f-2\ x\ mod\ p \in Res-l\ p \longleftrightarrow x\ mod\ p \in Res-h\ p$   
 $f-2\ x\ mod\ p \in Res-h\ p \longleftrightarrow x\ mod\ p \in Res-l\ p$   
 $\langle proof \rangle$

**lemma** *QR-lemma-09*:

$f-2 \ x \bmod q \in \text{Res-}l \ q \longleftrightarrow x \bmod q \in \text{Res-}h \ q$   
 $f-2 \ x \bmod q \in \text{Res-}h \ q \longleftrightarrow x \bmod q \in \text{Res-}l \ q$   
 $\langle \text{proof} \rangle$

**lemma** *QR-lemma-10*:  $a = c$

$\langle \text{proof} \rangle$

**definition** *BuD* = *Sets-pq Res-l Res-h Res-ge-0*

**definition** *BuDUF* = *Sets-pq Res-l Res-h Res*

**definition**  $f-3 :: \text{int} \Rightarrow \text{int} \times \text{int}$

where  $f-3 \ x = (x \bmod p, x \text{ div } p + 1)$

**definition**  $g-3 :: \text{int} \times \text{int} \Rightarrow \text{int}$

where  $g-3 \ x = \text{fst } x + (\text{snd } x - 1) * p$

**lemma** *QR-lemma-11*:  $\text{card } \text{BuDuF} = \text{card } (\text{Res-}h \ p \times \text{Res-}l \ q)$

$\langle \text{proof} \rangle$

**lemma** *QR-lemma-12*:  $b + d + m = r$

$\langle \text{proof} \rangle$

**lemma** *QR-lemma-13*:  $a + d + n = r$

$\langle \text{proof} \rangle$

**lemma** *QR-lemma-14*:  $(-1::\text{int}) \wedge (m + n) = (-1) \wedge r$

$\langle \text{proof} \rangle$

**lemma** *Quadratic-Reciprocity*:

$\text{Legendre } p \ q * \text{Legendre } q \ p = (-1::\text{int}) \wedge ((p - 1) \text{ div } 2 * ((q - 1) \text{ div } 2))$

$\langle \text{proof} \rangle$

**end**

**theorem** *Quadratic-Reciprocity*:

**assumes**  $\text{prime } p \ 2 < p \ \text{prime } q \ 2 < q \ p \neq q$

**shows**  $\text{Legendre } p \ q * \text{Legendre } q \ p = (-1::\text{int}) \wedge ((p - 1) \text{ div } 2 * ((q - 1) \text{ div } 2))$

$\langle \text{proof} \rangle$

**theorem** *Quadratic-Reciprocity-int*:

**assumes**  $\text{prime } (\text{nat } p) \ 2 < p \ \text{prime } (\text{nat } q) \ 2 < q \ p \neq q$

**shows**  $\text{Legendre } p \ q * \text{Legendre } q \ p = (-1::\text{int}) \wedge (\text{nat } ((p - 1) \text{ div } 2 * ((q - 1) \text{ div } 2)))$

$\langle \text{proof} \rangle$

**end**

## 9 Pocklington's Theorem for Primes

```
theory Pocklington
imports Residues
begin
```

### 9.1 Lemmas about previously defined terms

```
lemma prime-nat-iff'': prime (p::nat)  $\longleftrightarrow$   $p \neq 0 \wedge p \neq 1 \wedge (\forall m. 0 < m \wedge m < p \longrightarrow \text{coprime } p \ m)$ 
<proof>
```

```
lemma finite-number-segment: card { m. 0 < m  $\wedge$  m < n } = n - 1
<proof>
```

### 9.2 Some basic theorems about solving congruences

```
lemma cong-solve:
  fixes n :: nat
  assumes an: coprime a n
  shows  $\exists x. [a * x = b] \pmod n$ 
<proof>
```

```
lemma cong-solve-unique:
  fixes n :: nat
  assumes an: coprime a n and nz:  $n \neq 0$ 
  shows  $\exists! x. x < n \wedge [a * x = b] \pmod n$ 
<proof>
```

```
lemma cong-solve-unique-nontrivial:
  fixes p :: nat
  assumes p: prime p
    and pa: coprime p a
    and x0:  $0 < x$ 
    and xp:  $x < p$ 
  shows  $\exists! y. 0 < y \wedge y < p \wedge [x * y = a] \pmod p$ 
<proof>
```

```
lemma cong-unique-inverse-prime:
  fixes p :: nat
  assumes prime p and  $0 < x$  and  $x < p$ 
  shows  $\exists! y. 0 < y \wedge y < p \wedge [x * y = 1] \pmod p$ 
<proof>
```

```
lemma chinese-remainder-coprime-unique:
  fixes a :: nat
  assumes ab: coprime a b and az:  $a \neq 0$  and bz:  $b \neq 0$ 
    and ma: coprime m a and nb: coprime n b
  shows  $\exists! x. \text{coprime } x \ (a * b) \wedge x < a * b \wedge [x = m] \pmod a \wedge [x = n] \pmod b$ 
<proof>
```

### 9.3 Lucas's theorem

**lemma** *lucas-coprime-lemma*:

**fixes**  $n :: \text{nat}$

**assumes**  $m: m \neq 0$  **and**  $am: [a^m = 1] \pmod n$

**shows** *coprime*  $a\ n$

$\langle \text{proof} \rangle$

**lemma** *lucas-weak*:

**fixes**  $n :: \text{nat}$

**assumes**  $n: n \geq 2$

**and**  $an: [a^{n-1} = 1] \pmod n$

**and**  $nm: \forall m. 0 < m \wedge m < n - 1 \longrightarrow \neg [a^m = 1] \pmod n$

**shows** *prime*  $n$

$\langle \text{proof} \rangle$

**theorem** *lucas*:

**assumes**  $n2: n \geq 2$  **and**  $an1: [a^{n-1} = 1] \pmod n$

**and**  $pn: \forall p. \text{prime } p \wedge p \text{ dvd } n - 1 \longrightarrow [a^{(n-1) \text{ div } p} \neq 1] \pmod n$

**shows** *prime*  $n$

$\langle \text{proof} \rangle$

### 9.4 Definition of the order of a number mod $n$

**definition**  $\text{ord } n\ a = (\text{if } \text{coprime } n\ a \text{ then } \text{Least } (\lambda d. d > 0 \wedge [a^d = 1] \pmod n) \text{ else } 0)$

This has the expected properties.

**lemma** *coprime-ord*:

**fixes**  $n::\text{nat}$

**assumes** *coprime*  $n\ a$

**shows**  $\text{ord } n\ a > 0 \wedge [a^{\text{ord } n\ a} = 1] \pmod n \wedge (\forall m. 0 < m \wedge m < \text{ord } n\ a \longrightarrow [a^m \neq 1] \pmod n)$

$\langle \text{proof} \rangle$

With the special value 0 for non-coprime case, it's more convenient.

**lemma** *ord-works*:  $[a^{\text{ord } n\ a} = 1] \pmod n \wedge (\forall m. 0 < m \wedge m < \text{ord } n\ a \longrightarrow \neg [a^m = 1] \pmod n)$

**for**  $n :: \text{nat}$

$\langle \text{proof} \rangle$

**lemma** *ord*:  $[a^{\text{ord } n\ a} = 1] \pmod n$

**for**  $n :: \text{nat}$

$\langle \text{proof} \rangle$

**lemma** *ord-minimal*:  $0 < m \implies m < \text{ord } n\ a \implies \neg [a^m = 1] \pmod n$

**for**  $n :: \text{nat}$

$\langle \text{proof} \rangle$

**lemma** *ord-eq-0*:  $\text{ord } n\ a = 0 \longleftrightarrow \neg \text{coprime } n\ a$

**for**  $n :: \text{nat}$   
 $\langle \text{proof} \rangle$

**lemma** *divides-rexp*:  $x \text{ dvd } y \implies x \text{ dvd } (y \wedge \text{Suc } n)$   
**for**  $x \ y :: \text{nat}$   
 $\langle \text{proof} \rangle$

**lemma** *ord-divides*:  $[a \wedge d = 1] \pmod n \longleftrightarrow \text{ord } n \ a \text{ dvd } d$   
**(is**  $?lhs \longleftrightarrow ?rhs$ **)**  
**for**  $n :: \text{nat}$   
 $\langle \text{proof} \rangle$

**lemma** *order-divides-totient*:  
 $\text{ord } n \ a \text{ dvd } \text{totient } n$  **if** *coprime*  $n \ a$   
 $\langle \text{proof} \rangle$

**lemma** *order-divides-expdiff*:  
**fixes**  $n::\text{nat}$  **and**  $a::\text{nat}$  **assumes**  $na: \text{coprime } n \ a$   
**shows**  $[a \wedge d = a \wedge e] \pmod n \longleftrightarrow [d = e] \pmod{(\text{ord } n \ a)}$   
 $\langle \text{proof} \rangle$

**lemma** *ord-not-coprime* [*simp*]:  $\neg \text{coprime } n \ a \implies \text{ord } n \ a = 0$   
 $\langle \text{proof} \rangle$

**lemma** *ord-1* [*simp*]:  $\text{ord } 1 \ n = 1$   
 $\langle \text{proof} \rangle$

**lemma** *ord-1-right* [*simp*]:  $\text{ord } (n::\text{nat}) \ 1 = 1$   
 $\langle \text{proof} \rangle$

**lemma** *ord-Suc-0-right* [*simp*]:  $\text{ord } (n::\text{nat}) \ (\text{Suc } 0) = 1$   
 $\langle \text{proof} \rangle$

**lemma** *ord-0-nat* [*simp*]:  $\text{ord } 0 \ (n :: \text{nat}) = (\text{if } n = 1 \text{ then } 1 \text{ else } 0)$   
 $\langle \text{proof} \rangle$

**lemma** *ord-0-right-nat* [*simp*]:  $\text{ord } (n :: \text{nat}) \ 0 = (\text{if } n = 1 \text{ then } 1 \text{ else } 0)$   
 $\langle \text{proof} \rangle$

**lemma** *ord-divides'*:  $[a \wedge d = \text{Suc } 0] \pmod n = (\text{ord } n \ a \text{ dvd } d)$   
 $\langle \text{proof} \rangle$

**lemma** *ord-Suc-0* [*simp*]:  $\text{ord } (\text{Suc } 0) \ n = 1$   
 $\langle \text{proof} \rangle$

**lemma** *ord-mod* [*simp*]:  $\text{ord } n \ (k \bmod n) = \text{ord } n \ k$   
 $\langle \text{proof} \rangle$

**lemma** *ord-gt-0-iff* [*simp*]:  $\text{ord } (n::\text{nat}) \ x > 0 \longleftrightarrow \text{coprime } n \ x$

$\langle \text{proof} \rangle$

**lemma** *ord-eq-Suc-0-iff*:  $\text{ord } n \ (x :: \text{nat}) = \text{Suc } 0 \longleftrightarrow [x = 1] \ (\text{mod } n)$   
 $\langle \text{proof} \rangle$

**lemma** *ord-cong*:  
  **assumes**  $[k1 = k2] \ (\text{mod } n)$   
  **shows**  $\text{ord } n \ k1 = \text{ord } n \ k2$   
 $\langle \text{proof} \rangle$

**lemma** *ord-nat-code* [*code-unfold*]:  
   $\text{ord } n \ a =$   
     $(\text{if } n = 0 \text{ then if } a = 1 \text{ then } 1 \text{ else } 0 \text{ else}$   
       $\text{if coprime } n \ a \text{ then Min (Set.filter } (\lambda k. [a \wedge k = 1] \ (\text{mod } n)) \ \{0 <..n\}) \text{ else}$   
     $0)$   
 $\langle \text{proof} \rangle$

**theorem** *ord-modulus-mult-coprime*:  
  **fixes**  $x :: \text{nat}$   
  **assumes** *coprime*  $m \ n$   
  **shows**  $\text{ord } (m * n) \ x = \text{lcm } (\text{ord } m \ x) \ (\text{ord } n \ x)$   
 $\langle \text{proof} \rangle$

**corollary** *ord-modulus-prod-coprime*:  
  **assumes** *finite*  $A \wedge i \ j. i \in A \implies j \in A \implies i \neq j \implies \text{coprime } (f \ i) \ (f \ j)$   
  **shows**  $\text{ord } (\prod i \in A. f \ i :: \text{nat}) \ x = (\text{LCM } i \in A. \text{ord } (f \ i) \ x)$   
 $\langle \text{proof} \rangle$

**lemma** *ord-power-aux*:  
  **fixes**  $m \ x \ k \ a :: \text{nat}$   
  **defines**  $l \equiv \text{ord } m \ a$   
  **shows**  $\text{ord } m \ (a \wedge k) * \text{gcd } k \ l = l$   
 $\langle \text{proof} \rangle$

**theorem** *ord-power*:  $\text{coprime } m \ a \implies \text{ord } m \ (a \wedge k :: \text{nat}) = \text{ord } m \ a \ \text{div } \text{gcd } k \ (\text{ord } m \ a)$   
 $\langle \text{proof} \rangle$

**lemma** *inj-power-mod*:  
  **assumes** *coprime*  $n \ (a :: \text{nat})$   
  **shows** *inj-on*  $(\lambda k. a \wedge k \ \text{mod } n) \ \{..<\text{ord } n \ a\}$   
 $\langle \text{proof} \rangle$

**lemma** *ord-eq-2-iff*:  $\text{ord } n \ (x :: \text{nat}) = 2 \longleftrightarrow [x \neq 1] \ (\text{mod } n) \wedge [x^2 = 1] \ (\text{mod } n)$   
 $\langle \text{proof} \rangle$

**lemma** *square-mod-8-eq-1-iff*:  $[x^2 = 1] \ (\text{mod } 8) \longleftrightarrow \text{odd } (x :: \text{nat})$   
 $\langle \text{proof} \rangle$

**lemma** *ord-twopow-aux*:

**assumes**  $k \geq 3$  **and**  $\text{odd } (x :: \text{nat})$   
**shows**  $[x \wedge (2 \wedge (k - 2)) = 1] \pmod{(2 \wedge k)}$   
 $\langle \text{proof} \rangle$

**lemma** *ord-twopow-3-5*:

**assumes**  $k \geq 3$   $x \pmod{8} \in \{3, 5 :: \text{nat}\}$   
**shows**  $\text{ord } (2 \wedge k) x = 2 \wedge (k - 2)$   
 $\langle \text{proof} \rangle$

**lemma** *ord-4-3 [simp]*:  $\text{ord } 4 \ (3 :: \text{nat}) = 2$

$\langle \text{proof} \rangle$

**lemma** *elements-with-ord-1*:  $n > 0 \implies \{x \in \text{totatives } n. \text{ord } n x = \text{Suc } 0\} = \{1\}$

$\langle \text{proof} \rangle$

**lemma** *residue-prime-has-primroot*:

**fixes**  $p :: \text{nat}$   
**assumes**  $\text{prime } p$   
**shows**  $\exists a \in \text{totatives } p. \text{ord } p a = p - 1$

$\langle \text{proof} \rangle$

## 9.5 Another trivial primality characterization

**lemma** *prime-prime-factor*:  $\text{prime } n \longleftrightarrow n \neq 1 \wedge (\forall p. \text{prime } p \wedge p \text{ dvd } n \longrightarrow p = n)$

**(is ?lhs  $\longleftrightarrow$  ?rhs)**

**for**  $n :: \text{nat}$

$\langle \text{proof} \rangle$

**lemma** *prime-divisor-sqrt*:  $\text{prime } n \longleftrightarrow n \neq 1 \wedge (\forall d. d \text{ dvd } n \wedge d^2 \leq n \longrightarrow d = 1)$

**for**  $n :: \text{nat}$

$\langle \text{proof} \rangle$

**lemma** *prime-prime-factor-sqrt*:

$\text{prime } (n :: \text{nat}) \longleftrightarrow n \neq 0 \wedge n \neq 1 \wedge (\nexists p. \text{prime } p \wedge p \text{ dvd } n \wedge p^2 \leq n)$

**(is ?lhs  $\longleftrightarrow$  ?rhs)**

$\langle \text{proof} \rangle$

## 9.6 Pocklington theorem

**lemma** *pocklington-lemma*:

**fixes**  $p :: \text{nat}$

**assumes**  $n: n \geq 2$  **and**  $\text{nqr}: n - 1 = q * r$

**and**  $\text{an}: [a \wedge (n - 1) = 1] \pmod{n}$

**and**  $\text{aq}: \forall p. \text{prime } p \wedge p \text{ dvd } q \longrightarrow \text{coprime } (a \wedge ((n - 1) \text{ div } p) - 1) n$

**and**  $\text{pp}: \text{prime } p$  **and**  $\text{pn}: p \text{ dvd } n$

**shows**  $[p = 1] \pmod{q}$

$\langle proof \rangle$

**theorem** *pocklington*:

**assumes**  $n: n \geq 2$  **and**  $nqr: n - 1 = q * r$  **and**  $sqr: n \leq q^2$   
**and**  $an: [a^{n-1} = 1] \pmod n$   
**and**  $aq: \forall p. \text{prime } p \wedge p \text{ dvd } q \longrightarrow \text{coprime } (a^{(n-1) \text{ div } p} - 1) \ n$   
**shows**  $\text{prime } n$   
 $\langle proof \rangle$

Variant for application, to separate the exponentiation.

**lemma** *pocklington-alt*:

**assumes**  $n: n \geq 2$  **and**  $nqr: n - 1 = q * r$  **and**  $sqr: n \leq q^2$   
**and**  $an: [a^{n-1} = 1] \pmod n$   
**and**  $aq: \forall p. \text{prime } p \wedge p \text{ dvd } q \longrightarrow (\exists b. [a^{(n-1) \text{ div } p} = b] \pmod n \wedge \text{coprime } (b - 1) \ n)$   
**shows**  $\text{prime } n$   
 $\langle proof \rangle$

## 9.7 Prime factorizations

**definition** *primefact*  $ps \ n \longleftrightarrow \text{foldr } (*) \ ps \ 1 = n \wedge (\forall p \in \text{set } ps. \text{prime } p)$

**lemma** *primefact*:

**fixes**  $n :: \text{nat}$   
**assumes**  $n: n \neq 0$   
**shows**  $\exists ps. \text{primefact } ps \ n$   
 $\langle proof \rangle$

**lemma** *primefact-contains*:

**fixes**  $p :: \text{nat}$   
**assumes**  $pf: \text{primefact } ps \ n$   
**and**  $p: \text{prime } p$   
**and**  $pn: p \text{ dvd } n$   
**shows**  $p \in \text{set } ps$   
 $\langle proof \rangle$

**lemma** *primefact-variant*:  $\text{primefact } ps \ n \longleftrightarrow \text{foldr } (*) \ ps \ 1 = n \wedge \text{list-all prime } ps$

$\langle proof \rangle$

Variant of Lucas theorem.

**lemma** *lucas-primefact*:

**assumes**  $n: n \geq 2$  **and**  $an: [a^{n-1} = 1] \pmod n$   
**and**  $psn: \text{foldr } (*) \ ps \ 1 = n - 1$   
**and**  $psp: \text{list-all } (\lambda p. \text{prime } p \wedge \neg [a^{(n-1) \text{ div } p} = 1] \pmod n) \ ps$   
**shows**  $\text{prime } n$   
 $\langle proof \rangle$

Variant of Pocklington theorem.



**lemma** *pocklington-primefact*:  
 assumes  $n: n \geq 2$  and  $qrn: q*r = n - 1$  and  $nq2: n \leq q^2$   
 and  $arnb: (a \hat{r}) \bmod n = b$  and  $psq: \text{foldr } (*) \text{ ps } 1 = q$   
 and  $bqn: (b \hat{q}) \bmod n = 1$   
 and  $psp: \text{list-all } (\lambda p. \text{prime } p \wedge \text{coprime } ((b \hat{q} \text{ div } p)) \bmod n - 1) \text{ n) ps}$   
 shows *prime n*  
 $\langle \text{proof} \rangle$

**end**

## 10 Prime powers

**theory** *Prime-Powers*  
 imports *Complex-Main HOL-Computational-Algebra.Primes HOL-Library.FuncSet*  
 begin

**definition** *aprimedivisor* :: 'a :: normalization-semidom  $\Rightarrow$  'a **where**  
*aprimedivisor*  $q = (\text{SOME } p. \text{prime } p \wedge p \text{ dvd } q)$

**definition** *primepow* :: 'a :: normalization-semidom  $\Rightarrow$  bool **where**  
*primepow*  $n \longleftrightarrow (\exists p \ k. \text{prime } p \wedge k > 0 \wedge n = p \hat{k})$

**definition** *primepow-factors* :: 'a :: normalization-semidom  $\Rightarrow$  'a set **where**  
*primepow-factors*  $n = \{x. \text{primepow } x \wedge x \text{ dvd } n\}$

**lemma** *primepow-gt-Suc-0*: *primepow*  $n \implies n > \text{Suc } 0$   
 $\langle \text{proof} \rangle$

**lemma**  
 assumes *prime p*  $p \text{ dvd } n$   
 shows *prime-aprimedivisor*: *prime* (*aprimedivisor*  $n$ )  
 and *aprimedivisor-dvd*: *aprimedivisor*  $n \text{ dvd } n$   
 $\langle \text{proof} \rangle$

**lemma**  
 assumes  $n \neq 0$  *-is-unit* ( $n :: 'a :: \text{factorial-semiring}$ )  
 shows *prime-aprimedivisor'*: *prime* (*aprimedivisor*  $n$ )  
 and *aprimedivisor-dvd'*: *aprimedivisor*  $n \text{ dvd } n$   
 $\langle \text{proof} \rangle$

**lemma** *aprimedivisor-of-prime* [*simp*]:  
 assumes *prime p*  
 shows *aprimedivisor*  $p = p$   
 $\langle \text{proof} \rangle$

**lemma** *aprimedivisor-pos-nat*: ( $n :: \text{nat}$ )  $> 1 \implies \text{aprimedivisor } n > 0$   
 $\langle \text{proof} \rangle$

**lemma** *aprimedivisor-primepow-power*:

**assumes** *primepow*  $n\ k > 0$   
**shows**  $\text{aprimedivisor } (n \wedge k) = \text{aprimedivisor } n$   
 <proof>

**lemma** *aprimedivisor-prime-power*:  
**assumes** *prime*  $p\ k > 0$   
**shows**  $\text{aprimedivisor } (p \wedge k) = p$   
 <proof>

**lemma** *prime-factorization-primpow*:  
**assumes** *primepow*  $n$   
**shows**  $\text{prime-factorization } n =$   
 $\text{replicate-mset } (\text{multiplicity } (\text{aprimedivisor } n)\ n)\ (\text{aprimedivisor } n)$   
 <proof>

**lemma** *primepow-decompose*:  
**fixes**  $n :: 'a :: \text{factorial-semiring-multiplicative}$   
**assumes** *primepow*  $n$   
**shows**  $\text{aprimedivisor } n \wedge \text{multiplicity } (\text{aprimedivisor } n)\ n = n$   
 <proof>

**lemma** *prime-power-not-one*:  
**assumes** *prime*  $p\ k > 0$   
**shows**  $p \wedge k \neq 1$   
 <proof>

**lemma** *zero-not-primpow [simp]*:  $\neg \text{primepow } 0$   
 <proof>

**lemma** *one-not-primpow [simp]*:  $\neg \text{primepow } 1$   
 <proof>

**lemma** *primepow-not-unit [simp]*:  $\text{primepow } p \implies \neg \text{is-unit } p$   
 <proof>

**lemma** *not-primpow-Suc-0-nat [simp]*:  $\neg \text{primepow } (\text{Suc } 0)$   
 <proof>

**lemma** *primepow-gt-0-nat*:  $\text{primepow } n \implies n > (0 :: \text{nat})$   
 <proof>

**lemma** *unit-factor-primpow*:  
**fixes**  $p :: 'a :: \text{factorial-semiring-multiplicative}$   
**shows**  $\text{primepow } p \implies \text{unit-factor } p = 1$   
 <proof>

**lemma** *aprimedivisor-primpow*:  
**assumes** *prime*  $p\ p\ \text{dvd } n\ \text{primepow } (n :: 'a :: \text{factorial-semiring-multiplicative})$   
**shows**  $\text{aprimedivisor } (p * n) = p\ \text{aprimedivisor } n = p$

$\langle \text{proof} \rangle$

**lemma** *power-eq-prime-powerD*:

**fixes**  $p :: 'a :: \text{factorial-semiring}$

**assumes**  $\text{prime } p \ n > 0 \ x \wedge n = p \wedge k$

**shows**  $\exists i. \text{normalize } x = \text{normalize } (p \wedge i)$

$\langle \text{proof} \rangle$

**lemma** *primepow-power-iff*:

**fixes**  $p :: 'a :: \text{factorial-semiring-multiplicative}$

**assumes**  $\text{unit-factor } p = 1$

**shows**  $\text{primepow } (p \wedge n) \longleftrightarrow \text{primepow } p \wedge n > 0$

$\langle \text{proof} \rangle$

**lemma** *primepow-power-iff-nat*:

$p > 0 \implies \text{primepow } (p \wedge n) \longleftrightarrow \text{primepow } (p :: \text{nat}) \wedge n > 0$

$\langle \text{proof} \rangle$

**lemma** *primepow-prime [simp]*:  $\text{prime } n \implies \text{primepow } n$

$\langle \text{proof} \rangle$

**lemma** *primepow-prime-power [simp]*:

$\text{prime } (p :: 'a :: \text{factorial-semiring-multiplicative}) \implies \text{primepow } (p \wedge n) \longleftrightarrow n > 0$

$\langle \text{proof} \rangle$

**lemma** *aprimedivisor-vimage*:

**assumes**  $\text{prime } (p :: 'a :: \text{factorial-semiring-multiplicative})$

**shows**  $\text{aprimedivisor } -' \{p\} \cap \text{primepow-factors } n = \{p \wedge k \mid k. k > 0 \wedge p \wedge k \text{ dvd } n\}$

$\langle \text{proof} \rangle$

**lemma** *aprimedivisor-nat*:

**assumes**  $n \neq (\text{Suc } 0 :: \text{nat})$

**shows**  $\text{prime } (\text{aprimedivisor } n) \ \text{aprimedivisor } n \text{ dvd } n$

$\langle \text{proof} \rangle$

**lemma** *aprimedivisor-gt-Suc-0*:

**assumes**  $n \neq \text{Suc } 0$

**shows**  $\text{aprimedivisor } n > \text{Suc } 0$

$\langle \text{proof} \rangle$

**lemma** *aprimedivisor-le-nat*:

**assumes**  $n > \text{Suc } 0$

**shows**  $\text{aprimedivisor } n \leq n$

$\langle \text{proof} \rangle$

**lemma** *bij-betw-primepows*:

$\text{bij\_betw } (\lambda(p,k). p \wedge \text{Suc } k :: 'a :: \text{factorial-semiring-multiplicative})$   
 $(\text{Collect prime} \times \text{UNIV}) (\text{Collect primepow})$   
 $\langle \text{proof} \rangle$

**lemma** *primepow-multD*:  
**assumes** *primepow*  $(a * b :: \text{nat})$   
**shows**  $a = 1 \vee \text{primepow } a \wedge b = 1 \vee \text{primepow } b$   
 $\langle \text{proof} \rangle$

**lemma** *primepow-mult-aprime divisorI*:  
**assumes** *primepow*  $(n :: 'a :: \text{factorial-semiring-multiplicative})$   
**shows** *primepow*  $(\text{aprime divisor } n * n)$   
 $\langle \text{proof} \rangle$

**lemma** *primepow-factors-altdef*:  
**fixes**  $x :: 'a :: \text{factorial-semiring-multiplicative}$   
**assumes**  $x \neq 0$   
**shows**  $\text{primepow-factors } x = \{p \wedge k \mid p \in \text{prime-factors } x \wedge k \in \{0 < .. \text{multiplicity } p \ x\}\}$   
 $\langle \text{proof} \rangle$

**lemma** *finite-primepow-factors*:  
**assumes**  $x \neq (0 :: 'a :: \text{factorial-semiring-multiplicative})$   
**shows** *finite*  $(\text{primepow-factors } x)$   
 $\langle \text{proof} \rangle$

**lemma** *aprime divisor-primepow-factors-conv-prime-factorization*:  
**assumes**  $[\text{simp}]$ :  $n \neq (0 :: 'a :: \text{factorial-semiring-multiplicative})$   
**shows**  $\text{image-mset } \text{aprime divisor } (\text{mset-set } (\text{primepow-factors } n)) = \text{prime-factorization } n$   
 $(\text{is } ?lhs = ?rhs)$   
 $\langle \text{proof} \rangle$

**lemma** *prime-elem-aprime divisor-nat*:  $d > \text{Suc } 0 \implies \text{prime-elem } (\text{aprime divisor } d)$   
 $\langle \text{proof} \rangle$

**lemma** *aprime divisor-gt-0-nat*  $[\text{simp}]$ :  $d > \text{Suc } 0 \implies \text{aprime divisor } d > 0$   
 $\langle \text{proof} \rangle$

**lemma** *aprime divisor-gt-Suc-0-nat*  $[\text{simp}]$ :  $d > \text{Suc } 0 \implies \text{aprime divisor } d > \text{Suc } 0$   
 $\langle \text{proof} \rangle$

**lemma** *aprime divisor-not-Suc-0-nat*  $[\text{simp}]$ :  $d > \text{Suc } 0 \implies \text{aprime divisor } d \neq \text{Suc } 0$   
 $\langle \text{proof} \rangle$

**lemma** *multiplicity-aprime divisor-gt-0-nat* [simp]:  
 $d > \text{Suc } 0 \implies \text{multiplicity } (\text{aprime divisor } d) \ d > 0$   
 <proof>

**lemma** *primepowI*:  
 $\text{prime } p \implies k > 0 \implies p^k = n \implies \text{primepow } n \ \wedge \ \text{aprime divisor } n = p$   
 <proof>

**lemma** *not-primepowI*:  
**assumes**  $\text{prime } p \ \text{prime } q \ p \neq q \ p \ \text{dvd } n \ q \ \text{dvd } n$   
**shows**  $\neg \text{primepow } n$   
 <proof>

**lemma** *sum-prime-factorization-conv-sum-primepow-factors*:  
**fixes**  $n :: 'a :: \text{factorial-semiring-multiplicative}$   
**assumes**  $n \neq 0$   
**shows**  $(\sum_{q \in \text{primepow-factors } n} f(\text{aprime divisor } q)) = (\sum_{p \in \# \text{prime-factorization } n} f p)$   
 <proof>

**lemma** *multiplicity-aprime divisor-Suc-0-iff*:  
**assumes**  $\text{primepow } (n :: 'a :: \text{factorial-semiring-multiplicative})$   
**shows**  $\text{multiplicity } (\text{aprime divisor } n) \ n = \text{Suc } 0 \longleftrightarrow \text{prime } n$   
 <proof>

**definition** *mangoldt* ::  $\text{nat} \Rightarrow 'a :: \text{real-algebra-1}$  **where**  
 $\text{mangoldt } n = (\text{if } \text{primepow } n \text{ then } \text{of-real } (\ln (\text{real } (\text{aprime divisor } n))) \text{ else } 0)$

**lemma** *mangoldt-0* [simp]:  $\text{mangoldt } 0 = 0$   
 <proof>

**lemma** *mangoldt-Suc-0* [simp]:  $\text{mangoldt } (\text{Suc } 0) = 0$   
 <proof>

**lemma** *of-real-mangoldt* [simp]:  $\text{of-real } (\text{mangoldt } n) = \text{mangoldt } n$   
 <proof>

**lemma** *mangoldt-sum*:  
**assumes**  $n \neq 0$   
**shows**  $(\sum d \mid d \ \text{dvd } n. \text{mangoldt } d :: 'a :: \text{real-algebra-1}) = \text{of-real } (\ln (\text{real } n))$   
 <proof>

**lemma** *mangoldt-primepow*:  
 $\text{prime } p \implies \text{mangoldt } (p^k) = (\text{if } k > 0 \text{ then } \text{of-real } (\ln (\text{real } p)) \text{ else } 0)$   
 <proof>

**lemma** *mangoldt-primepow'* [simp]:  $\text{prime } p \implies k > 0 \implies \text{mangoldt } (p^k) = \text{of-real } (\ln (\text{real } p))$

```

    <proof>

lemma mangoldt-prime [simp]: prime p  $\implies$  mangoldt p = of-real (ln (real p))
    <proof>

lemma mangoldt-nonneg: 0  $\leq$  (mangoldt d :: real)
    <proof>

lemma norm-mangoldt [simp]:
    norm (mangoldt n :: 'a :: real-normed-algebra-1) = mangoldt n
    <proof>

lemma Re-mangoldt [simp]: Re (mangoldt n) = mangoldt n
    and Im-mangoldt [simp]: Im (mangoldt n) = 0
    <proof>

lemma abs-mangoldt [simp]: abs (mangoldt n :: real) = mangoldt n
    <proof>

lemma mangoldt-le:
    assumes n > 0
    shows mangoldt n  $\leq$  ln n
    <proof>

end

```

## 11 Primitive roots in residue rings and Carmichael's function

```

theory Residue-Primitive-Roots
  imports Pocklington
begin

```

This theory develops the notions of primitive roots (generators) in residue rings. It also provides a definition and all the basic properties of Carmichael's function  $\lambda(n)$ , which is strongly related to this. The proofs mostly follow Apostol's presentation

### 11.1 Primitive roots in residue rings

A primitive root of a residue ring modulo  $n$  is an element  $g$  that *generates* the ring, i. e. such that for each  $x$  coprime to  $n$  there exists an  $i$  such that  $x = g^i$ . A simpler definition is that  $g$  must have the same order as the cardinality of the multiplicative group, which is  $\varphi(n)$ .

Note that for convenience, this definition does *not* demand  $g < n$ .

```

inductive residue-primroot :: nat  $\Rightarrow$  nat  $\Rightarrow$  bool where

```

$n > 0 \implies \text{coprime } n \ g \implies \text{ord } n \ g = \text{totient } n \implies \text{residue-primroot } n \ g$

**lemma** *residue-primroot-def* [code]:

$\text{residue-primroot } n \ x \longleftrightarrow n > 0 \wedge \text{coprime } n \ x \wedge \text{ord } n \ x = \text{totient } n$   
 ⟨proof⟩

**lemma** *not-residue-primroot-0* [simp]:  $\sim \text{residue-primroot } 0 \ x$

⟨proof⟩

**lemma** *residue-primroot-mod* [simp]:  $\text{residue-primroot } n \ (x \bmod n) = \text{residue-primroot } n \ x$

⟨proof⟩

**lemma** *residue-primroot-cong*:

**assumes**  $[x = x'] \ (mod \ n)$

**shows**  $\text{residue-primroot } n \ x = \text{residue-primroot } n \ x'$

⟨proof⟩

**lemma** *not-residue-primroot-0-right* [simp]:  $\text{residue-primroot } n \ 0 \longleftrightarrow n = 1$

⟨proof⟩

**lemma** *residue-primroot-1-iff*:  $\text{residue-primroot } n \ (\text{Suc } 0) \longleftrightarrow n \in \{1, 2\}$

⟨proof⟩

## 11.2 Primitive roots modulo a prime

For prime  $p$ , we now analyse the number of elements in the ring  $\mathbb{Z}/p\mathbb{Z}$  whose order is precisely  $d$  for each  $d$ .

**context**

**fixes**  $n :: nat$  **and**  $\psi$

**assumes**  $n: n > 1$

**defines**  $\psi \equiv (\lambda d. \text{card } \{x \in \text{totatives } n. \text{ord } n \ x = d\})$

**begin**

**lemma** *elements-with-ord-restrict-totatives*:

$d > 0 \implies \{x \in \{..<n\}. \text{ord } n \ x = d\} = \{x \in \text{totatives } n. \text{ord } n \ x = d\}$

⟨proof⟩

**lemma** *prime-elements-with-ord*:

**assumes**  $\psi \ d \neq 0$  **and** *prime*  $n$

**and**  $a: a \in \text{totatives } n \ \text{ord } n \ a = d \ a \neq 1$

**shows**  $\text{inj-on } (\lambda k. a \wedge^k \bmod n) \ \{..<d\}$

**and**  $\{x \in \{..<n\}. [x \wedge^d = 1] \ (mod \ n)\} = (\lambda k. a \wedge^k \bmod n) \ ^\circ \ \{..<d\}$

**and**  $\text{bij-betw } (\lambda k. a \wedge^k \bmod n) \ (\text{totatives } d) \ \{x \in \{..<n\}. \text{ord } n \ x = d\}$

⟨proof⟩

**lemma** *prime-card-elements-with-ord*:

**assumes**  $\psi \ d \neq 0$  **and** *prime*  $n$

**shows**  $\psi \ d = \text{totient } d$

*<proof>*

**lemma** *prime-sum-card-elements-with-ord-eq-totient:*

$(\sum d \mid d \text{ dvd } \text{totient } n. \psi d) = \text{totient } n$

*<proof>*

We can now show that the number of elements of order  $d$  is  $\varphi(d)$  if  $d \mid p - 1$  and 0 otherwise.

**theorem** *prime-card-elements-with-ord-eq-totient:*

**assumes** *prime*  $n$

**shows**  $\psi d = (\text{if } d \text{ dvd } n - 1 \text{ then } \text{totient } d \text{ else } 0)$

*<proof>*

As a corollary, we get that the number of primitive roots modulo a prime  $p$  is  $\varphi(p - 1)$ . Since this number is positive, we also get that there is at least one primitive root modulo  $p$ .

**lemma**

**assumes** *prime*  $n$

**shows** *prime-card-primitive-roots:*  $\text{card } \{x \in \text{totatives } n. \text{ord } n x = n - 1\} = \text{totient } (n - 1)$

$\text{card } \{x \in \{.. < n\}. \text{ord } n x = n - 1\} = \text{totient } (n - 1)$

**and** *prime-primitive-root-exists:*  $\exists x. \text{residue-primroot } n x$

*<proof>*

**end**

### 11.3 Primitive roots modulo powers of an odd prime

Any primitive root  $g$  modulo an odd prime  $p$  is also a primitive root modulo  $p^k$  for all  $k > 0$  if  $[g^{p-1} \neq 1] \pmod{p^2}$ . To show this, we first need the following lemma.

**lemma** *residue-primroot-power-prime-power-neq-1:*

**assumes**  $k \geq 2$

**assumes**  $p$ : *prime*  $p$  *odd*  $p$  **and** *residue-primroot*  $p g$  **and**  $[g^{p-1} \neq 1] \pmod{p^2}$

**shows**  $[g^{p^{k-1}} \neq 1] \pmod{p^k}$

*<proof>*

We can now show that primitive roots modulo  $p$  with the above condition are indeed also primitive roots modulo  $p^k$ .

**proposition** *residue-primroot-prime-lift-iff:*

**assumes**  $p$ : *prime*  $p$  *odd*  $p$  **and** *residue-primroot*  $p g$

**shows**  $(\forall k > 0. \text{residue-primroot } (p^k) g) \longleftrightarrow [g^{p-1} \neq 1] \pmod{p^2}$

*<proof>*

If  $p$  is an odd prime, there is always a primitive root  $g$  modulo  $p$ , and if  $g$  does not fulfil the above assumption required for it to be liftable to  $p^k$ , we



can use  $g + p$ , which is also a primitive root modulo  $p$  and *does* fulfil the assumption.

This shows that any modulus that is a power of an odd prime has a primitive root.

**theorem** *residue-primroot-odd-prime-power-exists:*

**assumes**  $p$ : prime  $p$  odd  $p$

**obtains**  $g$  **where**  $\forall k > 0. \text{ residue-primroot } (p \wedge k) g$

*<proof>*

## 11.4 Carmichael's function

Carmichael's function  $\lambda(n)$  gives the LCM of the orders of all elements in the residue ring modulo  $n$  – or, equivalently, the maximum order, as we will show later. Algebraically speaking, it is the exponent of the multiplicative group  $(\mathbb{Z}/n\mathbb{Z})^*$ .

It is not to be confused with Liouville's function, which is also denoted by  $\lambda(n)$ .

**definition** *Carmichael where*

*Carmichael*  $n = (\text{LCM } a \in \text{totatives } n. \text{ord } n a)$

**lemma** *Carmichael-0 [simp]: Carmichael 0 = 1*

*<proof>*

**lemma** *Carmichael-1 [simp]: Carmichael 1 = 1*

*<proof>*

**lemma** *Carmichael-Suc-0 [simp]: Carmichael (Suc 0) = 1*

*<proof>*

**lemma** *ord-dvd-Carmichael:*

**assumes**  $n > 1$  coprime  $n k$

**shows**  $\text{ord } n k \text{ dvd } \text{Carmichael } n$

*<proof>*

**lemma** *Carmichael-divides:*

**assumes**  $\text{Carmichael } n \text{ dvd } k$  coprime  $n a$

**shows**  $[a \wedge k = 1] \pmod{n}$

*<proof>*

**lemma** *Carmichael-dvd-totient: Carmichael n dvd totient n*

*<proof>*

**lemma** *Carmichael-dvd-mono-coprime:*

**assumes** coprime  $m n$   $m > 1$   $n > 1$

**shows**  $\text{Carmichael } m \text{ dvd } \text{Carmichael } (m * n)$

*<proof>*

$\lambda$  distributes over the product of coprime numbers similarly to  $\varphi$ , but with LCM instead of multiplication:

**lemma** *Carmichael-mult-coprime*:

**assumes** *coprime m n*

**shows**  $\text{Carmichael } (m * n) = \text{lcm } (\text{Carmichael } m) (\text{Carmichael } n)$

$\langle \text{proof} \rangle$

**lemma** *Carmichael-pos* [*simp, intro*]:  $\text{Carmichael } n > 0$

$\langle \text{proof} \rangle$

**lemma** *Carmichael-nonzero* [*simp*]:  $\text{Carmichael } n \neq 0$

$\langle \text{proof} \rangle$

**lemma** *power-Carmichael-eq-1*:

**assumes**  $n > 1$  *coprime n x*

**shows**  $[x ^ \text{Carmichael } n = 1] \pmod n$

$\langle \text{proof} \rangle$

**lemma** *Carmichael-2* [*simp*]:  $\text{Carmichael } 2 = 1$

$\langle \text{proof} \rangle$

**lemma** *Carmichael-4* [*simp*]:  $\text{Carmichael } 4 = 2$

$\langle \text{proof} \rangle$

**lemma** *residue-primroot-Carmichael*:

**assumes** *residue-primroot n g*

**shows**  $\text{Carmichael } n = \text{totient } n$

$\langle \text{proof} \rangle$

**lemma** *Carmichael-odd-prime-power*:

**assumes** *prime p odd p k > 0*

**shows**  $\text{Carmichael } (p ^ k) = p ^{(k-1) * (p-1)}$

$\langle \text{proof} \rangle$

**lemma** *Carmichael-prime*:

**assumes** *prime p*

**shows**  $\text{Carmichael } p = p - 1$

$\langle \text{proof} \rangle$

**lemma** *Carmichael-twopow-ge-8*:

**assumes**  $k \geq 3$

**shows**  $\text{Carmichael } (2 ^ k) = 2 ^{(k-2)}$

$\langle \text{proof} \rangle$

**lemma** *Carmichael-twopow*:

$\text{Carmichael } (2 ^ k) = (\text{if } k \leq 2 \text{ then } 2 ^{(k-1)} \text{ else } 2 ^{(k-2)})$

$\langle \text{proof} \rangle$

**lemma** *Carmichael-prime-power*:

**assumes** *prime*  $p$   $k > 0$   
**shows**  $\text{Carmichael } (p \wedge k) =$   
 $(\text{if } p = 2 \wedge k > 2 \text{ then } 2 \wedge (k - 2) \text{ else } p \wedge (k - 1) * (p - 1))$   
 $\langle \text{proof} \rangle$

**lemma** *Carmichael-prod-coprime*:

**assumes** *finite*  $A \wedge i j. i \in A \implies j \in A \implies i \neq j \implies \text{coprime } (f i) (f j)$   
**shows**  $\text{Carmichael } (\prod_{i \in A} f i) = (\text{LCM } i \in A. \text{Carmichael } (f i))$   
 $\langle \text{proof} \rangle$

Since  $\lambda$  distributes over coprime factors and we know the value of  $\lambda(p^k)$  for prime  $p$ , we can now give a closed formula for  $\lambda(n)$  in terms of the prime factorisation of  $n$ :

**theorem** *Carmichael-closed-formula*:

$\text{Carmichael } n =$   
 $(\text{LCM } p \in \text{prime-factors } n. \text{let } k = \text{multiplicity } p \text{ in } \text{if } p = 2 \wedge k > 2 \text{ then } 2 \wedge (k - 2) \text{ else } p \wedge (k - 1) * (p - 1))$   
 $(\text{is } - = \text{Lcm } ?A)$   
 $\langle \text{proof} \rangle$

**corollary** *even-Carmichael*:

**assumes**  $n > 2$   
**shows**  $\text{even } (\text{Carmichael } n)$   
 $\langle \text{proof} \rangle$

**lemma** *eval-Carmichael*:

**assumes** *prime-factorization*  $n = A$   
**shows**  $\text{Carmichael } n = (\text{LCM } p \in \text{set-mset } A. \text{let } k = \text{count } A \text{ } p \text{ in } \text{if } p = 2 \wedge k > 2 \text{ then } 2 \wedge (k - 2) \text{ else } p \wedge (k - 1) * (p - 1))$   
 $\langle \text{proof} \rangle$

Any residue ring always contains a  $\lambda$ -root, i.e. an element whose order is  $\lambda(n)$ .

**theorem** *Carmichael-root-exists*:

**assumes**  $n > (0::\text{nat})$   
**obtains**  $g$  **where**  $g \in \text{totatives } n$  **and**  $\text{ord } n \text{ } g = \text{Carmichael } n$   
 $\langle \text{proof} \rangle$

This also means that the Carmichael number is not only the LCM of the orders of the elements of the residue ring, but indeed the maximum of the orders.

**lemma** *Carmichael-altdef*:

$\text{Carmichael } n = (\text{if } n = 0 \text{ then } 1 \text{ else } \text{Max } (\text{ord } n \text{ ' totatives } n))$   
 $\langle \text{proof} \rangle$

## 11.5 Existence of primitive roots for general moduli

We now related Carmichael's function to the existence of primitive roots and, in the end, use this to show precisely which moduli have primitive roots and which do not.

The first criterion for the existence of a primitive root is this: A primitive root modulo  $n$  exists iff  $\lambda(n) = \varphi(n)$ .

**lemma** *Carmichael-eq-totient-imp-primroot:*  
**assumes**  $n > 0$  **and** *Carmichael*  $n = \text{totient } n$   
**shows**  $\exists g. \text{residue-primroot } n \ g$   
 $\langle \text{proof} \rangle$

**theorem** *residue-primroot-iff-Carmichael:*  
 $(\exists g. \text{residue-primroot } n \ g) \longleftrightarrow \text{Carmichael } n = \text{totient } n \wedge n > 0$   
 $\langle \text{proof} \rangle$

Any primitive root modulo  $mn$  for coprime  $m, n$  is also a primitive root modulo  $m$  and  $n$ . The converse does not hold in general.

**lemma** *residue-primroot-modulus-mult-coprimeD:*  
**assumes** *coprime*  $m \ n$  **and** *residue-primroot*  $(m * n) \ g$   
**shows** *residue-primroot*  $m \ g$  *residue-primroot*  $n \ g$   
 $\langle \text{proof} \rangle$

If a primitive root modulo  $mn$  exists for coprime  $m, n$ , then  $\lambda(m)$  and  $\lambda(n)$  must also be coprime. This is helpful in establishing that there are no primitive roots modulo  $mn$  by showing e.g. that  $\lambda(m)$  and  $\lambda(n)$  are both even.

**lemma** *residue-primroot-modulus-mult-coprime-imp-Carmichael-coprime:*  
**assumes** *coprime*  $m \ n$  **and** *residue-primroot*  $(m * n) \ g$   
**shows** *coprime*  $(\text{Carmichael } m) (\text{Carmichael } n)$   
 $\langle \text{proof} \rangle$

The following moduli are precisely those that have primitive roots.

**definition** *cyclic-moduli* :: *nat set* **where**  
 $\text{cyclic-moduli} = \{1, 2, 4\} \cup \{p^k \mid p \text{ prime } p \wedge \text{odd } p \wedge k > 0\} \cup$   
 $\{2 * p^k \mid p \text{ prime } p \wedge \text{odd } p \wedge k > 0\}$

**theorem** *residue-primroot-iff-in-cyclic-moduli:*  
 $(\exists g. \text{residue-primroot } m \ g) \longleftrightarrow m \in \text{cyclic-moduli}$   
 $\langle \text{proof} \rangle$

**lemma** *residue-primroot-is-generator:*  
**assumes**  $m > 1$  **and** *residue-primroot*  $m \ g$   
**shows** *bij-betw*  $(\lambda i. g^i \bmod m) \{..<\text{totient } m\} (\text{totatives } m)$   
 $\langle \text{proof} \rangle$

Given one primitive root  $g$ , all the primitive roots are powers  $g^i$  for  $1 \leq i \leq \varphi(n)$  with  $\gcd(i, \varphi(n)) = 1$ .

**theorem** *residue-primroot-bij-betw-primroots:*  
**assumes**  $m > 1$  **and** *residue-primroot*  $m$   $g$   
**shows** *bij-betw*  $(\lambda i. g \wedge i \bmod m)$  *(totatives (totient m))*  
 $\{g \in \text{totatives } m. \text{residue-primroot } m \ g\}$   
 $\langle \text{proof} \rangle$

It follows from the above statement that any residue ring modulo  $n$  that *has* primitive roots has exactly  $\varphi(\varphi(n))$  of them.

**corollary** *card-residue-primroots:*  
**assumes**  $\exists g. \text{residue-primroot } m \ g$   
**shows**  $\text{card } \{g \in \text{totatives } m. \text{residue-primroot } m \ g\} = \text{totient } (\text{totient } m)$   
 $\langle \text{proof} \rangle$

**corollary** *card-residue-primroots':*  
 $\text{card } \{g \in \text{totatives } m. \text{residue-primroot } m \ g\} =$   
*(if*  $m \in \text{cyclic-moduli}$  *then*  $\text{totient } (\text{totient } m)$  *else*  $0$ *)*  
 $\langle \text{proof} \rangle$

As an example, we evaluate  $\lambda(122200)$  using the prime factorisation.

**lemma** *Carmichael*  $122200 = 1380$   
 $\langle \text{proof} \rangle$

**end**

## 12 Modular Inverses

**theory** *Modular-Inverse*  
**imports** *Cong HOL-Library.FuncSet*  
**begin**

The following returns the unique number  $m$  such that  $mn \equiv 1 \pmod{p}$  if there is one, i.e. if  $n$  and  $p$  are coprime, and otherwise 0 by convention.

**definition** *modular-inverse* **where**  
 $\text{modular-inverse } p \ n = (\text{if } \text{coprime } p \ n \text{ then } \text{fst } (\text{bezout-coefficients } n \ p) \bmod p$   
*else*  $0)$

**lemma** *cong-modular-inverse1:*  
**assumes**  $\text{coprime } n \ p$   
**shows**  $[n * \text{modular-inverse } p \ n = 1] \pmod{p}$   
 $\langle \text{proof} \rangle$

**lemma** *cong-modular-inverse2:*  
**assumes**  $\text{coprime } n \ p$   
**shows**  $[\text{modular-inverse } p \ n * n = 1] \pmod{p}$   
 $\langle \text{proof} \rangle$

**lemma** *coprime-modular-inverse* [simp, intro]:  
**fixes**  $n :: 'a :: \{\text{euclidean-ring-gcd}, \text{unique-euclidean-semiring}\}$   
**assumes** *coprime*  $n$   $p$   
**shows** *coprime* (*modular-inverse*  $p$   $n$ )  $p$   
 $\langle \text{proof} \rangle$

**lemma** *modular-inverse-int-nonneg*:  $p > 0 \implies \text{modular-inverse } p (n :: \text{int}) \geq 0$   
 $\langle \text{proof} \rangle$

**lemma** *modular-inverse-int-less*:  $p > 0 \implies \text{modular-inverse } p (n :: \text{int}) < p$   
 $\langle \text{proof} \rangle$

**lemma** *modular-inverse-int-eqI*:  
**fixes**  $x y :: \text{int}$   
**assumes**  $y \in \{0..<m\} [x * y = 1] \pmod m$   
**shows** *modular-inverse*  $m$   $x = y$   
 $\langle \text{proof} \rangle$

**lemma** *modular-inverse-1* [simp]:  
**assumes**  $m > (1 :: \text{int})$   
**shows** *modular-inverse*  $m$   $1 = 1$   
 $\langle \text{proof} \rangle$

**lemma** *modular-inverse-int-mult*:  
**fixes**  $x y :: \text{int}$   
**assumes** *coprime*  $x$   $m$  *coprime*  $y$   $m$   $m > 0$   
**shows** *modular-inverse*  $m$  ( $x * y$ ) = (*modular-inverse*  $m$   $y$  \* *modular-inverse*  $m$   $x$ ) *mod*  $m$   
 $\langle \text{proof} \rangle$

**lemma** *bij-betw-int-remainders-mult*:  
**fixes**  $a n :: \text{int}$   
**assumes**  $a$ : *coprime*  $a$   $n$   
**shows** *bij-betw*  $(\lambda m. a * m \text{ mod } n)$   $\{1..<n\}$   $\{1..<n\}$   
 $\langle \text{proof} \rangle$

**lemma** *mult-modular-inverse-of-not-coprime* [simp]:  $\neg \text{coprime } a m \implies \text{modular-inverse } m a = 0$   
 $\langle \text{proof} \rangle$

**lemma** *mult-modular-inverse-eq-0-iff*:  
**fixes**  $a :: 'a :: \{\text{unique-euclidean-semiring}, \text{euclidean-ring-gcd}\}$   
**shows**  $\neg \text{is-unit } m \implies \text{modular-inverse } m a = 0 \longleftrightarrow \neg \text{coprime } a m$   
 $\langle \text{proof} \rangle$

**lemma** *mult-modular-inverse-int-pos*:  $m > 1 \implies \text{coprime } a m \implies \text{modular-inverse } m a > (0 :: \text{int})$   
 $\langle \text{proof} \rangle$

```

lemma abs-mult-modular-inverse-int-less:  $m \neq 0 \implies |\text{modular-inverse } m \ a :: \text{int}|$ 
<  $|m|$ 
  <proof>

lemma modular-inverse-int-less':  $m \neq 0 \implies (\text{modular-inverse } m \ a :: \text{int}) < |m|$ 
  <proof>

end

```

## 13 Comprehensive number theory

```

theory Number-Theory
imports
  Fib
  Residues
  Eratosthenes
  Mod-Exp
  Quadratic-Reciprocity
  Pocklington
  Prime-Powers
  Residue-Primitive-Roots
  Modular-Inverse
begin

end

```